

Form **13873-T**
(Rev. 11-2014)**RAIVS Requests for Tax Information Documents
or Transcripts of Tax Accounts**

Taxpayer

ESTATE OF SIMON L BERNSTEIN

Date: 07/22/2015**Please refer to all checked boxes for your request for the taxpayer named above.**

1. We can't respond to your request without additional information. You must submit a new Form 4506-T or 4506T-EZ with the corrections checkmarked in this form.

2. We can't provide any of the items you requested.

3. We are enclosing the items you requested (or providing them to the third party on line 5 of your request) except for those listed below. Please refer to the checked boxes in this letter for more information.

Verification of non-filing for tax years:
2013, 2014

Return transcript for tax years:
2012, 2013, 2014

Account transcript for tax years:

Record of account transcript for tax years:
2012, 2013, 2014

Form W-2, Form 1099 series, Form 1098 series, or Form 5498 series transcript for tax years:

4. We can't accept altered forms (e.g., white-out, line-through, write-overs, labels/stickers, etc.) or required entries on your forms aren't legible. You must complete and submit a new Form 4506-T or 4506T-EZ.

5. The taxpayer's information doesn't match our records, is incomplete or is missing. You must correct the items checked below:

Name (Lines 1a/2a or Line 3)

Employer identification or social security number (Lines 1b/2b)

• Note: Be sure your TIN matches your name (SSN for individuals, EIN for businesses)

Address (Lines 3 and/or 4)

• Note: Be sure to include your apartment or unit number with your address. If necessary, submit a *Change of Address* (Form 8822).

6. The taxpayer's address does not match our records. You must provide one of the following when you resubmit your request:

- Copies of two pieces of identification bearing the taxpayer's signature
- An original notarized statement affirming the taxpayer's identity
- A signed statement worded as follows: "I certify under penalty of perjury under laws of the United States of America that I am the taxpayer who filed the return/forms/transcripts request for the tax periods of

7. You asked us to send information to more than one third party. You must submit a separate Form 4506-T or 4506T-EZ for each third party recipient.

8. The information we need to release taxpayer information to a third party is not complete. The name and address of the third party must be on line 5 of Form 4506-T or 4506T-EZ.

9. Lines 6 through 9 of Form 4506-T or line 6 of Form 4506T-EZ must be complete.

10. You must request individual tax information and business tax information on separate Forms 4506-T or 4506T-EZ.

11. Your line 6 entry indicates you are requesting tax return information for more than one type of tax form. You must submit a separate Form 4506-T or 4506T-EZ for each type of tax form.

12. We can't provide Form _____ information.

13. We have no record of receiving a tax return for tax years _____

However, the IRS prepared a substitute return for this tax year. You can request information about the substitute return under the Freedom of Information Act (FOIA). We are enclosing an information sheet (Notice 1356) on how to submit a FOIA request. You can find more information on our website at www.irs.gov.

14. Return transcripts and records of account are available only for the current tax year and three years prior. Return transcripts aren't available for Form 1040X. You may be able to get a photocopy of Form 1040X (generally those filed in the last 7 years) for a \$50 fee per tax period or an account transcript for free. Use Form 4506 (not 4506-T or 4506T-EZ) to request a photocopy and Form 4506-T to request an account transcript.

15. Return transcripts and records of account are only available for Forms 1040, 1065, and 1120 series.

16. We can't provide tax information for tax year(s)

because you didn't file a return. If you recently filed your return or filed an extension, you can resubmit your request 6 weeks after the date you filed your return (3 weeks if you filed electronically).

17. Return transcripts, records of account transcripts, and

tax information for tax years _____ are not currently available. If you filed your return, please resubmit your request in 30 days.

18. Tax information for tax year(s) _____ isn't available until _____

19. We keep tax information for a limited number of years, and some or all of the transcripts you requested are no longer available.

20. We are unable to provide tax information for year(s) 2012

We find no record of a return being filed.

21. We don't have a record of ESTATE of S L BERNSTEIN filing a tax return for years 2012

This form serves as a Verification of Non-filing for those years.

22. We can't provide a verification of non-filing for any tax year before June 15 of the following year. Please resubmit your request after that date.

23. We can't verify non-filing for tax years 2013, & 2014 because a return was filed. We are enclosing a transcript of each return.

24. Your request authorized the third party to receive only a verification of non-filing. Therefore, we can't provide transcripts to the third party.

25. We can't provide the information you requested for tax years _____ We can only provide tax information for jointly filed tax years to the spouses who signed and filed the returns.

26. We can't provide the Form W-2/W-3 information you requested. The Social Security Administration (SSA) may be able to provide social security earnings information. You can call the SSA at 1-800-772-1213 or submit a written request and the required fee (for non-retirement requests) to:

Social Security Administration
Division of Earnings Records Operations
PO Box 33003
Baltimore, MD 21290-3003

You can also request the earnings information from the employer who issued your Form W-2.

27. We can't provide Forms W-2, 1098, 1099, and 5498 for any tax year before August 1 of the following year. Please resubmit your request after that date.

28. A copy of the Form W-2 information may be available by submitting a properly completed Form 4506 with the appropriate fee of \$50.00.

29. We have no record of Form W-2, 1099, or 5498 for tax year(s) _____

30. We can't provide the Form W-2 information for _____

Both spouses must sign and date a request for Form W-2 information for both spouses.

31. We can't provide state tax documents. Please contact your local state office.

32. We are refunding your payment because the transcript you requested doesn't require a fee. You should receive a refund in 4–6 weeks. If you want photocopies of tax forms, please resubmit your payment with Form 4506.

33. Your request didn't have the appropriate signature, title, or date. Please refer to the enclosed information to determine the appropriate signature for the type of return you are requesting information about.

34. You must submit one of the following authorizations to meet IRS guidelines for receiving information about another taxpayer:

a. **Form 2848** (Power of Attorney) that specifies the tax forms or tax matters, the tax years, and acts.
• NOTE: If you filed a joint return you and your spouse must each file Form 2848.

b. **Form 8821** (Tax Information Authorization) that specifies the tax forms or tax matters and the tax years.

c. **Certificate of Guardianship** or other court document granting similar authorization.

35. To receive information about an estate, trust, or deceased taxpayer, you must submit one of the following documents that gives you the authority to act on behalf of the estate. NOTE: The death of a taxpayer makes all previous certificates of guardianship and powers of attorney invalid.

a. Other court documents identifying you as the Personal Representative, Administrator, Executor, Trustee, Beneficiary with material interest in the estate, or granting similar authority

b. Certificate of Guardianship over the estate

c. Letters Testamentary

d. A Will probated by the court

36. The proof of authorization you provided doesn't cover all of the requested items. To get the information you requested for

Forms _____

for tax years _____, you must provide an authorization that identifies those items.

37. We are unable to provide the requested information to a third party. Please contact the taxpayer for specific details.

38. We notified the third party listed on line 5 of your Form 4506-T or 4506T-EZ that we couldn't complete your request. However, we can't tell a third party the reasons why. The third party may contact you to get the information we need to complete your request.

You can get the forms, schedules, or publications you need by visiting our website at www.irs.gov/formspubs or calling 1-800-TAX-Form (1-800-829-3676).

If you have questions about the information in this form, you can call the Return and Income Verification Services Team at

(801) 620-6057 or fax us at

(801) 620-6922

Please refer to IDRS # 04203 69520

For all other inquiries, you can call:

- 1-267-941-1000 for returns with an international address
- 1-800-829-8374 for individual returns with Schedules C, E, F, or Form 2106
- 1-800-829-0922 for individual returns
- 1-800-829-0115 for business returns

Enclosures:

- Original or copy of request
- Signature letter
- Original taxpayer documents
- Notice 1356

30632944232014000000

*(TY2014)

PAGE 0001 OF 0003

DOCUMENT TYPE: 1099-INT
PAYEE ENTITY DATA: 30-6329442
ESTATE OF SIMON L BERNSTEIN
BENJAMIN P BROWN CURATOR
625 N FLAGLER DR SUITE 401
W PALM BEACH
STATE: FL ZIP: 33401-0000
ACCOUNT NUMBER: 10500001534600001
PAYER ENTITY DATA: 591538315
SABADELL UNITED BANK N.A.
5901 MIAMI LAKES DRIVE EAST
MIAMI LAKES FL 33014

NO SECOND NOTICE
TAX EXMPT BOND CUSIP:

FOREIGN COUNTRY OR US POSSESSION:
FED TAX WH.....\$0
INTEREST.....\$8+

***** TAXPAYER COPY *****

30632944232014000000

*(TY2014)

PAGE 0002 OF 0003

DOCUMENT TYPE: 1099-INT
PAYEE ENTITY DATA: 30-6329442
ESTATE OF SIMON L BERNSTEIN
ROBERT L SPALLINA & DONALD R TESCHER CO-
925 S FEDERAL HWY SUITE 500
BOCA RATON
STATE: FL ZIP: 33432-0000
ACCOUNT NUMBER: 10500001717600002
PAYER ENTITY DATA: 591538315
SABADELL UNITED BANK N.A.
5901 MIAMI LAKES DRIVE EAST
MIAMI LAKES FL 33014

NO SECOND NOTICE
TAX EXMPT BOND CUSIP:

FOREIGN COUNTRY OR US POSSESSION:
FED TAX WH.....\$0
INTEREST.....\$15+

***** TAXPAYER COPY *****

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*(TY2014)

PAGE 0003 OF 0003

DOCUMENT TYPE: 1099-R

PAYEE ENTITY DATA: 30-6329442

ESTATE OF SIMON BERNSTEIN

BRIAN O CONNELL PERSONAL REP

WEST PALM BEACH

STATE: FL ZIP: 33401-4321

IRA/SEP/SIMP: CHECKED

TOTAL DISTRIBUTION: NOT CHECKED

TAX AMT UNDETERMINED: CHECKED

FIRST YEAR ROTH CONTRIBUTION: 0000

DISTRIBUTION CODE (BELOW):

4 DEATH

DISTRIBUTION CODE (BELOW):

ONLY ONE CODE ENTERED

ACCOUNT NUMBER: P9ASM55828004

PAYER ENTITY DATA: 134994650

JPMORGAN CHASE BANK N.A.

205 ROYAL PALM WAY

PALM BEACH FL 33480

FED TAX WH.....\$0

GROSS DIST.....\$48,334+

TAXBLE AMT.....\$48,334+

***** TAXPAYER COPY *****

30632944232014000000

*** (TY2014) IRMF ON LINE TRANSCRIPT SYSTEM SUMMARY ***

TIN- 306329442 TIN TYPE AND VALIDITY- 3 DOCUMENT CODE- 00

3 DOCS

GROUP	AMOUNT	GROUP	AMOUNT
INTEREST.....	\$23+		
GROSS DIST.....	\$48,334+		
TXABLE DIST.....	\$48,334+		

ENTER=PAYE (E) , PAYE (R) , (O) NLINE, (W) HITE OUT, IRPO (L) , HARD (C) OPY OR (H) ELP

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*(TY2012)

PAGE 0001 OF 0001

DOCUMENT TYPE: K-1 1120S
PAYEE ENTITY DATA: 30-6329442
SIMON BERNSTEIN ESTATE
BOCA VILLAGE CORPORATE CENTER I
4855 TECHNOLOGY WAY SUITE 720
BOCA RATON
STATE: FL ZIP: 33431-0000

SHAREHOLDERS PCT OF STOCK: 036.700

PAYER ENTITY DATA: 205290314
LIC HOLDINGS INC
950 PENINSULA CORP CIR STE 950
BOCA RATON FL 32001

FED TAX WH.....\$0
OR INC K-1.....\$17,833+

***** TAXPAYER COPY *****

30632944232012000000

*** (TY2012) IRMF ON LINE TRANSCRIPT SYSTEM SUMMARY ***

TIN- 306329442 TIN TYPE AND VALIDITY- 3 DOCUMENT CODE- 00 1 DOCS
GROUP AMOUNT GROUP AMOUNT
ORD INCOME.....\$17,833+

ENTER=PAYE (E), PAYE (R), (O)NLINE, (W)HITE OUT, IRPO(L), HARD(C)OPY OR (H)ELP

07/21/2015

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*(TY2013)

PAGE 0001 OF 0004

DOCUMENT TYPE: K-1 1120S

PAYEE ENTITY DATA: 30-6329442

SIMON BERNSTEIN ESTATE

BRIAN OCONNELL PA

515 N FLAGLER DR

W PALM BEACH

STATE: FL ZIP: 33401-0000

SHAREHOLDERS PCT OF STOCK: 036.700

PAYER ENTITY DATA: 205290314

LIC HOLDINGS INC

950 PENINSUL CORP CIR STE 950

BOCA RATON FL 33487

FED TAX WH.....\$0

OR INC K-1.....\$30,001-

***** TAXPAYER COPY *****

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*(TY2013)

PAGE 0002 OF 0004

DOCUMENT TYPE: 1099-INT

PAYEE ENTITY DATA: 30-6329442

ESTATE OF SIMON L

4855 TECHNOLOGY WAY STE 720

BOCA RATON

STATE: FL ZIP: 33431-0000

NO SECOND NOTICE

TAX EXMPT BOND CUSIP:

ACCOUNT NUMBER: UD000166N

PAYER ENTITY DATA: 135459480

THE UNITED STATES LIFE INSURANCE CO

IN THE CITY OF NEW YORK

2727 A ALLEN PARKWAY

HOUSTON TX 77019

FOREIGN COUNTRY OR US POSSESSION:

FED TAX WH.....\$0

INTEREST.....\$800+

***** TAXPAYER COPY *****

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*(TY2013)

PAGE 0003 OF 0004

DOCUMENT TYPE: 1099-INT
 PAYEE ENTITY DATA: 30-6329442
 ESTATE OF SIMON L BERNSTEIN
 ROBERT L SPALLINA & DONALD R TESCHER CO-
 4855 TECHNOLOGY WAY SUITE 720
 BOCA RATON
 STATE: FL ZIP: 33431-0000
 ACCOUNT NUMBER: 10500001717600001
 PAYER ENTITY DATA: 591538315
 SABADELL UNITED BANK N.A.
 5901 MIAMI LAKES DRIVE EAST
 MIAMI LAKES FL 33014

NO SECOND NOTICE
 TAX EXMPT BOND CUSIP:

FOREIGN COUNTRY OR US POSSESSION:
 FED TAX WH.....\$0
 INTEREST.....\$36+

***** TAXPAYER COPY *****

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*(TY2013)

PAGE 0004 OF 0004

DOCUMENT TYPE: 1099-R
 PAYEE ENTITY DATA: 30-6329442
 ESTATE OF SIMON BERNSTEIN
 4855 TECHNOLOGY WAY
 BOCA RATON
 STATE: FL ZIP: 33431-0000
 ACCOUNT NUMBER: PIRAI32585007
 PAYER ENTITY DATA: 134994650
 JPMORGAN CHASE BANK N.A.
 270 PARK AVENUE
 NEW YORK NY 10017

IRA/SEP/SIMP: CHECKED
 TOTAL DISTRIBUTION: NOT CHECKED
 TAX AMT UNDETERMINED: CHECKED
 FIRST YEAR ROTH CONTRIBUTION: 0000
 DISTRIBUTION CODE (BELOW):
 4 DEATH
 DISTRIBUTION CODE (BELOW):
 ONLY ONE CODE ENTERED

FED TAX WH.....\$0
 GROSS DIST.....\$25,000+
 TAXBLE AMT.....\$25,000+

***** TAXPAYER COPY *****

30632944232013000000

*** (TY2013) IRMF ON LINE TRANSCRIPT SYSTEM SUMMARY ***

TIN- 306329442 TIN TYPE AND VALIDITY- 3 DOCUMENT CODE- 00 4 DOCS

GROUP	AMOUNT	GROUP	AMOUNT
INTEREST.....	\$836+		
ORD INCOME.....	\$30,001-		
GROSS DIST.....	\$25,000+		
TXABLE DIST.....	\$25,000+		

ENTER=PAYE (E) , PAYE (R) , (O) NLINE, (W) HITE OUT, IRPO (L) , HARD (C) OPY OR (H) ELP