

IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT IN AND FOR PALM
BEACH COUNTY, FLORIDA

INRE:

CASE NO. 502012CP004391XXXXNBIH

ESTATE OF SIMON L. BERNSTEIN,
_____ /

TED BERNSTEIN, as Trustee
of the Shirley Bernstein Trust Agreement
dated May 20, 2008, as amended,

Probate Division

Case No.: 502014CP003698XXXXNBIH

Plaintiff,

V.

ALEXANDRA BERNSTEIN; ERIC
BERNSTEIN; MICHAEL BERNSTEIN;
MOLLY SIMON; PAMELA B. SIMON,
Individually and as Trustee f/b/o Molly Simon
under the Simon L. Bernstein Trust Dtd
9113 /12; ELIOT BERNSTEIN, individually, as
Trustee f/b/o D.B., Ja. B. and Jo. B. under the
Simon L. Bernstein Trust Dtd 9113112, and on
behalf of his minor children D.B., Ja. B. and
Jo. B.; JILLIANTONI, Individually, as Trustee
f/b/o J.I. under the Simon L. Bernstein Trust
Dtd 911 3112, and on behalf of her Minor child
J. I.; MAX FRIEDSTEIN; LISA
FRIEDSTEIN, Individually, as Trustee f/b/o
Max Friedstein and C.F ., under the Simon L.
Bernstein Trust Dtd 9/ 13/ 12, and on behalf of
her minor child, C.F.,

Defendants.
_____ /

**URGENT EMERGENCY MOTION TO POSTPONE AND RESCHEDULE NOVEMBER
15, 2017 HEARING PER NOVEMBER 06, 2017 AMENDED ORDER SPECIALLY
SETTING HEARINGS**

1. Eliot Bernstein has been medically unfit to proceed with hearings for several months continuously as previously noted to the Court due to chronic Vasovagal Syncope that has led to repeated daily passing out unconscious, which has led to several traumatic falls and injuries, which are further exacerbated by having to prepare for hearings in this Court despite the severe dangers to his life that this additional stress is causing, including postponing several doctors to try and prepare for Court hearings that the Court has refused to change to allow a proper diagnosis and recovery.
2. A brief chronology of the medical situation is attached in Exhibit 1 - “AFFIDAVIT OF CANDICE BERNSTEIN IN SUPPORT OF ELIOT BERNSTEIN’S “MOTION TO POSTPONE AND RESCHEDULE NOVEMBER 15, 2017 HEARING” that outlines and supports that the Situational Vasovagal Syncope according to Hospital records is “**Apparent Life Threatening Event**,” “Syncope” and “Apnea.”
3. Eliot has only addressed primarily the time period from August 2017 to November 2017 to show that he has been unable to properly prepare for or attend hearings in a healthy state of mind and body during this period and remains in such unhealthy state as of this date.
4. It is anticipated that Eliot can in 30-60 days both recover and have diagnosis completed and be back in a functioning capacity after that time period as Exhibit 1 shows. The Court was requested prior to the 10/19/17 hearing in this Court to allow time for Eliot to seek medical treatment and recover properly from a life threatening ailment and the Court refused to grant such request despite being made aware of the danger to Eliot’s life and in fact moved the hearing from October 27, 2017 to October 19, 2017 instead.

5. That these deadlines have only made the medical conditions worse and have not allowed Eliot to properly prepare or represent himself Pro Se before this Court.
6. Eliot has allowed his wife Candice to submit medical reports of his to this Court in her attached affidavit so that the Court may see not only the hospital and other doctor reports but the amount of very heavy narcotic analgesics, muscles relaxers and antibiotics he has been on from August 2017 through November 2015 and remains on to this date and was further proscribed another week worth after his dental implant prosthesis was reinserted on November 08, 2017, which had been out since October 11, 2017 and required daily pain medication as reported in Exhibit 1.
7. Finally, this Court should take notice of the attached 60(a) and (b) Motion for the Illinois Federal Court case (Exhibit 2 - 60(a) and (b) Case # 13-cv-03643 - US District Court of Eastern Illinois,) which outlines the continuing and ongoing fraud on the Illinois Federal Court and Hon. Judge John Robert Blakey and on this Court being committed by this Court's Court Appointed Officers (Attorneys, Fiduciaries and Guardian.) This filing should also provide ample cause for this Court to stay the proceedings and have all parties involved in the ongoing Fraud on the Court and Fraud on the True & Proper Beneficiaries and Interested Party to be called to show cause involving the frauds committed that have deprived the Eliot Bernstein family of their US and Florida Constitutional rights to fair and impartial due process and procedure rights and MORE.

WHEREFORE, Eliot seeks from this Court a 30-60 day stay of all cases before the Court to fully recover from his current injuries and complete the necessary tests without having to stress more over Court hearings and deadlines, which add to the Vasovagal Syncope attacks and risk of fatal injury. Further, stay the proceedings to

report and correct all recently discovered frauds upon the court by Court appointed officers, discovered in hearings held before this Court on February 16, 2017 and March 02, 2017, based on claims that Eliot Bernstein was not a beneficiary of his mother and father's estates and trusts and where it was learned that in fact at the minimum he is a beneficiary with standing in his father's estate. Eliot believes that if the Court reviews the 60(b) motion and the documents attached, the two Wills and two Inter-vivos Trusts that were declared valid at the December 15, 2015 hearing that the Court will see that not only does Eliot have standing in each as Natural Born son but that each document has him named as a beneficiary despite any claims or orders or pleadings claiming he is not.

DATED: November 09, 2017

Respectfully submitted,

/s/ Eliot Ivan Bernstein

Eliot Ivan Bernstein

2753 NW 34th St.

Boca Raton, FL 33434

561-245-8588

iviewit@iviewit.tv

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a copy of the within has been served upon all parties on the attached Service List by E-Mail Electronic Transmission and/or Court ECF on this 9th day of November, 2017.

/s/ Eliot Ivan Bernstein

Eliot Ivan Bernstein

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EXHIBIT 1

“AFFIDAVIT OF CANDICE BERNSTEIN IN SUPPORT OF ELIOT BERNSTEIN’S

“MOTION TO POSTPONE AND RESCHEDULE NOVEMBER 15, 2017 HEARING”

FILED SEPARATELY ECF

**AFFIDAVIT OF CANDICE BERNSTEIN IN SUPPORT OF ELIOT BERNSTEIN'S
"MOTION TO POSTPONE AND RESCHEDULE NOVEMBER 15, 2017 HEARING"**

State of Florida
County of Palm Beach

BEFORE ME, the undersigned Notary,

Lauren A. Araneo, on this 9th day of November, 2017, personally appeared Candice M. Bernstein, known to me to be a credible person and of lawful age, who being by me first duly sworn, on her oath, deposes and says:

I, Candice M. Bernstein hereby declare as follows:

I am over the age of 18 and a resident of Palm Beach County, Florida.

I make this declaration and affidavit based upon my own personal knowledge of the cases listed below in the Palm Beach courts, and if called upon testify as to its contents, could and would do so consistently herewith. The cases include, but are not limited to, the following and any all cases involving the Simon and Shirley Bernstein Estates and Trusts and the Eliot and Candice Bernstein Family;

IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL
CIRCUIT IN AND FOR PALM BEACH COUNTY, FLORIDA

INRE:

CASE NO. 502012CP004391XXXXNBIH

ESTATE OF SIMON L. BERNSTEIN,
_____ /

TED BERNSTEIN, as Trustee
of the Shirley Bernstein Trust Agreement
dated May 20, 2008, as amended,

Probate Division
Case No.: 502014CP003698XXXXNBIH
HONORABLE ROSEMARIE SCHER

Plaintiff,

V.

ALEXANDRA BERNSTEIN; ERIC BERNSTEIN; MICHAEL BERNSTEIN; MOLLY SIMON; PAMELA B. SIMON, Individually and as Trustee f/b/o Molly Simon under the Simon L. Bernstein Trust Dtd 9113 /12; ELIOT BERNSTEIN, individually, as Trustee f/b/o D.B., Ja. B. and Jo. B. under the Simon L. Bernstein Trust Dtd 9113112, and on behalf of his minor children D.B., Ja. B. and Jo. B.; JILL IANTONI, Individually, as Trustee f/b/o J.I. under the Simon L. Bernstein Trust Dtd 911 3112, and on behalf of her Minor child J. I.; MAX FRIEDSTEIN; LISA FRIEDSTEIN, Individually, as Trustee f/b/o Max Friedstein and C.F ., under the Simon L. Bernstein Trust Dtd 9/ 13/ 12, and on behalf of her minor child, C.F.,

Defendants.

I make this declaration in support of the exhibits fairly and accurately and reflect what I perceive to be true in regard to the courts in FL that have ignored life threatening medical issues facing my husband, Eliot Bernstein. In fact, opposing counsel in these matters have scheduled more and more hearings and pleadings for him to respond to in efforts to further take advantage and exacerbate life threatening medical problems despite doctors orders to not stress while trying to determine and resolve a very real life threatening problem my husband Eliot is suffering from.

Eliot Bernstein has been medically unfit to proceed with hearings for several months continuously as previously noted to the Court repeatedly in hearings and pleadings due to chronic Vasovagal Syncope that has led to repeated daily passing out unconscious, which has further led to several traumatic falls and injuries. These episodes are further exacerbated by having to prepare for hearings in this Court despite the severe dangers to his life that this additional stress is causing, including the fact that he is postponing doctor visits and necessary tests to try and prepare for these Court hearings that in many instances over the past two years the Florida Courts have refused to change to allow for a proper diagnosis and recovery.

A brief medical chronology follows.

On 6/4/13 - 6/5/13 Eliot laughed at a joke told, passed out (syncope) and fell from a stool at a friends home and landed on his head which led to being rushed to the hospital unconscious with bleeding on the brain and hospitalization for several days. He was heavily medicated for several weeks following due to massive trauma to the head and body caused from the fall. The diagnosis from the hospital was "SYNCOPE & COLLAPSE, SUBARACHNOID HEMORRHAGE FOLLOWING INJURY, WITHOUT MENTION OF OPEN INTRACRANIAL WOUND, WITH STATE OF CONSCIOUSNESS UNSPECIFIED." (Exhibit 1 - June 04, 13 Hospital Report) At follow up with a cardiologist it was determined that the accident was caused by Vasovagal syncope and a series of follow up tests was scheduled. Eliot did complete several of the tests but due to the need to prepare for court hearings he did not finish the complete review by the all the doctors recommended at that time.

September 06, 2016 Eliot had a Vasovagal Syncope attack and our son caught him as he was falling. Thinking he was having a heart attack our athletic son tried to give him CPR that he learned at a swimming camp and in the process broke his rib and injured others. The diagnosis of that event was, "Ox 1: Fx L rib closed Rx 1: Percocet Tablets 325mg,5mg (acetaminophen,oxycodone) 1 tablet by mouth every 6 hrs as needed for pain." A 4-6 week recovery was necessary for the ribs to heal but in his case due to coughing attacks it took several weeks longer. My husband began following up with doctors but due to the Florida courts refusal to give him ample time to recover and seek diagnosis he instead chose to fight in the courts versus take medical advice to not endure stress and continue diagnostic treatments as Vasovagal Syncope collapses can be deadly and are a leading cause of death among elderly persons afflicted with this condition. (Exhibit 2 - September 06, 2016 Hospital Report)

On August 4, 2017, Eliot went to Urgent Care for an illness that he had for several days leading to a constant hard cough that was making him cough so hard he had lost consciousness (syncope) several times. He was prescribed antibiotics, a puff inhaler, cough pearls and cough syrup.

On August 9, 2017 Eliot had a Vasovagal Syncope that led to a loss of consciousness and he fell to the ground hitting the back and front of his head causing contusions, bruising to the side of his face, a black eye and caused two broken ribs and other severe and traumatic damages to his body. He was taken to the Delray Beach Medical hospital (Exhibit 3 - August 09, 2017 Hospital Report) and admitted for several days under constant watch and had various tests conducted by a cardiology team, neurology team, pulmonologist and others. During this stay he had multiple x-rays, cat scans and a MRI and narcotic analgesic medicine to control the pain including IV drip Morphine. He later also received 2 bags of IV antibiotics and more antibiotic pills to take home. He was told to rest 4-6 weeks and to wait for the ribs and nerves to heal to

then finish the testing proscribed. One of the tests ordered was a tilt table test to determine blood pressure during the syncope episodes, yet it is too painful to be on the test table with broken ribs and he was advised by his doctors it would have to wait for the ribs to heal 4-6 weeks. Eliot was on narcotic analgesics for most of this recovery period, again repeatedly going off his medicine to cope with court hearings and pleadings due that could not be changed or delayed by the courts despite his requests. The discharge papers concluded “**Apparent Life Threatening Event**,” “Syncope” and “Apnea.” As the record reflects Eliot left the hospital against medical advice to prepare for court related events that he feared would not be able to be changed as the courts had previously refused to reschedule deadlines due to his medical condition. Again, this has put him at further risk.

At this point the syncope "fainting" episodes began consistently occurring every 2-3 hours a day.

On August 16, 2017, Eliot again lost consciousness and again collapsed to the ground at freefall speed hitting his head and nose on a granite countertop which left several lacerations and bruising, again re-injuring his ribs and his legs.

On August 17, 2017 Eliot was taken back to Urgent Care for review and prescribed more cough suppressant medicine, anti-inflammatory medication and narcotic analgesic pain medication.

On August 18, 2017, during another syncope episode Eliot lost conscientiousness and fell to the ground landing on his elbow and bruising his whole left side.

On August 20, 2017 Eliot discontinued a high blood pressure medicine that happens to have a side effect of dry cough that can lead to "cough syncope".

On August 24, 2017 Eliot suffered a sudden sharp pain on the left side of his body and was advised by his cardiologist to go to the ER. At the hospital the nurses witnessed several syncope episodes and Eliot was taken for several x-rays and cat scans that concluded he now had 2 completely fractured ribs (#6 and #9) and the sharp pain appeared to be a hairline fracture of a rib that then fully broke when he sat down. Eliot was given narcotic analgesic pain medication and told to follow up with a primary physician and told the ribs would take another 6-9 weeks to heal, if not longer due to the lingering hard cough that was exacerbating the problem of the ribs healing. The final diagnosis for this visit was, “FINDINGS- 4 views of the left ribs. There is a nondisplaced fracture of the sixth lateral rib, question of nonspace fracture of the ninth lateral rib.” (Exhibit 4 - August 24, 2017 Hospital Report)

On August 25, Eliot was seen by a cardiologist and placed on a heart monitor for 2 weeks and prescribed a different hypertension medication.

September 23, 2017 Eliot suffered another Vasovagal Syncope attack while out in Delray Beach after a dinner and fell into the street and hit a car. He sprained/fractured his ankle and endured deep wounds to his leg, again injured his ribs and broke a dental prosthesis that encompasses his entire lower teeth.

That on October 11, 2017 Eliot had his lower prosthesis removed from his mouth due to the injury sustained on September 23, 2017 and as his dentist has noted he has been under treatment and on narcotic analgesics and muscle relaxers since October 11 for this treatment, (Exhibit 5 - Dr. Ronik S. Seecharan PA DMD Medical Letter) Eliot has been suffering massive TMJ requiring additional heavy narcotic analgesics and muscle relaxers to this day. The prosthesis is set to be reinserted on November 08, 2017 and typically from the time the new one is put back in it takes him 1-2 weeks to fully recover from the TMJ and resulting migraine headaches and requires medication throughout.

On October 17, 2017, Eliot went back to the hospital, Boca Medical Center and was diagnosed with a sprained ankle that may in fact be a fracture that had partially healed as he refused to go to the hospital after the original injury as he was trying to prepare for Court hearings that this Court refused to reschedule despite being advised of the life threatening condition Eliot was in and denying his request for extension. They also diagnosed a MRSA infection developing in the deep wounds that caused his lower leg to completely swell up from infection. The results of this visit were as follows, "Dx 1: Cellulitis L lower limb, Dx 2: Sprain L ankle. unspecified ligament, Dx 3: Fx L foot 5th metatarsal nondisplaced. Closed, Rx 1: Norco Tablets 325mg,5mg (acetaminophen,hydrocodone), 1 tablet by mouth every 6 hrs as needed for pain (max 4 tablets per day), Rx 2: Bactrim OS Tablets (sulfamethoxazole,trimethoprim) 800mg, 160mg 160mg/tablet Order 1 tablet by mouth every 12 hrs for 10 days, Rx 3: Keflex Capsules (cephalexin) 500mg/capsule, 1 capsule by mouth every 8 hrs for 10 days." (Exhibit 6 - October 17, 2017 Hospital Report)

That despite requesting that the October 19, 2017 hearing before this Court be delayed due to these most serious and life threatening conditions the Court instead forced Eliot to appear refusing to reschedule and allow him to recover and complete necessary tests and doctor visits. The Court will note that Eliot came to court on October 19, 2017 with a sprained/fractured ankle, a case of MRSA, missing his entire bridge of lower teeth and having 8 titanium spikes protruding from his lower gums making it virtually impossible for him to talk or chew, two broken ribs and on heavy pain medicine, antibiotics and muscle relaxers.

That since the October 19, 2017 hearing that Eliot was debilitated for, Eliot has been in a constant disabled state and trying to recover but hardly able to get out of bed. He is having daily syncope attacks that leave him under constant supervised care. The facial swelling caused by the

loss of the entire lower jaw of teeth and 8 metal nail implants sticking out from his gums that rip his lips, cheeks and gums daily has also caused him to lose vision in his left eye and make it virtually impossible for him to work on a computer to prepare for the upcoming November 15, 2017 hearing, especially while heavily medicated (Exhibit 7, August through November 5 2017 Prescription Report) and virtually unable to walk due to his leg injury and infection.

That on October 31, 2017 Eliot finally completed the tilt table test for the Vasovagal Syncope and while ruling out a heart condition as the problem, it revealed that the cause of the attacks is due to "situational syncope" stress and coughing being the leading situations of the fainting attacks. Falling from these attacks is life threatening at any given time. The heart specialist has now referred Eliot to see a Pulmonologist to run the next series of tests and Eliot is scheduling that as soon as his teeth problem is resolved in the next week or two. The Table Test showed a dramatic loss of blood pressure and a Vasovagal Syncope attack during the procedure that caused Eliot to pass out during the test and this now narrows the causes and may finally provide a solution to the problem. If it is not pulmonary he will need to be seen by a neurologist and have another series of tests done, however, the cardiologist after witnessing a cough syncope feels strongly it is a pulmonary problem and a classic case of "Cough Syncope,"exacerbated by stress.

The Court should note that Eliot has been trying to resolve the Vasovagal Syncope with doctors over the last two years and most of the delay in diagnosis and treatment is due to the Florida courts refusal to allow adequate time for Eliot to have proper treatment and opposing counsel continuously demanding hearings whenever he has pled for extensions for these medical issues instead of allowing proper time for medical treatment, recovery and diagnosis. In fact, I have read pleadings to the courts by Ted Bernstein and his counsel Alan Rose suggesting that Eliot was faking these illnesses and the requests for extensions were part of some elaborate plan to delay hearings and I was completely appalled and distraught that the courts bought this wholly unsupported and unsubstantiated claim by opposing counsel without fully checking with Eliot's medical doctors or even reviewing medical records supplied in his pleadings and instead demanded timelines be met without concern for his well being.

I am also aware that several of the court appointed officers and fiduciaries involved in these matters thus far have committed a series of FELONY crimes against our family personally and through their law firm and their replacements upon their resignations steeped in fraud appear to be continuing the criminal activity in the courts and are trying to cover up the prior crimes and committing others at the same time and definitely taking advantage of my husbands medical condition and inability to properly prepare or defend our family as a Pro Se litigant.

Far more serious are the crimes that have been committed against my husband and my children by the court appointed fiduciaries Ted Bernstein, Robert Spallina, Alan Rose, Donald

Tescher et al. and their counsel that I have witnessed while attending every hearing with my husband since September 2013. The following criminal acts committed by fiduciaries and counsel in these matters are the cause for all of these delays and tortious interference with expectancy that have occurred over the four years this has been ongoing in the Florida courts and nothing my husband has done. These crimes that have led to arrest and resignations include but are not limited to,

1. PROVEN forgery of my husbands name on documents submitted to the court along with five other parties names forged in my mother-in-law's estate.
2. PROVEN forged documents and fraudulently notarized documents submitted to the court including forgeries done of my father-in-law's signature after he was deceased.
3. The PROVEN closing of my mother-in-law's estate through fraud using my deceased father-in-law to appear to have closed her estate as a fiduciary at a time after he was deceased, the uncovering of this fraud leading to the estate being reopened for now 4 years. This crime was done at a time Ted Bernstein and his lawyers Robert Spallina and Donald Tescher who were the former estate planning attorney to my mother-in-law and father-in-law, former resigned Co-Personal Representative and Co-Trustee of my father-in-law's Estate and Trust (resigning after the crimes were admitted to by Spallina to the Palm Beach Sheriff and the Court) and acting counsel to Ted Bernstein as fiduciary in his mother's estate and trust where many of the crimes were committed that ALL benefited Ted Bernstein to the disadvantage of my family and great suffering and damages caused to us and still causing as the Court has allowed Ted to remain a fiduciary despite these facts.
4. A PROVEN AND ADMITTED forged trust of my mother-in-law's done after her death by several years and sent via mail fraud to my children's counsel by Robert Spallina in efforts to change the beneficiaries of her trust through fraud and deceit and make our former counsel Christine Yates and our family believe that Ted and his sister Pam who were disinherited with their lineal descendants were reinserted back into her trust. This was done through a fraudulent amendment added in her trust that Spallina crafted allegedly in January 2013. Spallina admitted to this FELONY crime at a hearing I attended on December 15, 2015, ironically at a "validity" hearing where he was the only witness called by Ted and his counsel Rose to validate documents he drafted, executed and gained interest in and then when cross examined admitted to a host of crimes he personally committed and his law firm had committed.

I have attended numerous sham hearings conducted by former Judge in these matters John L Phillips that resulted in a bizarre series of Orders that have led to claims that my husband has no standing to participate in his father and mother's estate and trusts, despite him being a named beneficiary in all of the documents and further just being a natural born child of his parents giving him standing despite what any documents may say and this after over two years where his standing was never questioned or proven not to exist. I imagine an Order that states

that natural born children do not have standing in their parents estates and trusts would overturn years of established probate and civil trust law and case law and set new precedence.

I have then attended hearings after Judge Phillips left where a new Judge Honorable Rosemarie Scher has determined that despite prior claims that my husband was not a beneficiary and had no standing in his father's estate by Ted Bernstein, Alan Rose and Brian O'Connell that he factually did, contradicting many pleadings filed by Ted and Alan Rose his counsel that led to sham and void orders that claimed he did not have standing and was not a beneficiary, which kept him from participating in hearings for now almost two years and denied him Constitutionally protected due process rights to be heard.

I have witnessed my husband be removed from a federal action in Illinois, Case # 13-cv-03643 - in the US District Court of Eastern Illinois on claims that this Florida Probate court had determined he was not a beneficiary and without standing in his father's estate and citing Collateral Estoppel as the reason for his removal in that action based on this Court's flawed alleged findings and similarly false pleadings made to that Court by Ted and his counsel. Despite it now being factually determined that my husband does have standing and is a beneficiary of his father's estate by Judge Scher the Illinois Court has not been notified by the parties that made these false claims to that court and he still remains removed from the hearing through this fraud and removed from settlements etc. based on the Illinois court and HONORABLE Judge John Robert Blakey not being informed that information tendered to that court was intentionally false and misleading. This again has caused my husband loss of Constitutionally Protected Due Process Rights to be heard in a Federal court.

I have witnessed a Guardian Ad Litem placed on my adult son in an evidentiary hearing in the Probate court, not a hearing in the GAL Division, at a time when Ted, my son's uncle and Alan Rose both knew he was an adult and pled fraudulently to the Court that he was a minor. That Guardian, Diana Lewis, also knew she was illegally kidnapping my Adult son's legal rights through a fraudulent GAL appointment and attended court hearings in his name, entered settlements in his name and destroyed trusts and companies set up for him by my mother-in-law and father-in-law many years prior to their deaths, all in coordination with Ted Bernstein and Alan Rose. Despite my son sending Diana Lewis a Cease and Desist letter to cease this fraud she has ignored such request and has failed to notify the court or other parties she deceived of her prior acts illegally in his name as his alleged Guardian Ad Litem and continues to act illegally in his name to deprive him his CONSTITUTIONALLY PROTECTED DUE PROCESS RIGHTS.

I have witnessed my middle child turn 18 on January 1, 2017 and any predatory GAL that was placed on him should have been ended by Diana Lewis and a final report entered in the Court by her ending her alleged GAL over him and instead she continued to act on his behalf illegally and entered into settlements on his behalf, attended court proceedings representing his

interests as a GAL and more. Despite her receiving a Cease and Desist from him she has still not entered a final report and ceased her representations and continues to act illegally in his name to deprive him his CONSTITUTIONALLY PROTECTED DUE PROCESS RIGHTS.

These crimes are the reasons for all this delay and my husband's requests for medical extensions have been due to very serious and life threatening reasons that are medically documented and verified and the Court's refusal to grant additional time as if these cases now must be rushed to judgment while new frauds are being exposed and there are missing millions of dollars and Shirley's Trust is unaccounted for since 2010 in violation of Florida Probate Rules and Statutes seems remarkable to say the least. Further, the attempt to shift the blame to make my husband appear in the Court record to be the cause of problems, as a disgruntled disinherited son, when in fact our family whether my husband or children have never been disinherited, whereas by brother and sister in law and their lineal descendants have been disinherited. They in fact are the disgruntled family members, creating disputes, generating exorbitant legal fees and frauds to re-insert their lineal descendants back in the wills and trusts fraudulently with help from attorneys that altered and fabricated trust documents. I have witnessed first hand the fraud, waste and abuse of court resources in these actions. The Court has wholly failed to report the crimes of the officers of this Court as required by Judicial Canons, Attorney Conduct Codes and laws makes this appear a deliberate attempt to try and shift the blame and take advantage of my husband or cause him intentional harm that may kill him. As a Pro Se litigant who crimes have occurred against committed by Court Appointed Officers (Fiduciaries, Attorneys and Guardians) the Court should be sympathetic to him but instead in the last two years of hearings I have witnessed they are completely lacking any care or respect for him. In fact, I have instead witnessed repeated assaults on him and myself verbally by the Judges and court appointed officers involved, slandering and defaming him and we fear the Court is being used as a weapon against our family to silence our exposure of the mass of frauds taking place and cover up those that have been proven to have taken place in this Court.

I have attached herein several of the medical reports and prescription drug reports to support my statement and I am willing to give the Court a complete list of doctors treating him to confirm these claims and the danger to Eliot's life that is current and ongoing. Eliot is still suffering from syncope episodes every 6-8 hours, including night time while he is sleeping. He is only able to sleep for 2-3 hours at a time, sitting up only and unable to lie down for any period of time and in constant pain. He is currently being supervised 24/7 and cannot be left alone in the event of an syncope episode and risk of falling. I am praying that this Court under the new Judge Rosemarie Scher will take a moment to look at the danger my husband is in physically and understand that he fears for his families lives against those court appointed officers who have already caused our family so much harm and give him the time he is requesting of 30-60 days to be medically evaluated and recover versus forcing him to continue to come to hearings during this time and put his life in imminent danger.

If the Court refuses I will demand my husband not attend hearings for fear of his life and I will report these matters to state and federal authorities that my husband is already working with as a potential attempt to cause him and my family great harm while trying to effectuate further frauds upon us. I urge the Court to consider the stress upon me personally as I find my husband laying on the ground, passed out, not breathing and appearing dead, then waking out of a coma like state with blood coming from his head, his eyes, his leg and more and unable to breath or recognize where he was just a minute ago, rush to hospitals and sleep there as many nights as he is confined and take compassion on our family and give my husband the necessary time to respond properly to this Court after his medical tests and recovery is over. To see my husband try and respond to pleading and prepare for hearings while passing out in his seat choking until he is unconscious is unimaginable but true and he will not lie down with these deadlines and court proceedings to contend with.

Under penalties of perjury, I declare that I have read the foregoing "AFFIDAVIT OF CANDICE BERNSTEIN IN SUPPORT OF ELIOT BERNSTEIN'S MOTION TO POSTPONE AND RESCHEDULE NOVEMBER 15, 2017 HEARING and that the facts stated in it are true to the best of my knowledge and belief"

Dated: November 09, 2017

/s/ Candice Bernstein
Candice Bernstein
2753 NW 34th St.
Boca Raton, FL 33434
(561) 245-8588
tourcandy@gmail.com



[signature of affiant]

Candice Bernstein
2753 NW 34th St.
Boca Raton, FL 33434

State of Florida

County of West Palm Beach

Sworn to (or affirmed) and subscribed before me this 9th day of November, 2017, by Candice M. Bernstein.

Lauren A. Araneo

(Signature of Notary Public - State of Florida)



Lauren A. Araneo
Notary Public
State of Florida

My Commission Expires 4/18/2020
Commission No. FF 983473

Lauren A. Araneo

(Print, Type, or Stamp Commissioned Name of Notary Public)

Personally Known _____ OR Produced Identification

Type of Identification Produced - Drivers License
Florida DL # B652-113-72-869-0 Expiration 10/20/24

Driver's License
Florida DL # B652-113-72-869-0
exp 10/9/2024

EXHIBIT 1

DELRAY MEDICAL CENTER
5352 Linton Boulevard
Delray Beach, FL 33484

Name: BERNSTEIN, ELIOT TR
MRN: 000188764
ACCT: 012940564

RICHARD H KIM, MD
ADM: 06/04/2013

Consultation

DATE OF CONSULTATION: 06/04/2013

CHIEF COMPLAINT: Syncope.

HISTORY OF PRESENT ILLNESS: The patient is a 49-year-old gentleman who was at dinner at a friend's house. His wife apparently told a joke. He began laughing and then coughing. He then thinks he passed out. He apparently had urinary incontinence. He fell and hit his head on a marble floor. He sustained a subarachnoid hemorrhage. He also complains of chest discomfort now. Cardiology consultation is requested.

ALLERGIES: IODINE which has apparently caused anaphylaxis in the past.

PAST MEDICAL HISTORY: Borderline hypertension. No history of diabetes, myocardial infarction, CVA. He does have hyperlipidemia. He is now vegetarian.

FAMILY HISTORY: Mother and father both have had myocardial infarction at a premature age.

PAST SURGICAL HISTORY: Facial reconstruction, lower extremity surgery secondary to trauma in his late teens.

SOCIAL HISTORY: Still smokes 3 cigarettes a day, used to smoke 40, social alcohol.

REVIEW OF SYSTEMS:

CONSTITUTIONAL: No fevers, chills or sweats.

VISION: No double vision, blurry vision or cataracts.

HEENT: No hearing loss or tinnitus.

LUNGS: No wheezing, cough or hemoptysis.

GASTROINTESTINAL: No nausea or vomiting.

GENITOURINARY: No hematuria or dysuria.

CENTRAL NERVOUS SYSTEM: No strokes or seizures.

ENDOCRINE: No diabetes or thyroid.

HEMATOLOGIC: No anemia or leukemia.

CARDIOVASCULAR: No chest pain or pressure, but he does complain his chest pain as described above.

PHYSICAL EXAMINATION:

GENERAL: Pleasant, well-developed, well-nourished gentleman, in no acute distress.

VITAL SIGNS: 98.4, 69, 144/75.

Work Type: Consultation
T001

Work Type Code: CON
Page: 1

DATE 07/18/2013

PRINTED BY: MariaGeribon

941335 m/r: Parli, Geribon

DELRAY MEDICAL CENTER
5352 Linton Boulevard
Delray Beach, FL 33484

Name: BERNSTEIN, ELIOT TR
MRN: 000188764
ACCT: 012940564

RICHARD H KIM, MD
ADM: 06/04/2013

Consultation

HEENT: Anicteric sclerae. Mucous membranes are moist.
NECK: Supple, no jugular venous distention, no carotid bruits.
CARDIAC: Regular rate and rhythm.
LUNGS: Lung fields are clear to auscultation.
ABDOMEN: Soft, nontender.
EXTREMITIES: No clubbing, cyanosis or edema. His left chest wall is clearly extremely tender to palpation.

ASSESSMENT:

1. Atypical musculoskeletal chest discomfort.
2. Syncope.
3. Subarachnoid hemorrhage.
4. Family history of _____ heart disease.
4. Tobacco abuse.
5. Hypertension
5. Borderline hyperlipidemia.

PLAN: Check echo color Doppler study when he can tolerate pain on his left chest. This is clearly not cardiac pain, but musculoskeletal. Syncope is probably vagal posttussive. Monitor on tele. We will be happy to follow this patient with you.

Richard H. Kim, MD

TR:RHK/HN
DD:06/04/2013 13:12 EDT
DT:06/04/2013 20:03 EDT
Dictation ID: 9462482/Confirmation #: 3900064
R:

Authenticated by RICHARD H KIM MD [1397] on 06/07/2013 at 13:03:19

Work Type: Consultation
T001

Work Type Code: CON
Page: 2

DATE 07/18/2013

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RADIOLOGY REPORT
5352 LINTON BOULEVARD
AREA CODE (561) 495-3170

DELRAY BEACH, FL

DELRAY MEDICAL CENTER

PT NAME: BERNSTEIN, ELIOT I

DOB: 09/30/1963

LOCATION: ER -

ACCT. # 012940564

DR. ROYCRAFT, EDWARD L

MR # 000188764

ORDER # 714438281

06/04/2013

CT HEAD OR BRAIN W/O CONT

Abbrv: CTHD1

INDICATION: Trauma

A CT scan of the brain was performed from the base of the skull through the vertex without intravenous contrast.

No prior images are available for comparison.

The ventricles and CSF spaces appear normal. This addendum is made of a cavum the cecum, normal anatomic variant. There is no mass or mass effect present. Small amount of subarachnoid blood is seen within sulci within the a right temporal lobe in right sylvian fissure. Brain parenchyma is normal in attenuation. There is no evidence of acute infarct or intracranial hemorrhage. The mastoid air cells, paranasal sinuses and orbits appear normal.

IMPRESSION:

1. Small amount of subarachnoid blood within sulci right temporal lobe and right sylvian fissure likely posttraumatic
2. No midline shift or mass effect.
3. No evidence of infarct or hydrocephalus.

Edward Roycraft, MD was notified of critical results at 12:27 a.m. on June 4, 2013

*** Final ***

Dictated By: THAME, CRAIG (06/04/2013 00:26)

Signed By: THAME, CRAIG (06/04/2013 00:28)

DATE 07/18/2013

PRINTED BY: MariaGeribon

CRINAL 06/18/2013 10:00:00

DELRAY MEDICAL CENTER

RADIOLOGY REPORT
5352 LINTON BOULEVARD
AREA CODE (561) 495-3170

DELRAY BEACH, FL

PT NAME: BERNSTEIN, ELIOT TR I

DOB: 09/30/1963

LOCATION: TI 0282-A

ACCT. # 012940564

DR. ROYCRAFT, EDWARD L

MR # 000188764

ORDER # 714438281

06/04/2013

CT HEAD OR BRAIN W/O CONT

Abbrv: CTHD1

ADDENDUM:

Trauma over read:

Quality assurance review of the head and cervical spine CT examinations was performed and is in agreement with the initial interpretation of mild right-sided subarachnoid hemorrhage. The cervical spine is intact, as reported.

Final assessment: No discrepancy.

*** Addendum ***

Dictated By: MARTELLO, RICHARD (06/04/2013 10:55)

Signed By: MARTELLO, RICHARD (06/04/2013 10:57)

INDICATION: Trauma

A CT scan of the brain was performed from the base of the skull through the vertex without intravenous contrast.

No prior images are available for comparison.

The ventricles and CSF spaces appear normal. This addendum is made of a cavum the cecum, normal anatomic variant. There is no mass or mass effect present. Small amount of subarachnoid blood is seen within sulci within the a right temporal lobe in right sylvian fissure. Brain parenchyma is normal in attenuation. There is no evidence of acute infarct or intracranial hemorrhage. The mastoid air cells, paranasal sinuses and orbits appear normal.

IMPRESSION:

1. Small amount of subarachnoid blood within sulci right temporal lobe and right sylvian fissure likely posttraumatic
2. No midline shift or mass effect.
3. No evidence of infarct or hydrocephalus.

Edward Roycraft, MD was notified of critical results at 12:27 a.m. on June 4, 2013

*** Final ***

Dictated By: THAME, CRAIG (06/04/2013 00:26)

DATE 07/18/2013

PRINTED BY: MariaGeribon

Reliable By: [Signature]

DELRAY MEDICAL CENTER

RADIOLOGY REPORT
5352 LINTON BOULEVARD
AREA CODE (561) 495-3170

DELRAY BEACH, FL

PT NAME: BERNSTEIN, ELIOT TR I

DOB: 09/30/1963

LOCATION: TI 0282-A

ACCT. # 012940564

DR. ROYCRAFT, EDWARD L

MR # 000188764

ORDER # 714438281

06/04/2013

CT HEAD OR BRAIN W/O CONT

Abbrv: CTHD1

Signed By: THAME, CRAIG (06/04/2013 00:28)

DATE 07/18/2013

PRINTED BY: MariaGeribon

DELRAY MEDICAL CENTER

RADIOLOGY REPORT
5352 LINTON BOULEVARD
AREA CODE (561) 495-3170

DELRAY BEACH, FL

PT NAME: BERNSTEIN, ELIOT TR I

DOB: 09/30/1963

LOCATION: TI 0282-A

ACCT. # 012940564

DR. PACKER, EVAN

MR # 000188764

ORDER # 714507263

06/04/2013

MRA HEAD W/O CONTRAST

Abbrv: MRAHD1

MRA brain without gadolinium

HISTORY: Subarachnoid hemorrhage

FINDINGS: Study performed utilizing 3-D MIPS. The circle of Willis appears normal with no occlusion or stenosis. No aneurysm or AVM identified. Specifically in the region of the right MCA trifurcation there is no aneurysm identified. No AVM. In the posterior circulation there is robust intracranial vertebral sterile flow with a normal basilar artery. The right posterior vertebral artery is supplied by the large posterior communicating artery from the right ICA.

IMPRESSION: Normal study

*** Final ***

Dictated By: ROBERTSON, STEPHEN (06/04/2013 16:24)

Signed By: ROBERTSON, STEPHEN (06/04/2013 16:26)

DATE 07/18/2013

PRINTED BY: MariaGeribon

DELRAY MEDICAL CENTER

RADIOLOGY REPORT
5352 LINTON BOULEVARD
AREA CODE (561) 495-3170

DELRAY BEACH, FL

PT NAME: BERNSTEIN, ELIOT TR I

DOB: 09/30/1963

LOCATION: TI 0282-A

ACCT. # 012940564

DR. STAFF, PHYSICIAN NOT ON

MR # 000188764

ORDER # 714820363

06/05/2013

CT HEAD OR BRAIN W/O CONT

Abbrv: CTHD1

BERNSTEIN, ELIOT TR I

INDICATION: Evaluate brain.

CT scan of the brain was performed from the base of the skull through the vertex without intravenous contrast.

Comparison is made to prior exam dated June 4, 2013 crit

Previously identified subarachnoid blood within sulci of the right temporal lobe and right sylvian fissure has significantly decreased. No new area of hemorrhage is present. There is no midline shift the there is no mass effect present. No parenchymal hematoma is seen. Incidental note is made of a cavum septum pellucida. Brain parenchyma normal in attenuation. Mastoid air cells, paranasal sinuses and orbits are normal.

IMPRESSION:

1. Decrease in volume of subarachnoid blood within the sulci of the right temporal lobe and right sylvian fissure.
2. No midline shift or mass effect.

*** Final ***

Dictated By: THAME, CRAIG (06/05/2013 05:39)

Signed By: THAME, CRAIG (06/05/2013 05:43)

DATE 07/18/2013

PRINTED BY: MariaGeribon

7/10/13
16:11:53

DELRAY MEDICAL CENTER
5352 LINTON BLVD. DELRAY BEACH FL 33484
ADMISSION RECORD-F01

PATIENT NO: 012940564 ADMIT DT/TIME: 6/04/13 02:10 M/R NO: 000188764
NS/RM/BED/ACM: TI 282 A 17 RESISTANT ORG:
DISCH DT/TIME: 6/05/13 13:30 BY: ARAS
PATIENT NAME: BERNSTEIN, ELIOT TR T TITLE:
MAILING ADDR: 2753 NW 34TH ST SOCIAL SECURITY: 361622566
CITY/STATE: BOCA RATON FL 33434 3459 PHONE: (561) 245-8588
PHYSICAL ADR: 2753 NW 34TH ST NPP: 2.0 DATE: 12/08/03
CITY/STATE: BOCA RATON FL 33434 3459 PHONE: (561) 245-8588
OCCUPATION: UNKNOWN LANGUAGE: EN FC: 80
POB: ADMT PHYS: 1173- RODRIGUEZ EUGENIO HSV: 37
DOB: 9/30/1963 ADMT PHYS PHONE: (561) 330-4695 RLG: PAR:
AGE: 49 Y RACE: WHI ATTEND PHYS: 1173- RODRIGUEZ EUGENIO MS: S
SEX: M REF PHY: 1173-RODRIGUEZ EUGENIO PHN: 561 330-4695 SMK: N
PCP PHY: - PHN: VAL: PT: 1
ETHNICITY:NON FLAG: FATHER'S DOB: MOTHER'S DOB:

EMER CONTACT: CANDICE BERNSTEIN REL: SPOUSE
ADDRESS: 72753 NW 34TH ST PHONE: (561) 245-8588
CITY/STATE: BOCA RATON FL 33434 1111
NEAREST REL: REL:
ADDRESS: PHONE: ()
CITY/STATE: RESEARCH ID:

GUARANTOR: BERNSTEIN, ELIOT I REL: SELF
ADDRESS 1: 2753 NW 34TH ST PHONE: (561) 245-8588
ADDRESS 2: SOCIAL SECURITY: 361622566
CTY/STE/ZIP: BOCA RATON FL 33434 3459 OCC: AF:
PAYOR NAME 1: BCBS-FL INS. PLAN ID: 07033 SRV/TYPE: ALLIP
PLAN NAME: BC FL PPO/ADVANTAGE 65/PPC/BLEU CHO IPA:
BILL C/O NAME: BC FL PPC AUTH #: 10251606
BILL ADDRESS: P.O. BOX 1798 CERT-SSN-HIC-ID#: QCB6046973501
CTY/STE/CNTRY: JACKSONVILLE FL 32231 0014 BILL PHONE: (800) 275-2583
BILLING NAME: GP #: 509415
INSURED: BERNSTEIN, ELIOT I SEX/REL: M SELF
EMPLOYER: MSP: TRACKING#:
ADDRESS: EMP PHONE: () 000-0000
CITY/STATE: 00000 0000 ESC: 1
PAYOR NAME 2: INS. PLAN ID:
PLAN NAME:
BILL C/O NAME: CERT-SSN-HIC-ID#:
BILL ADDRESS: AUTH #:
CTY/STE/CNTRY: BILL PHONE: () 000-0000
BILLING NAME: GP #:
INSURED: SEX/REL:
EMPLOYER: TRACKING#:
ADDRESS: EMP PHONE: () 000-0000
CITY/STATE: ESC:

SPAN CODE: PRIOR VISIT: 6/09/13
FROM/TO DATE: PRIOR HOSPITAL:
CONDITION CD CONDITION CD OCCURRENCE CD/DATE OCCURRENCE CD/DATE
P7 05 6/03/13
11 6/03/13

CHIEF COMPLAINT DESCRIPTION: ADMIT DIAGNOSIS CODE: 780.2
SAH
COMMENTS: ER ADMIT TO TICU
1ST ORIGINAL-CHART COPY 2-PHYSICIAN COPY 3-MEDICAL RECS. COPY 4-UTIL. REV.

DATE 07/18/2013

PRINTED BY: MariaGeribon

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Inpatient Summary | Delray Medical Center

ELIOT BERNSTEIN

Race: White | Ethnicity: Not Hispanic or Latino | Gender: Male | DOB: September 30, 1963 | Language: eng

Patient IDs: 188764

Encounter**DEL Account Number 12940564 Date(s): 6/4/13 - 6/5/13**

Delray Medical Center 5352 Linton Boulevard Albert Cohen, MD Delray Beach, FL 33484-6514 United States (561) 498-4440

Final: OTHER AND UNSPECIFIED HYPERLIPIDEMIA

Final: Vaccination not carried out because of patient refusal

Final: SUBARACHNOID HEMORRHAGE FOLLOWING INJURY, WITHOUT MENTION OF OPEN INTRACRANIAL WOUND, WITH STATE OF CONSCIOUSNESS UNSPECIFIED

Final: UNSPECIFIED FALL

Final: ACCIDENTS OCCURRING IN OTHER SPECIFIED PLACES

Final: UNSPECIFIED ESSENTIAL HYPERTENSION

Final: TOBACCO USE DISORDER

Discharge Disposition: Home/Self Care

Attending Physician: rodriguez, eugenio

Admitting Physician: rodriguez, eugenio

Referring Physician: rodriguez, eugenio

Reason for Visit

SAH

Vital Signs

Most recent to oldest [Reference Range]:	1	2	3
Temperature C	36.7 degC (6/4/13 2:10 AM)	36.7 degC (6/4/13 1:55 AM)	37.1 degC (6/4/13 1:40 AM)
Temperature F [98-100.5 degF]	98.4 degF (6/5/13 12:00 PM)	98.8 degF (6/5/13 8:00 AM)	
Temperature F [98-101 degF]	98.4 degF (6/5/13 11:56 AM)		
Heart Rate [60-100 bpm]	73 bpm (6/5/13 2:06 PM)	75 bpm (6/5/13 1:00 PM)	58 bpm *LOW* (6/5/13 12:00 PM)
Respiratory Rate [14-20 breaths/min]	25 breaths/min *HI* (6/5/13 2:06 PM)	21 breaths/min *HI* (6/5/13 1:00 PM)	16 breaths/min (6/5/13 12:00 PM)

Most recent to oldest [Reference Range]:

1

2

3

Blood Pressure [90-140/60-90 mmHg]	131/77 mmHg (6/5/13 2:06 PM)	140/76 mmHg (6/5/13 1:00 PM)	137/71 mmHg (6/5/13 12:00 PM)
Mean Arterial Pressure	97 mmHg (6/5/13 1:00 PM)	93 mmHg (6/5/13 12:00 PM)	89 mmHg (6/5/13 11:00 AM)
SpO2/Pulse Oximetry [85-100 %]	96 % (6/5/13 2:06 PM)	96 % (6/5/13 1:00 PM)	93 % (6/5/13 12:00 PM)
Height	173 cm (6/4/13 9:01 AM)	173 cm (6/4/13 3:15 AM)	173 cm (6/4/13 3:15 AM)
Current Weight kg	97.3 kg (6/4/13 3:15 AM)	99.77 kg (6/4/13 12:51 AM)	
BSA	2.11 (6/4/13 3:15 AM)	2.13 (6/4/13 12:51 AM)	

Problem List

Condition	Effective Dates	Status	Health Status	Informant
Bronchitis(Confirmed)		Active		patient
Car accident(Confirmed)		Active		patient
Syncope(Confirmed)		Active		patient
Hypertension(Confirmed)		Active		
Kidney stone(Confirmed)		Active		patient
Cough(Confirmed)		Active		patient
Vasovagal syncope(Confirmed)		Active		patient

Allergies, Adverse Reactions, Alerts

Substance	Reaction	Severity	Status
Iodine; iodine Containing			Active

Medications

acetaminophen-HYDROcodone (Vicodin)

Oral, Refills: 0

Results

Patient Viewable Results

Most recent to oldest [Reference Range]: 1

WBC [5.0-10.0 x10(3)/mcL] 12.3 x10(3)/mcL
HI
(6/5/13 5:00 AM)

RBC [4.70-6.10 x10(6)/mcL] 4.29 x10(6)/mcL
LOW
(6/5/13 5:00 AM)

Hgb [14.0-18.0 g/dL] 13.5 g/dL
LOW
(6/5/13 5:00 AM)

Hct [42.0-52.0 %] 39.3 %
LOW
(6/5/13 5:00 AM)

MCV [81.0-98.0 fL] 91.7 fL
(6/5/13 5:00 AM)

MCH [27.0-31.0 pg] 31.5 pg
HI
(6/5/13 5:00 AM)

MCHC [33.4-35.5 %] 34.3 %
(6/5/13 5:00 AM)

RDW [11.5-14.5 %] 13.6 %
(6/5/13 5:00 AM)

Platelet Count [150-450 x10(3)/mcL] 256 x10(3)/mcL
(6/5/13 5:00 AM)

MPV [7.4-10.4 fL] 8.1 fL
(6/5/13 5:00 AM)

Neutrophil Rel [40.0-80.0 %] 72.0 %
(6/5/13 5:00 AM)

Lymphocyte Rel [10.0-50.0 %] 20.4 %
(6/5/13 5:00 AM)

Monocyte Rel [1.0-8.0 %] 6.0 %
(6/5/13 5:00 AM)

2

12.8 x10(3)/mcL
HI
(6/4/13 12:40 AM)

4.59 x10(6)/mcL
LOW
(6/4/13 12:40 AM)

14.3 g/dL
(6/4/13 12:40 AM)

41.6 %
LOW
(6/4/13 12:40 AM)

90.8 fL
(6/4/13 12:40 AM)

31.2 pg
HI
(6/4/13 12:40 AM)

34.4 %
(6/4/13 12:40 AM)

13.3 %
(6/4/13 12:40 AM)

262 x10(3)/mcL
(6/4/13 12:40 AM)

7.8 fL
(6/4/13 12:40 AM)

75.9 %
(6/4/13 12:40 AM)

19.0 %
(6/4/13 12:40 AM)

3.8 %
(6/4/13 12:40 AM)

Most recent to oldest [Reference Range]:	1	2
Eosinophil Rel [0.0-5.0 %]	1.1 % (6/5/13 5:00 AM)	1.0 % (6/4/13 12:40 AM)
Basophil Rel [0.0-1.0 %]	0.5 % (6/5/13 5:00 AM)	0.3 % (6/4/13 12:40 AM)
Neutrophil Abs [1.0-7.5 /cm3]	8.8 /cm3 *HI* (6/5/13 5:00 AM)	9.7 /cm3 *HI* (6/4/13 12:40 AM)
Lymphocyte Abs [1.0-5.0 /cm3]	2.5 /cm3 (6/5/13 5:00 AM)	2.4 /cm3 (6/4/13 12:40 AM)
Monocyte Abs [0.2-1.0 /cm3]	0.7 /cm3 (6/5/13 5:00 AM)	0.5 /cm3 (6/4/13 12:40 AM)
Eosinophil Abs [0.0-0.7 /cm3]	0.1 /cm3 (6/5/13 5:00 AM)	0.1 /cm3 (6/4/13 12:40 AM)
Basophil Abs [0.0-0.2 /cm3]	0.1 /cm3 (6/5/13 5:00 AM)	0.0 /cm3 (6/4/13 12:40 AM)
PT [9.0-11.5 sec]	10.0 sec (6/4/13 12:40 AM)	
INR	0.9 *NA* (6/4/13 12:40 AM)	
PTT [23.6-33.5 sec]	31.0 sec (6/4/13 12:40 AM)	
Plt Fx Col/EPI [75-174 sec]	142 sec (6/4/13 12:40 AM)	
Sodium Lvl [135-145 mEq/L]	135 mEq/L (6/5/13 5:00 AM)	139 mEq/L (6/4/13 12:40 AM)
Potassium Lvl [3.5-5.1 mEq/L]	3.9 mEq/L (6/5/13 5:00 AM)	3.7 mEq/L (6/4/13 12:40 AM)
Chloride Lvl [98-111 mmol/L]	100 mmol/L (6/5/13 5:00 AM)	101 mmol/L (6/4/13 12:40 AM)
CO2 [22-32 mmol/L]	26 mmol/L (6/5/13 5:00 AM)	25 mmol/L (6/4/13 12:40 AM)

Most recent to oldest [Reference Range]: 1

2

AGAP [5-15 mEq/L]	9 mEq/L (6/5/13 5:00 AM)	13 mEq/L (6/4/13 12:40 AM)
Calcium Lvl [8.7-10.3 mg/dL]	8.9 mg/dL (6/5/13 5:00 AM)	9.4 mg/dL (6/4/13 12:40 AM)
BUN [8-26 mg/dL]	11 mg/dL (6/5/13 5:00 AM)	13 mg/dL (6/4/13 12:40 AM)
Creatinine Lvl [0.4-1.2 mg/dL]	0.8 mg/dL (6/5/13 5:00 AM)	0.8 mg/dL (6/4/13 12:40 AM)
BUN/Creat [10-20 ratio]	14 ratio (6/5/13 5:00 AM)	16 ratio (6/4/13 12:40 AM)
Total Protein [6.5-8.1 g/dL]	7.8 g/dL (6/4/13 12:40 AM)	
Albumin Lvl [3.4-5.0 g/dL]	4.6 g/dL (6/4/13 12:40 AM)	
Globulin [2.0-5.0 g/dL]	3.2 g/dL (6/4/13 12:40 AM)	
A/G Ratio	1.4 *NA* (6/4/13 12:40 AM)	
Alk Phos [38-126 IU/L]	78 IU/L (6/4/13 12:40 AM)	
ALT [17-63 IU/L]	33 IU/L (6/4/13 12:40 AM)	
AST [15-41 IU/L]	23 IU/L (6/4/13 12:40 AM)	
Osmolality Calc [275-305 mmol/kg]	280 mmol/kg (6/5/13 5:00 AM)	288 mmol/kg (6/4/13 12:40 AM)
GFR African Am [\geq 60.0 mL/min/1.73m ²]	>60.0 mL/min/1.73m ² (6/5/13 5:00 AM)	>60.0 mL/min/1.73m ² (6/4/13 12:40 AM)
GFR Non African Am [\geq 60.0 mL/min/1.73m ²]	>60.0 mL/min/1.73m ² (6/5/13 5:00 AM)	>60.0 mL/min/1.73m ² (6/4/13 12:40 AM)

Most recent to oldest [Reference Range]: 1

2

Bili Total [0.3-1.2 mg/dL]	0.4 mg/dL (6/4/13 12:40 AM)	
Glucose Level [74-118 mg/dL]	114 mg/dL (6/5/13 5:00 AM)	99 mg/dL (6/4/13 12:40 AM)
Magnesium Lvl [1.8-2.5 mg/dL]	2.2 mg/dL (6/5/13 5:00 AM)	
Calcium Corrctd	8.9 mg/dL *NA* (6/4/13 12:40 AM)	
Troponin I [0.00-0.50 ng/mL]	<0.01 ng/mL (6/4/13 5:30 PM)	<0.01 ng/mL (6/4/13 9:15 AM)
CKMB [0.6-6.3 ng/mL]	1.9 ng/mL (6/4/13 5:30 PM)	2.0 ng/mL (6/4/13 9:15 AM)
BB ID Number	AMTR 1017 *Unknown* (6/4/13 12:40 AM)	
ABORh Bld Gr/Tp	O POS *Unknown* (6/4/13 12:40 AM)	
Antibody Screen	Negative ABSC (6/4/13 12:40 AM)	

Microbiology Reports

TEST: MRSA Screen

STATUS: Auth (Verified)

BODY SITE: Nares

SOURCE: Nasal

COLLECTED DATE/TIME: 6/4/13 5:36 AM

FINAL REPORT

No Methicillin Resistant Staphylococcus aureus isolated

Immunizations

No data available for this section

Procedures

No data available for this section

Social History

Social History Type

Response

Smoking Status

Current every day smoker

Assessment and Plan

No data available for this section

Hospital Discharge Instructions

Patient Education

Subarachnoid Hemorrhage

Follow Up Care

06/03/2013 23:49:31

With: Schedule a follow up appointment with any cardiologist covered on the insurance plan.

Address: Unknown

When: 5-7 days

With: JACOB STEIGER

Address:

1001 N. FEDERAL HIGHWAY

BOCA RATON, FL 33432

(561)499-9339 Business (1)

When: 5-7 days

Comments: Call office to schedule a hearing test either at the office or to where ever they refer. Schedule a follow up appointment after hearing test with Dr. Steiger.

With: follow up CT Scan of the head at Delray Outpatient Center, Bring copy of films to appointment with Dr. Greenberg

Address:

5130 Linton Blvd suite I-1

Delray Beach, FL 33484

561-637-5315

When: 06/19/2013

With: MARTIN GREENBERG

Address:

670 GLADES ROAD, SUITE 100

BOCA RATON, FL 33431

(561)392-8855 Business (1)

When: 06/26/2013

Comments: Please follow up with Dr. Greenberg in 2 weeks with ct brain

Details

11/6/2017

Inpatient Summary

Document Created
November 6, 2017

Encounter Date
From June 4, 2013 to June 5, 2013

Care Team
EUGENIO RODRIGUEZ, MD
Tel: (561)330-4695
5130 LINTON BOULEVARD, SUITE E2
DELRAY BEACH, FL 33484-

LUIS ALVAREZ
Tel: (561)477-2862
19801 HAMPTON DR C12
BOCA RATON, FL 33434-

EXHIBIT 2

WEST PALM BEACH NEUROLOGY, P.A.
JAMAL A. HALIM, M.D.
WELLINGTON RESERVE
1035 SOUTH STATE ROAD 7, SUITE 214
WELLINGTON, FL 33414-6137

(561) 422-1006 TEL.
(561) 422-1078 FAX
BATCH # MDH16012603027791054

DEA # _____
LIC. # ME85753

NAME Eliot Bernstein DOB _____
ADDRESS _____ DATE _____

TAMPER-RESISTANT SECURITY FEATURES LISTED ON BACK OF SCRIPT

Rx

11/7/16

Patient should avoid
all types of screens till
his ENT Evaluation
on Dec 15, 16

Label

Refill NR 1 2 3 4 5

(Signature)

In order for the brand name product to be dispensed, the prescriber must write 'Medically Necessary' on the front of this prescription.

♻️ 002934

6ANE0302779

MEDISCRIPTS – TAMPER-RESISTANT SECURITY FEATURES

STANDARD FEATURES:

- ✓ SAFETY-BLUE ERASE-RESISTANT BACKGROUND
- ✓ "ILLEGAL" PANTOGRAPH
- ✓ REFILL INDICATOR
- ✓ SERIALIZATION
- ✓ ARTIFICIAL WATERMARK ON BACK
- ✓ MICROPRINTING

ADDITIONAL FEATURES (where applicable):

- ✓ QUANTITY CHECK-OFF BOXES (optional in some states)
- ✓ UNIQUE TRACKING IDENTIFICATION NUMBER (FL)
- ✓ THERMOCHROMIC APPROVED STATE SEAL (WA)

WEST PALM BEACH NEUROLOGY, P.A.
JAMAL A. HALIM, M.D.
WELLINGTON RESERVE
1035 SOUTH STATE ROAD 7, SUITE 214
WELLINGTON, FL 33414-6137

(561) 422-1006 TEL.
(561) 422-1078 FAX
BATCH # MD116012603027791054

DEA # _____
LIC. # ME85753

NAME Gust Bernstein DOB _____
ADDRESS _____ DATE _____

TAMPER-RESISTANT SECURITY FEATURES LISTED ON BACK OF SCRIPT

Rx

10/24/16

Patient should avoid
all type of stren over
the next 2 wks pending
GNJ /small bowel
evaluation for recurrent
syncope

Label

Refill NR 1 2 3 4 5

(Signature)

In order for the brand name product to be dispensed, the prescriber must write 'Medically Necessary' on the front of this prescription.

002750

6ANE0302779

Patient: Bernstein, Eliot
MD ED: Cohen, Terry M.D.

DI Printed: 9/6/2016 1248
RN Eval: Karen F.R.N.
RN Dispo: _____

AFTERCARE INSTRUCTIONS

We are pleased to have been able to provide you with emergency care. Please review these instructions when you return home in order to better understand your diagnosis and the necessary further treatment and precautions related to your condition. Your diagnoses and prescribed medications today are:

----- This page is not a prescription. -----

Dx 1: Fx L rib, closed
Rx 1: Percocet Tablets 325mg,5mg (acetaminophen,oxycodone)

1 tablet by mouth every 6 hrs as needed for pain

Orders performed during ED visit

Order
XR RIBS UNILATERAL LEFT

Procedures performed during ED visit

Procedure

Follow Up Info

Follow-up 1: Dr. Esener

F/U MD Ph: _____
F/U MD Fax: _____

Specialty: _____

Follow-up 1 Date: As needed

Msg F/U MD: _____

EKGs and X-Rays: If you had an EKG or X-Ray today, it will be formally reviewed by a specialist tomorrow. If there is any change from today's Emergency Department reading, you will be notified.

IMPORTANT NOTICE TO ALL PATIENTS: The examination and treatment you have received in our Emergency Department have been rendered on an emergency basis only and will not substitute for definitive and ongoing evaluation and medical care. If you have an assigned physician, or physician of record, it is essential that you make arrangements for follow-up care with that physician as instructed. If you do not currently have a physician locally, please contact our Health Navigator at 561-955-4714 and they will assist you with scheduling an appointment. Report any new or remaining problems to your physician at your scheduled appointment, because it is impossible to recognize and treat all elements of injury or disease in a single Emergency Department visit. *Significant changes or worsening in your condition may require more immediate attention. The Emergency Department is always open and available if this becomes necessary.*

General Information on BROKEN RIBS

The ribs are long, thin bones that curve around each side of the chest. There are twelve ribs on each side. Any firm blow to the chest can break a rib(s). Most of the time this results from sports injuries, falls or motor vehicle accidents. Medically speaking, the words "broken", "cracked" and "fractured" all mean the same thing.

What are the symptoms?

Ordinarily there is a sharp pain in the chest, usually in the area of the broken rib(s). The pain is often worse with bending,



Emergency Department
800 Meadows Road
Boca Raton, FL 33486
(561) 955-4425

Patient: Bernstein, Eliot
Pt Acct: 1625001096
Med Rcd: 000446213
DI Printed: 9/6/2016 1248

lifting, deep breathing or any strenuous activity.

What can be done?

Simple rib fractures usually heal on their own within TWO TO SIX WEEKS. Splinting and other therapies used in the past have proven not to be helpful and are generally not recommended.

What are the risks?

Rib fractures usually heal completely and produce no serious medical problems. There are, however, some risks:

1. Because of the pain, many people with broken ribs avoid breathing deeply. Persistent, shallow breathing increases the risk of developing pneumonia.
2. A severe blow to the chest sometimes damages the lungs, heart, liver or spleen. This damage can be serious and is occasionally even life-threatening.

INSTRUCTIONS

- 1) Acetaminophen (Tylenol) or ibuprofen (Advil) will help ease the pain. WARNING: Do not take these drugs if you are allergic to them. Do not take these drugs if you are already taking a prescription pain medication that contains acetaminophen or ibuprofen.
- 2) Every two or three hours, while you are awake, take several deep breaths and cough. This will help keep your lungs well expanded. You can challenge yourself to take deep breaths by trying to blow up a balloon, or blow to knock down an empty paper cup. You should continue this routine until the pain is gone (usually two to six weeks).
- 3) Except for deep breathing, avoid any strenuous activity that makes your pain worse.
- 4) SEEK IMMEDIATE MEDICAL ATTENTION if you develop difficulty breathing, pain in the belly, vomiting, severe chest pain, persistent dizziness, cough up blood, pass out or if your condition worsens in any other way.



Emergency Department
800 Meadows Road
Boca Raton, FL 33486
(561) 955-4425

Meds Review Printed: 9/6/2016 1248
Patient: Bernstein, Eliot
DOB: 9/30/1963
Age: 52yr
Pt Acct: 1625001096
Med Rcrd: 000446213

1625001096

Medication Reconciliation

MEDICATION RECONCILIATION (Discharge)

MD ED: Cohen, Terry M.D.

PA: _____

Local P Esener

Triage: Fettner, Karen R.N.

RN Eval: Karen F R.N.

PMD Ph: _____

Allergies		
Allergic Substance	Reaction	Severity
NKDA		

Home Meds (Discharge Reconciliation)		
Arrival Medication	Instructions	Modified Medication
Lisinopril <unknown dose>	NO CHANGE - keep taking & ask your physician	

The table above shows the home medication(s) you are currently taking; information which was provided to the Emergency Department.

Read the last column (MD Review) for further medication instructions.

The list below shows any prescription(s) provided to you upon discharge from the Emergency Department.

Prescription / Rx

Rx 1: Percocet Tablets 325mg,5mg (acetaminophen,oxycodone)

1 tablet by mouth every 6 hrs as needed for pain

2060149564



Health Information Management Department
634 Glades Road
Boca Raton, FL 33431
Phone Number: 561-955-4072

BERNSTEIN, CANDICE
2753 NW 34TH STREET
BOCA RATON, FL 33434

RELEASE OF INFORMATION INVOICE

For Producing Copies of Medical Records for:

Patient Name:	MRN:	Invoice Date:	Invoice Number:
BERNSTEIN, ELIOT	000446213	Monday, January 09, 2017	185226

Number of Pages: 8

Billing Tier:	PATIENT	Billing Tier Pages:	8	Subtotal:	\$8.00
---------------	---------	---------------------	---	-----------	--------

Payment (\$8.00) Cash

Adjust/Payment Total: (\$8.00)

Balance Due: \$0.00

-----PLEASE RETURN LOWER PORTION WITH PAYMENT-----

Boca Raton Regional Hospital
Health Information Department
634 Glades Road
Boca Raton, FL 33431
Phone Number: 561-955-4072

Requester: BERNSTEIN, CANDICE

Balance Due: \$0.00

Patient Name:	MRN:	Invoice Date:	Invoice Number:
BERNSTEIN, ELIOT	000446213	Monday, January 09, 2017	185226

BOCA RATON REGIONAL HOSPITAL
Eliot Bernstein DOB:09/30/1963
Patient:Bernstein, Eliot
Mailing Address:2753 Nw 34Th Street
City:Boca Raton
State:FL Zip:33434
Home Ph:(561)245-8588

EMERGENCY REPORT
ACCT:1625001096 MRN:000446213

Arrival:9/6/2016 1132
Registration Time:9/6/2016 1134
Disposition:Home
Condition at Disp:Stable
Time Left ED:9/6/2016 1254

Mode of Arrival:Personal Transport
Dispo Summary Printed:9/6/2016 1248
Mode of Departure:Ambulatory
Accompanied By:wife
Diagnostic Eval:9/6/2016 1141
Admit Decision:

Chief Cmplnt:Possible Broken Rib Per Pt
Triage Impressi:Pain, Local
Acuity:4 Pt weight:93 kg (205 lbs)
Precautions:
Ebola Exposure?No
Travel Outside No

=====
Allergies
=====

Allergic Substance	Reaction	Severity
--------------------	----------	----------

NKDA
=====

=====
Home Medications (MDM)
=====

Arrival Medication	Last Dose
--------------------	-----------

Lisinopril <unknown dose>
=====

=====
Past Medical History (Problem List)
=====

Condition	Confirmed By
Kidney stone	Fettner, Karen R.N.
Diverticulitis	Fettner, Karen R.N.
HTN - Hypertension	Fettner, Karen R.N.
Multiple trauma	Fettner, Karen R.N.
Vasovagal syncope	Fettner, Karen R.N.
Cerebral hemmorrhage after vasovagal syncope	Fettner, Karen R.N.

=====
Past Surgical History (Procedures)
=====

Procedure	Confirmed By
Lithotripsy	Fettner, Karen R.N.
Cystoscopy	Fettner, Karen R.N.
Reconstructive surgery face and neck, sp trauma	Fettner, Karen R.N.
Dental implants	Fettner, Karen R.N.
Tracheotomy	Fettner, Karen R.N.

Meds Given-ED(If Blank-See Orders/Notes)

Medication	Dose	Route/SitRate	Start/GiEnd	Entered By
No Entries				

Orders ED Record (MDM)

Order	Providers	Sched D/In	Prog Comp	D/T
XR RIBS UNILATERAL LEFT	316-Cohen, Terry M.D.; same	9/6/2016 1204	9/6/2016 1218	9/6/2016 1242

Clinical Alerts

Description	Origin	Result	Alert Text	Reason to CoDate	TUser Name
No Entries					

vital signs (MDM)

Sys Dia	PulResp	SAT O2	DelTemp	(Route Pain Scale)	Taken at	User Name
136 82	77 16	97% RA	97.7 F	oral Standard	9/6/2016 1153	Fettner, Karen R.N.

Input Output

Fluid Type	Intake	Output	I/O Time
No Entries			

calls

Name	Requested By	Call 1	Returned
No Entries			

MD ED:Cohen, Terry M.D.
PA:
Triage Full:Fettner, Karen R.N.
RN Eval Full:Fettner, Karen R.N.
RN Dispo:Fettner, Karen R.N.

MD ED ID:316
PA ID:
Triage ID:32560
RN Eval ID32560
RN Dispo I

EMS/PMD

LocalEsener

PMD Ph:

RN Notes

Fettner, Karen R.N. Created: 9/6/2016 1154 Last Entry: 1200

>>>> HPI:
Pain - Onset 16hrs prior to arrival. Occurred in left middle chest.
(?)injury. Associated Symptoms:, pain left chest to touch or breathing.
>>>> PMH List (See PMH Table) PSH List (See PSH Table)
>>>> TRIAGE DATA:
Travel outside US (<= Click to view/enter)
Ebola Exposure (<= Click to view/enter)
Last Tetanus: less than 10yrs.
Pneumonia Vaccine: Potential candidate (> 5 years).
Influenza Vaccine: Potential candidate.
LMP: Not applicable.
Safety of Living Environment: Safe
>>>> SH: (+)smokes, patient advised on smoking cessation, drinks socially,
no drugs
>>>> PREHOSPITAL CARE: Took one of his wife's Vicodin last pm.
>>>> TRIAGE INTERVENTION: ED physician notified.

Fettner, Karen R.N. Created: 9/6/2016 1154 Last Entry: 1205

Nurse Note: 9/6/2016 1137
ASSESSMENT CARE CENTER - Adult
Patient's wife at bedside.
Cohen, Terry M.D. at the bedside 9/6/2016 1201
>>>> PHYSICAL EXAM: Pt reports while taking a drink and coughing about 16
hrs prior to arrival he passed out. Pt reports his 17 yo son was w/ him,
caught him and lowered him to the ground. Pt reports his 17 yo son then
"pounded" on the left side of his chest and he "woke right up."
GENERAL APPEARANCE: alert, cooperative.
PAIN: pain scale: 10/10 Standard.
location: left middle chest
quality: sharp.
aggravating factors: activity.
alleviating factors: rest.
MENTAL STATUS: speech clear, oriented x 3, normal affect, responds
appropriately to questions.
SKIN: warm, dry, good color, (-)cyanosis, no rash, no ulcers.
Nutritional Screening: normal nutrition
>>>> COMMUNICATION DEFICIT: None Identified.
Learning Aids Needed: (+)none, ()Signer, ()Interpreter.
Educational Needs: patient and wife needs information on (+)current
illness, ()medications, ()equipment, ()home care, ()activity, ()diet,
()community resources.
>>>> SH: Support system: lives w family or significant other
Suspected Violence: none
Referrals Reporting: none
patient verbalizes suicidal or homicidal ideations: no suicidal
homicidal ideations
>>>> JHFRAT FALL RISK Assessment
If patient has any of the following KNOWN conditions, select it and
apply Fall Risk interventions as indicated. If any of these KNOWN fall
risks are selected, do NOT continue with the Fall Risk Score Calculation.
If there are NO KNOWN fall risks, choose the option for NO KNOWN fall
risks and proceed with the Fall Risk calculation.
Fall Risk Status NO KNOWN Fall Risk
Age: _____ 0=Less than 60 years
Fall History: _____ 0=No fall 6 months prior to admit
Elimination bowel urine: _____ 0=No incontinence

Medications: _____ 0=No high fall risk drugs
Equipment: _____ 0=None present

Pg 4

Mobility: _____ 0=No mobility issues
Cognition: _____ 4=Lack of understanding of one's physical
and cognitive limitations
JHFRAT Total Score:, Low Risk(less than 6) Green.

>>>> Fall Prevention Interventions:
(+)bed in lowest position (L-M-H), (+)bedside rails up times 2,
(+)educated patient how to use call bell call bell within reach,
(+)educated patient and or family about preventing falls.

Fettner, Karen R.N. Created: 9/6/2016 1221 Last Entry: 1221

Nurse Note:
RADIOLOGY Transport - Patient transported without RN accompanying to XRay
Plain films via walking escorted by radiology technologist.

Fettner, Karen R.N. Created: 9/6/2016 1253 Last Entry: 1254

Nurse Note:
DSP DISCHARGE with Prescription(s) - Plan of care discussed with patient
and wife. Patient discharged with printed instructions. Prescriptions
given to patient. Reviewed prescribed medications with patient;
including potential interactions with other substances. (-)Adverse Drug
Reactions (ADR) during this ED visit: if ADR see details in RN Notes.
Patient encouraged to follow-up with PMD or clinic. Patient verbalized
understanding and ability to comply. Medical Driving Restrictions: none.
Patient is stable and condition is now unchanged. Extended stay less
than 4hours.
Time of Departure - 9/6/2016 1254 to home

=====Other Notes=====

=====MD/PA Notes=====

Sarwary, Sophia (Scribe) Created: 9/6/2016 1158 Last Entry: 1158

MD Note:
ATTENDING NOTE (Scribe) - I, Sarwary, Sophia (Scribe), am scribing for,
and in the presence of, Cohen, Terry M.D..

Sarwary, Sophia (Scribe) Created: 9/6/2016 1158 Last Entry: 1208
Cohen, Terry M.D. First Entry: 9/6/2016 1251 Last Entry: 1253

PHYSICIAN H P (Medical)
(+)Nursing Notes Reviewed Travel outside US (<= click to view/enter) Ebola
Exposure (<= Click to view/enter)
Physician/PA Evaluation Time: 9/6/2016 1141
>>>> HPI:
Patient with h/o vaso vagal syncopal episodes with coughing spells.c/o L
sided rib pain. Last night, patient had a syncopal episode during a
coughing spell and was caught by his son who laid him on the floor. Son
immediately started to perform CPR, heard a loud pop and patient woke up
almost immediately. Patient denies head trauma, dizziness, headache,
visual change, speech change, nausea, vomiting, chest pain, SOB,
diaphoresis, fever or chills. Has been worked up extensively for these
syncopal episodes which are associated with coughing spells and they have

been dx'd as vasovagal. This episode was typical.
Sx began after CPR.

Pg 5

breathing out, breathing in, laying, movement worsens sx.
standing still improves sx.
Previous Episodes: prior hx of similar problem.
Additional HPI Information: none
>>>> ROS: no fever, (-)chills, (-)LOC, (-)headache, (-)visual changes,
(-)sore throat, no cough, (-)SOB, (-)chest wall pain,
(-)chest pain, (-)nausea, (-)vomiting, (-)myalgias, (-)rash, (-)dysuria,
in addition to the systems reviewed, all other systems reviewed are
negative.
PREHOSPITAL CARE:
>>>> PMH List (PMH Table Reviewed) PSH List (PSH Table Reviewed)
(+)Medical Records Reviewed
>>>> FH: (-)DM, (-)HTN, (-)CAD.
>>>> SH: no tobacco, no alcohol, no drugs.
>>>> PHYSICAL EXAM:
VITAL SIGNS: reviewed as documented.
GENERAL APPEARANCE: well nourished, alert, cooperative, no acute distress,
no discomfort.
MENTAL STATUS: speech clear, oriented x 3, normal affect, responds
appropriately to questions.
NEURO: CNS normal as tested, motor intact, sensory intact.
FACE: no tenderness on the face.
EYES: PERRL, EOMI, conjunctiva clear.
NOSE: no nasal discharge.
MOUTH: (-)decreased moisture.
THROAT: no tonsillar inflammation, no airway obstruction.
NECK: supple, no neck tenderness, (-)thyromegaly.
BACK: no CVAT, no back tenderness.
CHEST WALL: exquisite point tenderness L anterolateral lower ribs which
exactly reproduces his pain
HEART: normal rate, normal rhythm, normal S1, normal S2, no murmur, no
rub.
LUNGS: no wheezing, no rales, no rhonchi, (-)accessory muscle use, good
air exchange bilateral.
ABDOMEN: (-)ascites, normal BS, soft, no abd tenderness, (-)guarding,
(-)rebound, no organomegaly, no abd masses.
EXTREMITIES: good pulses in all extremities, no extremity tenderness, no
edema.
SKIN: warm, dry, good color, no rash.
>>>> DIFFERENTIAL Dx: Including but not limited to; chest wall contusion,
rib fracture, intercostal strain

Sarwary, Sophia (Scribe) Created: 9/6/2016 1245 Last Entry: 1246
Cohen, Terry M.D. First Entry: 9/6/2016 1250 Last Entry: 1251

MD Note:

I have counseled the patient regarding their ()labs, (+)radiological
exams, ()EKG, (+)diagnosis. Although no fx seen on x-ray, he clinically
has one. Will treat accordingly.
DISCUSSION - Discussed diagnosis and condition of patient with patient.
DISCHARGE with Prescription(s) - Plan of care discussed and questions
answered. The patient was discharged with verbal and printed
instructions. Prescription(s) were given and prescribed medications were
reviewed, including potential interactions with other substances. The
importance of outpatient follow up was emphasized and should be followed
as noted in the discharge instructions. The understanding of the
instructions and ability to comply was verbalized. The condition at

discharge is stable. Instructions to return to the emergency department for worsening symptoms.

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Sarwary, Sophia (Scribe) Created: 9/6/2016 1246 Last Entry: 1246

MD Note:
//////////////////////////////// Author: wellsoft Interface ////////////////////////////////// 9/6/2016
12:42pm //////////////////////////////////
Patient: BERNSTEIN, ELIOT ; Date/Time: 9/6/2016 1217 ; 1016697767
----- HXR RIBS LT UNILAT -----
EXAM START: 9/6/2016 1216
EXAM STOP: 9/6/2016 1218
Left RIBS, 3 views
Clinical history- Trauma
Findings- Multiple views of the left ribs were obtained. There is no evidence of fracture or bone destruction.
IMPRESSION-
Negative left ribs.
-Authenticated and electronically signed by- Jonathan Shapir, M.D.
Electronically signed- 9/6/2016 12-40 PM

Read By- JONATHAN SHAPIR M.D.
Released Date Time- 09/06/16 1241
READ BY: JONATHAN SHAPIR M.D.
RELEASED BY: JONATHAN SHAPIR M.D.

Cohen, Terry M.D. Created: 9/6/2016 1247 Last Entry: 1247

Results Reviewed by ED Physician:
XR RIBS UNILATERAL LEFT

Cohen, Terry M.D. Created: 9/6/2016 1248 Last Entry: 1248

MD Note:
ATTENDING NOTE (Scribe attestation) - I, Cohen, Terry M.D., personally performed the services described in this documentation, as scribed by Sarwary, Sophia (Scribe) in my presence, and it is both accurate and complete.

Cohen, Terry M.D. Created: 9/11/2016 0920 Last Entry: 0921

MD Note:
Addendum: The ROS should include the following (+): cough, chest wall pain

=====Results=====

===== Dx/Instr =====
Dx 1:Fx L rib, closed
Follow-up 1:Dr. Esener
Follow-up 1 Date:As needed

===== Patient BelongiNone

Belongings locaSent_home

===== Prescription / Rx =====

RX 1:Percocet Tablets 325mg,5mg (acetaminophen,oxycodone)
Dose/Conc:

Freq/Rte:1 tablet by mouth every 6 hrs as needed for pain
Disp:#24 (twenty four) ta Refill:zero

Pg 7

====work/School Excuse====
==== Signatures =====
MD Sgntr:Cohen, Terry M.D. 9/6/2016 1248
RN Sgntr:Fettner, Karen R.N. 9/6/2016 1254
Triage Sgntr:Fettner, Karen R.N. 9/6/2016 1206
=== (C) 2009 wellsoft, Elsevier ===== THIS IS THE LAST PAGE ===

EXHIBIT 3

Inpatient Summary | Delray Medical Center

ELIOT BERNSTEIN

Race: White | Ethnicity: Not Hispanic or Latino | Gender: Male | DOB: September 30, 1963 | Language: eng

Patient IDs: 188764

Encounter**DEL Account Number 16919438 Date(s): 8/9/17 - 8/11/17**

Delray Medical Center 5352 Linton Boulevard Albert Cohen, MD Delray Beach, FL 33484-6514 United States (561) 498-4440

Final: Syncope and collapse

Final: Essential (primary) hypertension

Final: Shortness of breath

Final: Hyperlipidemia, unspecified

Final: Unspecified urinary incontinence

Final: Tobacco use

Final: Personal history of urinary calculi

Final: Personal history of traumatic brain injury

Final: History of falling

Final: Family history of ischemic heart disease and other diseases of the circulatory system

Discharge Diagnosis: Apparent life threatening event

Discharge Diagnosis: Syncope

Discharge Diagnosis: Apnea

Discharge Disposition: Against Med Advice

Attending Physician: ESPINEL MD, MANUEL

Admitting Physician: ESPINEL MD, MANUEL

Referring Physician: ESPINEL MD, MANUEL

Reason for Visit

APNEA.APPARENT LIFE THREATENING EVENT.SYNCOPE

Vital Signs

Most recent to oldest [Reference Range]:	1	2	3	4
Pulse Sitting	89 bpm (8/11/17 8:00 AM)	73 bpm (8/11/17 4:00 AM)	66 bpm (8/10/17 3:20 PM)	
Temperature F [98-100.5 degF]	97.3 degF *LOW* (8/11/17 11:32 AM)	97.9 degF *LOW* (8/11/17 8:00 AM)	98 degF (8/11/17 4:00 AM)	
Heart Rate [60-100 bpm]	66 bpm (8/11/17 11:32 AM)	76 bpm (8/11/17 8:00 AM)	70 bpm (8/11/17 12:33 AM)	
Respiratory Rate [14-20 breaths/min]	18 breaths/min (8/11/17 11:32 AM)	18 breaths/min (8/11/17 8:00 AM)	18 breaths/min (8/11/17 4:00 AM)	

Most recent to oldest [Reference Range]:	1	2	3	4
Blood Pressure [90-140/60-90 mmHg]	157/98 mmHg *HI* (8/11/17 11:32 AM)	127/94 mmHg (8/11/17 8:00 AM)	131/92 mmHg (8/11/17 12:33 AM)	
Mean Arterial Pressure	118 mmHg (8/11/17 11:32 AM)	105 mmHg (8/11/17 8:00 AM)	105 mmHg (8/11/17 12:33 AM)	
SpO2/Pulse Oximetry [85-100 %]	96 % (8/11/17 11:32 AM)	95 % (8/11/17 8:00 AM)	94 % (8/11/17 4:00 AM)	
Height	172 cm (8/10/17 12:28 AM)	172 cm (8/10/17 12:24 AM)	172 cm (8/10/17 12:24 AM)	172 cm (8/10/17 12:24 AM)
Current Weight kg	100 kg (8/10/17 12:24 AM)	100 kg (8/10/17 12:24 AM)		
BSA	2.12 (8/10/17 12:24 AM)	2.12 (8/10/17 12:24 AM)		

Problem List

Condition	Effective Dates	Status	Health Status	Informant
Bronchitis(Confirmed)		Active		patient
Car accident(Confirmed)		Active		patient
Syncope(Confirmed)		Active		patient
Hypertension(Confirmed)		Active		
Kidney stone(Confirmed)		Active		patient
Cough(Confirmed)		Active		patient
Vasovagal syncope(Confirmed)		Active		patient

Allergies, Adverse Reactions, Alerts

Substance	Reaction	Severity	Status
Iodine; iodine Containing			Active

Medications

acetaminophen-HYDROcodone (Vicodin)

Oral, Refills: 0

Results

EXHIBIT 4



Emergency Department
800 Meadows Road
Boca Raton, FL 33486
(561) 955-4425

Patient: Bernstein, Eliot
Pt Acct: 1723601103
Med Rcd: 000446213
DI Printed: 8/24/2017 2017

Patient: Bernstein, Eliot
MD ED: Cohen, Terry M.D.
PA: Bastoky, Jeffrey P.A.

DI Printed: 8/24/2017 2017
RN Eval: Ron R.N.
RN Dispo: _____

AFTERCARE INSTRUCTIONS

We are pleased to have been able to provide you with emergency care. Please review these instructions when you return home in order to better understand your diagnosis and the necessary further treatment and precautions related to your condition. Your diagnoses and prescribed medications today are:

This page is not a prescription.

Dx 1: Fx L ribs, closed
Rx 1: Norco Tablets 325mg,5mg (acetaminophen,hydrocodone)

1 tablet by mouth every 6 hrs as needed for pain (max 4 tablets per day)

Orders performed during ED visit

Order

- *EKG IN ED
- *CBC WITH PLATELET
- *BASIC METABOLIC PANEL
- *MYOCARDIAL INFARCTION PROFILE
- *XR CHEST PORTABLE
- *LIPOPROTEIN PROFILE
- CT CHEST W/ CONTRAST
- CT ANGIO CHEST W/ Contrast
- CT ABD/PELVIS W/ IV Contrast Contrast: IV_Only
- XR RIBS UNILATERAL LEFT
- PT WITH INR
- PTT

Procedures performed during ED visit

Procedure

Follow Up Info

Follow-up 1: Your Electrophysiologist

F/U MD Ph: _____

F/U MD Fax: _____

Specialty: _____

Follow-up 1 Date: as scheduled tomorrow

Msg F/U MD: _____

EKGs and X-Rays: If you had an EKG or X-Ray today, it will be formally reviewed by a specialist tomorrow. If there is any change from today's Emergency Department reading, you will be notified.

IMPORTANT NOTICE TO ALL PATIENTS: The examination and treatment you have received in our Emergency Department have been rendered on an emergency basis only and will not substitute for definitive and ongoing evaluation and medical care. If you have an assigned physician, or physician of record, it is essential that you make arrangements for follow-up care with that physician as instructed. If you do not currently have a physician locally, please contact our Health Navigator at 561-955-4714 and they will assist you with scheduling an appointment. Report any new or remaining problems to your physician at your scheduled appointment, because it is impossible to recognize and treat all elements of injury or disease in a single Emergency Department visit. *Significant changes or worsening in your condition may require more immediate attention.*



Emergency Department
800 Meadows Road
Boca Raton, FL 33486
(561) 955-4425

Patient: Bernstein, Eliot
Pt Acct: 1723601103
Med Rcd: 000446213
DI Printed: 8/24/2017 2017

The Emergency Department is always open and available if this becomes necessary.

General Information on BROKEN RIBS

The ribs are long, thin bones that curve around each side of the chest. There are twelve ribs on each side. Any firm blow to the chest can break a rib(s). Most of the time this results from sports injuries, falls or motor vehicle accidents. Medically speaking, the words "broken", "cracked" and "fractured" all mean the same thing.

What are the symptoms?

Ordinarily there is a sharp pain in the chest, usually in the area of the broken rib(s). The pain is often worse with bending, lifting, deep breathing or any strenuous activity.

What can be done?

Simple rib fractures usually heal on their own within TWO TO SIX WEEKS. Splinting and other therapies used in the past have proven not to be helpful and are generally not recommended.

What are the risks?

Rib fractures usually heal completely and produce no serious medical problems. There are, however, some risks:

1. Because of the pain, many people with broken ribs avoid breathing deeply. Persistent, shallow breathing increases the risk of developing pneumonia.
2. A severe blow to the chest sometimes damages the lungs, heart, liver or spleen. This damage can be serious and is occasionally even life-threatening.

INSTRUCTIONS

- 1) Acetaminophen (Tylenol) or ibuprofen (Advil) will help ease the pain. WARNING: Do not take these drugs if you are allergic to them. Do not take these drugs if you are already taking a prescription pain medication that contains acetaminophen or ibuprofen.
- 2) Every two or three hours, while you are awake, take several deep breaths and cough. This will help keep your lungs well expanded. You can challenge yourself to take deep breaths by trying to blow up a balloon, or blow to knock down an empty paper cup. You should continue this routine until the pain is gone (usually two to six weeks).
- 3) Except for deep breathing, avoid any strenuous activity that makes your pain worse.
- 4) SEEK IMMEDIATE MEDICAL ATTENTION if you develop difficulty breathing, pain in the belly, vomiting, severe chest pain, persistent dizziness, cough up blood, pass out or if your condition worsens in any other way.



Emergency Department
800 Meadows Road
Boca Raton, FL 33486
(561) 955-4425

Meds Review Printed: 8/24/2017 2017
Patient: Bernstein, Eliot
DOB: 9/30/1963
Age: 53yr
Pt Acct: 1723601103
Med Rcrd: 000446213

1723601103

Medication Reconciliation

MEDICATION RECONCILIATION (Discharge)

MD ED: Cohen, Terry M.D.
PA: Bastoky, Jeffrey P.A.

Triage: Caroll, Brandon R.N.
RN Eval: Ron R.N.

Local P No Local Medical Doctor

PMD Ph: _____

Allergies		
Allergic Substance	Reaction	Severity
Iodine		

Home Meds (Discharge Reconciliation)		
Arrival Medication	Instructions	Modified Medication
None	not applicable	

The table above shows the home medication(s) you are currently taking; information which was provided to the Emergency Department.

Read the last column (MD Review) for further medication instructions.

The list below shows any prescription(s) provided to you upon discharge from the Emergency Department.

Prescription / Rx

Rx 1: Norco Tablets 325mg,5mg (acetaminophen,hydrocodone)

1 tablet by mouth every 6 hrs as needed for pain (max 4 tablets per day)

2060149564



Emergency Department
800 Meadows Road
Boca Raton, FL 33486
(561) 955-4425

Patient: Bernstein, Eliot
DOB: 9/30/1963
Age: 53yr
Pt Accnt: 1723601103
Med Rcrd: 000446213
Registration Time: 8/24/2017 1705

LAB/XRAY RESULTS

Patient: Bernstein, Eliot
MD ED: Cohen, Terry M.D.
Local P No Local Medical Doctor
Follow-up 1: Your Electrophysiologist

Lab Results:

Wellsoft Interface Created: 8/24/2017 1834 Last Entry: 1834

Patient: BERNSTEIN, ELIOT ; Date/Time: 8/24/2017 1810 ; 1017118311

--- CBC WITH PLATELET ---

WBC	11.3	High K/UL	4.0-10.0
RBC	4.47	Low M/UL	4.70-6.10
HGB	13.9	GM/DL	12.0-16.0
HCT	41.2	%	37.0-47.0
MCV	92.2	FL	80.0-94.0
MCH	31.1	High PG	27.0-31.0
MCHC	33.7	G/DL	33.0-37.0
RDW	12.8	%	11.5-14.5
PLATELET COUNT	339	K/UL	150-400

Wellsoft Interface Created: 8/24/2017 1853 Last Entry: 1853

Patient: BERNSTEIN, ELIOT ; Date/Time: 8/24/2017 1810 ; 1017118312

--- BASIC METABOLIC PANEL ---

SODIUM	142	MMOL/L	138-148
POTASSIUM	4.0	MMOL/L	3.6-5.2
CHLORIDE	108	MMOL/L	100-108
CO2	26	MMOL/L	21-32
GLUCOSE	99	MG/DL	70-99
BUN	19	High MG/DL	7-18
CREATININE	0.9	MG/DL	0.6-1.3
GFR EST NON AFRICAN AMERICAN	>60	ML/MIN/1.73M2	
GFR EST AFRICAN AMERICAN	>60	ML/MIN/1.73M2	
CALCIUM	8.7	MG/DL	8.5-10.1

Wellsoft Interface Created: 8/24/2017 1853 Last Entry: 1853

Patient: BERNSTEIN, ELIOT ; Date/Time: 8/24/2017 1810 ; 1017118314

--- LIPOPROTEIN PROFILE ---

CHOLESTEROL	179	MG/DL	<200
CHOLESTEROL	PER NCEP/NHBLI/NIH GUIDELINES		
CHOLESTEROL	<200 MG/DL	DESIRABLE	
CHOLESTEROL	200-239 MG/DL	BORDERLINE HIGH	
CHOLESTEROL	>239 MG/DL	HIGH	
HDL	27	Low MG/DL	40-60
HDL	PER NCEP/NHBLI/NIH GUIDELINES		
HDL	<40 MG/DL	LOW	
HDL	>59 MG/DL	HIGH	
LDL (CALCULATED)	85	MG/DL	<129
LDL (CALCULATED)	PER NCEP/NHBLI/NIH GUIDELINES		
LDL (CALCULATED)	<100 MG/DL	OPTIMAL	
LDL (CALCULATED)	100-129 MG/DL	NEAR OPTIMAL	
LDL (CALCULATED)	130-159 MG/DL	BORDERLINE HIGH	
LDL (CALCULATED)	160-189 MG/DL	HIGH	
LDL (CALCULATED)	>189 MG/DL	VERY HIGH	
TRIGLYCERIDE	335	High MG/DL	<150
TRIGLYCERIDE	PER NCEP/NHBLI/NIH GUIDELINES		



Emergency Department
 800 Meadows Road
 Boca Raton, FL 33486
 (561) 955-4425

Patient: Bernstein, EPg 2
 DOB: 9/30/1963
 Age: 53yr
 Pt Acct: 1723601103
 Med Rcrd: 000446213
 Registration Time: 8/24/2017 1705

TRIGLYCERIDE	<150 MG/DL	NORMAL
TRIGLYCERIDE	150-199 MG/DL	BORDERLINE HIGH
TRIGLYCERIDE	200-499 MG/DL	HIGH
TRIGLYCERIDE	>499 MG/DL	VERY HIGH

Wellsoft Interface Created: 8/24/2017 1853 Last Entry: 1853

Patient: BERNSTEIN, ELIOT ; Date/Time: 8/24/2017 1810 ; 1017118313

----- MYOCARDIAL INFARCTION PROFILE -----

CREATINE KINASE	96	IU/L	0-177
CK MB FRACTION	1	NG/ML	0-4
CK MB RELATIVE INDEX	NOT REPORTED	%	0-2
TROPONIN I	<0.015	NG/ML	<0.050
TROPONIN I	REFERENCE:		
TROPONIN I	NEGATIVE		<0.050 NG/ML
TROPONIN I	INDETERMINATE		0.051-0.500 NG/ML
TROPONIN I	SUGGESTIVE OF MYOCARDIAL INJURY		>0.500 NG/ML

Wellsoft Interface Created: 8/24/2017 1918 Last Entry: 1918

Patient: BERNSTEIN, ELIOT ; Date/Time: 8/24/2017 1810 ; A90291022

----- PT WITH INR -----

PROTHROMBIN TIME	PEND	SEC	11.5-14.4	<-- Results Pendin
INR	PEND		0.9-1.2	<-- Results Pendin

NOTE: Additional Information is Available in the Sections Below.

Wellsoft Interface Created: 8/24/2017 1922 Last Entry: 1922

Patient: BERNSTEIN, ELIOT ; Date/Time: 8/24/2017 1810 ; A90291022

----- PT WITH INR -----

PROTHROMBIN TIME	12.5	SEC	11.5-14.4
INR	0.9		0.9-1.2
INR	BASED ON MEDICAL LITERATURE DATA AN INR OF 2.0 - 3.0 MAY BE CONS		
INR	PROPHYLAXIS/TREATMENT OF VENOUS THROMBOSIS AND PULMONARY EMBOLI		
INR	PREVENTION OF SYSTEMIC EMBOLISM. AN INR OF 2.5 - 3.5 MAY BE CO		
INR	MECHANICAL PROSTHETIC VALVES.		

Wellsoft Interface Created: 8/24/2017 1922 Last Entry: 1922

Patient: BERNSTEIN, ELIOT ; Date/Time: 8/24/2017 1810 ; A90291023

----- PTT -----

PTT	37.0	High SEC	22.0-34.8
-----	------	----------	-----------

Rad Results:

Wellsoft Interface Created: 8/24/2017 1901 Last Entry: 1901

Patient: BERNSTEIN, ELIOT ; Date/Time: 8/24/2017 1757 ; 1017118316

----- HXR CHEST PORTABLE 1VIEW -----

EXAM START: 8/24/2017 1835
 EXAM STOP: 8/24/2017 1835
 SINGLE VIEW CHEST
 INDICATION- SYNCOPE
 COMPARISON- Most recent radiograph dated September 6, 2016.
 TECHNIQUE- Single view.
 FINDINGS-
 Lines and tubes- none
 Heart and Mediastinum- The cardiac silhouette is normal in size.
 Lungs and Hila- Linear opacity along the right base that may represent platelike atelectasis. No appreciable pneumothorax. There is no hilar enlargement.
 Bones and Soft tissues-There are no acute osseus findings.
 Other- Not applicable.
 IMPRESSION-
 1. Linear right base with differential including atelectasis.



Emergency Department
 800 Meadows Road
 Boca Raton, FL 33486
 (561) 955-4425

Patient: Bernstein, EPg 3
 DOB: 9/30/1963
 Age: 53yr
 Pt Acct: 1723601103
 Med Rcrd: 000446213
 Registration Time: 8/24/2017 1705

QF1

-Authenticated and electronically signed by- Ricardo A Palmquist PRA,
 MD
 Electronically signed- 8/24/2017 6-59 PM

Read By- RICARDO A PALMQUIST M.D.
 Released Date Time- 08/24/17 1901
 READ BY: RICARDO A PALMQUISTM.D.
 RELEASED BY: RICARDO A PALMQUISTM.D.

Wellsoft Interface Created: 8/24/2017 1925 Last Entry: 1925

Patient: BERNSTEIN, ELIOT ; Date/Time: 8/24/2017 1902 ; 1017118416
 - - - - - HXR RIBS LT UNILAT 2VIEW - - - - -

EXAM START: 8/24/2017 1903
 EXAM STOP: 8/24/2017 1907
 RIBS SERIES

REASON FOR EXAM- PAIN.
 COMPARISON- Radiograph September 6, 2016. .
 FINDINGS- 4 views of the left ribs. There is a nondisplaced fracture of the sixth lateral rib, question of nonspace fracture of the ninth lateral rib. No appreciable pneumothorax. Adjacent soft tissues are unremarkable. The visualized portions of the heart and lungs are normal for the technique.

IMPRESSION-
 1. No displaced fracture of the left sixth lateral rib with question of nondisplaced fracture of the ninth lateral rib for correlation with point tenderness. No appreciable pneumothorax.

-Authenticated and electronically signed by- Ricardo A Palmquist PRA,
 MD
 Electronically signed- 8/24/2017 7-23 PM

Read By- RICARDO A PALMQUIST M.D.
 Released Date Time- 08/24/17 1924
 READ BY: RICARDO A PALMQUISTM.D.
 RELEASED BY: RICARDO A PALMQUISTM.D.

EXHIBIT 5

EXHIBIT 6



Emergency Department
800 Meadows Road
Boca Raton, FL 33486
(561) 955-4425

Patient: Bernstein, Eliot
Pt Acct: 1729001305
Med Rcd: 000446213
DI Printed: 10/17/2017 1934

Patient: Bernstein, Eliot
MD ED: Fontana, Peter M.D.

DI Printed: 10/17/2017 1934
RN Eval: Erica R.N.
RN Dispo: _____

AFTERCARE INSTRUCTIONS

We are pleased to have been able to provide you with emergency care. Please review these instructions when you return home in order to better understand your diagnosis and the necessary further treatment and precautions related to your condition. Your diagnoses and prescribed medications today are:

_____ This page is not a prescription. _____

- Dx 1: Cellulitis L lower limb
- Dx 2: Sprain L ankle, unspecified ligament
- Dx 3: Fx L foot 5th metatarsal nondisplaced, closed
- Rx 1: Norco Tablets 325mg,5mg (acetaminophen,hydrocodone)
_____ 1 tablet by mouth every 6 hrs as needed for pain (max 4 tablets per day)
- Rx 2: Bactrim DS Tablets (sulfamethoxazole,trimethoprim) 800mg,160mg
160mg/tablet
1 tablet by mouth every 12 hrs for 10 days
- Rx 3: Keflex Capsules (cephalexin)
500mg/capsule
1 capsule by mouth every 8 hrs for 10 days

Orders performed during ED visit

Order
XR ANKLE LEFT
XR FOOT LEFT
XR FOOT RIGHT
US LE VEN DUPLEX DVT LEFT

Procedures performed during ED visit

Procedure

Follow Up Info

Follow-up 1: Alvarez, Luis A M.D.
19801 Hampton Dr #C1-2
Boca Raton Fl 33434

F/U MD Ph: (561)477-2862
F/U MD Fax: 561-477-2864

Specialty: _____

Follow-up 1 Date: 2-3 Days

Msg F/U MD: _____

Follow-up 2: Saperstein, Alan L M.D.
1905 Clint Moore Rd #214
Boca Raton Fl 33496

F/U 2 MD Ph: (561)241-8668
F/U 2 MD Fax: 561-912-9556

Specialty: _____

Follow-up 2 Date: 5 Days

EKGs and X-Rays: If you had an EKG or X-Ray today, it will be formally reviewed by a specialist tomorrow. If there is any change from today's Emergency Department reading, you will be notified.

IMPORTANT NOTICE TO ALL PATIENTS: The examination and treatment you have received in our Emergency Department

Patient: Bernstein, Eliot
Pt Acct: 1729001305
Med Rcd: 000446213
DI Printed: 10/17/2017 1934

have been rendered on an emergency basis only and will not substitute for definitive and ongoing evaluation and medical care. If you have an assigned physician, or physician of record, it is essential that you make arrangements for follow-up care with that physician as instructed. If you do not currently have a physician locally, please contact our Health Navigator at 561-955-4714 and they will assist you with scheduling an appointment. Report any new or remaining problems to your physician at your scheduled appointment, because it is impossible to recognize and treat all elements of injury or disease in a single Emergency Department visit. *Significant changes or worsening in your condition may require more immediate attention. The Emergency Department is always open and available if this becomes necessary.*

General Information on CELLULITIS (skin infection)

Cellulitis is a particular type of skin infection. It results from the growth of small germs underneath the skin. Cellulitis sometimes develops around cuts, burns or scrapes, but often it develops for no apparent reason in normal, uninjured skin.

What are the symptoms?

Any area of skin can develop cellulitis. The infected area is usually red, warm, swollen and tender. It usually measures less than five inches across, but it can grow to be quite large. In addition, cellulitis sometimes produces a fever.

What can be done?

The bacteria that cause cellulitis can usually be destroyed with antibiotic medication. When treated with antibiotics, most cases of cellulitis get better over two to three days.

What are the risks?

Cellulitis does not ordinarily produce any serious medical problems. There are, however, some risks:

1. Occasionally, cellulitis produces a small pocket of pus under the skin. This problem usually requires minor surgery.
2. Sometimes cellulitis continues to get worse in spite of the antibiotics. This can be serious.
3. Occasionally, cellulitis germs spread through the blood and produce infections in other parts of the body (brain, bones or heart). This is of particular concern when dealing with cellulitis of the face.
4. Patients with other illnesses such as diabetes, poor circulation, or weak immune systems will have an increased risk of developing a serious infection.

INSTRUCTIONS

- 1) Rest.
- 2) Apply warm packs to the infected area, for 15 to 20 minutes, three to four times a day. This may help speed the healing process.
- 3) Keep the infected area elevated to help reduce swelling.
- 4) Make sure to take all medication as prescribed, even if you are feeling better. If you stop taking the antibiotic medication early, you will be more likely to get the infection back again.
- 5) If you are not allergic to them, you may take aspirin, acetaminophen (Tylenol) or ibuprofen (Advil) to help ease the pain.

WARNING: DO NOT GIVE ASPIRIN TO ANYONE LESS THAN 18 YEARS OLD.

- 6) **SEEK IMMEDIATE MEDICAL ATTENTION** if you develop any signs of worsening infection, such as:
 - A) increased pain, redness or swelling,
 - B) a high fever or
 - C) red streaks on the skin near the area of cellulitis.
 - D) Condition worsens in any other way.

Be extra careful with small children; also look for poor feeding, vomiting, increased fussiness, unusual sleepiness, difficulty breathing, a stiff neck, or decreased urination.

General Information on a SPRAINED ANKLE

The ankle joint is made up of three bones held together by several strong bands, called ligaments. If the ankle is forcefully bent, hit or twisted, one or more of these ligaments may be damaged, resulting in a "sprained ankle". Most of the time this results from sports injuries, falls or motor vehicle accidents. Depending on the circumstances, the ligaments may be only slightly damaged, or they may be completely torn in half.

What are the symptoms?

A sprained ankle produces pain that gets worse with any movement of the foot. In addition, there may be some swelling or

discoloration of the skin around the ankle.

What are the risks?

Ordinarily a sprained ankle heals within 2 to 3 weeks and does not produce any serious medical problems. There are, however, some risks:

1. Severe sprains can take months to heal.
2. If the skin has been cut or scraped, it may get infected.
3. A badly sprained ankle sometimes develops severe swelling that can cut off the circulation to the foot.
4. A badly sprained ankle can lead to persistent ankle pain that lasts for months or even years.

INSTRUCTIONS

- 1) REST the ankle and give it time to heal. If necessary, use an Ace wrap and/or crutches. When the ankle is no longer painful, gradually start using the leg again, but be careful. If you put too much stress on the ankle too quickly, you could re-injure it. Remember: If it hurts to move the ankle, then you should not be moving it. If a soft cast (Jones Dressing) has been applied, it should be removed after 3 days.
- 2) If you have an Ace wrap that feels too tight, loosen it.
- 3) Keep the ankle elevated as much as possible for the first two to three days. This will help keep the swelling down.
- 4) Ice packs are helpful during the first two days. Put the ice in a plastic bag. Roll up the bag in a towel and put it on the ankle for 5 to 15 minutes at a time.
- 5) After the first two days, warm packs may help ease the pain and speed healing. Roll up a small towel. Soak it in warm water and put it on the ankle for 5 to 15 minutes at a time.
- 6) No medicine will relieve the pain completely, but ibuprofen (Advil), acetaminophen (Tylenol) or aspirin may help.
WARNING: Do not take these drugs if you are allergic to them or have any contraindications to them. Do not take these drugs if you are already taking a prescription pain medication. DON'T GIVE ASPIRIN TO ANYONE LESS THAN 18 YEARS OLD.
- 7) SEEK IMMEDIATE MEDICAL ATTENTION if:
 - A) you develop severe pain, severe swelling, numbness, tingling, weakness or discoloration in the leg, ankle or foot OR
 - B) you develop chest pain, difficulty breathing or pass out.

General Information on a BROKEN FOOT

There are 26 bones in each foot (including the toes). If the foot is forcefully bent, hit or twisted, one or more of these bones may crack, resulting in a "broken foot". Most of the time this results from sports injuries, falls or motor vehicle accidents. Medically speaking, the words "broken", "cracked" and "fractured" all mean the same thing.

What are the symptoms?

A broken foot is usually painful and swollen in the area of the cracked bone. Any movement of the foot usually makes the pain worse.

What can be done?

The best form of treatment depends on how serious the injury is. Relatively mild breaks are usually splinted for one to three days and then put in a cast for three to six weeks. If the broken bone is bent out of shape, it may need to be put back into position before it is splinted. If the bone is badly broken, it may need special treatment, or even surgery.

What are the risks?

Ordinarily, a broken foot heals in 4 to 8 weeks and does not produce any serious medical problems. There are, however, some risks:

1. If the skin has been cut or scraped, it may get infected.
2. A BADLY broken foot may also have injured nerves, tendons or blood vessels.
3. On rare occasions, severe swelling can cut off the circulation to the foot or toes.
4. Occasionally a broken foot does not heal properly, resulting in persistent pain or weakness.

INSTRUCTIONS

- 1) Take proper care of your splint (or cast).
 - A) Keep it dry. Don't take a shower until the splint (or cast) has been removed. Take a sponge bath instead.
 - B) Be careful not to break the splint (or cast).

EXHIBIT 7

Confidential Patient Information
Prescription Profile
11/25/2016 through 11/06/2017

Report date/time: 11/06/2017 12:39 PM

Patient Info: ELIOT BERNSTEIN
2753 NW 34TH ST
BOCA RATON, FL 33434-3459

Store Info: 3003 YAMATO ROAD
BOCA RATON, FL 33496
(561)241-1105

Patient Phone: (561)245-8588
Date of Birth: 09/30/1963
Gender: M

Prescription Number	Medication	NDC	RPh	Prescriber	Ins. Plan(s) Claim Ref#(s)	Date of Service	Quantity	Price
2493784-02664	VICODIN ES 7.5-300MG TABLETS (NEW) Your insurance saved you \$30.29	00074-3043-53	MJF	SEECHARAN, R.	PCHEALTH/ A8175465291271	05/26/17	10.000	0.00

Total Fillings: 1 Subtotal: 10.000 0.00

2493785-02664	CYCLOBENZAPRINE 10MG TABLETS Your insurance saved you \$11.99	69097-0846-15	MJF	SEECHARAN, R.	PCHEALTH/ A4175461991241	05/26/17	10.000	0.00
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Total Fillings: 1 Subtotal: 10.000 0.00

2500489-02664	LISINAPRIL-HCTZ 10/12.5MG TABLETS Your insurance saved you \$23.99	68180-0518-02	DSH	LAINA, L.	PCHEALTH/ A7175837038661	07/02/17	30.000	0.00
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2500489-02664	LISINAPRIL-HCTZ 10/12.5MG TABLETS Your insurance saved you \$5.21	68180-0518-02	MJF	LAINA, L.	HTHR / 172206754523029999	08/08/17	30.000	18.78
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Total Fillings: 2 Subtotal: 60.000 18.78

Confidential Patient Information
Prescription Profile
11/25/2016 through 11/06/2017

Patient Info: ELIOT BERNSTEIN
2753 NW 34TH ST
BOCA RATON, FL 33434-3459

Store Info: 3003 YAMATO ROAD
BOCA RATON, FL 33496
(561)241-1105

Patient Phone: (561)245-8588
Date of Birth: 09/30/1963
Gender: M

Prescription Number	Medication	NDC	Rph	Prescriber	Ins. Plan(s) Claim Ref#(s)	Date of Service	Quantity	Price
2501000-02664	VICODIN ES 7.5-300MG TABLETS (NEW) Your insurance saved you \$30.29	00074-3043-53	DSH	SREECHARAN, R.	PCHEALTH/ A0175873898471	07/06/17	10.000	0.00

Total Fillings: 1 Subtotal: 10.000 0.00

2506144-02664 AMOX-CLAV 875MG TABLETS
Your insurance saved you \$18.88

RXCUT / 08/04/17 14.000 32.61
A5176165810461

Total Fillings: 1 Subtotal: 14.000 32.61

2506145-02664 BENZONATATE 100MG CAPSULES
Your insurance saved you \$0.0099

RXCUT / 08/04/17 15.000 11.98
A2176164161831

Total Fillings: 1 Subtotal: 15.000 11.98

2506146-02664 PROAIR HFA ORAL INH (200 PFS) 8.5G
Your insurance saved you \$17.96

CTRX / 08/08/17 8.500 64.03
172207512290028999

Total Fillings: 1 Subtotal: 8.500 64.03

Confidential Patient Information
Prescription Profile
11/25/2016 through 11/06/2017

Report date/time: 11/06/2017 12:39 PM

Patient Info: ELIOT BERNSTEIN
2753 NW 34TH ST
BOCA RATON, FL 33434-3459

Store Info: 3003 YAMATO ROAD
BOCA RATON, FL 33496
(561)241-1105

Patient Phone: (561)245-8588
Date of Birth: 09/30/1963
Gender: M

Prescription Number	Medication	NDC	RPh	Prescriber	Ins. Plan(s) Claim Ref#(s)	Date of Service	Quantity	Price
2506851-02664	PROMETHAZINE VC W/CODEINE SYRUP Your insurance saved you \$22.98	50383-0805-16	DSH	SHINTRE, L.	CTRX / 172224418314001999	08/10/17	100.000	23.61

Total Fillings: 1 Subtotal: 100.000 23.61

2507477-02664	ACETAMINOPHEN/COD #3 (300/30MG) TAB Your insurance saved you \$2.85	65162-0033-11	MJF	BLACK, C.	RHTR / 172236910974001999	08/11/17	10.000	9.14
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Total Fillings: 1 Subtotal: 10.000 9.14

2508307-02664	TRAMADOL 50MG TABLETS Your insurance saved you \$5.87	68362-0319-10	MJF	SHINTRE, L.	CTRX / 172286604954005999	08/16/17	15.000	8.12
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Total Fillings: 1 Subtotal: 15.000 8.12

2508342-02664	MELOXICAM 15MG TABLETS Your insurance saved you \$0.0500	69097-0159-15	MJF	FREITAG, J.	CTRX / 172287053669028999	08/16/17	14.000	19.54
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Total Fillings: 1 Subtotal: 14.000 19.54

Confidential Patient Information
Prescription Profile
11/25/2016 through 11/06/2017

Report date/time: 11/06/2017 12:39 PM

Patient Info: ELIOT BERNSTEIN
2753 NW 34TH ST
BOCA RATON, FL 33434-3459

Store Info: 3003 YAMATO ROAD
BOCA RATON, FL 33496
(561)241-1105

Patient Phone: (561)245-8588
Date of Birth: 09/30/1963
Gender: M

Prescription

Number	Medication	NDC	Rph	Prescriber	Ins. Plan(s) Claim Ref#(s)	Date of Service	Quantity	Price
2508518-02664	PROMETHAZINE VC W/CODEINE SYRUP Your insurance saved you \$24.97	50383-0805-16	SFA	SHINTRE, L.	CTRX / 172295590113026997	08/17/17	110.000	25.62

Total Fillings: 1 Subtotal: 110.000 25.62

2510009-02664 AMLODIFINE BESYLATE 2.5MG TABLETS
Your insurance saved you \$18.41

HTHTR / 172375499114022999	MJF	VAFAL, J.	08/25/17	30.000	22.58
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Total Fillings: 1 Subtotal: 30.000 22.58

2511613-02664 PROMETHAZINE VC W/CODEINE SYRUP
Your insurance saved you \$22.98

CTRX / 172466141992006999	SFA	SHINTRE, L.	09/03/17	100.000	23.61
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Total Fillings: 1 Subtotal: 100.000 23.61

2518676-02664 HYDROCODONE/ACETAMINOPHEN 7.5-300 T
Your insurance saved you \$16.5

RXCUT / A5176841509061	JDS	SEECHARAN, R.	10/11/17	20.000	38.09
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Total Fillings: 1 Subtotal: 20.000 38.09

EnterpriseRx Pharmacy System
 Customer Statement
 version 1.0

Patient Name: ELIOT BERNSTEIN
 Street Address: 2753 NW 34TH ST
 City: BOCA RATON
 Birthday: 09/30/1963
 Phone No: (561) 245-8588
 Pharmacy Name: 0428
 Street Address: 3003 YAMATO RD
 City: BOCA RATON
 Phone No: (561) 998-1652
 Federal Tax ID: 590324412
 State: FL Zip: 33434
 Fax No: (561) 998-1655

Adj Date Sold	Store #	Rx #	Fill #	Product	NDC #	Qty	Unit	Days	Prescriber Name	PT Pay Amt Total	Primary TP Name	Prior Auth	LOF
09/12/2016	0428	6194857	00	IBUPROFEN 800 MG TAB	50746-0466-05	60.000	EA	30	MARCIA GILKES	0.00	FED_MEDICAID RX WEST		N
09/13/2016	0428	6194858	00	LISINAPRIL-HCTZ 10-12.5 MG TB	68180-0518-02	30.000	EA	30	MARCIA GILKES	0.00	FED_MEDICAID RX WEST		N
10/21/2016	0428	6134858	01	LISINAPRIL-HCTZ 10-12.5 MG TB	68180-0518-02	30.000	EA	30	MARCIA GILKES	0.00	FED_MEDICAID RX WEST		N
08/14/2017	0428	2018678	00	HYDROCODON-ACETAMINOPHEN 7.5-300	00406-0377-01	5.000	EA	1	RONIK SEECHARAN	18.95	PUBLIX DIRECT CARE RX		N
08/29/2017	0428	6228424	00	AMLODIPINE 10 MG TAB[*]	67877-0199-10	30.000	EA	30	LUIS ALVAREZ	0.01	Cash		N
08/29/2017	0428	2019033	00	HYDROCODON-ACETAMINOPHEN 5-325	27808-0035-03	30.000	EA	7	LUIS ALVAREZ	13.95	RX PLAN		N
09/01/2017	0428	6228824	00	SERTRALINE 25 MG TAB[*]	69097-0833-12	30.000	EA	30	LUIS MORA	8.79	RX PLAN		N
09/13/2017	0428	2019162	00	HYDROCODON-ACETAMINOPHEN 7.5-300	00406-0377-01	5.000	EA	1	RONIK SEECHARAN	18.95	Cash		N
09/13/2017	0428	6229566	00	CYCLOREZAPRINE 10 MG TAB[*]	69097-0846-16	30.000	EA	15	RONIK SEECHARAN	5.49	RX PLAN		N
09/18/2017	0428	6229729	00	HYDROCHLOROTHIA 25 MG TAB[*]	16729-0183-17	30.000	EA	30	JONATHAN VAFAI	4.41	RX PLAN		N
09/27/2017	0428	6228424	01	AMLODIPINE 10 MG TAB[*]	67877-0199-10	30.000	EA	30	LUIS ALVAREZ	0.01	Cash		N
09/27/2017	0428	6228824	01	SERTRALINE 25 MG TAB[*]	69097-0833-12	30.000	EA	30	LUIS MORA	8.79	RX PLAN		N
10/18/2017	0428	2019530	00	HYDROCODON-ACETAMINOPHEN 5-325	27808-0035-03	15.000	EA	3	PETER FONTANA	15.95	PUBLIX DIRECT CARE RX		N

Date Range: From: 01/01/2015 To: 11/06/2017

EXHIBIT 2

60(a) and (b) Case # 13-cv-03643 - US District Court of Eastern Illinois

FILED SEPARATELY ECF

UNITED STATES DISTRICT COURT FOR THE NORTHERN
DISTRICT OF ILLINOIS EASTERN DIVISION

SIMON BERNSTEIN IRREVOCABLE
INSURANCE TRUST DTD 6/21/95, et al.,

Plaintiffs,

v.

HERITAGE UNION LIFE INSURANCE
CO.,

Defendant.

Case No. 1:13-cv-3643
Judge John Robert Blakey
Magistrate Mary M. Rowlan

HERITAGE UNION LIFE INSURANCE
COMPANY,

Counter-Plaintiff,

v.

CROSS PLAINTIFF ELIOT IVAN
BERNSTEIN MOTION FOR
RELIEF FROM SUMMARY
JUDGMENT ORDER PURSUANT
TO FED. R. CIV. P. 60(b)(3)

SIMON BERNSTEIN IRREVOCABLE
INSURANCE TRUST DTD 6/21/95,

Counter-Defendant,

and

FIRST ARLINGTON NATIONAL BANK,
et al.,

Third-Party Defendants.

ELIOT IVAN BERNSTEIN,

Cross-Plaintiff,

v.

TED BERNSTEIN, et al.,

Cross-Defendants,

and

PAMELA B. SIMON, et al.,

Third-Party Defendants,

BRIAN M. O'CONNELL, as Personal
Representative of the Estate of
Simon L. Bernstein,

Intervenor.

**MOTION FOR RELIEF FROM SUMMARY JUDGMENT ORDER PURSUANT TO
FED. R. CIV. P. 60(b), 60(b)(3) and FED R. CIV. P. 60(a)**

Cross Plaintiff Eliot Ivan Bernstein (“ELIOT”), Pro Se, respectfully moves, pursuant to Fed. R. Civ. P. 60(b) and Fed. R. Civ. P. 60(a) for relief from this Court’s Order of January 30, 2017, in SIMON BERNSTEIN IRREVOCABLE INSURANCE TRUST DTD 6/21/95, et al., v. HERITAGE UNION LIFE INSURANCE CO., Civ No. 1:13-cv-3643, (Dkt. #273), “MEMORANDUM OPINION AND ORDER” issued by the most Honorable Judge John Robert Blakey. There was a prior Round 1 Summary Judgment Order issued in this case by Judge Blakey for the Court’s reference, (Dkt. #220).

Cases

Barlow v. Colgate Palmolive Co. 772 F.3d 1001, 1010 (4th Cir. 2014).

Statutes

18 U.S.C. §1341

18 U.S.C. §1983

18 U.S.C. §1951(b)

18 U.S.C. §2

18 U.S.C. §2511

28 U.S.C. §1447(d)

Rules

Federal Rule of Civil Procedure Rule 60(b)

Federal Rule of Civil Procedure 52(a)

STANDARD OF REVIEW

1. Federal Rule of Civil Procedure 60(b) allows a party to seek relief from a final judgment for (2) newly discovered evidence that, with reasonable diligence, could not have been discovered in time to move for a new trial under Rule 59(b); (3) fraud (whether previously called intrinsic or extrinsic), misrepresentation, or misconduct by an opposing party; (4) the judgment is void;..or..(6) any other reason that justifies relief. F.R.C.P. 60(b). Rule 60 motions should be granted where there is a showing that justice demands it, as in this case. F.R.C.P. 60(b).
2. Eliot Bernstein is entitled to relief from the Court's Order issued against him on January 30, 2017 ("ORDER"), (Dkt #273), denying him standing and removing him from the proceedings based upon Intervenor Brian M. O'Connell and his counsel and Ted Bernstein and his counsel, Adam Simon and Co-Counsel Alan B. Rose, knowingly making fraudulent representations to this Court and the Florida probate court--that Eliot was not a beneficiary of the estate of Simon Bernstein and as such did not have standing to participate in proceedings.
3. O'Connell and Ted alleged to have secured a knowingly inaccurate order in the Florida probate court and misrepresented such order to this Court stating to this Court that it was ruled that Eliot Bernstein was not a beneficiary of his father's estate and an alleged "testamentary" trust in order to then use such claims to deceive this Honorable Judge into granting their Motions for Summary Judgment using Collateral Estoppel against Eliot Bernstein on the same basis, knowing this Honorable Judge would defer to claims made by counsel about the Florida probate judge's wholly erroneous and misrepresented findings on the issue.

4. The ORDER made several notable claims relying on the false and misleading “Statement of Undisputed Facts” put forth by Plaintiffs in their Motion for Summary Judgment, including but not limited to the following statements,

“Judge John L. Phillips presided over a joint trial of the Probate Actions in December of 2015. A full recitation of Judge Phillips’ findings is unnecessary here, but relevant portions of his final orders include:...

- The beneficiaries of the testamentary trust identified in the Will of Simon Bernstein are “Simon Bernstein’s then living grandchildren,” while “Simon’s children – including Eliot Bernstein – are not beneficiaries.”

(ORDER Page 5 of 21 PageID #:13274)

and,

“First, Eliot cannot sustain cognizable damages related to the disposition of the Estate or the testamentary trust in light of the Probate Court’s rulings. The Probate Court found, *inter alia*, that Simon Bernstein’s “children – including Eliot – are not beneficiaries” of the Will of Simon Bernstein or the related testamentary trust. [240] at 11. Instead, Simon Bernstein’s grandchildren (including Eliot’s children) are the testamentary trust’s beneficiaries.”

and,

“These findings [of the FL probate court] have preclusive effect in this case,⁴ such that Eliot cannot demonstrate cognizable damages relative to the disposition of the Estate or the testamentary trust.”

FACTS AND PROCEDURAL BACKGROUND

5. O’Connell and Ted’s Motions for Summary Judgment were filed May 25, 2016 (Dkt. #'s 245-249) and May 21, 2016 respectively (Dkt. #'s 239-243). Plaintiffs Memorandum of Law submitted with their Summary Judgment Motion falsely stated (Dkt. #241 Page 3 of 17 PageID #:4255):

“To the contrary, **Eliot has lost standing to participate in the Probate Actions on his own behalf after it was determined that the testamentary documents at issue in the Probate Actions are in fact valid, genuine and enforceable.** Judge John L. Philips also determined that Simon Bernstein’s grandchildren are the beneficiaries of his Estate, and none of his children are beneficiaries, including Eliot.” [emphasis added]¹

6. Based upon Plaintiffs’ misconduct and fraud, this court issued its Memorandum Opinion and Order (“ORDER”) on January 30, 2017 (Dkt #273), granting summary judgment against Eliot on the basis primarily that he was not a beneficiary of his father’s estate and an alleged “testamentary” trust in the Estate of Simon and therefore did not have standing to participate. At no time have Plaintiffs legitimately believed this knowingly false statement of fact, but instead propagated fraud in at least two courts of law in order to tortiously interfere with Eliot’s inheritance and the rights of Eliot’s three children, as well by removing his due process rights by removing his standing.

7. Page 10 of 17 of the same document (Dkt. #241, PageID #:4262) falsely states the following:

“Eliot’s Claims make reference to the fact that the Estate of Simon Bernstein may be entitled to the Policy Proceeds. But as determined by the Probate Court, Eliot is not a beneficiary and has no standing to act on behalf of the Estate or participate at all in the Probate litigation in Florida. (SoF, ¶33-¶34). The Estate is already adequately represented in the instant litigation by its personal representative and local counsel. (SoF, ¶25). Also, the interests of

¹ This Court should note that the Simon Trust at Issue in the Florida Courts exhibited further herein is not a “testamentary trust” as the Court states in its ORDER as illustrated above but in fact it is an “Inter-vivos” living trust funded prior to death. This Court’s ORDER reflects this wrong language and this is factually incorrect as it relied upon statements made by opposing counsel in their Summary Judgment pleading. The Court should note that the Florida Probate Court also wrongly claims this Simon Trust as “testamentary” as it has no subject matter jurisdiction over inter-vivos trusts, which are civil court cases and thus the Probate Court in FL acted outside its jurisdiction in hearing this Simon Trust case in the Probate court.

Eliot's children in the Estate are now being represented solely by the guardian ad litem. (SoF, ¶33-¶34).”

8. Page 11 of 17 of the same document (Dkt. #241, PageID #:4263) restates the same fraudulent facts to ensure that Eliot's claims were dismissed and he was denied standing in the Florida probate court and this Court.

“Despite Eliot's pending appeals, the doctrine of collateral estoppel applies, and acts to settle material issues in the instant litigation. The Probate Orders entered after trial include findings that (i) Eliot is not beneficiary of the Estate of Simon Bernstein; (ii) appoint a guardian ad litem for Eliot's children; and (iii) Eliot has no standing in the Probate Actions on behalf of himself, the Estate or his children.”

9. In Movant's Statement of Undisputed Material Facts In Support of their Motion for Summary Judgment, Plaintiffs state that Judge Phillips in the Florida Probate Court, ruled that Eliot was not an heir after a December 15, 2015 validity hearing, but failed to attach a copy of an Order stating such and instead attached an Order issued December 16, 2015 determining only that the documents were valid and enforceable by their terms, (Dkt. #240-11, Exhibit #10, PageID #:4191-PageID #:4196.)

10. Plaintiffs knew that the Order they attached from the validity hearing did not address any beneficiary or standing related issues in the construction of the Wills or Trusts of Simon and Shirley Bernstein, nor could it have done so as the hearing was limited to “validity” only and no “construction” was done of any of the documents to determine the terms of the dispositive documents being validated.

11. Further, it was alleged to this Court that Eliot was determined after the “validity” hearing to not be a beneficiary with standing of his parents Trusts as well as their Wills and where the trusts were misrepresented to this Court and the Florida probate court further misrepresented

them to be “testamentary” trusts, however given that they were executed and funded prior to death as illustrated further herein they are factually Inter-vivos trusts and are not within the Probate court’s jurisdiction under Florida law, as only testamentary trusts are. Section 736.0203 of the Florida Trust Code defines subject matter jurisdiction as follows: “[t]he circuit court has original jurisdiction in this state of all proceedings arising under this code.” Section 736.0201 defines more specifically the role of the courts in trust proceedings. It provides that judicial proceedings concerning trusts be governed by the Florida Rules of Civil Procedure, clarifying that “[a] proceeding for the construction of a testamentary trust may be filed in the probate proceeding for the testator’s estate” [emphasis added] subjecting it to the Florida Probate Rules should the case be filed there. Fla. Stat. 736.0201 (1)(5).

12. Ted Bernstein and his counsel Adam Simon and co-counsel Alan Rose’s misconduct is outrageous and merits severe sanctions given the two years of chaotic court proceedings and hundreds of thousands in attorneys’ fees spent to deny Eliot the right to participate in hearings in the Florida courts through abuse of process with the goal of violating 42 U.S.C. 1983 through the deprivation of the right to due process and equal protection guaranteed by the 14th Amendment as they illegally and tortiously interfered with Eliot and his children’s inheritance rights through this scheme and artifice to defraud.

13. This intentional deception upon the Florida Probate court was not rectified until Judge Phillips retired and Judge Rosemarie Scher took the bench, leading to Judge Scher’s finding that Eliot was in fact a named beneficiary of the estate of Simon Bernstein and had standing to participate, after evidentiary hearings which occurred February 16, 2017, March 02, 2017 and March 16, 2017, in 15th Judicial Circuit Probate Court Case #502012CP004391XXXXNB and subsequent Orders issued confirming such.

14. Intervenor Brian O’Connell inexplicably stated on the record under oath, as personal representative of the estate, that Eliot was, in fact, a beneficiary with standing in the estate of Simon Bernstein and Alan Rose similarly recanted his prior claims to the Probate court that were then mimicked in this Court by Ted and Adam Simon. See, (Exhibit 1 - Transcript of Feb 16, 2017 Hearing), (Exhibit 2 – Transcript of March 02 2017 Hearing) and [Exhibit 3 - Transcript of March 16, 2017 Hearing.)

15. Four documents were consistently relied upon in Alan Rose, Adam Simon, Ted and O’Connell’s efforts to defraud Eliot Bernstein and the courts, including: The four documents² that were part of the Final Order of Count II (Dkt. #240-11, Exhibit #10, PageID #:4191-PageID #:4196) issued by Judge Phillips on December 16, 2015 after the sham “validity” hearing on December 15, 2105 that Plaintiffs and their counsel relied on in their Summary Judgment to make claims that Eliot was not a beneficiary with standing of his father’s estate and are as follows:

- a. The Will of Shirley Bernstein dated May 20, 2008. See (Exhibit 4 – “Will of Shirley Bernstein” dated May 20, 2008) that expressly states that ELIOT and his siblings are beneficiaries,
- b. The Inter-Vivos Trust of Shirley Bernstein funded prior to her death, See, (Exhibit 5 - “Shirley Bernstein Trust Agreement dated May 20, 2008) that has ELIOT as one of three of five children as a beneficiary. When Shirley passed away on December 08, 2010 this Inter-vivos trust became IRREVOCABLE with Eliot and two of his three sisters, Plaintiffs Lisa Friedstein and Jill Iantoni, as the ONLY PERMISSIBLE CLASS OF BENEFICIARIES FOREVER SET IN STONE. Ted and Plaintiff Pamela Simon and

² That it was determined at the hearing that none of the parties, fiduciaries or their counsel knew where the Original Simon and Shirley Trust and Will documents are and they were not present for examination at the hearing, only alleged copies, see Exhibit 24 - December 15, 2015 Hearing.

their lineal descendants were considered predeceased for all purposes of disposition of Shirley's trust at the time it became IRREVOCABLE.

Each beneficiary of Shirley's trust had a separate trust created and funded on May 20, 2008, namely the "Eliot Bernstein Family Trust," the "Jill Iantoni Family Trust" and the "Lisa Friedstein Family Trust" all of which were suppressed at the "validity hearing" despite being a part of the Simon and Shirley Inter-vivos trusts being validated and in violation of Fl. trust code. The Eliot Bernstein Family Trust is exhibited herein as (Exhibit 6 – "Eliot Bernstein Family Trust" dated May 20, 2008).

- c. The 2012 Will of Simon Bernstein (Exhibit 7 – "Will of Simon L. Bernstein" dated July 25, 2012), which allegedly replaced the 2008 Will of Simon Bernstein done with Shirley Bernstein that was not part of the "validity" hearing. The 2012 Will allegedly was signed weeks before Simon's passing on September 13, 2012. Both Wills have the five children of Simon as Beneficiaries despite Ted and his counsels claims to this Court in their Summary Judgment papers, already exhibited herein, that the 10 grandchildren of Simon are the beneficiaries of Simon and Shirley's Estates, which this Court then relied upon in making its ORDER and dismissing Eliot from this lawsuit on claims he was not a beneficiary and did not have standing in his father's estate.
- d. The Inter-vivos trust of Simon Bernstein funded prior to his death, see (Exhibit 8 - "Simon L. Bernstein Trust Agreement" dated May 20, 2008) that has Eliot as one of three of five children listed as a beneficiary. This Inter-vivos trust was not made part of the "validity hearing" and instead only the below alleged Amendment and Restatement was submitted, again in violation of statutes to have all parts of the trusts present at any validity hearing.

Similar to Shirley's trust, each beneficiary of this Simon Inter-vivos trust had a separate trust created held thereunder and funded on May 20, 2008, again the "Eliot Bernstein Family Trust," the "Jill Iantoni Family Trust" and the "Lisa Friedstein Family Trust" all of which were suppressed at the sham "validity hearing" despite being a part of the 2008 Simon Bernstein Trust Agreement and in violation of Fl. trust code. The Eliot Bernstein Family Trust is already exhibited herein as (Exhibit 6), and,

- i. The 2012 Amendment and Restatement of the "Simon L. Bernstein Trust Agreement" dated May 20, 2008 was the only part of the trust made available at the "validity" hearing and not the controlling 2008 Simon L. Bernstein Trust Agreement. See, (Exhibit 9 - "Simon L. Bernstein Amended and Restated Trust Agreement" dated July 25, 2012") which amended the 2008 trust agreement and was allegedly executed several weeks prior to Simon's passing on September 13, 2012. The amended trust excludes Eliot and ALL of his siblings as beneficiaries leaving only the then living grandchildren who have trusts held thereunder as beneficiaries, namely the grandchildren who are part of the Eliot Family Trust, Jill Iantoni Family Trust and Lisa Friedstein Family Trust established and held thereunder as part of the controlling 2008 Simon trust.

There has been no construction hearing of this Amendment to the 2008 Simon Bernstein Trust Agreement dated May 20, 2008 but it appears that only 6 of the 10 grandchildren (Eliot's three children and his two siblings Jill and Lisa's children) will ultimately be found to be beneficiaries of the Amended 2008 Simon Trust document if it is upheld after a proper and legal validity and construction hearing in the proper venue to determine the terms of the trust and who the beneficiaries are

and if it was induced under great duress placed upon by Ted and sister Pamela when they were informed they were wholly disinherited with their lineal descendants in the 2008 Simon Trust and the 2008 Shirley Trust. Again the Probate court had no jurisdiction to hear the validity or any alleged construction of this and the other Inter-vivos trusts rendering any/all judgments void.

16. After two years of this fraud on the court, fraud on certain of the beneficiaries and interested parties that removed Eliot from the proceedings, derailed the entire proceedings in the Florida probate court and ultimately led to the issuance by this Court of an ORDER granting summary judgment against Eliot Bernstein on the mistaken belief that he was not a beneficiary and had no standing in his father's estate, this Court appropriately deferring to the FL state probate court's alleged determination of the issues, Intervenor Brian O'Connell and Alan Rose inexplicably had a sudden about face and admitted in hearings before the new Judge Scher that Eliot is a beneficiary and has standing--a fact they clearly knew all along. Ted, Intervenor O'Connell and their counsel however have all failed to notify this Court of their change of story.

17. The February 16, 2017 hearing transcript before Judge Scher already exhibited herein (Exhibit 1) includes O'Connell's change of heart as Attorney Peter Feaman ("Feaman") representing the creditor William Stansbury in the Simon Estate case cross examined him concerning the issue,

3 Q. Correct? And Mr. Bernstein is not a
4 monetary beneficiary of the estate, is he?
5 A. As a trustee he is a beneficiary,
6 residuary beneficiary of the estate. And then he
7 would be a beneficiary as to tangible personal
8 property.

(Exhibit 1 - Feb 16, 2107 Hearing, Page 17 of the Transcript)

18. Cornered, O'Connell confirmed what Eliot fought for two years to establish that was wasting judicial resources and deceiving the Probate court that Eliot was in fact a beneficiary with standing and Eliot further had O'Connell confirm this during his cross examination:

18 BY MR. ELIOT BERNSTEIN OF BRIAN O'CONNELL:
19 Q. Okay, so beneficiary?
20 A. Right.
21 Thank you. Which will go to the
22 bigger point of the fraud going on here, by the
23 way."

(Exhibit 1 - Feb 16, 2017 Hearing, Page 35 of the Transcript)

19. Attorney Alan Rose contradicted prior representations to the Florida Probate court in numerous pleadings and hearings claiming Eliot was not a beneficiary and did not have standing in his father's estate, agreeing now with O'Connell that Eliot is and was, in fact, a beneficiary with standing in Simon Bernstein's estate. Rose admits on record in the March 02, 2017 hearing that contrary to his prior statements to the Probate court over the course of two years that were then mimicked to this Court by Ted and Adam Simon, that Eliot does have standing, as a beneficiary. Rose stated in the hearing,

3 MR. ROSE: Just for the record, I conceded
4 at the last hearing that he had limited
13:52:35 5 standing. I did not say that he did not have
6 standing." [emphasis added]

(Exhibit 2 - March 02, 2017 Hearing Page 139 of the Transcript)

"8 MR. ROSE: That's the end of the story.
9 He is clearly a beneficiary. We have never
10 denied he is a beneficiary for a very narrow
11 purpose. But based on the rulings it is
12 exactly that which is a very narrow purpose."

(Exhibit 2 - March 02, 2017 Hearing Page 143 of the Transcript)

20. Attorney Feaman while questioning witness O'Connell in the same March 02, 2017 hearing handed him a pleading filed in September of 2015 entitled "Trustee's Omnibus Status Report and Request for Case Management Conference" filed by Ted and authored by Rose and Rose stated on the record the following in response:

7 BY MR. FEAMAN:

8 Q. You were here when Mr. O'Connell said that
9 Mr. Eliot is a beneficiary of the Simon Bernstein
10 estate, correct?

11 A. I was here when he said it. I have said
12 it. I don't dispute it. I have told the judge
13 that. I don't understand. For tangible personal
14 property.

15 Q. Okay.

16 THE COURT: What am I being handed?

17 BY MR. FEAMAN:

18 Q. I am handing you a pleading that you filed
19 in September 2015 entitled Trustee's Omnibus Status
20 Report and Request for Case Management Conference.
21 And the very first page you said, relating to
22 Mr. Eliot, he is not a named -- he is not named as
23 a beneficiary of anything. And it's in the Estate
24 of Simon Bernstein. So my question is when did you
25 suddenly become aware that he is a beneficiary of

(Exhibit - 2 March 02, 2017 Hearing Page 212 of the Transcript)

1 the estate?

2 A. That sentence is -- I now see that
3 sentence is technically wrong. It's not -- I am
4 talking about where the money is and the money is
15:12:37 5 in the trust. He is not a beneficiary of the
6 trust. I may have made a misstatement.

7 THE COURT: Are you asking me to take this
8 into evidence?

9 MR. FEAMAN: Yes.

15:12:45 10 THE COURT: Objection?

11 MR. ROSE: No. It's in the court file.

12 THE COURT: I know. Let me just mark it.

13 MR. FEAMAN: No further questions.” [emphasis added]

(Exhibit 2 - March 02, 2017 Hearing Page 213 of the Transcript)

21. Alan Rose committed fraud on the court in Filing #32030300 to the 15th Judicial Judge JOHN L. PHILLIPS, dated September 14, 2015, in the “TRUSTEE'S OMNIBUS STATUS REPORT AND REQUEST FOR CASE MANAGEMENT CONFERENCE” see, (Exhibit 10 - Omnibus Status Report] accusing Eliot of the very misconduct he was engaged in when he stated,

“Introduction - The overarching issue in these cases is Eliot Bernstein. **He is not named as a beneficiary of anything**; yet he alone has derailed these proceedings for more than two years and has harassed and attacked the prior judges, fiduciaries and their counsel.” [emphasis added]

22. On January 4, 2016, Rose repeated in a filing titled “SUCCESSOR TRUSTEE'S MOTION FOR APPOINTMENT OF A GUARDIAN AD LITEM TO REPRESENT THE INTERESTS OF ELIOT BERNSTEIN'S CHILDREN; FOR A GAG ORDER TO PROTECT GUARDIAN AND OTHERS; AND TO STRIKE ELIOT'S FILINGS” [Exhibit 11 - Motion for Appointment of GAL³], the affirmative statement of Ted Bernstein, his client, that

³ The Guardian was not appointed randomly but instead a long term family friend of PR Brian O’Connell and a former judge in the Palm Beach courts (not re-elected by the People of the State of Florida) Diana Lewis. Lewis was inserted as GAL over ELIOT’S children to preclude ELIOT from protecting and representing his minor children as their natural guardian and thereby the minor children’s rights and the adult child’s rights were usurped illegally through this legal process abuse that has obstructed justice and denied due process. Outrageously despite two of ELIOT’S children who are both adults now notifying Diana Lewis that her predatory guardianship over them is over and to cease and desist any further actions on their behalf, she continues to kidnap their legal rights and enter into settlements, on their behalf, destroy trusts and LLC’s with Oppenheimer Trust Company that were set up by their grandparents while they were alive for them and destroying companies set up to protect their home and more.

“Eliot Bernstein, Individually, is not a beneficiary of either Simon’s or Shirley’s Trusts or Estates. Instead, his three sons are among the beneficiaries of both Simon and Shirley’s Trusts, in amounts to be determined by further proceedings. **Eliot lacks standing to continue his individual involvement in this case.”**
[emphasis added]

23. After two years of derailing multiple judicial proceedings O’Connell, Ted, and Rose suddenly agree that Eliot is a beneficiary with standing and after three evidentiary hearings Judge Rosemarie Scher ruled that Eliot is a beneficiary with standing to participate in his father’s estate proceedings and issued findings of fact and conclusions of law to eliminate further dispute.

From an Order issued by Judge Scher, See (Exhibit 12- March 03, 2017 Scher Order)

Hon. Judge Rosemarie Scher states,

“Present before the Court were Peter Feaman, Esquire on behalf of William Stansbury; Alan Rose, Esquire on behalf of Ted Bernstein, Trustee, Brian O’Connell as Personal Representative, **Eliot Bernstein as interested party.**” [emphasis added].

24. On March 2, 2017, the Hon. Judge Rosemarie Scher overruled the erroneous alleged order to reflect that for all purposes going forward, ELIOT BERNSTEIN is a beneficiary with standing to participate when she confirmed in the hearing before her that she “overruled” any prior claims by that court or its court appointed officers and fiduciaries that Eliot did in fact have standing in his father’s estate in the following exchange:

9 forthcoming. And I think we'll be able to show
10 that there's been fraud on this Court. The
11 other date in that hearing if you look at the
12 transcript Mr. Rose claimed that I had no
13 standing, and you overruled that, or whatever
14 you call it, you did.
15 THE COURT: I did.”

(Exhibit 2 - March 02, 2017 Hearing Page 127 of the Transcript)

25. Hon. Judge Rosemarie Scher issued further findings of fact, conclusions of law in an Order dated April 2017, see (Exhibit 13 - April 27, 2017 Scher Order) after hearings held on February 16, 2017, March 02, 2017 and March 16, 2017 further enforcing that Eliot Bernstein is a beneficiary of the Simon Bernstein Estate and further giving him standing, which wholly contradicts Plaintiffs unsupported claim in the Summary Judgment that Eliot is not a beneficiary and had no standing that this Court then relied upon in dismissing Eliot from this lawsuit citing Collateral Estoppel based on an alleged Florida Court ruling and statements by officers of this Court (Attorneys and Fiduciaries) stating Eliot was not a beneficiary and did not have standing. Hon. Judge Rosemarie Scher states in her April 27, 2017 Order on Page 7 Paragraph 17,

“17. Elliot Bernstein joins Stansbury's opposition to the appointment of Mrachek Firm. Elliot is a residuary beneficiary of any tangible property of the Estate.”

This Order established Eliot as a beneficiary.

26. Standing is a foundational issue that should never have taken over three years to determine as Ted, Rose and the fiduciaries in charge of the trusts and estates depleted the assets through fraud and intentional deception. In order to now rectify the injustices wrought upon Eliot and his family by the frauds of these fiduciaries, Eliot re-affirmed in a June 2, 2017 hearing that Judge Scher expressly overruled the prior finding that deprived him of standing as a beneficiary and that this fraud discovered had to be brought to the attention of this Court by those parties responsible and those parties aware of the frauds. As such, this Honorable Judge is asked to reinstate Eliot Bernstein in the case to participate in full and avoid the further deprivation of rights Rose, Ted and O'Connell conspired to accomplish. From a hearing held in the Florida

Probate Court on June 02, 2017 before Judge Scher, see (Exhibit 14 - June 02, 2017 Hearing Transcript) the following exchange was made by Eliot to the court,

15 MR. ELIOT BERNSTEIN: Oh, okay. So I was
16 thrown out of the Illinois litigation because
17 they told that court that I was not a
18 beneficiary of my father's estate and I had no
19 standing. And Judge Blakey relied on this
20 Court's statement that I was not a beneficiary
21 and had no standing in my father's estate to
22 throw me out on a summary judgment, saying I
23 had no standing and therefore in Florida res
24 judicata and yada yada yada.
25 The bottom line is that was all

(Exhibit 14 - Page 36)

1 orchestrated. This whole Florida court is
2 being manipulated to create another fraud on a
3 federal court. And everybody who is aware that
4 I am a beneficiary with standing should have
5 already notified federal Judge Blakey that
6 Mr. Rose misled this Court to gain those orders
7 by Judge Phillips. And that's where I will
8 close it up.
9 THE COURT: And that's good.

(Exhibit 14 - Page 37)

27. This entire outrageous deception upon the state and federal court did not even slow the co-conspirators down in their scheme to defraud Eliot of his inheritance rights. Instead, Ted, Adam Simon, O'Connell and Rose ignored the ruling and proceeded full steam ahead into settlement negotiations and executed settlements in both the Florida court and this Court, omitting Eliot to steal what is rightfully his inheritance by maintaining the fraudulent narrative that he was not a beneficiary with standing and therefore not a necessary party to the settlement

discussions or the executed settlements. The parties entered into a Settlement Agreement, see (Exhibit 15 - July 17, 2017 Signed Illinois Settlement Excluding Eliot from Settlement Discussions and Execution) with no notice to Eliot to settle this Federal lawsuit before this Court and regardless of his status as a beneficiary and submitted the fraudulent executed Settlement Agreement not to this Court for approval but to Judge Scher for her approval and to further defraud this court yet again into acknowledging a Settlement Agreement that was void for failing to include a necessary party, Eliot Bernstein and fraud. See (Exhibit 16 - Oct 19, 2017 Scher Order on Illinois Federal Lawsuit Settlement) and (Exhibit 17 - October 19, 2017 Hearing Transcript.)

28. If the foregoing deception failed to shock the conscience of the Judge, the fact that the Florida probate court assumed subject matter jurisdiction over INTER-VIVOS TRUSTS in violation of the Florida Trust Code should exasperate the Court. The Code is unambiguous in mandating LIVING TRUSTS be heard in civil court and merely permitting testamentary trusts to be considered in pending probate matters. The Court should take Judicial Notice of the following Inter-vivos trust case dockets and make them in whole part of this Court's record which were erroneously heard and considered and allegedly validated in the Florida Probate court in absence of subject matter jurisdiction and then further misrepresented to this Court as "testamentary" trusts, leading to a host of void orders:

- a. Case # 502014CP003698XXXXNB – "Shirley Bernstein Trust Agreement" dated May 20, 2008, a living Inter-vivos trust - (Exhibit 18 - Shirley Trust Docket)
- b. Case # 502015CP001162XXXXNB – "Simon L. Bernstein Amended and Restated Trust Agreement" dated July 25, 2012, a living Inter-vivos trust (Exhibit 19 - Simon Trust Docket)

29. The Estate cases that had these Inter-vivos trusts of Simon and Shirley Bernstein heard by a Probate court under the estate cases as alleged “testamentary” trusts in addition to the separate Probate actions listed above are as follows and the Court should take Judicial Notice of the following estate case dockets and make them in whole part of this Court’s record:

- a. Case # 502012CP004391XXXXSB – Simon Bernstein Estate (Exhibit 20 - Simon Estate Docket)
- b. Case # 502011CP000653XXXXSB – Shirley Bernstein Estate (Exhibit 21 - Shirley Estate Docket)

30. The Florida probate proceedings were so wrought with fraud as to vitiate the entire proceedings, leaving this Court broad discretion to determine the rights and liabilities of the parties--particularly with respect to the INTER-VIVOS TRUSTS settled by Simon and Shirley Bernstein for the benefit of their “children,” which included Eliot Bernstein. For purposes of illustration, Simon L. Bernstein’s Codicil to his Will, dated July 25, 2012 already exhibited herein specifically defines his “children” to include:

“TED S. BERNSTEIN, PAMELA B. SIMON, **ELIOT BERNSTEIN**, JILL IANTONI and LISA S. FRIEDSTEIN.
[emphasis added]

31. This Court was also intentionally misinformed by its Court appointed officers (Attorneys and Fiduciaries) in their Motion for Summary Judgment that ELIOT was not a beneficiary of his mother’s Estate when her Will expressly include Eliot as a beneficiary.

WILL OF SHIRLEY BERNSTEIN
Dated May 20, 2008

I, SHIRLEY BERNSTEIN, of Palm Beach County, Florida, hereby
revoke all my prior Wills and Codicils and make this Will. My

spouse is SIMON L. BERNSTEIN ("SIMON"). My children are TED S. BERNSTEIN ("TED"), PAMELA B. SIMON, ELIOT BERNSTEIN [EMPHASIS ADDED], JILL IANTONI and LISA S. FRIEDSTEIN.

32. This false statement of fact to the Florida Probate court created another Order that was based upon intentional deception and fraud on the court that is not accurate either regarding Eliot not being a beneficiary and not having standing in his mother's estate. Thus, this Order was clearly erroneous too and Eliot is again having to pursue legal remedies to overturn the Order procured by the same co-conspirators' frauds. Ted had received upon his mother's death in addition to a copy of the Will, a Petition for Administration in the Shirley Estate that was filed on Feb. 10, 2011 (Exhibit 22 – Shirley Petition for Administration) filed in the Florida Probate Court, which clearly shows all five children of Shirley, including Ted as a beneficiary of the Estate of Shirley.

33. To establish to this Court that Ted and co-conspirator counselors Alan Rose and Adam Simon knew that Eliot was a beneficiary in Simon's Estate with standing prior to misleading this Court with scienter that he was not to disable his due process rights, Ted received upon his father's death in addition to a copy of the Will showing all five children as beneficiaries, a Petition for Administration (Exhibit 23 - Simon Petition for Administration) filed in the Florida Probate Court on October 02, 2012, which clearly shows all five children of Simon, including Ted as a beneficiary of the Estate of Simon. Yet, Tet and his counsel claim in their Summary Judgment that,

“To the contrary, Eliot has lost standing to participate in the Probate Actions on his own behalf after it was determined that the testamentary documents at issue in the Probate Actions are in fact valid, genuine and enforceable. **Judge John L. Philips also determined that Simon Bernstein's grandchildren are the**

beneficiaries of his Estate, and none of his children are beneficiaries, including Eliot.” [emphasis added]

34. Alan Rose, Ted Bernstein, Brian O’Connell, and their co-conspirators and agents / representatives cannot be trusted to tell the truth to this Honorable Judge, as evidenced by their repeated, undeterred fraud on federal and state courts to steal Eliot and his children’s inheritance.

35. The fraud is all encompassing to the outrageous extent of Florida court appointed officers (Attorneys and Fiduciaries and Guardian,) including but not limited to, Ted Bernstein, Adam Simon, Alan Rose, Robert Spallina, Donald Tescher and their agents and representatives filing this Federal lawsuit over a non-existent trust, entitled “Simon L. Bernstein Irrevocable Insurance Trust dtd 6/95” that no executed copy has ever been produced to affirm the terms of or if Ted is in fact a Successor Trustee as he claims. The Court in its Round 1 Summary Judgment Order denying Summary Judgment to Plaintiffs eloquently pointed to the fact that the initial claim for the proceeds was made by former Co-Personal Representative in the Estate of Simon Bernstein, Robert Spallina, who claimed to be Successor Trustee of the legally non-existent trust and then when this lawsuit was filed it was filed by Ted acting as the alleged Trustee instead. These schemes and artifices to defraud Eliot of insurance benefits was the motivation to manufacture a lawsuit concerning a trust that never even existed, involving an insurance policy that has not ever been produced to this Court, despite funds being interpled to the Court based on the “Policy” terms.

36. Proof of the schemes lies in the fact that despite funds of the alleged “Policy” being interpled into this court, none of these co-conspirators have produced an actual “Policy” or an actual trust to date--revealing the entire production was a sham--to cover up fiduciary theft and using the Court to attempt to facilitate a crime. Attorneys, Tescher and Spallina, the former Co-Personal Representatives and Co-Trustees of Simon’s Estate and Simon’s Trusts have admitted

their law firm forged dispositive documents and deposited them in the Florida probate proceedings, acknowledging fraudulently notarized and forged documents being filed with the Florida probate court, including Post Mortem forgeries of Simon Bernstein's signature used to fraudulently close his deceased wife's estate that when the fraud was proven led to the Estate being reopened, which it remains open to this date.

37. In this Court's ORDER the Court also mistakenly defines that a "Policy" exists and "Policy Proceeds" are at stake when factually the Court is not in possession of any bona fide policy issued by the insurance carrier and is only in possession of parole evidence that a policy exists and the terms of it, such as, who the beneficiaries are, what the face amount is, who the owner is and other information that is contractually defined in the legally binding policy issued. No party to this lawsuit has produced a policy to the Court, including the carrier.

38. Spallina⁴ has further admitted ironically in the December 15, 2015 "validity" hearing (Exhibit 24 – December 15, 2015 Hearing Transcript, Page 95 - Lines 12-25, Page 96 – Lines 8-19) that while acting as Ted's counsel for Ted as Fiduciary of the Shirley Bernstein Trust Agreement dated May 20, 2008 that Spallina forged a copy of this Shirley Bernstein trust document, which altered the beneficiaries of the Shirley trust that he had drafted years earlier while acting as Simon and Shirley's Estate planner, two years after the decedent passed in January of 2013 and sent this forged trust to Eliot Bernstein and his children's counsel, Christine C. Yates, Esq. of Tripp Scott Law Firm in Ft. Lauderdale, FL to deceive them of who the true and proper beneficiaries of Shirley's trust were.

39. This fraud was in effort to benefit Ted and Pamela Simon's families, who were omitted from the Shirley's Trust the date it became irrevocable upon her death as being considered

⁴ TESCHER and SPALLINA after resigning from all Bernstein family matters after their law firm committed fraud were subsequently arrested by the SEC in a non-related Insider Trading Scheme and and subsequently surrendered their law licenses. (Exhibit 34 – TESCHER and SPALLINA SEC Consents)

predeceased for all purposes of dispositions as stated in the express terms of that trust. Ted Bernstein and his attorneys' actions have been nothing but fraud since the start and he even attempted with his close personal friends and counsel, Spallina and Tescher, to reinsert his lineal descendants post-mortem when the Shirley trust was no longer subject to revocation through this fraudulent trust Spallina created and disseminated.

40. Further, Spallina at the "validity" hearing claimed that the fraudulent trust did not alter the beneficiaries of the Shirley trust when in fact it did through a fraudulent and forged amendment, this false statement to the court also violates the terms of his consent with the SEC and is yet another example of these reprobates in the probate court willingness to lie and deceive the court and the beneficiaries and interested parties, see (Exhibit 25 - Fraudulently Altered Amendment Shirley Trust) and (Exhibit 26 - Alleged Original Amendment that was Fraudulently Altered.)

41. The forged version omits the intentional exclusion of Ted and Pamela Simon **and their lineal descendants**. Where the actual alleged language of the 2008 "Shirley Bernstein Trust Agreement" reads,

"Notwithstanding the foregoing, as I have adequately provided for them during my lifetime, for purposes of the dispositions made under this Trust, my children, TED S. BERNSTEIN ("TED") and PAMELA B. SIMON ("PAM"), **and their respective lineal descendants** [emphasis added] shall be deemed to have predeceased the survivor of my spouse and me..."

42. The language that was fraudulently inserted in the Forged 2008 "Shirley Bernstein Trust Agreement" removes the language excluding Ted and Pamela Simon's lineal descendants from inheritancy in the IRREVOCABLE trust of Shirley giving them a possible 40% stake in the Shirley Trust if it were determined through the frauds that the grandchildren are beneficiaries

instead of Eliot, Jill and Lisa who are the only permissible class of beneficiaries as of the date of Shirley's death on December 08, 2010 when the trust became IRREVOCABLE. From the fraudulent and forged 2008 "Shirley Bernstein Trust Agreement" it is clear that Spallina altered language to change the possible beneficiaries of her trust:

“NOW THEREFORE, by executing this instrument, I hereby amend the Trust Agreement as follows:

1. I hereby delete Paragraph B. of Article II. in its entirety.
2. I hereby amend the last sentence of Paragraph E. of Article III. to read as follows:

Notwithstanding the foregoing, as my spouse and I have adequately provided for them during our lifetimes, for purposes of the dispositions made under this Trust, my children, Ted S. BERNSTEIN ("Ted") and PAMELA B. SIMON ("PAM"), shall be deemed to have predeceased the survivor of my spouse and me...”

43. The fraud continues to completely permeate all court proceedings in which Ted Bernstein, Alan Rose, Adam Simon, Pamela Simon, and their co-conspirators discussed herein are involved. Undeterred by being caught red handed by Hon. Rosemarie Scher, Rose and Ted still continue to use a fraudulent appointment of a Guardian Ad Litem for Eliot's ADULT CHILDREN, knowing they are over the age of 18 and competent to act on their own behalf but still using her to gain consent for settlements and more, despite knowing that they are adults and all having received Cease and Desist letters from the children notifying them to cease the illegal acts being done in their names.

44. A predatory guardianship was placed on Joshua Bernstein by Judge Phillips as a minor when he in fact at the time of the initiation of the Guardian Ad Litem Joshua was factually an adult and no adult guardianship proceedings were ever held for him, thereby kidnapping his legal rights as an adult by claiming him to be a minor. For a detailed analysis of how this fraud was committed, see (Exhibit 27 - July 11, 2017 Joshua Bernstein Cease and Desist Letter to Diana

Lewis.) Despite receiving the Cease and Desist Letter from Joshua, Lewis continues to act on Joshua's behalf with no legal authority including acting to give his consent in the proposed Settlement of this lawsuit.

45. Jacob Bernstein had to issue a Cease and Desist Letter to Diana Lewis, see (Exhibit 28 - July 11, 2017 Jacob Bernstein Cease and Desist Letter to GAL Diana Lewis) after he turned 18 years old on January 01, 2017 to attempt to have her cease acting on his behalf and Lewis has refused to terminate the "minor" guardianship when he was no longer a minor as required by law and instead continues to act on his behalf including in the proposed Settlement of this lawsuit.

46. Diana Lewis, the fraudulently appointed Guardian Ad Litem appointed in an evidentiary hearing in the Probate court and not through a formal GAL hearing in that division, continues to appear in Court as a Guardian Ad Litem for Eliot's adult sons, consenting to the destruction of trusts created in their names, mismanaging the assets intended solely for them, billing ludicrous and fraudulent amounts for services rendered and entering them into sham settlement agreements without any notice to Eliot's adult sons, who are the only persons legally authorized to act on their behalf in any of these matters.

47. The fraudulent scheme and artifices to defraud of these criminal fiduciaries, attorneys and guardian have created a nightmare for Eliot Bernstein and his entire family that will not end as he is forced to endure the continual egregious deprivation of his rights to property, watching thieves steal his inheritance without recourse because these attorneys have managed to deceive the Florida probate court, civil court, appeals court and Supreme Court if that is possible--to intentionally harm Eliot and his family. After more than four years of fighting for minimal due process rights in terms of mere notice and the opportunity to be heard in a proceeding not tainted with fraud, the deception continues, prompting Eliot Bernstein to pray this Court makes the

insanity stop as more fully described in Eliot's "All Writs Injunction" (Dkts #214-216) that even predicated that this fraud to remove Eliot from the proceedings through fraudulent claims of collateral estoppel and more were in progress and that this Court can now plainly see were executed and worked.

48. Eliot's rights have been so categorically denied due to the corruption of these fiduciaries, he is now being precluded from filing appeals of adverse rulings pro se, violating the Open Courts provision of the Florida Constitution and guarantee of redress for wrongs in the United States Constitution. Eliot is indigent and cannot afford counsel but has been barred from filing in the Florida appeals court to vacate the fraudulently obtained orders and expose further the fraud on the Probate court without a Florida attorney, the perfect catch 22. See, (Exhibit 29 - August 23, 2017 4th DCA Order Prohibiting Eliot Filing Pro Se). The 4th DCA stated in its Order:

"The Clerk of this Court is directed to no longer accept any paper filed by Eliot Ivan Bernstein unless the document has been reviewed and signed by a member in good standing of the Florida Bar who certifies that a good faith basis exists for each claim presented."

49. The 4th DCA then issued an Order dismissing an appeal filed by Eliot for failure to prosecute it when the reason for this failure was due to the fact that Eliot cannot find nor afford an attorney to prosecute the case for him and the court refuses to allow him to do so pro se. This violates the equal protection clause of the 14th Amendment to the United States Constitution and 42 U.S.C. 1983. See, (Exhibit 30 - Nov. 01 2017 4th DCA Order Dismissing Appeal Lack of Prosecution.)

50. Eliot is similarly prohibited from entering evidence or speaking for any length of time and prohibited from questioning a witness for more than four minutes in the same probate proceedings with Judge Scher who has witnessed the fraud that has kept Eliot out of proceedings

based on false claims to that court and who recently determined he is a beneficiary with standing, yet she continues to move forward despite the frauds as if nothing has happened, see (Exhibit 31 - Oct 19, 2017 Hearing Transcript Regarding Settlement of Illinois Federal Lawsuit.)

51. Judge Rosemarie Scher had no jurisdiction to approve the settlements involving Simon and Shirley Bernstein's Inter vivos Trusts, including the alleged Plaintiff in this case, the non-existent and Inter-vivos "Simon Bernstein Irrevocable Insurance Trust dtd 6/95" in the Probate court but did so anyway, rendering the ORDERS void; yet they are treated as if valid and enforceable, which excluded Eliot and his children from all right and benefit to their rightful inheritance.

52. In her Order dated April 27, 2017, see (Exhibit 13 - April 27, 2017 Order), Page 11 Paragraph #32), Judge Scher found "Mr. O'Connell to be credible." But nonetheless, stated that it "cannot ignore the fact that the Estate and Ted are adverse in the Illinois lawsuit" declining to appoint Ted Bernstein as Administrator Ad Litem while the Illinois action is still pending.

53. Remarkably, after learning of the fraud upon her court, Judge Scher accepted retaliatory pleadings by Ted and Alan Rose to hold Eliot in contempt of court and to hold it over Eliot's head as a weapon issued an Order on September 15, 2017, see (Exhibit 32 – Scher September 15, 2017 Order) and scheduled the hearing for Thursday, March 22, 2018 at 1:30 p.m. The contempt charge is centered upon the fact that Eliot sent the Cease and Desist letters of his Adult children to the Guardian Ad Litem on their behalf to keep confidential their private email addresses and ignoring the substance of the fraud disclosed in the Cease and Desist letters sent that were submitted by Ted and Rose in their pleading.

54. Dkt. #289 is hereby incorporated by reference with all exhibits and all arguments in support of this Motion and all relief sought.

55. Dkts. #214-215 are hereby incorporated by reference with all exhibits and all arguments in support of this Motion and all relief sought.

56. Eliot can hardly conceive of a case in which justice mandates that the court vacate the ORDER dismissing his claims based on findings of the Florida Court that have since been overruled and overturned, such that the ORDER granting summary judgment against Eliot Bernstein is no longer valid. The circumstances here satisfy the prerequisites for relief under Rule 60(b).

57. Fiduciaries and Counsels misrepresentations have warranted Rule 60(b)(3) relief, particularly because it “completely sabotaged the federal trial machinery” by fraudulently defeating Eliot Bernstein’s right to a federal forum. See, e.g., *Rozier v. Ford Motor Co.*, 573 F.2d 1332, 1346 (5th Cir. 1978) reversing denial of Rule 60(b)(3) motion because defendant suppressed information called for upon discovery and prevented plaintiff from fully and fairly presenting her case); see also *Boddicker v. Esurance, Inc.*, 770 F.Supp.2d 1016 (D.S.D. 2011) (the district court vacated, under Rule 60(b)(3), its summary judgment order that relied on defendant’s misrepresentation).

58. Fiduciary and Attorney fraud is hardly something unique or isolated, but widespread and the subject of almost every news publication but the metastasis of this cancer continues to spread unabated. Unless this Honorable Judge intervenes and issues appropriate rulings based upon evidence and legitimate estate planning documents and trusts, rather than forged instruments by a cottage group of fiduciaries and attorneys that might as well be deemed the Probate mafia, Eliot Bernstein and his children, the intended beneficiaries of Shirley and Simon Bernstein’s generous provision for their futures, will be robbed of everything they are rightfully entitled to under

federal and state law, denied any semblance of due process and denied equal protection of the law.

59. Given fraud vitiates everything it touches, this Court can easily render judgment that the proferred orders of Ted Bernstein, Alan Rose, Adam Simon, Pamela Simon and the corrupt fiduciaries engaging in flagrant theft--are void ab initio.

60. Eliot has written this Motion under great physical duress and medical malady that is “life threatening” as is more fully explained in (Exhibit 33 – “MOTION TO POSTPONE AND RESCHEDULE NOVEMBER 15, 2017 HEARING” – EXHIBIT 1 – “AFFIDAVIT OF CANDICE BERNSTEIN IN SUPPORT OF ELIOT BERNSTEIN’S MOTION TO POSTPONE AND RESCHEDULE NOVEMBER 15, 2017 HEARING”) and prays that this Court understands this has affected his ability to file in a healthy state of mind and if the Court finds any procedural errors, etc. allows Eliot to refile an amended motion.

61. That only this week on November 06, 2017 or thereabout after conversation with this Court’s clerks lasting approximately 15 minutes, Eliot Bernstein was reinstated by Clerk Nadine as a filer in ECF system as no one could determine how or why he was removed as no order was issued to remove him and no reason existed. Eliot being Pro Se did not initially know that he was improperly removed and believed he was prohibited from filing with the Court when he was dismissed on Summary Judgment despite the need to file appeals and motions such as this 60(a) and 60(b). Further, even after reinstatement in the ECF filing system Eliot is not being served process by the ECF system or opposing parties as of 11/08/2017 when filings were filed by opposing parties and this is severely interfering with his rights to be noticed, respond and file necessary pleadings.

Wherefore, ELIOT BERNSTEIN respectfully prays for this Court to retain jurisdiction over the inter vivos trusts, given the “res” of these trusts is not within the subject matter jurisdiction of any court for a determination of the rights and liabilities of the parties. Eliot Bernstein respectfully prays for this Rule 60b Motion to be granted and for the ORDER granting summary judgment against him (primarily on the basis of him not being a beneficiary of the Simon Bernstein Estate and claim that he lacked standing--now proven herein to be a fraudulent and misleading claim to this Court that has been proven false by new orders of the Probate court) be vacated and set aside.

Eliot prays that this Court seeing the fraud that has denied Eliot due process and procedure for almost a year in this Court and almost two in the Florida probate court and other Florida courts, review and consider Eliot’s “All Writs Injunction” (Dkts #214-216) and the reliefs sought therein as these fraudulent acts further support his claims therein and entitle him to the reliefs sought thereunder.

Eliot Bernstein further prays for appointment of pro bono counsel to protect his rights as he is physically incapable of protecting himself due to severe physical and stress related health problems he has experienced that have almost ended his life multiple time in the past few years. (See Exhibit 33 – EXHIBIT 1 - Affidavit of Candice Bernstein). Eliot seeks the Court to approve his In Forma Pauperis Indigent Application submitted to this Court already as he is indigent and qualifies for such appointment and thanks the Court for the same.

DATED: November 09, 2017

Respectfully submitted,

/s/ Eliot Ivan Bernstein

Third Party Defendant/Cross
Plaintiff PRO SE
Eliot Ivan Bernstein
2753 NW 34th St.
Boca Raton, FL 33434
Telephone (561) 245-8588
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CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on the 9th of November, 2017, I electronically filed the foregoing with the Clerk of the Court using CM/ECF. I also certify that the foregoing is being served this day on all counsel of record via transmission of Notices of Electronic Filing generated by CM/ECF or in some other authorized manner.

/s/ Eliot Ivan Bernstein
Third Party Defendant/Cross
Plaintiff PRO SE
Eliot Ivan Bernstein
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IN THE FIFTEENTH JUDICIAL CIRCUIT COURT
IN AND FOR PALM BEACH COUNTY, FLORIDA
CASE NO: 502012CP004391XXXXNBIH

IN RE:

ESTATE OF SIMON L. BERNSTEIN,

/

Proceedings before the Honorable
ROSEMARIE SCHER

Thursday, February 16, 2017

3188 PGA Boulevard

North County Courthouse

Palm Beach Gardens, Florida 33410

2:38 p.m. - 4:46 p.m.

Reported by:

Lisa Mudrick, RPR, FPR

Notary Public, State of Florida

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I N D E X
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P R O C E E D I N G S

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BE IT REMEMBERED that the following proceedings were had in the above-styled and numbered cause in the Palm Beach County Courthouse north branch, City of Palm Beach Gardens, County of Palm Beach, in the State of Florida, by Lisa Mudrick, RPR, FPR, before the Honorable ROSEMARIE SCHER, Judge in the above-named Court, on February 16, 2017, to wit:

- - -

THE COURT: The first thing we are going to do, and this is more for the Court, starting to the left in the first pew behind, we are going to make our appearances and go around, and ending with Judge Lewis.

14:39:10

MR. FEAMAN: Thank you, Your Honor. Peter Feaman on behalf of the movant William Stansbury. With me today is Jeff Royer from my office and also Nancy Guffey.

14:39:22

THE COURT: Okay.

MR. ROSE: Good afternoon, Your Honor. Alan Rose. I represent Ted S. Bernstein as successor trustee of Simon's trust and Shirley's trust.

14:39:37

1 THE COURT: Okay.

2 MR. ROSE: I represent him as the movant
3 seeking to be appointed as administrator ad
4 litem to defend the estate in the independent
14:39:47 5 action.

6 And Mr. O'Connell is here. And with me is
7 Michael Kranz, my associate, at the end. And I
8 will let Mr. O'Connell introduce himself.

9 MR. O'CONNELL: Good afternoon, Your
14:39:58 10 Honor. Brian O'Connell, PR of the Simon
11 Bernstein Estate.

12 JUDGE LEWIS: Diana Lewis, guardian ad
13 litem for the Eliot Bernstein children.

14 THE COURT: Okay. A few ground rules. I
14:40:18 15 have my order on this case management
16 conference, and that's the order in which we
17 will proceed, okay? Does everyone have a copy
18 of that order? I also have an extra copy in
19 case somebody needs it.

14:40:35 20 So we will begin with Stansbury's motion
21 to vacate in part the Court's ruling on
22 September 7, 2016, and/or any subsequent order
23 permitting the Estate of Simon Bernstein to
24 retain Alan Rose.

14:40:53 25 And I am just verifying the correct docket

1 entry. And it is noted on the case management
2 conference as docket entry 497. That is
3 incorrect. That's why I was double checking.
4 It's 496. And I knew that because I just
14:41:21 5 looked it up.

6 All right. In the order one of the things
7 I had said was to get all materials to me by
8 February 9th. Thank you. You can see I am
9 surrounded by notebooks. I received a ton of
14:41:35 10 materials. The only thing I would request is
11 from now on when I say February 9th, I mean
12 February 9th. I received two more -- from
13 everybody, from both sides, just so everybody
14 knows, I received documents Monday. From now
14:41:51 15 on if you don't meet the deadline you will have
16 to come into court with them and provide them
17 and tell me why you didn't meet the deadline.

18 I am going to put some firm rules on these
19 parties, and I don't think I will have to
14:42:02 20 explain why, just going through some of this
21 case.

22 Number two, from this point forward, and I
23 plan to include this in any order I issue, in
24 preparing for this it was very difficult to get
14:42:16 25 a grasp as to when the pleadings to the same

1 thing ended. Because we've got the original
2 motion or petition, then we've got the
3 response, then we've got the reply, then we've
4 got the supplement, then we've got the second
14:42:28 5 supplement to the response. Then we have an
6 answer to the second supplement. No more.

7 Petition or motion, response, reply, end.
8 If you desperately feel that there must be
9 something you must bring to the Court's
14:42:40 10 attention prior to the hearing, come in and ask
11 me for permission.

12 Because, quite frankly, the Court read as
13 much as humanly possible given the fact that
14 with all due respect it's not my only case.
14:42:51 15 And I am very compulsive, so I read as much as
16 I could. But some of it was -- if I thought
17 every single new piece of paper had some gem of
18 nuance that was different from all the other
19 prior, I might not be putting this rule. But a
14:43:05 20 lot of it was just repeating the same thing.

21 And I know a lot of it, which is why I
22 completely understand, had to do with the fact
23 that we need to get this judge up to speed,
24 which I appreciate. Okay. From this point now
14:43:18 25 I will be the original judge reading, all

1 sides, petition or motion, response, reply.

2 Okay.

3 Last and final housekeeping. I will make
4 no -- how do I put this? You all know that the
14:43:42 5 other half of my division is family and
6 divorce, an area where people get truly bent
7 out of shape as well and can be exceedingly
8 nasty to each other because you are going
9 through a horrible time.

14:44:01 10 You all are lawyers. I do not expect from
11 this point forward to see any direct -- now, an
12 appropriate motion is an appropriate motion. I
13 am excluding in a motion something you feel
14 justified to do. But in the pleadings, state
14:44:19 15 the facts. I don't want the adjectives, okay?
16 I can figure -- you know, state the facts, tell
17 me what happened. And I don't want the
18 adjectives that are following back and forth,
19 which I won't deal with. Anyone who has
14:44:35 20 practiced in front of me knows me. You can do
21 anything on your position within the bounds of
22 the law. I will not accept unprofessionalism
23 even in pleadings, even though you are
24 professional personally here.

14:44:45 25 Okay. That takes care of that. And

1 that's kind of a general rule I set forth in
2 all of my box cases in family too. So don't
3 anyone take it personally. That's something I
4 say at the get-go because as things proceed
14:44:57 5 people get mad. Remember, you are the lawyers,
6 not the clients, although I do know we have
7 some clients here.

8 Okay. So since it is, let me pull up on
9 Cap, Mr. Feaman's motion to vacate, he will
14:45:10 10 begin to have the floor.

11 MR. FEAMAN: Thank you, Your Honor.

12 THE COURT: Sorry, I just hit something
13 bad on my computer. I do take notes on my
14 computer. The reason we must end at 4:30 is
14:45:24 15 because I do not look at my e-mail or my
16 emergency motions, and I am signing judge,
17 which must be sent in before 5:00, okay? So I
18 give you my full attention, but we end prompt
19 at 4:30 because I am signing judge. Yesterday
14:45:37 20 I think I had four by the time I got back
21 there.

22 So let me -- here it is. Perfect. Thank
23 you again for the notebooks with the tab
24 indexes. Truly a time saver for the Court.

14:45:48 25 You may proceed, Mr. Feaman, thank you.

1 MR. FEAMAN: Thank you, Your Honor. May
2 it please the Court. Peter Feaman on behalf of
3 William Stansbury. My remarks are by way of an
4 opening statement at this time, Your Honor, in
14:45:59 5 connection with Your Honor's order, case
6 management conference and order specially
7 setting hearings.

8 As Your Honor noted, we are dealing with
9 Stansbury's motion, docket entry 496, and
14:46:13 10 Stansbury's related motion to disqualify Alan
11 Rose and his law firm, docket entry 508.

12 The story and premise, Your Honor, for
13 this is that the personal representative of the
14 Simon Bernstein estate, Brian O'Connell, has a
14:46:37 15 fiduciary duty to all interested persons of the
16 estate. And that's found in Florida Statute
17 733.602(1) where it states a personal
18 representative is a fiduciary, and in the last
19 sentence, a personal representative shall use
14:46:56 20 the authority conferred by this code, the
21 authority in the will, if any, and the
22 authority of any order of the Court, quote, for
23 the best interests of interested persons,
24 including creditors, close quote.

14:47:13 25 Mr. Stansbury is an interesting --

1 interested person to the Estate of Simon
2 Bernstein as well as a claimant in this case.

3 Interesting -- interested persons -- yes,
4 he is an interesting person. But interested
14:47:28 5 persons is defined, Your Honor, in Florida
6 Statute 731.201(23) which states that an
7 interested person means, quote, any person who
8 may reasonably be expected to be affected by
9 the outcome of the particular proceeding
14:47:51 10 involved.

11 The evidence will show that Mr. Stansbury
12 clearly falls into that category.

13 The second part of our presentation, Your
14 Honor, will then involve the presentation of
14:48:04 15 evidence to show that in fact there is a
16 conflict of interest. And then part three --
17 of conflict of interest of Mr. Rose and his law
18 firm representing the estate in this case.

19 And thirdly, that the conflict of
14:48:21 20 interest, the evidence will show, is not
21 waivable.

22 The parties' chart, which we did and
23 submitted to Your Honor with our package last
24 week, is the color chart, I have an extra copy
14:48:33 25 if Your Honor does not have it.

1 THE COURT: I believe it is --

2 MR. FEAMAN: For the Court's convenience.

3 THE COURT: I believe it is in -- I know I
4 have it. And I know I had it. Oh, got it. I
14:49:06 5 knew it was in one of my notebooks. Thank you.

6 MR. FEAMAN: Thank you.

7 Now, the summation of the position of the
8 parties in connection with what the evidence
9 will show, Your Honor, shows that we are here
14:49:17 10 obviously on the Estate of Simon Bernstein, and
11 the proposed attorney is Alan Rose. That's the
12 box at the top. The two proceedings that are
13 engaged with regard to the estate right now is
14 the Stansbury litigation against the estate
14:49:34 15 which is wherein it is proposed that Mr. Rose
16 and his law firm defend the estate in that
17 case.

18 And more significantly, Your Honor,
19 because it really wouldn't matter what the
14:49:49 20 other litigation is that Mr. Rose is being
21 asked to defend, because more significantly is
22 the orange box on the right, which I will call
23 for the purposes of this litigation the Chicago
24 litigation. And in that action there are a
14:50:05 25 number of plaintiffs, one of whom is Ted

1 Bernstein individually. And the evidence will
2 show in this case that Alan Rose represents Ted
3 Bernstein individually, not only in other
4 matters, but he actually appeared in a
14:50:27 5 deposition on behalf of Mr. Bernstein
6 individually in that Chicago litigation, made
7 objections to questions. And the evidence will
8 show that he actually on a number of occasions
9 instructed Mr. Bernstein not to answer certain
14:50:47 10 questions that were directed to Mr. Bernstein
11 by counsel for the Estate of Simon Bernstein.

12 In that Chicago litigation we will present
13 to Your Honor certified copies of pleadings
14 from the Chicago litigation that shows the
14:51:04 15 following: That Ted Bernstein, among others,
16 sued an insurance company to recover
17 approximately \$1.7 million dollars of life
18 insurance proceeds. Mr. Stansbury became aware
19 that that litigation was going on, and moved to
14:51:23 20 intervene in that lawsuit. Mr. Stansbury was
21 denied.

22 So the evidence will show that he was able
23 to prevail upon Ben Brown, and Ben Brown moved
24 on behalf of the estate when he was curator to
14:51:37 25 intervene. And in fact the Estate of Simon

1 Bernstein --

2 MR. ROSE: May I object for a second?

3 THE COURT: Legal objection?

4 MR. ROSE: That he is completely
14:51:48 5 misstating the record of this Court and the
6 proceedings before Judge Colin.

7 THE COURT: You will have an opportunity
8 to respond and explain it to me.

9 MR. FEAMAN: Thank you, Your Honor.

14:51:56 10 And the evidence will show that the Estate
11 of Simon Bernstein is now an intervenor
12 defendant, and they filed their own intervenor
13 complaint seeking to recover that same \$1.7
14 million dollars that Ted Bernstein is seeking
14:52:13 15 to recover as a plaintiff in that same action.

16 So the evidence will show that Mr. Rose
17 represents Ted Bernstein. Ted Bernstein is
18 adverse to the estate. And now Mr. Rose seeks
19 to represent the estate to which his present
14:52:35 20 client, Ted Bernstein, is adverse in the
21 Stansbury litigation, which is why we are
22 there. Now --

23 THE COURT: Wait. Slow down one second.

24 MR. FEAMAN: Sure.

14:52:44 25 THE COURT: That is something you repeated

1 several times in your motion, but I want you to
2 state it one more time for me slowly.

3 MR. FEAMAN: Yes. The Chicago litigation
4 one of the plaintiffs is Ted Bernstein
14:52:54 5 individually. The Estate of Simon Bernstein
6 has now intervened in that action. And Ted
7 Bernstein as plaintiff is seeking to recover
8 \$1.7 million dollars.

9 Adversely, the Estate of Simon Bernstein
14:53:09 10 seeks to recover that same \$1.7 million dollars
11 and is arguing up there that it should not go
12 to the plaintiffs but should go to the estate.

13 So they are one hundred percent adverse,
14 that would be Ted Bernstein and the Estate of
14:53:27 15 Simon Bernstein.

16 And Mr. Rose represents Ted Bernstein, and
17 now seeks to represent the estate in a
18 similar -- in an action against the estate, and
19 they are both going on at the same time. Thus,
14:53:44 20 the conflict is an attorney cannot represent a
21 plaintiff in an action, whether he is counsel
22 of record in that action or not, that's adverse
23 to the Estate of Simon Bernstein, and at the
24 same time defend the Estate of Simon Bernstein
14:54:03 25 when he has a client that is seeking to deprive

1 the estate of \$1.7 million dollars.

2 Now, if Ted Bernstein and the other
3 plaintiffs in that case were monetary
4 beneficiaries of the estate, I suppose it could
14:54:21 5 be a waivable conflict. However, that's not
6 the case.

7 That drops us to the third box on the --
8 the fourth box on the chart, which is the green
9 one, which deals with the Simon Bernstein
14:54:33 10 Trust. The Simon Bernstein Trust is the
11 residual beneficiary of the Simon Bernstein
12 estate. And once the estate captures that
13 money as a result of the Chicago litigation, if
14 it does, then the trust will eventually accede
14:54:54 15 to that money after payment of creditors, one
16 of which would be or could be my client.

17 And who are the beneficiaries of the
18 trust? So we have the one beneficiary of the
19 Simon Bernstein estate, the Simon Bernstein
14:55:06 20 Trust, and who are the beneficiaries of the
21 trust? Not the children of Simon Bernstein.
22 Not Ted Bernstein. But the grandchildren of
23 Simon Bernstein, some of whom are adults and
24 some of whom are minors in this case. Such
14:55:22 25 that if the estate prevails in the Chicago

1 litigation, even assuming Mr. Stansbury wasn't
2 around making his claim against the estate, if
3 all of the distributions were finally made when
4 the estate wins that Chicago litigation, none
14:55:37 5 of it will ever end up in the hands of Ted
6 Bernstein as plaintiff. The only way
7 Mr. Bernstein can get that money is to prevail
8 as a plaintiff in the Chicago litigation.
9 Mr. Rose represents Mr. Bernstein, and
14:55:54 10 therefore there's a conflict, and it's a
11 non-waivable conflict.

12 And in my final argument when I discuss
13 the law, I will suggest to the Court that the
14 conflict that's presented before the Court is
14:56:11 15 in fact completely non-waivable.

16 THE COURT: Before you sit down, I want
17 you to address one thing that's been raised in
18 their responses. And that is why did it take
19 you so long to file it?

14:56:25 20 MR. FEAMAN: I filed it as soon as I
21 became aware that there was a conflict. For
22 example, when the order that we are seeking to
23 set aside was entered, I was not aware that the
24 Rose law firm represented Ted Bernstein in that
14:56:40 25 Chicago action. My client then brought it to

1 my attention. And as soon as we did that, I
2 moved to set aside the order because it became
3 apparent that there was a clear conflict.

4 Because initially, as I told Brian
14:56:54 5 O'Connell, Mr. Stansbury can't dictate who the
6 estate wishes to hire as its attorneys unless,
7 as it turns out, that attorney represents
8 interests that are adverse to the estate. And
9 that's when we filed our motion to set aside.

14:57:14 10 I got possession of the deposition that
11 will be offered today. The deposition revealed
12 to me what I have summarized here today, this
13 afternoon, and then we moved to set aside the
14 order. And then we thought that wasn't enough,
14:57:30 15 we should do a formal motion to disqualify,
16 which we did.

17 The chronology of the filings, the motion
18 to vacate, I am not sure exactly when that was
19 filed, but it wasn't too long after the entry
14:57:46 20 of the September 7th order, and then the motion
21 to disqualify came after that. And --

22 THE COURT: It was filed October 7th.

23 MR. FEAMAN: Pardon me?

24 THE COURT: It was filed October 7th.

14:57:56 25 MR. FEAMAN: Okay. The motion to vacate?

1 THE COURT: Yes.

2 MR. FEAMAN: Correct. We had to do our
3 due diligence. We got the copy of the
4 deposition, and moved. Because we don't get
14:58:10 5 copies of things that go on up there on a
6 routine basis.

7 THE COURT: Okay. I just wanted to ask
8 what your position was. Okay. All right.
9 Thank you.

14:58:21 10 Opening?

11 MR. ROSE: As a threshold matter, I think
12 even though this is an evidentiary hearing, you
13 are going to receive some documentary evidence,
14 I don't think there's a real need for live
14:58:34 15 testimony, in other words, from witnesses. No,
16 no.

17 THE COURT: Okay.

18 MR. ROSE: I am advising you. I am not
19 asking your opinion of it.

14:58:42 20 THE COURT: Thank you.

21 MR. ROSE: I am advising you. I have
22 spoken to Mr. Feaman.

23 THE COURT: Okay.

24 MR. ROSE: So I don't know there's going
14:58:53 25 to be live witnesses.

1 THE COURT: Okay.

2 MR. ROSE: He has seven documents or eight
3 documents he would like to put in evidence, and
4 I would be happy if they just went into
14:58:59 5 evidence right now.

6 THE COURT: He can decide how he wants to
7 do his case.

8 MR. ROSE: Okay.

9 THE COURT: You can do your opening.

14:59:05 10 MR. ROSE: I think we are going to be
11 making one long legal argument with documents,
12 so.

13 THE COURT: Okay. Well, let's do an
14 opening and then.

14:59:14 15 MR. ROSE: Let me start from the beginning
16 then.

17 THE COURT: Okay.

18 MR. ROSE: So we are here today, and there
19 are three motions that you said you would try
14:59:20 20 to do today. And I don't have any doubt you
21 will get to do all three today given how much
22 time we have and progress we are making and the
23 amount of time Mr. Feaman and I think this will
24 take.

14:59:31 25 THE COURT: Okay.

1 MR. ROSE: The three are completely
2 related. They are all the same. They are
3 three sides of the same coin.

4 Am I blocking you?

14:59:44 5 MR. O'CONNELL: Your Honor, could I step
6 to the side?

7 THE COURT: Yes, absolutely.

8 MR. ROSE: You can have the chart.

9 MR. O'CONNELL: Okay.

14:59:53 10 THE COURT: Mr. Rose, I have to ask you.
11 I received a, I think it was a flash drive, and
12 it had proposed orders on matters that were not
13 necessarily going to be heard today. I don't
14 think I got a flash dive with a proposed order.
15:00:07 15 I did receive Mr. Feaman's on these particular
16 orders.

17 MR. ROSE: I don't think I sent you a
18 flash drive that I recall.

19 THE COURT: Okay. But I did on the other
15:00:17 20 ones. That's what seemed odd to me.

21 MR. ROSE: I am not aware, I am sorry.

22 THE COURT: Okay. That's okay. You may
23 proceed.

24 MR. ROSE: There's three matters today and
15:00:27 25 they are sort of related, and they involve how

1 are we going to deal with the claim by
2 Mr. Stansbury against the Estate of Simon
3 Bernstein.

4 And there are currently three separate
15:00:40 5 proceedings. There's a proceeding in Illinois.
6 It's all taking place in Illinois. There's the
7 probate proceeding which we are here on which
8 is the Estate of Simon Bernstein. And there's
9 the Stansbury litigation that is pending in
15:00:57 10 circuit court. It's just been reassigned to
11 Judge Marx, so we now have a judge, and that
12 case is going to proceed forward. It's set for
13 trial, I believe, in July to September
14 timeframe.

15:01:12 15 So the first thing you are asked to do
16 today is to reconsider a valid court order
17 entered by Judge Phillips on September the 7th.
18 We filed our motion in August, and they had 30
19 days, more than 30 days before the hearing to
15:01:27 20 object or contest the motion to appoint us.

21 The genesis of the motion to appoint us
22 was what happened at mediation. We had a
23 mediation in the summer. The parties signed a
24 written mediation settlement agreement. We
15:01:43 25 have asked Your Honor at next week's hearing to

1 approve the mediation settlement agreement. It
2 is signed by every single one of the ten
3 grandchildren or their court-appointed guardian
4 ad litem, Diana Lewis, who has now been
15:02:02 5 approved by this Court, upheld by the 4th
6 District, and upheld by the Supreme Court this
7 week. So I think it's safe to say that she's
8 going to be here.

9 So the settlement agreement is signed by
15:02:12 10 all of those people. It's signed by my client
11 as the trustee. It's also signed by four of
12 the five children, excluding Eliot Bernstein.

13 And as part of this, once we had a
14 settlement, there was a discussion of how do we
15:02:29 15 get this relatively modest estate to the finish
16 line. And the biggest impediment getting to
17 the finish line is this lawsuit. Until this
18 lawsuit is resolved, his client is something.
19 We can debate what he is. He claims to be an
15:02:46 20 interested person. I think technically under
21 law he is a claimant. Judge, I think even
22 Judge Colin ruled he was not a creditor and
23 denied his motion to remove and disqualify Ted
24 Bernstein as trustee. That was pending and
15:03:03 25 there's an order that does that a long time

1 ago. If I could approach?

2 THE COURT: Sure.

3 MR. ROSE: I don't have the docket entry
4 number. This is in the court file. This was
15:03:12 5 Judge Colin on August 22nd of 2014.

6 THE COURT: I saw it.

7 MR. ROSE: He has been trying to remove me
8 and Mr. Bernstein for like almost three or four
9 years now. But that's only significant because
15:03:24 10 he is not a creditor. He is a claimant. So
11 what we want to do is we want to get his claim
12 to the finish line.

13 So I am not talking about anything that
14 happened at mediation. Mediation is now over.
15:03:35 15 We have a signed settlement agreement.
16 Mr. Stansbury participated in the mediation,
17 but we did not make a settlement with him.
18 Okay.

19 So as a result of the mediation, all the
15:03:46 20 other people, everybody that's a beneficiary of
21 this estate coming together and signing a
22 written agreement, those same people as part of
23 the written agreement said we want this case to
24 finish, and how are we going to do that.

15:03:59 25 Well, let's see. Mr. Stansbury is the

1 plaintiff represented by Mr. Feaman. The
2 estate was represented by -- do you?

3 THE COURT: No.

4 MR. ROSE: I can give you one to have if
15:04:16 5 you want to make notes on.

6 THE COURT: I would like that. I would
7 like that very much.

8 MR. ROSE: That's fine. I have two if you
9 want to have one clean and one with notes.

10 THE COURT: Thank you.

11 MR. ROSE: You will recall -- I don't want
12 to talk out of school because we decided we
13 weren't going to talk out of school. But I got
14 Mr. Feaman's -- like I didn't have a chance to
15:04:33 15 even get this to you because I hadn't seen his
16 until after your deadline, but.

17 THE COURT: This is demonstrative.

18 MR. ROSE: Okay.

19 THE COURT: He can pull up something new
15:04:39 20 demonstrative as well.

21 MR. ROSE: Mr. -- originally the defendant
22 here originally was assigned when he was alive.
23 When he died his estate was substituted in. He
24 hired counsel. His counsel didn't do much in
15:04:54 25 the case because I did all the work because I

1 was representing the companies, Ted Bernstein
2 and another trust. And in January of 2014 the
3 PRs of the estate resigned totally unrelated to
4 this.

15:05:13 5 So in the interim between the original PRs
6 and the appointment of Mr. O'Connell, we had a
7 curator. The curator filed papers, which I
8 filed, it's in the file, but I have sent it to
9 Your Honor, where he admits, he states that he
15:05:27 10 wanted to stay the litigation but he states
11 that I have been doing a great job representing
12 him and he hasn't even had to hire a lawyer yet
13 because he is just piggybacking on the work I
14 am doing.

15:05:36 15 I represented in this lawsuit the very one
16 that Mr. O'Connell wants to retain my firm to
17 handle. And he wants it with the consent --
18 and one thing he said was that there's some
19 people that aren't here. Every single person
15:05:47 20 who is a beneficiary of this estate wants my
21 firm to handle this for the reasons I am about
22 to tell you. And I don't think there's any
23 dispute about it.

24 I was the lawyer that represented the main
15:05:56 25 company LIC and AIM. Those are the shorthands

1 for the two companies. Mr. Stansbury was at
2 one point a ten percent stockholder in these
3 companies. He gave his stock back. Ted
4 Bernstein who is my client, and the Shirley
15:06:11 5 Bernstein trust, I represented all these people
6 in the case for about 15 or 18 months before we
7 settled. I could be off on the timing. But I
8 did all the documents, the production,
9 interviewed witnesses, interviewed everybody
15:06:23 10 you could interview. Was pretty much ready to
11 go to trial other than we had to take the
12 deposition of Mr. Stansbury, and then he had
13 some discovery to do.

14 We went and we settled our case. Because
15:06:33 15 we had a gap, because we didn't have a PR at
16 the time, we were in the curator period,
17 Mr. Brown was unwilling to do anything, so we
18 didn't settle the case.

19 So Mr. O'Connell was appointed, so he is
15:06:45 20 now the personal representative. He doesn't
21 know the first thing about the case. No
22 offense. I mean, he couldn't. You know, it's
23 not expected for him to know the first thing
24 about it. I don't mean the first thing. But
15:06:57 25 he doesn't know much about the case or the

1 facts.

2 We had discussions about hiring someone
3 from his law firm to do it. I met someone from
4 his law firm and provided some basic
15:07:07 5 information, but nothing really happened. We
6 were hopeful we'd settle in July. We didn't
7 settle.

8 So they said the beneficiaries with
9 Mr. O'Connell's consent we want Mr. Rose to
15:07:19 10 become the lawyer and we want Mr. Ted Bernstein
11 to become the administrator ad litem.

12 Now, why is that important? That's the
13 second motion you are going to hear, but it's
14 kind of important.

15:07:28 15 THE COURT: That's the one Phillips
16 deferred?

17 MR. ROSE: Well, what happened was
18 Mr. Feaman filed an objection to it timely.
19 And in an abundance of caution because it might
15:07:39 20 require an evidentiary or more time than we
21 had, Judge Phillips deferred. That was my
22 order. And my main goal was I wanted to get
23 into the case and so we could start going to
24 the status conferences and get this case
15:07:48 25 moving. And what happened was as soon as we

1 had the first status conference and we started
2 the case moving, until we got the motion to
3 disqualify, and stopped and put the brakes on.

4 And this is a bench trial, so there's
15:08:00 5 not -- this is like maybe argument, but it's a
6 little bit related. I believe that Mr. -- this
7 is the case they want to happen first and
8 they're putting the brakes on this case because
9 they want this case to move very slowly.
15:08:13 10 Because the only way there's any money to
11 pay --

12 MR. FEAMAN: Objection.

13 THE COURT: Legal objection?

14 MR. FEAMAN: What counsel believes is not
15:08:18 15 appropriate for --

16 THE COURT: Sustained.

17 MR. ROSE: Okay. So this case -- so
18 anyway. Mr. Bernstein, Ted Bernstein, Ted,
19 Simon and Bill, that's Ted, the dead guy Simon
15:08:36 20 and his client Bill, were the three main
21 shareholders of a company.

22 THE COURT: I got it.

23 MR. ROSE: Ted and Simon started it. They
24 brought Bill in and gave him some stock for a
15:08:46 25 while. Bill is suing for two and a half

1 million dollars. The only person alive on this
2 planet who knows anything about this case is
3 Ted. He has got to be the representative of
4 the estate to defend the case. He has got to
15:09:00 5 be sitting at counsel table. If he is not at
6 counsel table, he is going to be excluded under
7 the exclusionary rule and he will be out in the
8 hallway the whole trial. And whoever is
9 defending the estate won't be able to do it.
15:09:11 10 This guy wants Ted out and me out because we
11 are the only people that know anything about
12 this case.

13 So why is that important? Well, it makes
14 it more expensive. It makes him have a better
15:09:21 15 chance of winning. That's what this is about.
16 And at the same time the Illinois case is
17 really critical here because unless the estate
18 wins the money in Illinois, there's nothing in
19 this estate to pay him.

15:09:33 20 THE COURT: I understand.

21 MR. ROSE: Mr. O'Connell, I proffer, he
22 advised me today there's about \$285,000 of
23 liquid assets in the estate. And we are going
24 to get some money from a settlement if you
15:09:46 25 approve it.

1 Now, Eliot and Mr. Stansbury will probably
2 object to that. It's not for today. So we
3 have a settlement with the lawyers, the ones
4 that withdrew. So we got a little bit of money
15:09:56 5 from that. But there's really not going to be
6 enough money in the estate to defend his case,
7 pay all, do all the other things you got to do.
8 So this is critical for Mr. Stansbury.

9 So the original PR, the guys that
15:10:10 10 withdrew, they refused to participate in this
11 lawsuit because they knew the facts. They knew
12 the truth. They met with Simon. They drafted
13 his documents. So they were not participating
14 in this lawsuit.

15:10:21 15 Mr. Feaman stated in his opening that his
16 client tried to intervene. So Bill tried to
17 intervene directly into Illinois, and the
18 Illinois judge said, no thank you, leave.

19 So when these guys withdrew we got a
15:10:38 20 curator. The curator I objected --

21 THE COURT: Mr. Brown?

22 MR. ROSE: Ben Brown. He was a lawyer in
23 Palm Beach, a very nice man. He passed away in
24 the middle of the lawsuit at a very young age.
15:10:52 25 But he -- the important thing -- I interrupted,

1 and I apologize for objecting. I didn't know
2 what to do. But Mr. Brown didn't say, hey, I
3 want to get in this lawsuit in Illinois; let me
4 jump in here. Mr. Feaman and Mr. Stansbury
15:11:06 5 filed a motion to require Mr. Brown to
6 intervene in the case.

7 THE COURT: In the federal case?

8 MR. ROSE: In the federal case in
9 Illinois. Because it's critical for
10 Mr. Stansbury, it's critical for Mr. Stansbury
11 to get this money into the estate.

12 THE COURT: Into the estate, I understand.

13 MR. ROSE: Okay. So we had a hearing
14 before Judge Colin, a rather contested hearing
15:11:26 15 in front of Judge Colin. Our position was very
16 simple -- one of the things you will see, my
17 client's goals on every one of these cases are
18 exactly the same. Minimize time, minimize
19 expense, maximize distribution. So we have the
15:11:43 20 same goal in every case.

21 All the conflict cases you are going to
22 see all deal with situations where the lawyers
23 have antagonistic approaches and they want --
24 like in one case he has, it's one lawsuit the
15:11:54 25 lawyer wants two opposite results inside the

1 same lawsuit for two different clients. That's
2 completely different. And even that case,
3 which is the Staples case, it was two to one.
4 There was a judge that dissented and said,
15:12:05 5 look, I understand what you are saying, but
6 there's still not really a conflict there.

7 But our goals are those goals.

8 So what we said to Judge Colin is we think
9 the Illinois case is a loser for the estate.
15:12:20 10 We believe the estate is going to lose. The
11 lawyer who drafted the testamentary documents
12 has given an affidavit in the Illinois case
13 saying all his discussions were with Simon.
14 The judge in Illinois who didn't have that when
15:12:31 15 he first ruled had that recently, and he denied
16 their summary judgment in Illinois. So it's
17 going to trial. But that lawyer was the
18 original PR, so he wasn't bringing the suit.

19 Mr. Brown says, I am not touching this.
15:12:45 20 So we had a hearing, and they forced Mr. Brown
21 to intervene with certain conditions. And one
22 of the conditions was very logical. If our
23 goal is to save money and Mr. Stansbury,
24 Mr. Feaman's client, is going to pay the cost
15:12:59 25 of this, he will get it back if he wins, then

1 we got no objection anymore, as long as he is
2 funding the litigation. He is the only guy who
3 benefits from this litigation. None of the --
4 the children and the grandchildren they don't
15:13:12 5 really care.

6 Judge Lewis represents Eliot's three kids
7 versus Eliot. The money either goes to Eliot
8 or his three kids. She's on board with, you
9 know, we don't want to waste estate funds on
15:13:25 10 this. Our goal is to keep the money in the
11 family. He wants the money.

12 This is America. He can file the lawsuit.
13 That's great. But these people should be able
14 to defend themselves however they choose to see
15:13:36 15 fit. But the critical thing about this is
16 Mr. Brown didn't do anything in here. Judge
17 Colin said, you can intervene as long as he is
18 paying the bills. And that's an order. Well,
19 that order was entered a long time ago. It was
15:13:48 20 not appealed.

21 So one of the things, the third thing you
22 are being asked to do today is vacate that
23 order, you know. And I did put in my motion,
24 and I don't know if it was ad hominem toward
15:13:58 25 Mr. Feaman, it really was his client, his

1 client is driving this pace. He is driving us
2 to zero. I mean, we started this estate with
3 over a million dollars. He has fought
4 everything we do every day. It's not just
15:14:11 5 Eliot. Eliot is a lot of this. Mr. Stansbury
6 is driving us to zero as quickly as possible.

7 So in the Illinois case the estate is
8 represented by Stamos and Trucco. They are
9 hired by, I think, Ben Brown but was in
15:14:27 10 consultation with Mr. Feaman. They
11 communicated -- the documents will come into
12 evidence. I am assuming he is going to put the
13 documents on his list in evidence.

14 You will see e-mails from Mr. Stamos from
15:14:39 15 the Stamos Trucco firm, they e-mailed to
16 Mr. O'Connell, and they copied Bill Stansbury
17 and Peter Feaman because they are driving the
18 Illinois litigation. I don't care. They can
19 drive it. I think it's a loser. They think
15:14:50 20 it's a winner. We'll find out in a trial.

21 They are supposed to be paying the bills.
22 I think the evidence would show his client's in
23 violation of Judge Colin's orders because his
24 client hasn't paid the lawyer all the money
15:15:00 25 that's due. And Mr. O'Connell, I think, can

1 testify to that. I don't think it's a disputed
2 issue. But the lawyer's been paid 70 and he is
3 owed 40, which means Mr. Feaman's client is
4 right now technically in violation of a court
15:15:12 5 order.

6 I have asked numerous times for them to
7 give me the information. I just got it this
8 morning. But I guess I can file a motion to
9 hold him in contempt for violating a court
15:15:21 10 order.

11 But in the Chicago case the plaintiff is
12 really not Ted Bernstein, although he probably
13 nominally at some point was listed as a
14 plaintiff in the case. The plaintiff is the
15:15:32 15 Simon Bernstein 1995 irrevocable life insurance
16 trust. According to the records of the
17 insurance company, the only person named as a
18 beneficiary is a defunct pension plan that went
19 away.

15:15:45 20 THE COURT: Net something net something,
21 right?

22 MR. ROSE: Right. And then the residual
23 beneficiary is this trust. And these are
24 things Simon -- he filled out one designation
15:15:53 25 form in '95 and he named the 95 trust.

1 THE COURT: But there's no paperwork,
2 right?

3 MR. ROSE: We can't find the paperwork.
4 Not me. It was not me. I have nothing to do
15:16:01 5 with it. I said we. I wanted to correct the
6 record because it will be flown up to Illinois.

7 Whoever it is can't find the paperwork.
8 So there's a proceeding, and it happens in
9 every court, and there's Illinois proceedings
15:16:11 10 to determine how do you prove a lost trust.

11 This lawsuit is going to get resolved one
12 way or the other. But in this lawsuit the 95
13 trust Ted Bernstein is the trustee, so he
14 allowed, though under the terms of the trust in
15:16:24 15 this case, and we cited it to you twice or
16 three times, under Section 4J of the trust on
17 page 18 of the Simon Bernstein Trust, it says
18 that you can be the trustee of my trust, Simon
19 said you can be the trustee of my trust even if
15:16:41 20 you have a different interest as a trustee of a
21 different trust. So that's not really an
22 issue. And up in Chicago Ted Bernstein is the
23 trustee of the 95 trust. He is represented by
24 the Simon law firm in Chicago.

15:16:52 25 I have never appeared in court. He is

1 going to put in all kinds of records. My name
2 never appears -- I have the docket which he
3 said can come into evidence. I don't appear on
4 the docket.

15:17:02 5 Now, I have to know about this case though
6 because I represent the trustee of the
7 beneficiary of this estate. I've got to be
8 able to advise him. So I know all about his
9 case. And he was going to be deposed.

15:17:14 10 Guess who was at his deposition? Bill
11 Stansbury. Bill Stansbury was at his
12 deposition, sat right across from me. Eliot,
13 who is not here today, was at that deposition,
14 and Eliot got to ask questions of him at that
15:17:27 15 deposition. He wanted me at the deposition.
16 He is putting the deposition in evidence. If
17 you study the deposition, all you will see is
18 on four occasions I objected on what grounds?
19 Privilege. Be careful what you talk about; you
15:17:40 20 are revealing attorney/client privilege.

21 That's all I did. I didn't say, gee, don't
22 give them this information or that information.
23 And if I objected incorrectly, they should have
24 gone to the judge in Illinois. And I guarantee
15:17:50 25 you there's a federal judge in Illinois that if

1 I had objected improperly would have overruled
2 my objections. I instructed him to protect his
3 attorney/client privilege. That's what I was
4 there for, to advise him and to defend him at
15:18:00 5 deposition and to protect him. That's all I
6 did in the Illinois case. And that is over.

7 Now, I am rooting like crazy that the
8 estate loses this case in one sense because
9 that's what everybody that is a beneficiary of
15:18:18 10 my trust wants. But I could care less how that
11 turns out, you know, from a legal standpoint.
12 I don't have an appearance in this case. And
13 everyone up there is represented by lawyers.

14 So what we have now is we have this motion
15:18:36 15 which seeks to disqualify my law firm. We
16 still have the objection to Ted serving as the
17 administrator ad litem. And I think those two
18 kind of go hand in hand.

19 There's another component you should know
15:18:50 20 about that motion. But as I told you, our
21 goals are to reduce expense.

22 The reason that everybody wanted Ted to
23 serve as the administrator ad litem, so he
24 would sort of be the representative of the
15:19:03 25 estate, because he said he would do that for

1 free.

2 THE COURT: I remember.

3 MR. ROSE: Mr. O'Connell is a
4 professional. He is not going to sit there for
15:19:13 5 free for a one-week, two-week jury trial and
6 prepare and sit for deposition. That's enough
7 money -- just his fees alone sitting at trial
8 are enough to justify everything -- you know,
9 it's a significant amount of money.

10 15:19:27 So that's what's at issue today.

11 But their motion for opening statement,
12 and I realize this is going to overlap, my
13 other will be --

14 THE COURT: Which motion?

15 15:19:40 MR. ROSE: The disqualification.

16 THE COURT: I wasn't sure.

17 MR. ROSE: I got you. That was sort of
18 first up. All right. So I am back. That's
19 the background. You got the background for the
15:19:48 20 disqualification motion. This is an adversary
21 in litigation trying to disqualify me.

22 I think it is a mean-spirited motion by
23 Mr. Stansbury designed to create chaos and
24 disorder and raise the expense, maybe force the
15:20:04 25 estate into a position where they have to

1 settle, because now they don't have a
2 representative or an attorney that knows
3 anything about the case.

4 MR. FEAMAN: Objection.

15:20:11 5 THE COURT: Legal objection?

6 MR. FEAMAN: Comments on the motivation or
7 intention of opposing counsel in opening
8 statement is not proper.

9 THE COURT: I will allow it only -- mean
15:20:25 10 spirited I will strike. The other comments I
11 will allow because under Rule 4-1.7, and I may
12 be misquoting, but it is one of the two rules
13 we have been looking at under the Florida Bar,
14 the commentary specifically talks about an
15:20:42 15 adverse party moving to disqualify and the
16 strategy may be employed. So I will allow that
17 portion of his argument, striking mean
18 spirited.

19 MR. ROSE: Okay. If you turn to tab 2 of
15:20:53 20 the -- we, I think, sent you a very thin
21 binder.

22 THE COURT: Yes, you did.

23 MR. ROSE: We had already sent you the
24 massive book a long time ago.

15:20:59 25 THE COURT: Yes.

1 MR. ROSE: And I think all I sent you was
2 the very thin binder. If you turn to Tab 2.

3 THE COURT: In any other world this would
4 have been a nice sized binder. In this
15:21:06 5 particular case you are indeed correct, this is
6 a very thin binder.

7 MR. ROSE: Okay. If you flip to page
8 2240 --

9 THE COURT: I am just teasing you, sorry.

10 15:21:15 MR. ROSE: -- which is about five or six
11 pages in.

12 THE COURT: Yes.

13 MR. ROSE: This is where a conflict is
14 charged by opposing party.

15 15:21:22 THE COURT: Yes.

16 MR. ROSE: It's part of Rule 4-1.7. These
17 two rules have a lot of overlap.

18 And I would point for the record I did not
19 say that Mr. Feaman was mean spirited. I
15:21:32 20 specifically said mean spirited by his client.

21 THE COURT: Thank you.

22 MR. ROSE: So conflicts charged by the
23 opponent, and this is just warning you that
24 this can be used as a technique of harassment,
15:21:40 25 and that's why I am tying that in.

1 But the important things are I have never
2 represented Mr. Stansbury in any matter.
3 Generally in a conflict of interest situation
4 you will see I represented him. I don't have
15:21:56 5 any confidential information from
6 Mr. Stansbury. I have only talked to him
7 during his deposition. It wasn't very
8 pleasant. And if you disqualify me to some
9 degree my life will be fine, because this is
15:22:07 10 not the most fun case to be involved in. I am
11 doing it because I represent Ted and we are
12 trying to do what's right for the
13 beneficiaries.

14 THE COURT: Appearance for the record.
15:22:18 15 Someone just came in.

16 MR. ELIOT BERNSTEIN: Hi. Eliot Ivan
17 Bernstein.

18 THE COURT: Thank you.

19 MR. ELIOT BERNSTEIN: I am pro se, ma'am.

15:22:24 20 THE COURT: Thank you. You may proceed.
21 I just wanted the court reporter to know.

22 MR. ELIOT BERNSTEIN: Thank you, Your
23 Honor.

24 MR. ROSE: I don't have any confidential
15:22:28 25 information of Mr. O'Connell. He is the PR of

1 the estate. I don't know anything about
2 Mr. O'Connell that would compromise my ability
3 to handle this case. I am not sure he and I
4 have ever spoken about this case. But in
15:22:39 5 either case, I don't have any information.

6 So I can't even understand why they are
7 saying this is a conflict of interest. But the
8 evidence will show, if you look at the way
9 these are set up, these are three separate
15:22:50 10 cases, not one case. And nothing I am doing in
11 this case criticizes what I am doing in this
12 case. Nothing I am doing -- the outcome of
13 this case is wholly independent of the outcome
14 of this case. He could lose this case and win
15:23:05 15 this case. He could lose this case and lose
16 this case. I mean, the cases have nothing to
17 do with the issues.

18 Who gets the insurance proceeds? Bill
19 Stansbury is not even a witness in that case.
15:23:17 20 It has nothing to do with the issue over here,
21 how much money does Bill Stansbury get? So
22 you've got wholly unrelated, and that's the
23 other part of the Rule 4-1.9 and 4-1.7, it
24 talks about whether the matters are unrelated.
15:23:31 25 And I guess when I argue the statute I will

1 argue the statute for you.

2 At best what the evidence is going to show
3 you -- and I am not trying to win this on a
4 technicality. I want to win this like up or
15:23:43 5 down and move on. Because this estate can't --
6 this delay was torture to wait this long for
7 this hearing.

8 But if I showed up at Ted's deposition,
9 and I promise you I will never show up again, I
15:23:57 10 am out of that case, this is a conflict of
11 interest with a former client. I have ceased
12 representing him at his deposition. He is
13 never going to be deposed again. If it's a
14 conflict of interest with a former client, all
15:24:09 15 these things are the prerogative of the former
16 client. They are not the prerogative of the
17 new client. The new client it's not the issue.
18 So if I represented Ted in his deposition, I
19 cannot represent another person in the same or
15:24:21 20 a substantially related matter.

21 So I can't represent the estate in this
22 case because I sat at Ted's deposition, unless
23 the former client gives informed consent. He
24 could still say, hey, I don't care, you do the
15:24:35 25 Illinois case for the estate. I wouldn't do

1 that, but that's what the rule says. Use
2 information. There's no information. I am not
3 even going to waste your time. Reveal
4 information. So there's no information. If
15:24:46 5 this is the rule we are traveling under, you
6 deny the motion and we go home and move on and
7 get back to litigation. If we are traveling
8 under this rule, I cannot under 4-1.7 --

9 MR. FEAMAN: Excuse me, Your Honor, this
15:25:00 10 sounds more like final argument than it does
11 opening statement what the evidence is going to
12 show.

13 THE COURT: Overruled.

14 MR. ROSE: So under 4-1.7, except as in b,
15:25:17 15 and I am talking about b because that's maybe
16 the only piece of evidence we may need is the
17 waiver. I have a written waiver. I think it
18 has independent legal significance. Because if
19 I obtained his writing in writing, I think it's
15:25:30 20 admissible just because Mr. O'Connell signed
21 it. But they object, they may object to the
22 admission of the waiver, so I may have to put
23 Mr. O'Connell on the stand for two seconds and
24 have him confirm that he signed the waiver
15:25:40 25 document.

1 But except if it's waived, now let's put
2 that aside. We never even get to the waiver.
3 The representation of one client has to be
4 directly adverse to another client. So
15:25:53 5 representing Ted in his deposition is not --
6 has nothing to do -- first of all, Ted had
7 counsel representing him directly adverse. I
8 was there protecting him as trustee, protecting
9 his privileges, getting ready for a trial that
15:26:07 10 we had before Judge Phillips where he upheld
11 the validity of the documents, determined that
12 Ted didn't commit any egregious wrongdoing.
13 That's the December 15th trial. It's on appeal
14 to the 4th District. That's what led to having
15:26:23 15 Eliot determined to have no standing, to Judge
16 Lewis being appointed as guardian for his
17 children. That was the key. That was the only
18 thing we have accomplished to move the thing
19 forward was that, but we had that.

15:26:34 20 But that's why I was at the deposition,
21 but it was not directly adverse to the estate.

22 Number two, there's a substantial risk
23 that the representation of one or more clients
24 will be materially limited by my
15:26:52 25 responsibilities to another. I have asked them

1 to explain to me how might -- how what I want
2 to do here, which is to defend these people
3 that I have been doing -- I have asked
4 Mr. Feaman to explain to me how what I am doing
15:27:06 5 to defend the estate, like I defended all these
6 people against his client, could possibly be
7 limited by my responsibilities to Ted. My
8 responsibilities to Ted is to win this lawsuit,
9 save the money for his family, determine his
15:27:19 10 father did not defraud Bill Stansbury. So I am
11 not limited in any way.

12 So if you don't find one or two, you don't
13 even get to waiver. But if you get to waiver,
14 and this is evidence, it's one of the -- I only
15:27:34 15 gave you three new things in the binder. One
16 was the waiver. One was the 57.105 amended
17 motion.

18 I think the significance of that is after
19 I got the waiver, after I got a written waiver,
15:27:46 20 I thought that changed the game a little bit.
21 You know, if you are a lawyer and you file a
22 motion to disqualify -- so when I got the
23 written waiver --

24 MR. FEAMAN: Your Honor --

15:27:54 25 THE COURT: Legal objection.

1 MR. FEAMAN: Not part of opening statement
2 when you are commenting on a 57.105 motion --

3 THE COURT: Sustained.

4 MR. FEAMAN: -- that you haven't even seen
15:28:01 5 yet.

6 THE COURT: Sustained.

7 MR. FEAMAN: Thank you.

8 THE COURT: Sustained.

9 MR. ROSE: I got a waiver signed by
15:28:08 10 Mr. O'Connell. I had his permission, but I got
11 a formal written waiver. And it was after our
12 first hearing, and it was after -- so I sent it
13 to Mr. Feaman.

14 But if you look under the rule, it's a
15:28:21 15 clearly waivable conflict. Because I am not
16 taking an antagonistic position saying like the
17 work I did in the other case was wrong or this
18 or that.

19 And if you look at the rules of
15:28:31 20 professional conduct again, and we'll do it in
21 closing, but I am the one who is supposed to
22 decide if I have a material limitation in the
23 first instance. That's what the rules direct.
24 Your Honor reviews that. But in the first
15:28:44 25 instance I do not have any material limitation

1 on my ability to represent the estate
2 vigorously, with all my heart, with everything
3 my law firm's resources, and with Ted's
4 knowledge of the case and the facts to defend
15:29:01 5 his case, there is no limitation and there's no
6 substantial risk that I am not going to do the
7 best job possible to try to protect the estate
8 from this claim.

9 And I think we would ask that you deny the
15:29:12 10 motion to disqualify on the grounds that
11 there's no conflict, and the waiver for
12 Mr. O'Connell would resolve it.

13 And we also would like you to appoint Ted
14 Bernstein. There's no conflict of interest in
15:29:25 15 him defending the estate as its representative
16 through trial to try to protect the estate's
17 money from Mr. Stansbury. It's not like Ted or
18 I are going to roll over and help Mr. Stansbury
19 or sell out the estate for his benefit. That's
15:29:41 20 what a conflict would be worried about. We are
21 not taking a position in -- we are not in the
22 case yet, obviously. If you allow us to
23 continue in this case, we are not going to take
24 a position in this case which is different from
15:29:53 25 any position we have ever taken in any case

1 because all --

2 THE COURT: Just for the record, for the
3 record, I see you pointing. So you are not
4 taking a position in the Palm Beach circuit
15:30:02 5 court --

6 MR. ROSE: Case.

7 THE COURT: -- civil case --

8 MR. ROSE: Different than we've --

9 THE COURT: -- that's different than
15:30:07 10 probate or even the insurance proceeds?

11 MR. ROSE: Correct. Different from what
12 we did in the federal case in Illinois,
13 different from we are taking in the probate
14 case. Or more importantly, in fact most
15:30:17 15 importantly, we are not taking a position
16 differently than we took when I represented
17 other people in the same lawsuit.

18 You have been involved in lawsuits where
19 there are eight defendants and seven settled
15:30:27 20 and the last guy says, well, gee, let me hire
21 this guy's lawyer, either he is better or my
22 lawyer just quit or I don't have a lawyer. So
23 but I am not taking a position like here we
24 were saying, yeah, he was a terrible guy, he
15:30:38 25 defrauded you, and now we are saying, oh, no,

1 it's not, he didn't defraud you. That would be
2 a conflict. We have defended the case by
3 saying that Mr. Stansbury's claim has no merit
4 and we are going to defend it the same way.

15:30:49 5 And then that's what we'd like to do with
6 the Florida litigation, and then time
7 permitting we'd like to discuss the Illinois
8 litigation, because we desperately need a
9 ruling from Your Honor on the third issue you
15:31:00 10 set for today which is are you going to vacate
11 Judge Colin's order and free Mr. Stansbury of
12 the duty to fund the Illinois litigation.

13 Judge Colin entered the order. The issue
14 was raised multiple times before Judge
15:31:14 15 Phillips. He wanted to give us his ruling one
16 day, and we -- you know, he didn't. We were
17 supposed to set it for hearing. We had
18 numerous hearings set on that motion, the
19 record will reflect, and those were all
15:31:26 20 withdrawn. And now that they have a new judge,
21 I think they are coming back with the same
22 motion to be excused from that, and that's the
23 third thing you need to decide today.

24 THE COURT: All right.

15:31:36 25 MR. ROSE: Unless you have any questions,

1 I'll --

2 THE COURT: Give me one second to finish
3 my notes. Just one second, please. I have to
4 clean things up immediately or I go back and
15:33:38 5 look and sometimes my typos kill me. Just one
6 more second.

7 Mr. Feaman, back to you.

8 MR. FEAMAN: Thank you.

9 THE COURT: Feaman, forgive me.

15:34:17 10 MR. FEAMAN: No problem.

11 I would offer first, Your Honor, as
12 Exhibit 1 --

13 THE COURT: I am going to do a separate
14 list so I will keep track of all the exhibits.
15:34:31 15 So Exhibit 1, go ahead.

16 MR. FEAMAN: It's a --

17 THE COURT: Stansbury Exhibit 1?

18 MR. FEAMAN: Yes.

19 THE COURT: Go ahead.

15:34:41 20 MR. FEAMAN: May I approach, Your Honor?

21 THE COURT: You may. Has everybody seen a
22 copy?

23 MR. FEAMAN: Yes.

24 MR. ROSE: I have seen a copy. Do you
15:34:48 25 have an extra copy?

1 MR. FEAMAN: Sure. We have one for
2 everybody.

3 THE COURT: It appears to be United States
4 District Court Northern District of Illinois
15:35:03 5 Eastern Division.

6 MR. FEAMAN: There's exhibit stickers on
7 the back.

8 MR. ROSE: Just for the record, I have no
9 objection to the eight exhibits he has given,
15:35:13 10 and he can put them in one at a time.

11 THE COURT: Okay. Great.

12 MR. ROSE: But no objection.

13 THE COURT: Okay. This is the first one
14 in the complaint.

15:35:27 15 MR. FEAMAN: And we offer Exhibit 1, Your
16 Honor, for the purpose as shown on the first
17 page of the body of the complaint where it
18 lists the parties, that the plaintiffs are
19 listed, and Ted Bernstein is shown individually
15:35:43 20 as the plaintiff in that action.

21 THE COURT: Give me one second. I have to
22 mark as Claimant Stansbury's into evidence
23 Exhibit 1.

24 ///

25 ///

1 (Claimant Stansbury's Exb. No. 1,
2 Complaint, United States District Court Northern
3 District of Illinois.)

4 THE COURT: And you are saying on page
15:35:57 5 two?

6 MR. FEAMAN: Yes. After the style of the
7 case, the first page of the body under the
8 heading Claimant Stansbury's First Amended
9 Complaint, the plaintiff parties are listed.

10 THE COURT: Yes.

11 MR. FEAMAN: And it shows Ted Bernstein
12 individually as a plaintiff in that action.

13 THE COURT: Okay.

14 MR. FEAMAN: May I approach freely, Your
15:36:20 15 Honor?

16 THE COURT: Yes, absolutely, as long as
17 you are no way mad.

18 MR. FEAMAN: And, Your Honor, William
19 Stansbury offers as Exhibit 2 a certified copy
15:36:41 20 of the motion to intervene filed by the Estate
21 of Simon Bernstein in the same case, the United
22 States District Court for the Northern District
23 of Illinois, the Eastern Division.

24 THE COURT: So received.

25 ///

1 (Claimant Stansbury's Exb. No. 2, Motion
2 to Intervene, United States District Court Northern
3 District of Illinois.)

4 MR. FEAMAN: Thank you.

15:37:10 5 And the purpose for Exhibit 2, among
6 others, is shown on paragraph seven on page
7 four where it is alleged that the Estate of
8 Simon Bernstein is entitled to the policy
9 proceeds as a matter of law asserting the
10 estate's interest in the Chicago litigation.

11 THE COURT: Okay.

12 MR. FEAMAN: Next, Your Honor, I would
13 offer Stansbury's Exhibit 4.

14 THE COURT: We have gone past Exhibit 3.

15:38:17 15 MR. FEAMAN: I am going to do that next.

16 THE COURT: Okay.

17 MR. FEAMAN: I think chronologically it
18 makes more sense to offer 4 at this point.

19 THE COURT: Sure.

15:38:25 20 MR. FEAMAN: Exhibit 4, Your Honor, is a
21 certified copy again in the same case, United
22 States District Court for the Northern District
23 of Illinois Eastern Division. It's a certified
24 copy of the federal court's order granting the
15:38:41 25 motion of the estate by and through Benjamin

1 Brown as the curator granting the motion to
2 intervene in that action.

3 And the purpose of this exhibit is found
4 on page three under the analysis section where
15:39:09 5 the court writes that why the estate should be
6 allowed to intervene, showing that the setting
7 up, I should say, a competing interest between
8 the Estate of Simon Bernstein and the
9 plaintiffs in that action, one of whom is Ted
15:39:36 10 Bernstein individually.

11 THE COURT: All right.

12 (Claimant Stansbury's Exb. No. 4, Order
13 Granting the Motion to Intervene, United States
14 District Court Northern District of Illinois.)

15:39:59 15 THE COURT: You may proceed.

16 MR. FEAMAN: Thank you.

17 THE COURT: I generally do with everybody,
18 I put all the evidence right here so if anybody
19 wants to approach and look.

15:40:22 20 Okay. This is now 3?

21 MR. FEAMAN: Yes, Your Honor.

22 THE COURT: Okay.

23 MR. ELIOT BERNSTEIN: Excuse me, what did
24 you say?

15:40:29 25 MR. FEAMAN: She puts them there so if you

1 want to look at them you can see them.

2 THE COURT: The ones that have been
3 entered into evidence.

4 MR. ELIOT BERNSTEIN: Okay. He just gave
15:40:38 5 me a copy of everything.

6 THE COURT: Yes.

7 MR. FEAMAN: Exhibit 3, Your Honor, is
8 offered at this time it is a certified copy of
9 the, again in the same court United States
10 District Court Northern District of Illinois,
11 it is actual intervenor complaint for
12 declaratory judgment filed by Ben Brown as
13 curator and administrator ad litem of the
14 Estate of Simon Bernstein seeking the insurance
15:41:12 15 proceeds that are at issue in that case and
16 setting up the estate as an adverse party to
17 the plaintiffs.

18 THE COURT: So received.

19 (Claimant Stansbury's Exb. No. 3,
15:41:29 20 Complaint for Declaratory Judgement by Intervenor,
21 United States District Court Northern District of
22 Illinois.)

23 THE COURT: Thank you very much.

24 MR. FEAMAN: You are welcome.

15:41:47 25 Mr. Stansbury now offers as Exhibit 5 a

1 certified copy again for the United States
2 District Court Northern District of Illinois,
3 the answer to the intervenor complaint filed by
4 the estate, which was Exhibit 3. Exhibit 5 is
15:42:08 5 the answer filed by the plaintiffs.

6 And this is offered for the purpose as set
7 forth at page three, the plaintiff Simon
8 Bernstein -- excuse me -- the plaintiff's Simon
9 Bernstein irrevocable trust which is different
15:42:33 10 from the Simon Bernstein Trust that's the
11 beneficiary of the Simon Bernstein estate down
12 here, and Ted Bernstein individually and the
13 other plaintiffs answering the complaint filed
14 by the estate. And requesting on page seven in
15:42:54 15 the wherefore clause that the plaintiffs
16 respectfully request that the Court deny any of
17 the relief sought by the intervenor in their
18 complaint and enter judgment against the
19 intervenor and award plaintiffs their costs and
15:43:12 20 such other relief.

21 THE COURT: Just give me one second.

22 MR. FEAMAN: Thank you.

23 (Claimant Stansbury's Exb. No. 5, Answer
24 to Intervenor Complaint, United States District
15:43:56 25 Court Northern District of Illinois.)

1 THE COURT: I am sorry, I am having a
2 problem with my computer again. Give me just
3 one minute.

4 MR. FEAMAN: Exhibit 6 is a certified copy
15:44:16 5 of the -- I am sorry, are you ready?

6 THE COURT: Yes, I am.

7 MR. FEAMAN: Thank you.

8 THE COURT: Exhibit 6 is a certified copy?

9 MR. FEAMAN: Of the deposition taken by
15:44:34 10 the Estate of Simon Bernstein in the same
11 action, United States District Court for the
12 Northern District of Illinois of Ted Bernstein
13 taken on May 6, 2015.

14 THE COURT: Okay.

15:45:00 15 (Claimant Stansbury's Exb. No. 6,
16 Deposition of Ted Bernstein 5-6-15, United States
17 District Court Northern District of Illinois.)

18 MR. FEAMAN: And the highlights of that
19 deposition, Your Honor, are shown on the first
15:45:10 20 page showing the style of the case and noting
21 the appearances of counsel on behalf of Ted
22 Bernstein in that action, Adam Simon of the
23 Simon Law Firm, Chicago, Illinois, and Alan B.
24 Rose, Esquire of the Mrachek Fitzgerald law
15:45:31 25 firm of West Palm Beach, and James Stamos, the

1 attorney for the Estate of Simon Bernstein in
2 Chicago, Illinois.

3 I will not read it into the record. I
4 will just read three excerpts into the record
15:45:48 5 in the interests of time, although I am
6 offering the entire thing.

7 THE COURT: Okay.

8 MR. FEAMAN: So that we don't go back and
9 forth with I will read this, you read that. So
15:45:57 10 I am offering it entirely, but I would
11 highlight three excerpts.

12 MR. ROSE: Just with respect to the
13 documents coming into evidence, it has yellow
14 highlighting. Can he represent that he has
15:46:08 15 yellow highlighted everywhere where my name
16 appears?

17 MR. FEAMAN: Yes.

18 MR. ROSE: And therefore we don't have to
19 bother with places like searching the record.

15:46:15 20 MR. FEAMAN: That's correct. I
21 highlighted everybody's copy.

22 MR. ROSE: I have no objection.

23 THE COURT: Okay.

24 MR. ROSE: I just wanted the record to be
15:46:21 25 clear that the yellow highlighting reflects the

1 places where I either spoke or my name came up.

2 MR. FEAMAN: That's correct.

3 THE COURT: Okay.

4 MR. ROSE: Thank you, Your Honor.

15:46:28 5 MR. FEAMAN: The first subpart I was
6 reading into the record would be beginning at
7 page 63, line 20, statement by Mr. Rose. "This
8 is Alan Rose, just for the record. Since I am
9 Mr. Bernstein's personal counsel, he is not
10 asserting the privilege as to communications of
11 this nature as responded in your e-mail. He is
12 asserting privilege to private communications
13 he had one on one with Robert Spallina who he
14 considered to be his counsel. That's the
15:47:10 15 position for the record and that's why the
16 privilege is being asserted."

17 The second -- although the ones I am going
18 to read into the record are not all of them,
19 but just three different examples. The second
15:47:31 20 one would be at page 87, line six, statement by
21 Mr. Rose. "I am going to object, instruct him
22 not to answer based on communications he had
23 with Mr. Spallina. But you can ask the
24 question with regard to information that
15:47:59 25 Spallina disseminated to third parties or."

1 The next item is found on page 93, line
2 one, "Objection to form."

3 THE COURT: Okay.

4 MR. FEAMAN: Next I will offer Exhibits 7
15:48:52 5 and 8 at the same time because they are
6 related, and I will describe them for the
7 record.

8 THE COURT: Exhibit 7 is. Thank you. And
9 8.

10 MR. FEAMAN: You are welcome.

11 Exhibit 7 is an e-mail from
12 TheodoreKuyper@StamosTrucco.com, attorneys for
13 the estate in the Chicago action, to Brian
14 O'Connell or BOConnell@CiklinLubitz.com, with a
15:50:02 15 copy to Peter Feaman and William Stansbury,
16 enclosing a court ruling, dated January 31st,
17 2017, enclosing a court ruling. And in the
18 last line saying in the interim, quote, we
19 appreciate your comments regarding the Court's
15:50:31 20 ruling.

21 And then Exhibit 8 is an e-mail from James
22 Stamos, attorney for the estate in the Chicago
23 action, sent Tuesday, February 14th, 2017, to
24 Brian O'Connell, Peter Feaman, William
15:50:53 25 Stansbury, saying, quote, See below. What is

1 our position on settlement?, close quote. I
2 think he is right about the likely trial
3 setting this summer.

4 The e-mail response to an e-mail from
15:51:10 5 counsel for the plaintiffs in the Chicago
6 action that solicits information concerning a
7 demand for settlement.

8 And we'll save comment and argument on
9 those exhibits for final argument, Your Honor.

15:51:52 10 THE COURT: Okay.

11 (Claimant Stansbury's Exb. No. 7, E-mail,
12 1-31-2017, Theodore Kuyper to Brian O'Connell,
13 etc.)

14 (Claimant Stansbury's Exb. No. 8, E-mail,
15:51:57 15 2-14-2017, James Stamos to Brian O'Connell, etc.)

16 MR. ELIOT BERNSTEIN: Your Honor?

17 MR. FEAMAN: Next --

18 MR. ELIOT BERNSTEIN: Sorry, thought you
19 were done.

15:52:02 20 MR. FEAMAN: Next I would call Brian
21 O'Connell to the stand.

22 THE COURT: Okay.

23 - - -

24 Thereupon,

25 BRIAN O'CONNELL,

1 a witness, being by the Court duly sworn, was
2 examined and testified as follows:

3 THE WITNESS: I do.

4 THE COURT: Have a seat. Thank you very
15:52:20 5 much.

6 Before we start I need six minutes to use
7 the restroom. I will be back in six minutes.

8 (A recess was taken.)

9 THE COURT: All right. Call
15:58:54 10 Mr. O'Connell. I apologize. Let's proceed.

11 MR. FEAMAN: Thank you, Your Honor.

12 DIRECT (BRIAN O'CONNELL)

13 BY MR. FEAMAN:

14 Q. Please state your name.

15:58:59 15 A. Brian O'Connell.

16 Q. And your business address?

17 A. 515 North Flagler Drive, West Palm Beach,
18 Florida.

19 Q. And you are the personal representative,
15:59:09 20 the successor personal representative of the Estate
21 of Simon Bernstein; is that correct?

22 A. Yes.

23 Q. And I handed you during the break Florida
24 Statute 733.602. Do you have that in front of you?

15:59:22 25 A. I do.

1 Q. Would you agree with me, Mr. O'Connell,
2 that as personal representative of the estate that
3 you have a fiduciary duty to all interested persons
4 of the estate?

15:59:34 5 A. To interested persons, yes.

6 Q. Okay. Are you aware that Mr. Stansbury,
7 obviously, has a lawsuit against the estate,
8 correct?

9 A. Correct.

15:59:44 10 Q. And he is seeking damages as far as you
11 know in excess of \$2 million dollars; is that
12 correct?

13 A. Yes.

14 Q. Okay. And the present asset value of the
15:59:55 15 estate excluding a potential expectancy in Chicago
16 I heard on opening statement was around somewhere a
17 little bit over \$200,000; is that correct?

18 A. Correct.

19 Q. And --

16:00:11 20 A. Little over that.

21 Q. Okay. And you are aware that in Chicago
22 the amount at stake is in excess of \$1.7 million
23 dollars, correct?

24 A. Yes.

16:00:21 25 Q. And if the estate is successful in that

1 lawsuit then that money would come to the Estate of
2 Simon Bernstein, correct?

3 A. Correct.

4 Q. And then obviously that would quintuple,
16:00:35 5 if my math is correct, the assets that are in the
6 estate right now; is that correct?

7 A. They would greatly enhance the value of
8 the estate, whatever the math is.

9 Q. Okay. So would you agree that
16:00:45 10 Mr. Stansbury is reasonably affected by the outcome
11 of the Chicago litigation if he has an action
12 against the estate in excess of two million?

13 A. Depends how one defines a claimant versus
14 a creditor. He certainly sits in a claimant
16:01:04 15 position. He has an independent action.

16 Q. Right.

17 A. So on that level he would be affected with
18 regard to what happens in that litigation if his
19 claim matures into an allowed claim, reduced to a
16:01:19 20 judgment in your civil litigation.

21 Q. So if he is successful in his litigation,
22 it would -- the result of the Chicago action, if
23 it's favorable to the estate, would significantly
24 increase the assets that he would be able to look
16:01:33 25 to if he was successful either in the amount of

1 300,000 or in an amount of two million?

2 A. Right. If he is a creditor or there's a
3 recovery then certainly he would benefit from that
4 under the probate code because then he would be
16:01:48 5 paid under a certain priority of payment before
6 beneficiaries.

7 Q. All right. And so then Mr. Stansbury
8 potentially could stand to benefit from the result
9 of the outcome of the Chicago litigation depending
16:02:08 10 upon the outcome of his litigation against the
11 estate?

12 A. True.

13 Q. Correct?

14 A. Yes.

16:02:13 15 Q. So in that respect would you agree that
16 Mr. Stansbury is an interested person in the
17 outcome of the estate in Chicago?

18 A. I think in a very broad sense, yes. But
19 if we are going to be debating claimants and
16:02:26 20 creditors then that calls upon certain case law.

21 Q. Okay.

22 A. But I am answering it in sort of a general
23 financial sense, yes.

24 Q. Okay. We entered into evidence Exhibits 7
16:02:40 25 and 8 which were e-mails that were sent to you

1 first by an associate in Mr. Stamos's office and --

2 MR. FEAMAN: Could I approach, Your Honor?

3 THE COURT: Yes. Do you have an extra
4 copy for him so I can follow along?

16:02:56 5 MR. FEAMAN: I think I do.

6 THE COURT: Okay. If you don't, no
7 worries. Let me know.

8 Does anyone object to me maintaining the
9 originals so that I can follow along? If you
10 don't --

11 MR. FEAMAN: I know we do.

12 MR. ROSE: If you need my copy to speed
13 things up, here.

14 BY MR. FEAMAN:

16:03:24 15 Q. There's our copies of 7 and 8.

16 A. Which one did you want me to look at
17 first?

18 Q. Take a look at the one that came first on
19 January 31st, 2007. Do you see that that was an
16:03:41 20 e-mail directed to you from is it Mr. Kuyper, is
21 that how you pronounce his name?

22 A. Yes.

23 Q. Okay. On January 31st. Do you recall
24 receiving this?

16:03:53 25 A. Let me take a look at it.

1 Q. Sure.

2 A. I do remember this.

3 Q. All right. And did you have any
4 discussions with Mr. Kuyper or Mr. Stamos
16:04:19 5 concerning your comments regarding the Court's
6 ruling which was denying the estate's motion for
7 summary judgment?

8 A. There might have been another e-mail
9 communication, but no oral communication since
16:04:31 10 January.

11 Q. Did you send an e-mail back in response to
12 this?

13 A. That I don't recall, and I don't have my
14 records here.

16:04:38 15 Q. Okay.

16 A. I am not sure.

17 Q. Why don't we take a look at Exhibit 8, if
18 we could. That's the e-mail from Mr. Stamos dated
19 February 14th to you and me and Mr. Stansbury. Do
16:04:57 20 you see that?

21 A. Yes.

22 Q. And he says, "What's our position on
23 settlement?," correct?

24 A. Correct.

16:05:04 25 Q. Okay. And that's because Mr. Stamos had

1 received an e-mail from plaintiff's counsel in
2 Chicago soliciting some input on a possible
3 settlement, correct?

4 A. Yes.

16:05:19 5 Q. And when you received this did you respond
6 to Mr. Stamos either orally or in writing?

7 A. Not yet. I was in a mediation that lasted
8 until 2:30 in the morning yesterday, so I haven't
9 had a chance to speak to him.

16:05:34 10 Q. So then you haven't had any discussions
11 with Mr. Stamos concerning settlement --

12 A. No.

13 Q. -- since this?

14 A. Not -- let's correct that. Not in terms
16:05:44 15 of these communications.

16 Q. Right.

17 A. I have spoken to him previously about
18 settlement, but obviously those are privileged that
19 he is my counsel.

16:05:53 20 Q. Okay. And you are aware that -- would you
21 agree with me that Mr. Ted Bernstein, who is in the
22 courtroom today, is a plaintiff in that action in
23 Chicago?

24 A. Which action?

16:06:06 25 Q. The Chicago filed, the action filed by

1 Mr. Bernstein?

2 A. Can you give me the complaint?

3 Q. Sure.

4 MR. FEAMAN: If I can take a look?

16:06:14 5 THE COURT: Go ahead.

6 BY MR. FEAMAN:

7 Q. This is the --

8 MR. ROSE: We'll stipulate. The documents
9 are already in evidence.

16:06:25 10 THE COURT: Same objection?

11 MR. ROSE: I mean, we are trying to save
12 time.

13 BY MR. FEAMAN:

14 Q. Take a look at the third page.

16:06:33 15 (Overspeaking.)

16 THE COURT: Hold on. Hold on. Hold on.

17 I have got everybody talking at once. It's
18 Feaman's case. We are going until 4:30. I
19 have already got one emergency in the, we call
16:06:41 20 it the Cad, that means nothing to you, but I am
21 telling you all right now I said we are going
22 to 4:30.

23 THE WITNESS: Yes, sir, Ted Bernstein is a
24 plaintiff.

25 ///

1 BY MR. FEAMAN:

2 Q. Individually, correct?

3 A. Individually and as trustee.

4 Q. And Mr. Stamos is your attorney who
16:06:57 5 represents the estate, correct?

6 A. Correct.

7 Q. And the estate is adverse to the
8 plaintiffs, including Mr. Bernstein, correct?

9 A. In this action, call it the Illinois
16:07:09 10 action, yes.

11 Q. Correct.

12 A. Okay.

13 THE COURT: Hold on. One more time. Go
14 back and say that again. You are represented
16:07:16 15 by Mr. Stamos?

16 THE WITNESS: Right, in the Illinois
17 action, Your Honor.

18 THE COURT: Right.

19 THE WITNESS: And Ted Bernstein
16:07:22 20 individually and as trustee is a plaintiff.

21 THE COURT: Right, individually and as
22 trustee, got it.

23 THE WITNESS: And the estate is adverse to
24 Ted Bernstein in those capacities in that
16:07:32 25 litigation.

1 BY MR. FEAMAN:

2 Q. All right. And are you aware --

3 THE COURT: Thank you.

4 BY MR. FEAMAN:

16:07:37 5 Q. And are you aware that Mr. Rose represents
6 Mr. Ted Bernstein in various capacities?

7 A. Yes.

8 Q. Generally?

9 A. In various capacities generally, right.

16:07:52 10 Q. Including individually, correct?

11 A. That I am not -- I know as a fiduciary,
12 for example, as trustee from our various and sundry
13 actions, Shirley Bernstein, estate and trust and so
14 forth. I am not sure individually.

16:08:10 15 Q. How long have you been involved with this
16 Estate of Simon Bernstein?

17 A. A few years.

18 Q. Okay. And as far as you know
19 Mr. Bernstein has been represented in whatever
16:08:23 20 capacity in all of this since that time; is that
21 correct?

22 A. He is definitely -- Mr. Rose has
23 definitely represented Ted Bernstein since I have
24 been involved. I just want to be totally correct
16:08:34 25 about exactly what capacity. Definitely as a

1 fiduciary no doubt.

2 Q. Okay. And did you ever see the deposition
3 that was taken by your lawyer in the Chicago action
4 that was introduced as Exhibit 6 in this action?

16:08:53 5 A. Could I take a look at it?

6 Q. Sure. Have you seen that deposition
7 before, Mr. O'Connell?

8 A. I am not sure. I don't want to guess.
9 Because I know it's May of 2015. It's possible.
16:09:20 10 There were a number of documents in all this
11 litigation, and I would be giving you a guess.

12 Q. On that first page is there an appearance
13 by Mr. Rose on behalf of Ted Bernstein in that
14 deposition?

16:09:31 15 A. Yes.

16 Q. So would you agree with me that Ted
17 Bernstein is adverse to the estate in the Chicago
18 litigation? You said that earlier, correct?

19 A. Yes.

16:09:43 20 Q. Okay. And would you agree with me upon
21 reviewing that deposition that Mr. Rose is
22 representing Ted Bernstein there?

23 MR. ROSE: Objection, calls for a legal
24 conclusion.

16:09:55 25 THE WITNESS: There's an appearance by

1 him.

2 THE COURT: Sustained.

3 BY MR. FEAMAN:

4 Q. There's an appearance by him? Where does
16:09:59 5 it show that?

6 MR. ROSE: The objection is sustained.

7 THE COURT: I sustained the objection.

8 MR. FEAMAN: Oh, okay. Sorry.

9 BY MR. FEAMAN:

16:10:14 10 Q. Now, you have not gotten -- you said that
11 you wanted to retain Mr. Rose to represent the
12 estate here in Florida, correct?

13 A. Yes. But I want to state my position
14 precisely, which is as now has been pled that Ted
16:10:35 15 Bernstein should be the administrator ad litem to
16 defend that litigation. And then if he chooses,
17 which I expect he would, employ Mr. Rose, and
18 Mr. Rose would operate as his counsel.

19 Q. Okay. So let me get this, if I understand
16:10:48 20 your position correctly. You think that Ted
21 Bernstein, who you have already told me is suing
22 the estate as a plaintiff in Chicago, it would be
23 okay for him to come in to the estate that he is
24 suing in Chicago to represent the estate as
16:11:05 25 administrator ad litem along with his attorney

1 Mr. Rose? Is that your position?

2 A. Here's why, yes, because of events. You
3 have an apple and an orange with respect to
4 Illinois. Mr. Rose and Ted Bernstein is not going
16:11:18 5 to have any -- doesn't have any involvement in the
6 prosecution by the estate of its position to those
7 insurance proceeds. That's not on the table.

8 THE COURT: Say it again, Ted has no
9 involvement?

16:11:30 10 THE WITNESS: Ted Bernstein and Mr. Rose
11 have no involvement in connection with the
12 estate's position in the Illinois litigation,
13 Your Honor. I am not seeking that. If someone
14 asked me that, I would say absolutely no.

16:11:43 15 BY MR. FEAMAN:

16 Q. I am confused, though, Mr. O'Connell.
17 Isn't Ted Bernstein a plaintiff in the insurance
18 litigation?

19 A. Yes.

16:11:52 20 Q. Okay. And as plaintiff in that insurance
21 litigation isn't he seeking to keep those insurance
22 proceeds from going to the estate?

23 A. Right.

24 Q. Okay.

16:12:00 25 A. Which is why the estate has a contrary

1 position --

2 Q. So if the estate --

3 (Overspeaking.)

4 THE COURT: Let him finish his answer.

16:12:11 5 THE WITNESS: It's my position as personal
6 representative that those proceeds should come
7 into the estate.

8 BY MR. FEAMAN:

9 Q. Correct.

16:12:17 10 A. Correct.

11 Q. And it's Mr. Bernstein's position both
12 individually and as trustee in that same action
13 that those proceeds should not come into the
14 estate?

16:12:25 15 A. Right.

16 Q. Correct? And Mr. Bernstein is not a
17 monetary beneficiary of the estate, is he?

18 A. As a trustee he is a beneficiary,
19 residuary beneficiary of the estate. And then he
16:12:41 20 would be a beneficiary as to tangible personal
21 property.

22 Q. So on one hand you say it's okay for
23 Mr. Bernstein to be suing the estate to keep the
24 estate from getting \$1.7 million dollars, and on
16:12:52 25 the other hand it's okay for him and his attorney

1 to defend the estate. So let me ask you this --

2 A. That's not what I am saying.

3 Q. Okay. Well, go back to Exhibit 8, if we
4 could.

16:13:07 5 A. Which one is Exhibit 8?

6 Q. That's the e-mail from Mr. Stamos that you
7 got last week asking about settlement.

8 A. The 31st?

9 Q. Right.

16:13:19 10 A. Well, actually the Stamos e-mail is
11 February 14th.

12 Q. Sorry, February 14th. And Mr. Rose right
13 now has entered an appearance on behalf of the
14 estate, correct?

16:13:37 15 A. You have to state what case.

16 Q. Down here in Florida.

17 A. Which case?

18 Q. The Stansbury action.

19 A. The civil action?

16:13:44 20 Q. Yes.

21 A. Yes. You need to be precise because
22 there's a number of actions and various
23 jurisdictions and various courts.

24 Q. And Mr. Rose's client in Chicago doesn't
16:13:56 25 want any money to go to the estate. So when you

1 are discussing settlement with Mr. Stamos, are you
2 going to talk to your other counsel, Mr. Rose,
3 about that settlement when he is representing a
4 client adverse to you?

16:14:16 5 A. No.

6 Q. How do we know that?

7 A. Because I don't do that and have not done
8 that.

9 Q. So you --

16:14:24 10 A. Again, can I finish, Your Honor?

11 THE COURT: Yes, please.

12 THE WITNESS: Thanks. Because there's a
13 differentiation you are not making between
14 these pieces of litigation. You have an
16:14:33 15 Illinois litigation pending in federal court
16 that has discrete issues as to who gets the
17 proceeds of a life insurance policy. Then you
18 have what you will call the Stansbury
19 litigation, you represent him, your civil
16:14:48 20 action, pending in circuit civil, your client
21 seeking to recover damages against the estate.

22 BY MR. FEAMAN:

23 Q. So Mr. Rose could advise you as to terms
24 of settlement, assuming he is allowed to be counsel
16:15:02 25 for the estate in the Stansbury action down here,

1 correct?

2 A. About the Stansbury action?

3 Q. Right, about how much we should settle
4 for, blah, blah, blah?

16:15:13 5 A. That's possible.

6 Q. Okay. And part of those settlement
7 discussions would have to entail how much money is
8 actually in the estate, correct?

9 A. Depends on what the facts and
16:15:24 10 circumstances are. Right now, as everyone knows I
11 think at this point, there isn't enough money to
12 settle, unless Mr. Stansbury would take less than
13 what is available. There have been attempts made
14 to settle at mediations and through communications
16:15:42 15 which haven't been successful. So certainly I am
16 not as personal representative able or going to
17 settle with someone in excess of what's available.

18 Q. Correct. But the outcome of the Chicago
19 litigation could make more money available for
16:16:00 20 settlement, correct?

21 A. If it's successful it could.

22 Q. Okay. Maybe a number that would be
23 acceptable to Mr. Stansbury, I don't know, that's
24 conjecture, right?

16:16:08 25 A. Total conjecture.

1 Q. Okay.

2 A. Unless we are going to get into what
3 settlement discussions have been.

4 Q. And at the same time Mr. Rose, who has
16:16:16 5 entered an appearance at that deposition for
6 Mr. Bernstein in the Chicago action, his client has
7 an interest there not to let that money come into
8 the estate, correct?

9 MR. ROSE: Objection again to the extent
16:16:29 10 it calls for a legal conclusion as to what I
11 did in Chicago. I mean, the records speak for
12 themselves.

13 THE COURT: Could you read back the
14 question for me?

15 (The following portion of the record was
16 read back.)

17 "Q. And at the same time Mr. Rose, who
18 has entered an appearance at that deposition
19 for Mr. Bernstein in the Chicago action, his
20 client has an interest there not to let that
21 money come into the estate, correct?"

22 THE COURT: I am going to allow it as the
23 personal representative his impressions of
24 what's going on, not as a legal conclusion
16:17:03 25 because he is also a lawyer.

1 THE WITNESS: My impression based on
2 stated positions is that Mr. Ted Bernstein does
3 not want the life insurance proceeds to come
4 into the probate estate of Simon Bernstein.

16:17:17 5 That's what he has pled.

6 BY MR. FEAMAN:

7 Q. Right. And you disagree with Mr. Ted
8 Bernstein on that, correct?

9 A. Yes.

16:17:24 10 MR. FEAMAN: Thank you.

11 CROSS (BRIAN O'CONNELL)

12 BY MR. ROSE:

13 Q. And notwithstanding that disagreement, you
14 still believe that --

16:17:29 15 MR. ROSE: I thought he was done, I am
16 sorry.

17 MR. ELIOT BERNSTEIN: Are you done, Peter?

18 MR. FEAMAN: No, I am not, Your Honor.

19 MR. ROSE: I am sorry, Your Honor.

16:17:36 20 THE COURT: That's okay. I didn't think
21 that you were trying to.

22 MR. FEAMAN: Okay. We'll rest.

23 THE COURT: All right.

24 MR. FEAMAN: Not rest. No more questions.

16:17:55 25 MR. ELIOT BERNSTEIN: Excuse me, Your

1 Honor.

2 BY MR. ROSE:

3 Q. And notwithstanding the fact that in
4 Illinois Ted as the trustee of this insurance trust
16:18:02 5 wants the money to go into this 1995 insurance
6 trust, right?

7 A. Right.

8 Q. And he has got an affidavit from Spallina
9 that says that's what Simon wanted, or he's got
16:18:14 10 some affidavit he filed, whatever it is? And you
11 have your own lawyer up there Stamos and Trucco,
12 right?

13 A. Correct.

14 Q. And notwithstanding that, you still
16:18:21 15 believe that it's in the best interests of the
16 estate as a whole to have Ted to be the
17 administrator ad litem and me to represent the
18 estate given our prior knowledge and involvement in
19 the case, right?

16:18:30 20 A. It's based on maybe three things. It's
21 the prior knowledge and involvement that you had,
22 the amount of money, limited amount of funds that
23 are available in the estate to defend the action,
24 and then a number of the beneficiaries, or call
16:18:48 25 them contingent beneficiaries because they are

1 trust beneficiaries, have requested that we consent
2 to what we have just outlined, ad litem and your
3 representation, those items.

4 Q. And clearly you are adverse to
16:19:03 5 Mr. Stansbury, right?

6 A. Yes.

7 Q. But in this settlement letter your lawyer
8 in Chicago is copying Mr. Stansbury and Mr. Feaman
9 about settlement position, right?

16:19:13 10 A. Correct.

11 Q. Because that's the deal we have,
12 Mr. Stansbury is funding litigation in Illinois and
13 he gets to sort of be involved in it and have a say
14 in it, how it turns out? Because he stands to
16:19:23 15 improve his chances of winning some money if the
16 Illinois case goes the way he wants, right?

17 A. Well, he is paying, he is financing it.

18 Q. So he hasn't paid in full, right? You
19 know he is \$40,000 in arrears with the lawyer?

16:19:33 20 A. Approximately, yes.

21 Q. And there's an order that's already in
22 evidence, and the judge can hear that later, but --
23 okay. So --

24 THE COURT: I don't have an order in
16:19:46 25 evidence.

1 MR. ROSE: You do. If you look at Exhibit
2 Number 2, page --

3 THE COURT: Oh, in the Illinois?

4 MR. ROSE: Yes, they filed it in Illinois.

16:19:55 5 THE COURT: Oh, in the Illinois.

6 MR. ROSE: But it's in evidence now, Your
7 Honor.

8 THE COURT: Yes, I am sorry, I didn't
9 realize it was in --

16:19:58 10 MR. ROSE: I am sorry.

11 THE COURT: No, no, that's okay.

12 MR. ROSE: I was going to save it for
13 closing.

14 THE COURT: In the Illinois is the Florida
16:20:05 15 order?

16 MR. ROSE: Yes.

17 THE COURT: Okay. That's the only thing I
18 missed.

19 MR. ROSE: Right.

16:20:08 20 BY MR. ROSE:

21 Q. The evidence it says for the reasons and
22 subject to the conditions stated on the record
23 during the hearing, all fees and costs incurred,
24 including for the curator in connection with his
16:20:16 25 work, and any counsel retained by the administrator

1 ad litem will initially be borne by William
2 Stansbury. You have seen that order before, right?

3 A. I have seen the order, yes.

4 Q. And the Court will consider a petition to
16:20:26 5 pay back Mr. Stansbury. If the estate wins in
6 Illinois, we certainly have to pay back
7 Mr. Stansbury first because he has fronted all the
8 costs, right?

9 A. Absolutely.

16:20:34 10 Q. Okay. So despite that order, you have
11 personal knowledge that he is \$40,000 in arrears
12 with the Chicago counsel?

13 A. I have knowledge from my counsel.

14 Q. Okay. That you shared with me, though?

16:20:47 15 A. Yes. It's information everyone has.

16 Q. Okay.

17 A. Should have.

18 Q. Would you agree with me that you have
19 spent almost no money defending the estate so far
16:21:03 20 in the Stansbury litigation?

21 A. Well, there's been some money spent. I
22 wouldn't say no money. I have to look at the
23 billings to tell you.

24 Q. Very minimal. Minimal?

16:21:15 25 A. Not a significant amount.

1 Q. Okay. Minimal in comparison to what it's
2 going to cost to try the case?

3 A. Yes.

4 Q. Have you had the time to study all the
16:21:26 5 documents, the depositions, the exhibits, the tax
6 returns, and all the stuff that is going to need to
7 be dealt with in this litigation?

8 A. I have reviewed some of them. I can't say
9 reviewed all of them because I would have to
16:21:36 10 obviously have the records here to give you a
11 correct answer on that.

12 Q. And you bill for your time when you do
13 that?

14 A. Sure.

16:21:41 15 Q. And if Ted is not the administrator ad
16 litem, you are going to have to spend money to sit
17 through a two-week trial maybe?

18 A. Yes.

19 Q. You are not willing to do that for free,
16:21:53 20 are you?

21 A. No.

22 Q. Okay. Would you agree with me that you
23 know nothing about the relationship, personal
24 knowledge, between Ted, Simon and Bill Stansbury,
16:22:05 25 personal knowledge? Were you in any of the

1 meetings between them?

2 A. No, not personal knowledge.

3 Q. Were you involved in the business?

4 A. No.

16:22:11 5 Q. Do you have any idea who the accountant --
6 well, you know who the accountant was because they
7 have a claim. Have you ever spoken to the
8 accountant about the lawsuit?

9 A. No.

16:22:17 10 Q. Have you ever interviewed any witnesses
11 about the lawsuit independent of maybe talking to
12 Mr. Stansbury and saying hello and saying hello to
13 Ted?

14 A. Or talking to different parties, different
16:22:29 15 family members.

16 Q. Now, did you sign a waiver, written waiver
17 form?

18 A. Yes.

19 Q. And did you read it before you signed it?

16:22:38 20 A. Yes.

21 Q. Did you edit it substantially and put it
22 in your own words?

23 A. Yes.

24 Q. Much different than the draft I prepared?

16:22:45 25 A. Seven pages shorter.

1 MR. ROSE: Okay. I move Exhibit 1 into
2 evidence. This is the three-page PR statement
3 of his position.

4 MR. FEAMAN: Objection, it's cumulative
16:22:54 5 and it's hearsay.

6 THE COURT: This is his affidavit, his
7 sworn consent?

8 MR. ROSE: Right. It's not cumulative.
9 It's the only evidence of written consent.

10 THE COURT: How is it cumulative? That's
11 what I was going to say.

12 MR. FEAMAN: He just testified as to why
13 he thinks there's no conflict.

14 THE COURT: But a written consent is
16:23:21 15 necessary under the rules, and that's been
16 raised as an issue.

17 MR. FEAMAN: The rule says that --

18 THE COURT: I mean, whether you can waive
19 is an issue, and I think that specifically
16:23:30 20 under four point -- I am going to allow it.
21 Overruled.

22 MR. ELIOT BERNSTEIN: Can I object?

23 THE COURT: Sure.

24 MR. ELIOT BERNSTEIN: That just came on
16:23:39 25 February 9th to me.

1 THE COURT: Okay.

2 MR. ELIOT BERNSTEIN: They didn't copy me
3 on this thing. I just saw it.

4 THE COURT: Okay.

16:23:43 5 MR. ELIOT BERNSTEIN: Which kind of
6 actually exposes a huge fraud going on here.
7 But I will get to that when I get a moment. It
8 shouldn't be in. I hardly had time to review
9 it. And I will explain some of that in a
16:23:54 10 moment, but.

11 THE COURT: I am overruling that
12 objection. All documents were supposed to be
13 provided by the Court pursuant to my order by
14 February 9th. This is a waiver of any
16:24:04 15 potential conflict that's three pages. And if
16 you got it February 9th you had sufficient
17 time. So overruled.

18 I am not sure what to call this,
19 petitioner's or respondent's, in this case. I
16:24:30 20 am going to mark these as respondent's.

21 MR. ROSE: You can call it Trustee's 1.

22 THE COURT: I could do that. Let me mark
23 it.

24 (Trustee's Exb. No. 1, Personal
16:24:39 25 Representative Position Statement.)

1 BY MR. ROSE:

2 Q. I think you alluded to it. But after the
3 mediation that was held in July, there were some
4 discussions with the beneficiaries, including Judge
16:24:49 5 Lewis who's a guardian ad litem for three of the
6 children, correct?

7 A. Yes.

8 Q. And you were asked if you would consent to
9 this procedure of having me come in as counsel
16:24:59 10 because --

11 THE COURT: I know you are going fast, but
12 you didn't pre-mark it, so you got to give me a
13 second to mark it.

14 MR. ROSE: Oh, I am sorry.

16:25:06 15 THE COURT: That's okay.

16 I have to add it to my exhibit list.

17 You may proceed, thank you.

18 BY MR. ROSE:

19 Q. You agreed to this procedure that I would
16:25:43 20 become counsel and Ted would become the
21 administrator ad litem because you thought it was
22 in the best interests of the estate as a whole,
23 right?

24 A. For the reasons stated previously, yes.

16:25:51 25 Q. And other than having to go through this

1 expensive procedure to not be disqualified, you
2 still agree that it's in the best interests of the
3 estate that our firm be counsel and that Ted
4 Bernstein be administrator ad litem?

16:26:02 5 A. For the defense of the Stansbury civil
6 action, yes.

7 Q. And that's the only thing we are asking to
8 get involved in, correct?

9 A. Correct.

16:26:10 10 Q. Now, you were asked if you had a fiduciary
11 duty to the interested persons including
12 Mr. Stansbury, right?

13 A. I was asked that, yes.

14 Q. So if you have a fiduciary duty to him,
16:26:20 15 why don't you just stipulate that he can have a two
16 and a half million dollar judgment and give all the
17 money in the estate to him? Because just because
18 you have a duty, you have multiple duties to a lot
19 of people, correct?

16:26:32 20 A. Correct.

21 Q. And you have to balance those duties and
22 do what you believe in your professional judgment
23 is in the best interests of the estate as a whole?

24 A. Correct.

16:26:39 25 Q. And you have been a lawyer for many years?

1 A. Yes.

2 Q. Correct? And you have served as trustee
3 as a fiduciary, serving as a fiduciary,
4 representing a fiduciary, opposing fiduciary,
16:26:51 5 that's been the bulk of your practice, correct?

6 A. Yes, yes and yes.

7 MR. ROSE: Nothing further.

8 THE COURT: Redirect?

9 MR. FEAMAN: Yes.

16:26:58 10 THE COURT: Wait a minute. Let me let
11 Mr. Eliot Bernstein ask any questions.

12 MR. ELIOT BERNSTEIN: Can I ask him
13 questions at one point?

14 THE COURT: You can.

16:27:10 15 MR. ELIOT BERNSTEIN: Your Honor, first, I
16 just wanted to give you this and apologize for
17 being late.

18 THE COURT: Don't worry about it. Okay.

19 MR. ELIOT BERNSTEIN: Well, no, it's
16:27:20 20 important so you understand some things.

21 I have got ten steel nails in my mouth so
22 I speak a little funny right now. It's been
23 for a few weeks. I wasn't prepared because I
24 am on a lot of medication, and that should
16:27:33 25 explain that. But I still got some questions

1 and I would like to have my....

2 MR. ROSE: I would just state for the
3 record that he has been determined to have no
4 standing in the estate proceeding as a
16:27:43 5 beneficiary.

6 THE COURT: I thought that was in the
7 Estate of Shirley Bernstein.

8 MR. ROSE: It's the same ruling --
9 (Overspeaking.)

16:27:52 10 THE COURT: Please, I will not entertain
11 more than one person.

12 MR. ROSE: By virtue of Judge Phillips'
13 final judgment upholding the documents, he is
14 not a beneficiary of the residuary estate. He
16:28:02 15 has a small interest as a one-fifth beneficiary
16 of tangible personal property, which is --

17 THE COURT: I understand.

18 MR. ROSE: Yes, he has a very limited
19 interest in this. And I don't know that he --

16:28:13 20 THE COURT: Wouldn't that give him
21 standing, though?

22 MR. ROSE: Well, I don't think for the
23 purposes of the disqualification by Mr. Feaman
24 it wouldn't.

16:28:19 25 THE COURT: Well, that would be your

1 argument, just like you are arguing that
2 Mr. Stansbury doesn't have standing to
3 disqualify you, correct?

4 MR. ROSE: Right.

16:28:26 5 THE COURT: So that's an argument you can
6 raise.

7 You may proceed.

8 CROSS (BRIAN O'CONNELL)

9 BY MR. ELIOT BERNSTEIN:

16:28:31 10 Q. Mr. O'Connell, am I a devisee of the will
11 of Simon?

12 MR. ROSE: Objection, outside the scope of
13 direct.

14 THE COURT: That is true. Sustained.

16:28:40 15 That was not discussed.

16 BY MR. ELIOT BERNSTEIN:

17 Q. Do I have standing in the Simon estate
18 case --

19 MR. ROSE: Objection, calls for a legal
16:28:46 20 conclusion.

21 BY MR. ELIOT BERNSTEIN:

22 Q. -- in your opinion?

23 MR. ELIOT BERNSTEIN: Well, he is a
24 fiduciary.

16:28:51 25 THE COURT: He was asked regarding his

1 thoughts regarding a claimant, so I will allow
2 it. Overruled.

3 THE WITNESS: You have standing in certain
4 actions by virtue of your being a beneficiary
16:29:01 5 of the tangible personal property.

6 BY MR. ELIOT BERNSTEIN:

7 Q. Okay, so beneficiary?

8 A. Right.

9 Q. Okay. Thank you. Which will go to the
16:29:09 10 bigger point of the fraud going on here, by the
11 way.

12 Are you aware that Ted Bernstein is a
13 defendant in the Stansbury action?

14 A. Which Stansbury action?

16:29:20 15 Q. The lawsuit that Mr. Rose wants Ted to
16 represent the estate in?

17 A. I'd have to see the action, see the
18 complaint.

19 Q. You have never seen the complaint?

16:29:30 20 A. I have seen the complaint, but I want to
21 make sure it's the same documents.

22 Q. So Ted --

23 THE COURT: You must allow him to answer
24 the questions.

16:29:37 25 MR. ELIOT BERNSTEIN: I am sorry, okay.

1 THE WITNESS: I would like to see if you
2 are referring to Ted Bernstein being a
3 defendant, if someone has a copy of it.

4 MR. ROSE: Well, I object. Mr. Feaman
16:29:45 5 knows that he has dismissed the claims against
6 all these people, and this is a complete waste.
7 We have a limited amount of time and these are
8 very important issues.

9 MR. ELIOT BERNSTEIN: Excuse me.

16:29:56 10 THE COURT: Wait.

11 MR. ROSE: These defendants they are
12 dismissed, they are settled. Mr. Feaman knows
13 because he filed the paper in this court.

14 THE COURT: Mr. Rose.

16:30:02 15 MR. ROSE: It's public record.

16 THE COURT: Mr. Rose, you are going to
17 have to let go of the -- it's going to finish
18 by 4:30.

19 MR. ROSE: Okay.

16:30:09 20 THE COURT: Because I know that's why you
21 are objecting, and you know I have to allow --

22 MR. ROSE: Okay.

23 THE COURT: All right? The legal
24 objection is noted. Mr. O'Connell can respond.
16:30:19 25 He asked to see a document.

1 BY MR. ELIOT BERNSTEIN:

2 Q. I would like to show you --

3 THE DEPUTY: Ask to approach, please.

4 MR. ELIOT BERNSTEIN: Oh, ask to.

16:30:28 5 BY MR. ELIOT BERNSTEIN:

6 Q. Can I approach you?

7 THE COURT: What do you want to approach
8 with?

9 MR. ELIOT BERNSTEIN: I just want to show
16:30:34 10 him the complaint.

11 THE COURT: Complaint? As long as you
12 show the other side what you are approaching
13 with.

14 MR. ELIOT BERNSTEIN: It's your second
16:30:40 15 amended complaint.

16 MR. ROSE: No objection.

17 BY MR. ELIOT BERNSTEIN:

18 Q. Is Ted Bernstein a defendant in that
19 action?

16:30:46 20 A. I believe he was a defendant, past tense.

21 Q. Okay. Let me ask you a question. Has the
22 estate that you are in charge of settled with Ted
23 Bernstein?

24 A. In connection with this action?

16:31:01 25 MR. ROSE: Objection, relevance.

1 BY MR. ELIOT BERNSTEIN:

2 Q. Yes, in connection with this action?

3 THE COURT: Which action?

4 MR. ELIOT BERNSTEIN: The Stansbury
16:31:07 5 lawsuit that Ted wants to represent.

6 THE COURT: If he can answer.

7 MR. ELIOT BERNSTEIN: This is the conflict
8 that's the elephant in the room.

9 THE COURT: No, no, no.

16:31:14 10 MR. ELIOT BERNSTEIN: Okay.

11 THE COURT: I didn't allow anyone else to
12 have any kind of narrative.

13 MR. ELIOT BERNSTEIN: Sorry.

14 THE COURT: Ask a question and move on.

16:31:18 15 MR. ELIOT BERNSTEIN: Got it.

16 THE COURT: Mr. O'Connell, if you can
17 answer the question, answer the question.

18 THE WITNESS: Sure. Thanks, Your Honor.

19 I am going to give a correct answer. We have
16:31:25 20 not had a settlement in connection with Ted
21 Bernstein in connection with what I will call
22 the Stansbury independent or civil action.

23 BY MR. ELIOT BERNSTEIN:

24 Q. Okay. So that lawsuit --

16:31:37 25 A. The estate has not entered into such a

1 settlement.

2 Q. So Stansbury or Ted Bernstein is still a
3 defendant because he sued the estate and the estate
4 hasn't settled with him and let him out?

16:31:52 5 A. The estate prior to -- I thought you were
6 talking about me, my involvement. Prior to my
7 involvement there was a settlement.

8 Q. With Shirley's trust, correct?

9 A. No, I don't recall there being --

16:32:04 10 Q. Well, you just --

11 THE COURT: Wait. You have to let him
12 answer.

13 MR. ELIOT BERNSTEIN: Sorry, okay.

14 THE WITNESS: I recall there being a
16:32:08 15 settlement again prior to my involvement with
16 Mr. Stansbury and Ted Bernstein.

17 BY MR. ELIOT BERNSTEIN:

18 Q. But not the estate? The estate as of
19 today hasn't settled the case with Ted?

16:32:24 20 A. The estate, the estate, my estate, when I
21 have been personal representative, we are not in
22 litigation with Ted. We are in litigation with
23 Mr. Stansbury. That's where the disconnect is.

24 Q. In the litigation Ted is a defendant,
16:32:41 25 correct?

1 A. I have to look at the pleadings. But as I
2 recall the claims against Ted Bernstein were
3 settled, resolved.

4 Q. Only with Mr. Stansbury in the Shirley
16:32:55 5 trust and individually.

6 So let me ask you --

7 THE COURT: You can't testify.

8 MR. ELIOT BERNSTEIN: Okay.

9 BY MR. ELIOT BERNSTEIN:

16:33:03 10 Q. Ted Bernstein, if you are representing the
11 estate, there's a thing called shared liability,
12 meaning if Ted is a defendant in the Stansbury
13 action, which he is, and he hasn't been let out by
14 the estate, then Ted Bernstein coming into the
16:33:22 15 estate can settle his liability with the estate.

16 You following? He can settle his liability by
17 making a settlement that says Ted Bernstein is out
18 of the lawsuit, the estate is letting him out, we
19 are not going to sue him. Because the estate
16:33:40 20 should be saying that Ted Bernstein and Simon
21 Bernstein were sued.

22 THE COURT: I am sorry, Mr. Bernstein, I
23 am trying to give you all due respect.

24 MR. ELIOT BERNSTEIN: Okay.

16:33:47 25 THE COURT: But is that a question?

1 MR. ELIOT BERNSTEIN: Yeah, okay.

2 THE COURT: I can't --

3 MR. ELIOT BERNSTEIN: I will break it
4 down, because it is a little bit complex, and I
16:33:54 5 want to go step by step.

6 THE COURT: Thank you. And we will be
7 concluding in six minutes.

8 MR. ELIOT BERNSTEIN: Then I would ask for
9 a continuance.

16:34:01 10 THE COURT: We will be concluding in six
11 minutes.

12 MR. ELIOT BERNSTEIN: Okay.

13 THE COURT: Ask what you can.

14 MR. ELIOT BERNSTEIN: Okay.

16:34:08 15 BY MR. ELIOT BERNSTEIN:

16 Q. Ted Bernstein was sued by Mr. Stansbury
17 with Simon Bernstein; are you aware of that?

18 A. I am aware of the parties to the second
19 amended complaint that you have handed me.

16:34:23 20 Q. Okay.

21 A. At that point in time.

22 Q. So both those parties share liability if
23 Stansbury wins, correct?

24 MR. ROSE: Objection.

16:34:30 25 THE WITNESS: No.

1 THE COURT: Hold on.

2 MR. ROSE: Objection, calls for a legal
3 conclusion, misstates the law and the facts.

4 MR. ELIOT BERNSTEIN: Well, if
16:34:38 5 Mr. Stansbury won his suit and was suing Ted
6 Bernstein --

7 THE COURT: Hold on one second. Hold on,
8 please. You have got to let me rule. I don't
9 mean to raise my voice at all.

16:34:47 10 But his question in theory is appropriate.
11 He says they are both defendants, they share
12 liability. Mr. O'Connell can answer that. The
13 record speaks for itself.

14 THE WITNESS: And the problem, Your Honor,
16:34:57 15 would be this, and I will answer the question,
16 but I am answering it in the blind without all
17 the pleadings. Because as I -- I will give you
18 the best answer I can without looking at the
19 pleadings.

16:35:08 20 THE COURT: You can only answer how you
21 can.

22 THE WITNESS: As I recall the state of
23 this matter, sir, this is the independent
24 action, the Stansbury action, whatever you want
16:35:17 25 to call it, Ted Bernstein is no longer a

1 defendant due to a settlement.

2 BY MR. ELIOT BERNSTEIN:

3 Q. He only settled with Mr. Stansbury,
4 correct? The estate, as you said a moment ago, has
16:35:29 5 not settled with Ted Bernstein as a defendant. So
6 the estate could be --

7 THE COURT: Mr. Bernstein, Mr. Bernstein.

8 MR. ELIOT BERNSTEIN: Uh-huh.

9 THE COURT: From the pleadings the Court
16:35:38 10 understands there is not a claim from the
11 estate against Ted Bernstein in the Stansbury
12 litigation. Is the Court correct?

13 MR. ELIOT BERNSTEIN: The Court is
14 correct.

16:35:50 15 THE COURT: Okay.

16 MR. ELIOT BERNSTEIN: But the estate, if
17 Mr. O'Connell was representing the
18 beneficiaries properly, should be suing Ted
19 Bernstein because the complaint alleges that he
16:36:00 20 did most of the fraud against Mr. Stansbury,
21 and my dad was just a partner.

22 THE COURT: Okay. So that's your
23 argument, I understand.

24 MR. ELIOT BERNSTEIN: Okay.

16:36:07 25 THE COURT: But please ask the questions

1 pursuant to the pleadings as they stand.

2 MR. ELIOT BERNSTEIN: Okay.

3 BY MR. ELIOT BERNSTEIN:

4 Q. Could the estate sue Ted Bernstein since
16:36:15 5 he is a defendant in the action who has shared
6 liability with Simon Bernstein?

7 MR. ROSE: Objection, misstates -- there's
8 no such thing as shared liability.

9 THE COURT: He can answer the question if
16:36:24 10 he can.

11 MR. ROSE: Okay.

12 THE WITNESS: One of the disconnects here
13 is that he is not a current beneficiary in the
14 litigation as you just stated.

16:36:33 15 MR. ELIOT BERNSTEIN: There's no
16 beneficiary in that litigation.

17 THE COURT: Okay. You can't answer again.

18 MR. ELIOT BERNSTEIN: Oh.

19 THE COURT: Remember, you have got to ask
16:36:40 20 questions.

21 THE WITNESS: Defendant, Your Honor, wrong
22 term. He is not a named defendant at this
23 point due to a settlement.

24 BY MR. ELIOT BERNSTEIN:

16:36:48 25 Q. Could the estate sue back a

1 counter-complaint to Ted Bernstein individually who
2 is alleged to have committed most of the egregious
3 acts against Mr. Stansbury? He is a defendant in
4 the action. Nobody settled with him yet from the
16:37:05 5 estate. Could you sue him and say that half of the
6 liability, at least half, if not all, is on Ted
7 Bernstein?

8 A. Anyone, of course, theoretically could sue
9 anyone for anything. What that would involve would
16:37:19 10 be someone presenting in this case me the facts,
11 the circumstances, the evidence that would support
12 a claim by the estate against Ted Bernstein. That
13 I haven't seen or been told.

14 Q. Okay. Mr. Stansbury's complaint, you see
16:37:34 15 Ted and Simon Bernstein were sued. So the estate
16 could meet the argument, correct, that Ted
17 Bernstein is a hundred percent liable for the
18 damages to Mr. Stansbury, correct?

19 A. I can't say that without having all the
16:37:51 20 facts, figures, documents --

21 Q. You haven't read this case?

22 A. -- in front of me. Not on that level.
23 Not to the point that you are -- not to the point
24 that you are --

16:37:57 25 Q. Let me ask you a question.

1 A. -- trying to.

2 MR. ROSE: Your Honor?

3 BY MR. ELIOT BERNSTEIN:

4 Q. Let me ask you a question.

16:38:04 5 THE COURT: Hold on one second, sir.

6 MR. ROSE: He is not going to finish in
7 two minutes and there are other things we need
8 to address, if we have two minutes left. So
9 can he continue his cross-examination at the
16:38:12 10 continuance?

11 THE COURT: March we have another hearing.

12 MR. ELIOT BERNSTEIN: Can we continue this
13 hearing?

14 THE COURT: Yes. But I am going to give
16:38:15 15 you a limitation. You get as much time as
16 everybody else has.

17 MR. ELIOT BERNSTEIN: That's fine.

18 THE COURT: You have about ten more
19 minutes when we come back.

16:38:23 20 MR. ELIOT BERNSTEIN: Okay. Can I submit
21 to you the binder that I filed late?

22 THE COURT: Sure.

23 MR. ELIOT BERNSTEIN: (Overspeaking).

24 THE COURT: As long as it has been -- has
16:38:29 25 it been filed with the Court and has everybody

1 gotten a copy?

2 MR. ELIOT BERNSTEIN: I sent them copies
3 and I brought them copies today.

4 THE COURT: As long as everybody else gets
16:38:40 5 a copy --

6 MR. ELIOT BERNSTEIN: Okay.

7 THE COURT: -- you can submit the binder.
8 Just give it to my deputy.

9 MR. ROSE: Your Honor, we had a couple of
16:38:45 10 other -- I mean, he can continue it but we have
11 limited time. There is a summary judgment
12 hearing set for next week in this case. So
13 right now -- not this case, Your Honor, I mean
14 the Stansbury case.

15 THE COURT: Oh, you did see the look in my
16 face?

17 MR. ROSE: Right. No, I understand. So I
18 am right now traveling under a court order that
19 authorizes me to appear, but I would like to on
16:39:04 20 the record I am not going to -- I think we need
21 to cancel that hearing or advise Judge Marx,
22 because I don't feel comfortable going forward
23 in the light of this motion, no matter how
24 frivolous I think it is, pending. That's why I
16:39:16 25 would hope to get this concluded today.

1 THE COURT: I understand.

2 MR. ROSE: But it's not anyone's fault.
3 That's why I wanted to raise it in the minute
4 we have. So I think we should either continue
16:39:23 5 it or I would withdraw the motion without
6 prejudice, whatever I need to do with Judge
7 Marx. But I want Mr. Feaman's comment on the
8 record.

9 MR. FEAMAN: I think it should be
16:39:31 10 continued until there's a disposition of this.

11 MR. ELIOT BERNSTEIN: Yeah.

12 MR. ROSE: And then --

13 MR. FEAMAN: And in fact, that judge or
14 that division, sorry, I didn't mean to
16:39:41 15 interrupt, stayed all discovery in that case
16 until this motion was heard, so.

17 THE COURT: I am trying.

18 MR. ROSE: No, I understand.

19 MR. FEAMAN: No, we are not.

16:39:49 20 MR. ROSE: The other thing is Mr. Feaman
21 has represented this is the last witness. So I
22 would think we would finish this hearing in a
23 half an hour, and we have a couple hours set
24 aside. And you were going to just state what
16:40:00 25 other matters you were going to address.

1 The one thing I wanted -- we had sent you
2 in an order to -- at that same hearing if
3 there's time to handle some just very mop-up
4 motions in the Shirley Bernstein estate.

16:40:11 5 THE COURT: Let me see how long we have
6 set for next time.

7 MR. ROSE: We have two hours on the 2nd.

8 THE COURT: All right. Here's what I want
9 done. Within the first hour we are going to
16:40:19 10 finish this motion. With all due respect, now
11 I will have some time to review some of what
12 you have given me, but I don't know if I will
13 rule from the bench, so you are also going to
14 have to give me time.

16:40:31 15 MR. ROSE: That's fine.

16 THE COURT: Thanks. I appreciate that.

17 MR. ROSE: I will tell Judge Marx that we
18 need a continuance for let's say 45 days or
19 something.

16:40:38 20 THE COURT: I need time to rule on that
21 motion once I have everything. And we are just
22 going to have to take things as they come. I
23 mean, that's just how we'll have to do it. We
24 have a lot of -- how can I put this --
16:41:00 25 positions being presented. And so, like I

1 said, so, Mr. Eliot -- and I am only calling
2 you that because there's a lot of Bernsteins in
3 the room.

4 MR. ELIOT BERNSTEIN: That's okay.

16:41:08 5 THE COURT: It's not disrespectful, I am
6 not trying to be, because I have two
7 Bernsteins.

8 Mr. Eliot Bernstein.

9 MR. ELIOT BERNSTEIN: Yes.

16:41:14 10 THE COURT: So you will get ten more
11 minutes.

12 MR. ELIOT BERNSTEIN: Okay.

13 THE COURT: Then Mr. Feaman will have his
14 final say because it was his witness, on that
16:41:22 15 witness.

16 MR. ELIOT BERNSTEIN: And then do I get to
17 say something at some point?

18 THE COURT: You will get to say something
19 at some point, yes.

16:41:30 20 MR. ELIOT BERNSTEIN: Thank you.

21 THE COURT: Okay. But we are going to
22 wrap it all up within an hour.

23 MR. ELIOT BERNSTEIN: That one hearing?

24 THE COURT: Yes, the motion to disqualify
16:41:36 25 and the motion to vacate.

1 MR. ELIOT BERNSTEIN: Okay.

2 THE COURT: So the first hour -- and you
3 can see I am pretty militant, because if not we
4 are not going to get anything done here. So we
16:41:45 5 are -- no, not yet. Then we are going to move
6 on to the administrator ad litem motion which
7 would be the next consecutive motion.

8 Yes?

9 MR. ELIOT BERNSTEIN: What day is that on?

16:41:57 10 THE COURT: March 2nd. I can give you an
11 extra copy of the scheduling order if you would
12 like.

13 MR. ELIOT BERNSTEIN: Okay. All I want to
14 make the Court aware of here is I am dealing
16:42:06 15 with a serious medical issue that I am telling
16 you I am bleeding talking to you. It's very
17 serious, and it has been for three weeks. And
18 I just want to say I will let you know if I --
19 as soon as I can how long it's going to take.
16:42:21 20 He has got to put in full. It's complicated.
21 But I have had facial reconstruction and it
22 takes time for the teeth to adjust once he
23 puts. And I do not have teeth for three weeks,
24 and these spikes are like nails in your mouth.
16:42:37 25 So every talk tongue bite will hurt.

1 THE COURT: You can --

2 MR. ELIOT BERNSTEIN: I will let you know
3 if it's going to take any longer than that by
4 say a week before that hearing, okay? And I
16:42:46 5 will give you a doctor's note that it's still
6 ongoing, et cetera. Because I can't -- I mean,
7 the last three weeks they've bombarded me with
8 all this stuff, not saying I wasn't prepared
9 for it. But I have been severely stressed, as
16:42:59 10 the letter indicates. I am on severe
11 narcotics, heavy muscle relaxers that would
12 make you a jellyfish. So just appreciate that.

13 THE COURT: I do.

14 MR. ELIOT BERNSTEIN: Okay. I appreciate
16:43:10 15 that.

16 THE COURT: The Court appreciates what you
17 have represented. We'll deal with it. Do you
18 need an extra copy of the scheduling order?

19 MR. ELIOT BERNSTEIN: Me?

16:43:19 20 THE COURT: You.

21 MR. ELIOT BERNSTEIN: Oh, for March 2nd?

22 THE COURT: Yes.

23 MR. ELIOT BERNSTEIN: Can I get one,
24 please?

16:43:25 25 THE COURT: I am trying to find it. I

1 have so many papers.

2 MR. ELIOT BERNSTEIN: Did you serve it to
3 me?

4 THE COURT: Me personally?

16:43:32 5 MR. ELIOT BERNSTEIN: Did somebody?

6 THE COURT: I have no idea. You should,
7 actually yes.

8 MR. ELIOT BERNSTEIN: Is it today's order?

9 MR. FEAMAN: Yes, he is on the list.

16:43:39 10 THE COURT: He is on the service list. I
11 double checked when you were late.

12 MR. ELIOT BERNSTEIN: I got it.

13 THE COURT: You did get it, okay. So you
14 do have it. All right. Excellent.

16:43:44 15 Thank you everyone. I am taking -- you
16 know what, Court's in recess. He has some of
17 the exhibits in evidence. But I think he took
18 Mr. Feaman's original e-mail.

19 MR. ROSE: We'll straighten it out, Your
16:43:55 20 Honor.

21 THE COURT: Thank you. Court's in recess.

22 (Judge Scher exited the courtroom.)

23 MR. FEAMAN: Don't go off the record.

24 Stay on the record. We have got to have

16:44:11 25 custody of these original exhibits. We've got

1 to know who's going to get them and all that.

2 MR. ROSE: Mr. Feaman, would you please
3 check these and determine if they are your
4 copies or the Court's copies? Thank you, sir.

16:44:22 5 MR. FEAMAN: This looks like a copy, copy,
6 copy, original.

7 THE DEPUTY: This is for the Court.

8 MR. FEAMAN: I just want to go through it
9 and make sure the Court has all the originals.

16:45:25 10 MR. ROSE: Those are the eight -- I handed
11 Mr. Feaman the eight exhibits that he put in
12 and the one exhibit that was trustee's exhibit.

13 MR. FEAMAN: The Court has all the
14 exhibits.

16:46:03 15

16 (The proceedings adjourned at 4:46 p.m.)

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C E R T I F I C A T E

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The State of Florida
County of Palm Beach

I, Lisa Mudrick, RPR, FPR, certify that I was authorized to and did stenographically report the foregoing proceedings, pages 1 through 117, and that the transcript is a true record.

Dated February 21, 2017.



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IN THE FIFTEENTH JUDICIAL CIRCUIT COURT
IN AND FOR PALM BEACH COUNTY, FLORIDA
CASE NO: 502012CP004391XXXXNBIH

IN RE:

ESTATE OF SIMON L. BERNSTEIN,

/

Proceedings before the Honorable

ROSEMARIE SCHER

Volume II

Thursday, March 2, 2017

3188 PGA Boulevard

North branch Palm Beach County Courthouse

Palm Beach Gardens, Florida 33410

1:35 - 3:39 p.m.

Reported by:

Lisa Mudrick, RPR, FPR

Notary Public, State of Florida

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I N D E X
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EXHIBITS MARKED

No. Claimant Stansbury's

9 Pleading 214

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P R O C E E D I N G S

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BE IT REMEMBERED that the following proceedings were had in the above-styled and numbered cause in the north Branch Palm Beach County Courthouse, City of Palm Beach Gardens, County of Palm Beach, in the State of Florida, by Lisa Mudrick, RPR, FPR, before the Honorable ROSEMARIE SCHER, Judge in the above-named Court, on March 2, 2017, to wit:

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THE COURT: I have evidence in my office. That's what I was looking for. One second. All right.

13:37:58 First thing, please everyone place their name on the record.

MR. FEAMAN: Good afternoon, Your Honor. Peter Feaman on behalf of William Stansbury. With me in the courtroom today is my paralegal from my office Trish Roth and Jeff Royer who was here last time.

THE COURT: All right.

MR. FEAMAN: Thank you.

MR. ELIOT BERNSTEIN: Your Honor, Eliot Bernstein, pro se.

1 THE COURT: Thank you.

2 MR. ROSE: Good afternoon, Your Honor,
3 Alan Rose. With me is Michael Kranz from my
4 law firm. And we represent the Simon Bernstein
13:38:32 5 estate, Ted S. Bernstein as trustee. And in
6 other matters we represent Mr. Bernstein as
7 trustee and as personal representative of the
8 Shirley Bernstein Trust and estate.

9 MR. O'CONNELL: Brian O'Connell, Your
13:38:46 10 Honor. I am the personal representative of the
11 Estate of Simon Bernstein.

12 JUDGE DIANA LEWIS: Your Honor, I am Diana
13 Lewis. I represent the Eliot Bernstein
14 children in the capacity as guardian ad litem.

13:38:59 15 THE COURT: Thank you. Yes, ma'am?

16 MS. CANDACE BERNSTEIN: Candace Bernstein.

17 THE COURT: All right. My recollection is
18 Mr. Eliot, only to distinguish from all the
19 Bernsteins, it was his opportunity, I told him
13:39:15 20 he had ten more minutes, I had timed everybody,
21 and it was my recollection I think
22 Mr. O'Connell was still on the stand and it was
23 Mr. Eliot's time, only you know I am not being
24 disrespectful just for the record to establish
13:39:28 25 which Bernstein I am talking about, to continue

1 your cross-examination.

2 MR. ELIOT BERNSTEIN: Your Honor, before
3 we start that, I filed yesterday and Mr. Feaman
4 filed yesterday --

13:39:38 5 THE COURT: I didn't receive anything from
6 Mr. Feaman. I did receive -- I am just saying.
7 But go ahead, yes, sir.

8 MR. ELIOT BERNSTEIN: It appeared that he
9 mailed you a response.

13:39:52 10 THE COURT: I did not receive -- did you
11 e-mail my JA a response, Mr. Feaman?

12 MR. FEAMAN: Yes, Your Honor. We had no
13 opposition to his motion for continuance.

14 THE COURT: That I did receive.

13:40:01 15 MR. FEAMAN: And joined in it and said if
16 we could have some additional time to take some
17 discovery then we would be glad to avail
18 ourselves of that.

19 THE COURT: Thank you.

13:40:11 20 MR. ELIOT BERNSTEIN: And, Your Honor,
21 that discovery is essential because some of the
22 things we learned at the last hearing
23 contradicts this entire case, that I am not a
24 beneficiary, have no standing. It was a
13:40:24 25 compounding statement that Mr. Rose has told

1 over and over that ended up in orders here,
2 that ended up in Illinois. And now we have
3 absolute proof from Mr. O'Connell and Mr. Rose
4 that, well, he is calling me a tiny beneficiary
13:40:38 5 yesterday in the e-mail to you, but a
6 beneficiary. And that contradicts --

7 THE COURT: Don't assume that I received
8 like what my JA tells me. I received -- let me
9 tell you for the record.

13:40:48 10 MR. ELIOT BERNSTEIN: Okay.

11 THE COURT: Your motion was a formal
12 pleading, so I read that, of course, as a
13 formal pleading I read everything.

14 MR. ELIOT BERNSTEIN: Okay.

13:40:55 15 THE COURT: I said to my JA, please find
16 out everybody, ask them just for their
17 response. I do know Mr. Feaman did not object.
18 That's the extent of what I know.

19 Because those kinds of communications
13:41:06 20 aren't formal, and I had heard that Mr. Rose's
21 office did object. But I want you to know what
22 I know and what I don't know beyond that.

23 MR. ELIOT BERNSTEIN: Okay. I will help
24 you through it. I need time, as I have pled in
13:41:18 25 my motion to vacate that I filed on

1 February 16th, time to question these
2 witnesses. Because Mr. O'Connell's statement
3 to this Court in fact contradicts Mr. Rose's
4 filings and prior statements Mr. Rose has made
13:41:31 5 to sheriff's. So I am going to have to call
6 and subpoena the sheriff who he made statements
7 that I was a beneficiary of my mother's trust
8 on the record in an investigation. And then he
9 came to the Court and told this whole story I
10 am not a beneficiary of anything.

11 If you will look at the case management
12 omnibus motion he filed to Judge Phillips that
13 started this whole nonsense that I am not a
14 beneficiary of anything, it says in there the
13:41:56 15 overarching issue is Eliot is not a beneficiary
16 of anything. That false statement led to
17 orders that were never done on a construction
18 hearing. There was only a validity hearing.
19 Mr. Rose I will pull up and he can testify to
13:42:10 20 that.

21 Although he has told you that there's been
22 some kind of determinations, all of those
23 determinations were based on him misleading the
24 Court as an officer of the Court. And I put
13:42:22 25 most of that in my motion to vacate, and I will

1 be preparing proper responses for that.

2 But we need, Mr. Feaman and I, time to do
3 new discovery on certain people that will --
4 you know, you don't want to be rushing into a
13:42:37 5 decision here on this issue when new
6 information just came out February 9th was when
7 I first received it that contradicted the whole
8 statements in all these pleadings that are
9 forthcoming. And I think we'll be able to show
13:42:51 10 that there's been fraud on this Court. The
11 other date in that hearing if you look at the
12 transcript Mr. Rose claimed that I had no
13 standing, and you overruled that, or whatever
14 you call it, you did.

13:43:03 15 THE COURT: I did.

16 MR. ELIOT BERNSTEIN: Okay. Meaning you
17 allowed me to question Mr. O'Connell. Well,
18 every other time he said that before Judge
19 Phillips, it was whatever he said. They were
13:43:13 20 never litigated the matters that I was a
21 beneficiary or not, but it just got somehow
22 accepted the more he said it to that judge.

23 So now that completely contradicts the
24 orders that were issued that I am not a
13:43:27 25 beneficiary of anything whatsoever. Now it's I

1 am a little, I am a TPP beneficiary. But the
2 truth is I am a beneficiary of the will of
3 Simon Bernstein. And Mr. O'Connell on the
4 stand flipped his story as well that he was
13:43:43 5 putting into this Court that he had consent of
6 all the beneficiaries. Well, in fact they are
7 saying that Mrs. Lewis is a beneficiary, is
8 representing my children as parties here.

9 THE COURT: She's appointed as the
10 guardian on behalf of the children.

11 MR. ELIOT BERNSTEIN: Who are supposed to
12 be the beneficiaries.

13 THE COURT: Yes.

14 MR. ELIOT BERNSTEIN: Okay. Except my
13:44:04 15 children have never been notified by anybody,
16 PR, trustees, anything, that they are
17 beneficiaries of anything.

18 THE COURT: All right. I have to keep it
19 narrow to you want additional time to do
13:44:13 20 additional discovery?

21 MR. ELIOT BERNSTEIN: Totally.

22 MR. FEAMAN: And, Your Honor, if I just
23 may add?

24 THE COURT: Yes.

13:44:18 25 MR. FEAMAN: Thank you. What I said in my

1 joinder and consent was that we still had
2 outstanding objections to the subpoena that we
3 had served on Mr. Rose. Your Honor may
4 recall --

13:44:30 5 THE COURT: I recall that, I do, that you
6 wanted e-mails.

7 MR. FEAMAN: I said if the Court is
8 inclined to give more time then that is
9 something that we could handle. Thank you.

13:44:39 10 THE COURT: Thank you.

11 MR. ELIOT BERNSTEIN: Oh, Your Honor, one
12 more point.

13 THE COURT: Last point.

14 MR. ELIOT BERNSTEIN: There's an open
13:44:44 15 issue of production that I requested production
16 of Mr. O'Connell.

17 THE COURT: Not set for today.

18 MR. ELIOT BERNSTEIN: No, I know.

19 THE COURT: I understand.

13:44:50 20 MR. ELIOT BERNSTEIN: Very important
21 documents relating to this idea of my brother
22 representing the estate which he was denied
23 twice for by the Court. But I asked
24 Mr. O'Connell for production, and he actually
13:45:04 25 advised me to ask him, and then he objected to

1 it, and it's still not here, meaning it's never
2 been heard, correct, Mr. O'Connell?

3 MR. O'CONNELL: I would have to see the
4 item, Your Honor, that Mr. Eliot is referring
13:45:16 5 to.

6 MR. ELIOT BERNSTEIN: Well, the Court has
7 never heard it, and I need all those documents.
8 They are original documents. They are business
9 records that are all pertinent to this
13:45:23 10 settlement.

11 So can we have that also heard so that he
12 is either compelled to give me the documents or
13 he -- you know, whatever you do, you order one
14 way or the other?

15 THE COURT: Today's hearing, the first
16 hearing at issue is whether or not Mr. Rose is
17 on or off. That's the first matter. I put
18 that very simply. But the first matter we are
19 concluding is whether Mr. Rose on behalf of the
13:45:49 20 Mrachek law firm is allowed to proceed as the
21 attorney. That's the removal order that we are
22 here about today.

23 MR. ELIOT BERNSTEIN: And that's all
24 relevant, and we need to depose him now that
13:45:59 25 he's got contradictory statements.

1 THE COURT: Okay. The problem I am
2 having -- well, let me hear the response,
3 please.

4 MR. ROSE: Okay. And I just need a minute
13:46:06 5 to lay out a few of the facts and clear them.

6 The issue today is whether I can defend
7 the estate in the state court action.

8 THE COURT: Right.

9 MR. ROSE: It has nothing to do with my
13:46:19 10 serving as counsel for Ted Bernstein in these
11 proceedings.

12 THE COURT: Yes, I understand.

13 MR. ROSE: All the efforts to remove me
14 have been denied and dismissed long ago.

15 THE COURT: Let me ask you. The effort
13:46:26 16 it's only for the state court action, the civil
17 action in front of Judge Marx?

18 MR. ROSE: Correct.

19 THE COURT: Why is he not hearing this
13:46:38 20 then?

21 MR. ROSE: Because I was retained -- a
22 couple reasons, but --

23 THE COURT: Why is he not hearing the
24 motion to remove him?

13:46:44 25 MR. FEAMAN: Because it was Judge Phillips

1 who entered the order allowing Mr. Rose to
2 represent in that court.

3 THE COURT: But do you understand the
4 Court's -- I think this is something Judge Marx
13:46:55 5 should decide. Wait. Let me ask because then
6 I will let you finish. Tell me why it should
7 be me. I was clear last time, but it just hit
8 me at this moment, if here you represent Ted
9 Bernstein, correct?

10 MR. ROSE: Here I represent Ted Bernstein
11 as a trustee.

12 THE COURT: As a trustee. Your motion to
13 disqualify him has to do with the action in
14 front of Judge Marx?

15 MR. FEAMAN: That is correct, Your Honor.

16 THE COURT: Explain to me why that judge
17 shouldn't make the decision on whether to
18 remove Mr. Rose?

19 MR. FEAMAN: Our thinking was, Your Honor,
13:47:31 20 it was because Judge Phillips entered the order
21 allowing it. And therefore, we came back to
22 the Court that entered --

23 THE COURT: I see what you are saying.

24 MR. FEAMAN: -- the order allowing it to
13:47:41 25 begin with.

1 MR. ROSE: There's two aspects of the
2 motion. One is to appoint Ted Bernstein as
3 administrator ad litem to represent the
4 interests of the estate.

13:47:45 5 THE COURT: I understand that.

6 MR. ROSE: That's an issue for Your Honor.

7 THE COURT: That's me.

8 MR. ROSE: The other issue is whether,
9 Your Honor, whether the order that Judge
10 Phillips entered retaining me to represent the
11 estate should be vacated, and that's all before
12 Your Honor. We have spent I can't tell you how
13 much money to get to this point.

14 THE COURT: Oh, I understand.

13:48:02 15 MR. ROSE: And so I think you are the
16 correct judge because the issue isn't simply
17 disqualification. The interest deals -- the
18 issue deals with what's in the best interests
19 of the estate and its beneficiaries.

13:48:15 20 If I could just have one minute to give
21 you a little history briefly, just I think it
22 will be helpful and I would --

23 THE COURT: I very much remember this
24 chart. I very much remember the --

13:48:27 25 MR. ROSE: It's a new chart.

1 THE COURT: It's a new chart?

2 MR. ROSE: It's completely different.

3 THE COURT: Okay. But do you know what
4 I'm saying? Oh, that chart.

5 MR. ELIOT BERNSTEIN: (Inaudible).

6 MR. ROSE: Completely different.

7 THE COURT: Stop.

8 MR. ELIOT BERNSTEIN: Okay.

9 THE COURT: I will let you know --

10 13:48:32 MR. ELIOT BERNSTEIN: I have not seen
11 that.

12 THE COURT: Nobody has seen this. So
13 before you show me -- put it back down. You
14 are going to stay quiet and you are going to
15 13:48:41 sit down. You know, I am very fair. I hear
16 from each one of you. I am sure I am going to
17 make someone very unhappy across the board with
18 a ruling. But I will not be accused of not
19 listening to everybody. All right.

20 13:48:54 MR. ROSE: Okay.

21 THE COURT: I am not seeing it. Do me one
22 favor and listen to me for one second. The
23 first response I have, before we get into the
24 background, is your response to their motion
25 13:49:05 that they need more time.

1 MR. ROSE: Okay.

2 THE COURT: Okay?

3 MR. ROSE: Okay. This started with a
4 motion filed in August of last year. We had a
13:49:15 5 hearing in September of last year. And then
6 there were objections filed. Mr. Bernstein
7 objected. He was unavailable for an extended
8 period of time. We got a hearing set before
9 Your Honor. We have waited for four or five
10 months to get this done.

11 I'd like to explain the issues that Eliot
12 Bernstein is suggesting that he needs discovery
13 for some farfetched thing, and I'd like to
14 explain to you his standing in a limited area
13:49:42 15 so that you understand what he is saying.

16 Mr. Feaman has served discovery that we
17 have objected to. But I think when you do this
18 hearing, you will understand that the discovery
19 he seeks is not relevant to the issue of
13:49:53 20 whether there's a conflict of interest under
21 Rule 4-1.9 or a conflict of interest under Rule
22 4-1.7.

23 And these estates again are very small.
24 We have spent a lot of money preparing. We are
13:50:06 25 all here. Everyone is ready to roll. We've

1 got two hours reserved. And we need to get
2 some progress made as to who's going to defend
3 the estate in the Stansbury case. And at the
4 same time there's other motions, who is going
13:50:18 5 to -- how are we handling the -- how is the
6 estate handling its Illinois litigation which
7 is -- and both of these matters are now set for
8 trial. So there's some urgency.

9 THE COURT: I remember the exact standing
13:50:26 10 of Mr. Eliot with regard to being a
11 beneficiary. There is a pour over trust from
12 the Simon estate where the children, the ten
13 grandchildren, are the beneficiaries, correct?

14 MR. ELIOT BERNSTEIN: No.

13:50:39 15 MR. ROSE: If you said there's a --

16 THE COURT: Pour over trust from the Simon
17 estate?

18 MR. ROSE: Pour over from the Simon trust.

19 THE COURT: Correct.

13:50:45 20 MR. ROSE: And the ten grandchildren are
21 the beneficiaries, correct.

22 MR. ELIOT BERNSTEIN: Incorrect.

23 THE COURT: No, it is correct. Wait for
24 me. Wait for me one second. Let me finish.

13:50:50 25 MR. ELIOT BERNSTEIN: Okay.

1 THE COURT: That does not change any
2 tangible property you would be a potential
3 beneficiary of, correct?

4 MR. ROSE: Correct.

13:50:59 5 THE COURT: See, I wasn't excluding you.
6 There's tangible property and there's a pour
7 over trust.

8 MR. ELIOT BERNSTEIN: That's the problem,
9 though. The ten grandchildren are not the
13:51:07 10 beneficiaries. That's never been determined.
11 There's been no construction hearings in any of
12 these cases yet. Right, Mr. Rose?

13 MR. ROSE: Totally incorrect.

14 MR. ELIOT BERNSTEIN: There have been
13:51:17 15 construction hearings? Can you give her the
16 date of those hearings?

17 THE COURT: I am not going there. I am
18 not letting you two litigate it. That's my
19 understanding from the pleadings right now.
13:51:25 20 It's not relevant for right this second.

21 MR. ELIOT BERNSTEIN: It doesn't say the
22 ten -- okay.

23 THE COURT: Okay?

24 MR. ELIOT BERNSTEIN: It's very relevant,
13:51:30 25 but okay.

1 THE COURT: Just trying to get to why we
2 are here today.

3 MR. ELIOT BERNSTEIN: Your Honor,
4 Mr. Stansbury's lawsuit they've said they don't
13:51:39 5 have enough money in the trust to pay it if he
6 wins so they would be coming to my tangible
7 personal property interests. So it does affect
8 me in this case in the retention of Ted, and I
9 will be able to show why.

13:51:55 10 THE COURT: You don't have to. You have
11 standing. You are sitting there. I have
12 allowed it. I have allowed it. You are a
13 tangible beneficiary whatever assets remain
14 outside of the Simon trust. I think everyone
13:52:08 15 is on the same page. If it's a dollar or if
16 it's ten dollars, that's where you have -- now,
17 I have no idea the dollar figures in any of
18 this.

19 MR. ELIOT BERNSTEIN: None of us do.

13:52:20 20 THE COURT: Go ahead, Mr. Rose.

21 MR. ROSE: I am sorry, and I keep --

22 THE COURT: Go ahead.

23 MR. ROSE: I am not engaging with
24 Mr. Eliot. He is engaging with me.

13:52:26 25 THE COURT: I am going to ask, Mr. Eliot,

1 to let him finish so we can at least move
2 forward to the next point. Go ahead.

3 MR. ROSE: Just for the record, I conceded
4 at the last hearing that he had limited
13:52:35 5 standing. I did not say that he did not have
6 standing.

7 THE COURT: I agree.

8 MR. ROSE: What I tried to get the
9 impression -- does the Court know -- it's your
13:52:41 10 next question which is the tangible personal
11 property consists of furniture and jewelry.

12 THE COURT: Yes.

13 MR. ROSE: The furniture is dwindling in
14 value. It's being stored. The jewelry -- this
13:52:51 15 is about a hundred thousand. And my point was
16 only that when you take a hundred thousand and
17 you divide it five ways, best case is 20,000.
18 And my point is --

19 THE COURT: It's not for right now. Let's
13:53:00 20 move on.

21 MR. ROSE: No, okay.

22 THE COURT: Okay? Do you see what I am
23 saying?

24 MR. ROSE: I got you. And I do, though,
13:53:06 25 think, since you are new to the case, I would

1 like to just clear up a couple things just if I
2 could briefly, very briefly?

3 THE COURT: Only if you think it's going
4 to help. I don't want to poke the bear. I
13:53:17 5 want to keep moving. I don't want everybody
6 yelling at each other. Do you see what I am
7 saying?

8 MR. ROSE: I do, absolutely.

9 THE COURT: Go ahead.

10 MR. ROSE: I just want -- we had a trust
11 construction trial in the Shirley Bernstein
12 Trust.

13 THE COURT: Yes. And I know that Judge
14 Phillips decided in the Shirley Bernstein.

15 MR. ELIOT BERNSTEIN: It was only a
16 validity hearing. The construction was
17 severed.

18 THE COURT: Mr. Bernstein?

19 MR. ELIOT BERNSTEIN: Okay, I am sorry.

13:53:42 20 THE COURT: You keep interrupting. You
21 can't do that.

22 MR. ELIOT BERNSTEIN: I am sorry.

23 THE COURT: Go ahead.

24 MR. ROSE: I would like to do, just so you
13:53:47 25 know.

1 THE COURT: Sure.

2 MR. ROSE: Eliot Bernstein was a
3 contingent beneficiary. This is Shirley's
4 side.

13:53:53 5 THE COURT: Yes.

6 MR. ROSE: Judge Phillips tried the case.

7 THE COURT: Yes.

8 MR. ROSE: Eliot is named in the will as a
9 contingent beneficiary if Simon died.

13:54:00 10 THE COURT: Okay.

11 MR. ROSE: Now, as soon as Simon --
12 Shirley dies when Simon is alive and survives
13 for 30 days, then that contingency disappears
14 and he is no longer a tangible beneficiary in
13:54:13 15 Shirley's estate. He was a contingent
16 beneficiary of the Shirley trust if Simon
17 didn't exercise a power of appointment.

18 So the trial we had on January -- the
19 trial we had on December 15th, 2015, was to
13:54:25 20 determine whether Simon's 2012 documents were
21 valid and whether his exercise of his power of
22 appointment was valid. Judge Phillips
23 determined -- the exercise of the power of
24 appointment was valid.

13:54:37 25 So now in the Shirley side the power of

1 appointment was exercised so Eliot is no longer
2 a beneficiary. So he had some standing in that
3 case as a potential beneficiary while we were
4 dealing with the trial.

13:54:50 5 THE COURT: I am relying on Judge
6 Phillips' order.

7 MR. ROSE: Then we have the trial.

8 THE COURT: I have to. That is the law.

9 MR. ROSE: The same thing -- the same
13:54:58 10 thing over here --

11 THE COURT: I am not going to do this. I
12 am going to make this very, very clear. Hold
13 on. Stop, please, Mr. Rose, please.

14 MR. ROSE: I am sorry.

13:55:06 15 THE COURT: I am going to use Mr. Feaman
16 as an example. I know he disagrees with a lot
17 of what you are saying. And I am using this
18 for Mr. Eliot and just because he is on the
19 other side. He is sitting there professional
13:55:18 20 as an attorney, not reacting. So I have no
21 idea if he is thinking I enjoyed my lunch or if
22 he is thinking I disagree with everything he
23 said. I am not saying favoritism. I used him
24 because I happened to look straight up. I need
13:55:32 25 everybody to have that kind of expression.

1 When it's your turn you are allowed to talk,
2 but I cannot have the constant -- what happens
3 is one of you reacts, the other one reacts, the
4 other one reacts. I am going to let everybody
13:55:45 5 do their presentation. I am going to make a
6 ruling, and we are going to move on.

7 Continue, please.

8 MR. ROSE: That's the end of the story.
9 He is clearly a beneficiary. We have never
13:55:52 10 denied he is a beneficiary for a very narrow
11 purpose. But based on the rulings it is
12 exactly that which is a very narrow purpose.

13 So we are here. Everyone is ready. I
14 think you can rule on the motion. If at the
13:56:05 15 end of hearing the evidence you think there's
16 some reason you need additional discovery,
17 which I don't think that the record and the
18 evidence and the law would require, you know,
19 we can address it at that point. But we are
13:56:16 20 here. We need to get -- move forward.

21 And just Judge Phillips had entered on
22 order, I am sorry, Judge Colin had entered an
23 order about a month after this lawsuit was
24 filed prohibiting Eliot from filing papers
13:56:32 25 without permission. Yesterday he filed about

1 4,000 pieces of paper. It's very hard for
2 everybody to follow, including his -- the
3 guardian for his children have to read the
4 pages and it's billing time. But we have spent
13:56:43 5 so many times in front of Judge Colin deciding
6 what hearings we are going to have and not
7 have, we waste so much time, that we are here,
8 everyone is ready, we are prepared, he has ten
9 minutes of cross-examination, we can make our
13:56:54 10 argument and then you can rule and we can go to
11 the next motion, and we have about six or eight
12 things. We have settlements we want to get
13 approved that are set for today, and they
14 should be -- it should be very routine. And I
13:57:07 15 think we should move forward today, and we'd
16 ask that you do so.

17 THE COURT: Thank you.

18 If you will give me a second, what
19 happened is I have so many notebooks I am
13:57:37 20 trying to find the one that I was looking for
21 something. That's what I was looking for.

22 At this time we are going to continue with
23 this hearing. Mr. O'Connell, please take the
24 stand.

13:58:50 25 MR. ELIOT BERNSTEIN: Your Honor?

1 THE COURT: No. I am denying the motion
2 to continue. Mr. O'Connell, take the stand.
3 You can complete your cross-examination.

4 - - -

5 Thereupon,

6 BRIAN O'CONNELL,
7 a witness, being by the Court duly sworn, was
8 examined and testified as follows:

9 THE WITNESS: I do.

10 13:59:01 THE COURT: Thank you. Please have a
11 seat. You may proceed.

12 CROSS (BRIAN O'CONNELL)

13 BY MR. ELIOT BERNSTEIN:

14 Q. Mr. O'Connell, can you please state your
15 13:59:15 full name and address for the record?

16 A. Brian O'Connell, 515 North Flagler Drive,
17 West Palm Beach, Florida.

18 Q. In what capacity are you testifying today?

19 A. As an individual.

20 13:59:27 Q. Not in a fiduciary capacity?

21 A. I am a fiduciary, but I have been called
22 as a witness. I am an individual witness.

23 Q. Okay. Are you also a practicing lawyer in
24 Florida?

25 13:59:38 A. Yes.

1 Q. And your bar number, please?

2 A. 308471.

3 Q. Okay. Mr. O'Connell, did you obtain all
4 of the LIC, LIC Life Insurance Concept financial
13:59:51 5 records from the beginning of the Stansbury's
6 lawsuit to the present to review as part of making
7 your recommendations to hire Alan Rose and appoint
8 Ted Bernstein?

9 A. I can't answer that sitting here today
14:00:04 10 because there was a volume of files of information
11 that we have collected. I couldn't give you an
12 accurate answer as to exactly what material I have,
13 over what timeframe. It's just impossible to do
14 that accurately.

14:00:16 15 Q. Okay. A yes or no to these questions if
16 you can. You want me to ask it again? Just
17 looking for a simple yes or no.

18 THE COURT: Do your best answer yes or no.
19 If he can't answer yes or no he doesn't have to
14:00:28 20 answer yes or no.

21 THE WITNESS: Could I explain, Your Honor,
22 after?

23 THE COURT: First answer yes or no, then
24 you will be allowed to explain.

14:00:34 25 THE WITNESS: I don't know on that

1 question. I don't know the answer.

2 BY MR. ELIOT BERNSTEIN:

3 Q. Okay. Are these records they would be
4 relevant to the lawsuit in the claims of Stansbury
14:00:45 5 and the Estate of Simon Bernstein, yes or no?

6 A. I don't know.

7 Q. Okay. If you had the records when did you
8 obtain those records?

9 A. Since I am not sure what records I have, I
14:01:01 10 don't know if I have them. I don't know what they
11 say. And I certainly haven't reviewed them as of
12 the last few days.

13 Q. Okay. When I came to your offices in
14 August 2015 to pick up copies of Simon's business
14:01:21 15 records, did you produce those documents at that
16 time to me?

17 A. I produced documents to you. But again,
18 I'd have to go through my records to determine what
19 copies were made for you at that time. I have no
14:01:34 20 way of giving a precise answer today as to what was
21 given.

22 MR. ELIOT BERNSTEIN: Which, Your Honor,
23 might be reason for more discovery time and
24 whatnot.

25 ///

1 BY MR. ELIOT BERNSTEIN:

2 Q. Mr. O'Connell, did you obtain copies of
3 all the Arbitrage International records from the
4 beginning of the Stansbury lawsuit to the present
14:01:50 5 to review as part of making your recommendations to
6 hire Alan Rose and Ted Bernstein, appoint Ted
7 Bernstein, yes or no?

8 A. I don't know.

9 Q. Okay. If -- would you think those would
14:02:03 10 be relevant to this lawsuit and the claims in the
11 case?

12 A. I don't know because I'd have to see them.

13 Q. Okay.

14 A. If there are such records.

14:02:13 15 Q. Okay. And you don't know if you turned
16 those records over to me when I came to pick up
17 Simon's business records at your office in August
18 2015?

19 A. I don't recall.

14:02:23 20 Q. Okay. Did you obtain copies of the IRS
21 certified records from Simon and Shirley's
22 businesses and their personal tax returns?

23 A. We have certain tax records for Simon
24 Bernstein. But again, I couldn't tell you
14:02:45 25 precisely what they are, for what years.

1 Q. Are they Simon's? Are they certified?

2 A. I don't recall that, but I could tell you
3 generally tax returns typically aren't certified.

4 Q. Are they signed, the ones you've produced?

14:03:00 5 A. I am not sure.

6 Q. Were you produced -- did you order tax
7 returns?

8 A. We ordered tax returns.

9 Q. Did you receive them from the IRS?

14:03:06 10 A. We received certain information from the
11 IRS, because I do recall one item we got was a
12 letter that they didn't have records that old; I
13 know that.

14 Q. Yes or no would be simple. So did you get
14:03:17 15 the tax returns that you were ordering?

16 A. The problem is when you say the tax
17 returns, there are a number of years for which we
18 made a request. And I can't be precise in terms of
19 what exactly were produced and for what year it
14:03:31 20 relates.

21 MR. ELIOT BERNSTEIN: Again, this might
22 need more discovery time here to figure these
23 things out because they are all germane, but.

24 BY MR. ELIOT BERNSTEIN:

14:03:45 25 Q. Did you turn those records you got over to

1 any of the beneficiaries?

2 A. Again, I don't know what was furnished to
3 whom, if requests were made or not, I don't know.

4 Q. Okay. Right immediately before Ben Brown
14:03:57 5 died mysteriously, the prior curator to you, he had
6 alleged he received the tax returns from the IRS
7 and was transferring them to you.

8 MR. ROSE: Objection, hearsay and
9 relevance.

14:04:10 10 THE COURT: It is hearsay, so sustained.

11 MR. ELIOT BERNSTEIN: Okay.

12 BY MR. ELIOT BERNSTEIN:

13 Q. Do you recall receiving tax returns from
14 Mr. Ben Brown that were from the IRS?

14:04:20 15 A. Not with any specificity. And I don't
16 want to guess.

17 Q. Can you describe what the Stansbury
18 lawsuit is all about?

19 A. Well, there's a number of counts. Some
14:04:39 20 have been resolved. There have been dismissals,
21 for example, of Ted Bernstein. And there's --
22 without seeing it, I can probably give a better
23 answer, but there's several, there's some breach of
24 an oral contract. There's a claim for a fraudulent
14:04:54 25 misrepresentation. There's a conspiracy count.

1 These are just things I can recall sitting here.
2 But in terms of what the actual accounts are, it
3 would be best to look at the lawsuit itself.

4 Q. Have you looked at the lawsuit?

14:05:10 5 A. Yes.

6 Q. Okay. Because the last time and in your
7 pleadings you state that you have no knowledge of
8 the lawsuit; is that correct?

9 A. Well, I'd have to see what it is that you
14:05:20 10 are referring to. But I have a general knowledge
11 of the lawsuit because I have seen the complaint.
12 That would be the source of, one source of
13 information that I have.

14 Q. Okay. Because Mr. Rose has pled that you
14:05:32 15 have no knowledge, and I believe your statement
16 says you have no knowledge. But I will get to that
17 in a moment.

18 A. I'd have to see my statement.

19 Q. Okay. We are going to get that out.
14:05:42 20 We'll get that, circle back to that.

21 Is that all you have to say on the
22 Stansbury lawsuit that know of?

23 A. That the lawsuit speaks for itself.

24 Q. Have you spoken to me ever about the
14:05:53 25 lawsuit?

1 A. I don't recall.

2 Q. Do you recall a three-hour conversation
3 with my wife and me regarding the Stansbury
4 litigation?

14:06:02 5 A. I remember a lengthy conversation with you
6 and your wife about estate issues. Not too long
7 after I took over, yes, you came to the office.
8 Again, I'd have to refresh my recollection as to
9 what exactly we covered. But I recall that much.
14:06:17 10 It was pending issues involving estate matters that
11 were of concern to you. And then I think we even
12 talked about was there a way to resolve the issues
13 that you had. So those were sort of the
14 generalities that I recall.

14:06:29 15 Q. Okay. Because your bill mainly says that
16 it was regarding the Stansbury lawsuit --

17 A. I'd have to see the bill.

18 Q. -- for three hours. But -- and let me ask
19 you another question. Did you bill for that three
14:06:41 20 hours?

21 A. Again, without seeing the bill to be sure.

22 Q. Okay.

23 A. But I am going to take an assumption that
24 I did.

14:06:47 25 Q. Okay. Okay. And after I just heard you,

1 you said there was some breach of contract issues,
2 some conspiracy issues, some fraud issues, and the
3 defendants we know were Ted Bernstein that was sued
4 and Simon Bernstein and their companies, correct?

14:07:19 5 A. Originally.

6 Q. Okay.

7 A. And there's been some dismissals
8 principally of Ted Bernstein and some of the
9 entities.

14:07:24 10 Q. Okay. And I was looking for yes or no,
11 but okay.

12 Okay. So is it possible that some of the
13 issues involved in the Stansbury claims could
14 involve negligence, yes or no?

14:07:39 15 A. I don't recall a negligence claim or count
16 in the complaint. And there's a second amended
17 complaint. That would be what one would need to
18 look to answer that for sure. But sitting here
19 without looking at it, I don't recall a negligence
14:07:54 20 claim.

21 Q. Are you aware of Florida Statute 768.1,
22 yes or no?

23 A. 768.01 perhaps?

24 Q. 768.81.

14:08:23 25 A. 81?

1 Q. Yes.

2 MR. ELIOT BERNSTEIN: Your Honor, can I
3 approach?

4 THE DEPUTY: I will bring it to the
14:08:29 5 witness.

6 THE COURT: Thank you.

7 MR. ELIOT BERNSTEIN: Do you want one,
8 Your Honor?

9 THE COURT: I have my statute book. I am
14:08:32 10 looking it up right now.

11 MR. ELIOT BERNSTEIN: Okay. Okay. Let me
12 get back to where I was.

13 THE COURT: The comparative fault statute?

14 MR. ELIOT BERNSTEIN: Yes.

14:09:04 15 BY MR. ELIOT BERNSTEIN:

16 Q. Can you read subdivision C for the record,
17 Mr. O'Connell?

18 MR. ROSE: I am going to object. I mean,
19 the statute is the statute. They can make
14:09:15 20 whatever argument they want to make in the
21 argument, but he doesn't have to read the
22 statute.

23 MR. ELIOT BERNSTEIN: Well --

24 THE COURT: Just let him read it.
14:09:23 25 Overruled.

1 THE WITNESS: "Negligence action means,
2 without limitation, a civil action for damages
3 based upon a theory of negligence, strict
4 liability, products liability, professional
14:09:33 5 malpractice whether couched in terms of
6 contract or tort, or breach of warranty and
7 like theories. The substance of an action, not
8 conclusory terms used by a party, determines
9 whether an action is a negligence action."

14:09:48 10 BY MR. ELIOT BERNSTEIN:

11 Q. And then can you just read real quick
12 number three short?

13 A. Sure. "Apportionment of damages. In a
14 negligence action, the court shall enter judgment
14:09:57 15 against each party liable on the basis of such
16 party's percentage of fault and not on the basis of
17 the doctrine of joint and several liability."

18 Q. Okay. And both Ted and my father were
19 sued in the Stansbury action, correct?

14:10:17 20 A. Yes, originally.

21 Q. Okay. And so it could be that Ted
22 committed, and according to Mr. Stansbury's
23 complaint, most of the egregious acts of fraud on
24 Mr. Stansbury, checking account fraud, et cetera,
14:10:40 25 and that my father was more of a passive partner in

1 this thing who might not have even known what was
2 going on with Ted?

3 So would there be the ability to say that
4 there was an apportionment of damages that could
14:11:04 5 result that where Ted is found maybe a hundred
6 percent liable for the damages to Mr. Stansbury?

7 A. Well, at this point, I will give you a no
8 at this point. Because what you would have to do
9 is -- and look the complaint, because everyone has
14:11:23 10 to travel under the complaint and what's been
11 alleged in that and what legal theories are being
12 claimed.

13 Again, like I mentioned, negligence I
14 don't recall being a count within that particular
14:11:33 15 complaint. Then you have to couple that with the
16 fact that you had a dismissal of Ted in certain
17 entities as a defendant. Then on top of that you'd
18 have to have, which I certainly don't have and not
19 been given, facts to support that type of a I will
14:11:49 20 call it apportionment claim as you have alluded to
21 it. So someone would have to have that information
22 to make that assessment after considering
23 everything else that I just said.

24 Q. And so since you didn't know if there was
14:12:03 25 a negligence and we'd have to circle back to that

1 with more discovery because you need to check your
2 records, we could find that there's a negligence
3 theory here that establishes that there's shared
4 fault in the action, correct?

14:12:19 5 MR. ROSE: Objection. And may I be heard?

6 THE COURT: Give me just one second.

7 MR. ROSE: Okay.

8 THE COURT: All right. I just reviewed
9 the complaint at issue in the Stansbury case.
10 There does not appear to be a negligence
11 action. Am I missing it?

12 MR. FEAMAN: There is not a negligence
13 action per se, Your Honor.

14 THE COURT: Okay. Thank you.

14:12:50 15 So let's move on. Don't forget, I said
16 you had ten minutes.

17 MR. ELIOT BERNSTEIN: Okay.

18 THE COURT: I have already given you ten.
19 I am going to give you five more.

14:12:58 20 MR. ELIOT BERNSTEIN: Well, I am going to
21 need more just based on the fact that there's
22 some certain things that are germane --

23 THE COURT: Okay. I understand your
24 objection.

14:13:05 25 (Overspeaking.)

1 MR. ELIOT BERNSTEIN: -- consideration.

2 Thank you.

3 THE COURT: I understand your objection.

4 MR. ELIOT BERNSTEIN: Okay.

14:13:07 5 THE COURT: And wait. And you put it on
6 the record so it's preserved.

7 MR. ELIOT BERNSTEIN: Okay.

8 THE COURT: But you get six more minutes.

9 BY MR. ELIOT BERNSTEIN:

14:13:13 10 Q. Mr. O'Connell, when did you -- did you
11 perform a due diligence investigation into Ted
12 Bernstein's potential liability in the Stansbury
13 lawsuit?

14 A. I have not. I have never been presented
14:13:24 15 with any facts by anyone or even an allegation to
16 suggest that such a liability might exist.

17 Q. Well, the complaint actually alleges that
18 Ted committed the frauds?

19 A. And then, as I have mentioned, Ted was
14:13:35 20 dismissed as a defendant by Mr. Stansbury.

21 Q. Yeah, that's okay. Whether Mr. Stansbury
22 in the estate would have to determine if Ted had
23 liability in this, correct?

24 A. No.

14:13:47 25 MR. ROSE: Objection, again.

1 THE COURT: Go ahead, place your legal
2 objection on the record.

3 MR. ROSE: Well, my legal objection is a
4 lack of relevancy under the two statutes that
14:13:59 5 are relevant to these issues. But he can
6 finish.

7 THE COURT: Thank you.
8 You may proceed.

9 BY MR. ELIOT BERNSTEIN:

10 Q. Did you do a due diligence investigation
11 to check out if Ted had any liability in this
12 lawsuit?

13 A. Not the way you've phrased it. I mean, we
14 examined the lawsuit and determined the defendant
14:14:25 15 initially. And, of course, we are here today for a
16 different form of defense. But I have no
17 information specifically relates to the topics that
18 you are raising that Ted has some type of a
19 contribution, I think would be your theory for
14:14:40 20 that, or a portion you have also used that term.

21 Q. But if you did find that out through due
22 diligence that Ted had liability, you would be able
23 to take action on behalf of the beneficiaries to
24 have Ted sued or charged with that, correct?

14:14:57 25 A. If, yes, if that information exists, if

1 someone provides us with that information, then, of
2 course, we could.

3 Q. Okay.

4 A. That begs the issue of --

14:15:09 5 Q. That's good.

6 A. -- us needing the information after the
7 years that have gone by that this litigation has
8 been pending that I have never been provided.

9 Q. Okay. Okay. So but you just said that as
14:15:19 10 the estate could do that after reviewing to see if
11 Ted had liability. And my question is this, do you
12 think that Ted, if he is in your chair right there
13 right now representing the estate on behalf of
14 Stansbury, will file a lawsuit against Ted saying
14:15:38 15 that he committed most of the egregious acts and he
16 should be apportioned the damages?

17 A. I wouldn't --

18 MR. ROSE: Again, I will object. Legal
19 ground is that the estate has no claim against
14:15:49 20 Ted Bernstein under any circumstances. And for
21 the record, under Section 768.31(c) and
22 768.31(b)(5), which states that when a party
23 has been dismissed and given a release, there's
24 no claim for contribution, it discharges the
14:16:09 25 tort-feasor to whom it is given from all

1 liability for contribution to any other
2 tort-feasor.

3 Mr. Feaman is in the courtroom, and he can
4 confirm that there's a settlement agreement
14:16:18 5 that includes a release of Mr. Ted Bernstein.

6 And under 768.81, just for the record,
7 there's no liability if there's apportionment
8 of fault. The jury could award him a billion
9 dollars, put a hundred percent on Ted
14:16:29 10 Bernstein, and the estate pays nothing under
11 781 --

12 MR. ELIOT BERNSTEIN: Your Honor --
13 (Overspeaking.)

14 THE COURT: I understand the legal
14:16:33 15 implications of 768.81. Next question.
16 Mr. Eliot has approximately three more minutes,
17 and I want him to have his time.

18 MR. ELIOT BERNSTEIN: Well, that's not
19 enough time, I mean literally. I have
14:16:46 20 requested and shown the reasons why. But okay.
21 And I will say this is more infringement on my
22 due process right, but.

23 THE COURT: I have absolutely --

24 MR. ELIOT BERNSTEIN: Okay.

14:16:56 25 THE COURT: Wait. Wait. I want to say

1 this. I have always -- I will never be upset
2 by you establishing your record, so that's
3 fine, go on.

4 BY MR. ELIOT BERNSTEIN:

14:17:05 5 Q. When did you first read the will of Simon
6 Bernstein, the 2012 will?

7 A. Shortly after I was appointed as the
8 personal representative.

9 Q. Did you read a copy or the original?

14:17:16 10 A. I believe it was a copy.

11 Q. Why didn't you read the original?

12 A. Well, the original would be in the court
13 file, and we rely on copies.

14 Q. Okay. When did you first see the
14:17:36 15 paragraph in the alleged valid will of my father
16 that makes me a beneficiary as devisee?

17 A. When I would have read the will I would
18 have seen the children as beneficiaries as to
19 tangible personal property.

14:17:49 20 Q. So how long have you let Ted Bernstein and
21 Alan Rose falsely claim in the court that I have no
22 standing?

23 MR. ROSE: Objection, argumentative.

24 THE COURT: Overruled. You can answer.

14:17:59 25 THE WITNESS: And I haven't let them do

1 anything.

2 BY MR. ELIOT BERNSTEIN:

3 Q. Well, did you object at the validity
4 hearing when it was said I wasn't a beneficiary of
14:18:08 5 the estate?

6 A. I am not sure which hearing you are
7 referring to and whether or not I was present.

8 Q. You weren't present. But the estate, you
9 left and abandoned the estate at that validity
14:18:17 10 hearing, in fact, and left it unrepresented. But
11 you would have, obviously, opposed any statements
12 like the ones that are full in these pleadings
13 before the Court right now where Mr. Rose is
14 claiming Eliot is not a beneficiary of anything
14:18:29 15 whatsoever? That's incorrect, correct?

16 A. Sort of a compound question, but I will
17 try to answer it the best I can. Based on what
18 Mr. Rose just said in open court, I am not aware
19 that he is contesting that you are beneficiary of
14:18:44 20 the Simon Bernstein estate as to tangible personal
21 property.

22 Q. He said he conceded, which means he
23 changed his entire pleadings, the pleadings before
24 Judge Phillips --

14:18:53 25 THE COURT: Okay, question. You ask a

1 question. You don't stand there and --

2 MR. ELIOT BERNSTEIN: I got you.

3 (Overspeaking.)

4 THE COURT: Last question.

14:19:00 5 MR. ELIOT BERNSTEIN: Well, I have got a
6 few more.

7 THE COURT: Last question.

8 BY MR. ELIOT BERNSTEIN:

9 Q. Have you negotiated a signed settlement
14:19:09 10 between Stansbury and the estate?

11 A. No. You mean is there a signed settlement
12 agreement between Mr. Stansbury and the estate?

13 Q. That Mr. Stansbury signed that you sent to
14 him that you negotiated a settlement between the
14:19:26 15 estate and Mr. Stansbury?

16 A. At this point to get some clarity here,
17 because we have had exchanges of correspondence
18 about trying to settle the case. But if you are
19 saying do I have a signed settlement agreement
14:19:39 20 that's been approved by the Court that's been --

21 Q. No, I didn't say -- I just asked do you
22 have a signed one by Mr. Stansbury?

23 A. Again, I'd have to look through my file
24 because I remember exchanging proposals. Whether
14:19:51 25 or not Mr. Stansbury signed off on one of those,

1 because we did have a hearing before Judge Colin
2 about approving a settlement. But that was
3 objected to by counsel for the grandchildren,
4 therefore it wasn't approved. So it's possible
14:20:04 5 there could be something that was signed in that
6 era. But I'd want to look at the file to be sure,
7 if that's what you are referring to.

8 Q. Okay. So --

9 THE COURT: All right. That was the last
14:20:16 10 question.

11 MR. ELIOT BERNSTEIN: Can I finish that
12 question?

13 THE COURT: You can finish one more.

14 MR. ELIOT BERNSTEIN: Okay.

14:20:20 15 BY MR. ELIOT BERNSTEIN:

16 Q. In Shirley's trust construction case in
17 relation to Simon's trust you were served a
18 complaint in Shirley's trust, you entered and
19 intervened on behalf of the estate. Did you not at
14:20:35 20 that time answer your first affirmative defense
21 that Ted Bernstein was not a validly serving
22 trustee of the Simon Bernstein Trust?

23 A. I'd need to see that. It's possible. I'd
24 need to see the pleading itself.

14:20:47 25 Q. Okay.

1 MR. ELIOT BERNSTEIN: I can get that if
2 you'd like, Your Honor.

3 THE COURT: If you want to hand it to him.

4 MR. ELIOT BERNSTEIN: Okay. Hold on.

14:20:57 5 THE COURT: Does anyone have that pleading
6 handy?

7 MR. ROSE: If I could enlighten you?

8 THE COURT: Yes. Which pleading are you
9 referencing?

14:21:13 10 MR. ROSE: No, in the trust --

11 MR. ELIOT BERNSTEIN: (Inaudible).

12 (Overspeaking.)

13 THE COURT: No, I asked which pleading you
14 are referencing, and he was just trying to tell
14:21:20 15 me.

16 MR. ELIOT BERNSTEIN: Okay.

17 THE COURT: Do you have the pleading,
18 Mr. Eliot?

19 MR. ELIOT BERNSTEIN: I am looking for it.

14:21:25 20 THE COURT: I was just going to ask him if
21 he had the pleading he can show you the
22 pleading if he can get it. Do you know which
23 pleading?

24 MR. ROSE: I can tell you what it is.

14:21:31 25 THE COURT: What is it?

1 MR. ROSE: In the trust construction case
2 Judge Colin ordered that we try the validity of
3 five documents.

4 THE COURT: Yes, I remember.

14:21:42 5 MR. ROSE: One of them affected
6 Mr. O'Connell --

7 THE COURT: I might be able to pull it up
8 from the court file.

9 MR. ROSE: -- which was the will. So
14:21:46 10 Mr. O'Connell filed an answer in the case. But
11 then we entered into a stipulation and an order
12 that Mr. O'Connell would abide by whatever
13 Judge Phillips ruled at the trial so that he
14 wouldn't have to sit through a trial and incur
14:21:57 15 the expense.

16 THE COURT: Got it.

17 MR. ROSE: So I think he withdrew his --
18 he entered into an agreement and he did not
19 pursue any defenses, and the documents were
14:22:04 20 upheld as valid. It would be his answer filed
21 in, not in the Estate of Simon Bernstein, but I
22 think it's the 2014 3698 case.

23 MR. ELIOT BERNSTEIN: It's Mr. O'Connell's
24 answer. It's his only affirmative defense,
14:22:22 25 Your Honor, if you want to look it up. It's

1 his answer to the Shirley Bernstein Trust,
2 construction complaint on behalf of the estate.

3 BY MR. ELIOT BERNSTEIN:

4 Q. Mr. O'Connell, what made you say that?

14:22:34 5 A. Originally?

6 Q. Yes.

7 A. Before it was settled? My review of the
8 Shirley Bernstein Trust.

9 Q. You said the Simon Bernstein Trust he
14:22:46 10 wasn't validly serving under?

11 A. Sorry, Simon Bernstein Trust, correct.

12 Q. Okay. So now what was it?

13 A. My review -- originally when that
14 affirmative defense was entered based on my review
14:22:55 15 of the Simon Bernstein Trust.

16 Q. You claimed that Ted wasn't validly
17 serving. On what grounds? On what basis?

18 MR. ROSE: Objection, Your Honor. Under
19 the statute -- it's not relevant. But under
14:23:06 20 the statute Mr. O'Connell has no, would have
21 had no standing, just like Mr. Bernstein had no
22 standing, and Mr. Feaman has no standing --

23 THE COURT: Sustained.

24 MR. ROSE: -- because only the settlor or
14:23:17 25 the co-trustee or the beneficiary trust can

1 seek removal.

2 THE COURT: All right. Let's wrap it up.

3 MR. ELIOT BERNSTEIN: Well, you are not
4 going to let me ask any more questions?

14:23:23 5 THE COURT: I am not.

6 MR. ELIOT BERNSTEIN: Okay. Again, my --

7 THE COURT: Your objection is so noted for
8 the record.

9 Okay. Redirect.

14:23:34 10 MR. FEAMAN: Thank you, Your Honor.

11 THE COURT: You are welcome, thank you.

12 MR. ELIOT BERNSTEIN: Oh, excuse me, Your
13 Honor?

14 THE COURT: Yes, sir.

14:23:42 15 MR. ELIOT BERNSTEIN: Just one last thing.
16 Do I get to make an opening statement and stuff
17 at this proceeding?

18 THE COURT: We are way past that.

19 MR. ELIOT BERNSTEIN: Well, I was late
14:23:52 20 last time.

21 THE COURT: And that's why you waived it.

22 MR. ELIOT BERNSTEIN: So I waived it?

23 THE COURT: You waived it by being late.

24 MR. ELIOT BERNSTEIN: Oh, okay.

14:23:58 25 THE COURT: Okay? Thank you.

1 MR. FEAMAN: May it please the Court?

2 THE COURT: Absolutely, thank you.

3 REDIRECT (BRIAN O'CONNELL)

4 BY MR. FEAMAN:

14:24:05 5 Q. Good afternoon, Mr. O'Connell.

6 A. Good afternoon.

7 Q. Mr. Eliot actually brought this up when we
8 were here the first time concerning the counts of
9 the Stansbury lawsuit, and I actually thought about
10 what he had to say. So I would like to follow up
11 and ask you some more questions on the Stansbury
12 lawsuit. If I could hand you a copy of the second
13 amended complaint?

14 A. Sure.

14:24:38 15 Q. Okay.

16 A. I have got it.

17 Q. And this is the second amended complaint
18 in the lawsuit that is pending where Mr. Rose seeks
19 to become counsel for the estate, correct?

14:24:55 20 MR. ROSE: If I could, just a brief
21 objection for the record?

22 THE COURT: For the record.

23 MR. ROSE: To the extent we are going to
24 argue that we should be disqualified because of
14:25:02 25 some potential contribution, I would just note

1 it's not in the papers --

2 MR. FEAMAN: Move to strike.

3 THE COURT: I get to hear his entire
4 argument before you get to move to strike
14:25:11 5 anything.

6 MR. FEAMAN: Yes, ma'am.

7 THE COURT: I don't know what you are
8 striking.

9 MR. ROSE: The grounds -- those grounds
14:25:17 10 aren't in the motion to disqualify our firm as
11 valid or the objection to our retention that's
12 the basis of vacating your order.

13 THE COURT: Continue.

14 MR. ELIOT BERNSTEIN: Excuse me, I just
14:25:31 15 missed that piece. Can somebody read that
16 back? I am sorry.

17 THE COURT: Sure, I can have the court
18 reporter read back his objection. Thank you.

19 MR. ELIOT BERNSTEIN: I am sorry.

14:25:38 20 THE COURT: No, that's all right.

21 MR. ELIOT BERNSTEIN: I was out there for
22 just a second.

23 MR. FEAMAN: Response, Your Honor.

24 THE COURT: I was just waiting to hear the
14:25:48 25 question. He asked that Mr. Rose's objection

1 be read back, and I said sure, and I was giving
2 the court reporter the opportunity to read it
3 back.

4 MR. ELIOT BERNSTEIN: I am sorry, Your
14:25:58 5 Honor.

6 THE COURT: That's quite all right. Thank
7 you.

8 (The following portion of the record was
9 read back.)

10 "MR. ROSE: Those grounds aren't in the
11 motion to disqualify our firm as valid or the
12 objection to our retention that's the basis of
13 vacating your order."

14 THE COURT: Mr. Feaman, you wanted a
14:26:50 15 response?

16 MR. FEAMAN: My response is we allege that
17 Mr. Rose has a conflict of interest.

18 THE COURT: I think that's broad enough.
19 We are talking about the lawsuit he is saying
14:27:01 20 he has a conflict. Let's move on. Overruled.

21 MR. FEAMAN: Thank you.

22 BY MR. FEAMAN:

23 Q. So the lawsuit is case number 13933 in the
24 general jurisdiction division, correct?

14:27:11 25 A. Correct.

1 Q. And this is not the first time you are
2 looking at this, correct?

3 A. Correct.

4 Q. In fact, you have looked at it in somewhat
14:27:20 5 detail because you and I carried on some serious
6 settlement negotiations, did we not?

7 A. Yeah, we have over a span of time, yes.

8 Q. Okay. Let me then first draw your
9 attention to paragraph 26 on page six. Let me know
14:27:41 10 when you are there.

11 A. I am there.

12 THE COURT: Hold on. The Court is not
13 there yet. I assume you want the Court to
14 follow along? Does anyone have an objection to
14:27:48 15 me pulling up the complaint?

16 MR. ELIOT BERNSTEIN: No, ma'am.

17 MR. FEAMAN: It's public record.

18 THE COURT: Just for the record.

19 MR. ROSE: That's fine, or you can have my
14:27:56 20 copy.

21 THE COURT: Just give me one second. I
22 have got the docket up. And just tell me when
23 it was filed, the amended complaint.

24 MR. FEAMAN: The amended complaint was
14:28:04 25 served and filed on or about September 3rd,

1 2013.

2 THE COURT: Thank you. Got it.

3 You may proceed, thank you.

4 BY MR. FEAMAN:

14:28:21 5 Q. Now, it's alleged there that LIC Holdings
6 and Arbitrage became the alter ego of Simon
7 Bernstein and Ted Bernstein; is that correct?

8 A. I see that, yes, that language.

9 Q. Now, LIC Holdings and Arbitrage were two
14:28:36 10 corporate defendants before -- in this action
11 before they were settled out; is that correct?

12 A. Correct.

13 Q. And that was the corporations under which
14 Mr. Stansbury and Mr. Simon Bernstein and Mr. Ted
14:28:48 15 Bernstein did business, correct?

16 A. Well, that's what's alleged in here.

17 Q. Okay. And it says that the allegations
18 are against both Simon Bernstein and Ted Bernstein,
19 correct?

14:29:01 20 A. Yes, in 26.

21 Q. And then the last sentence of page six
22 says, "The wrongful action of Simon Bernstein and
23 Ted Bernstein in diverting and converting corporate
24 assets rendered LIC and possibly Arbitrage
14:29:18 25 insolvent," correct?

1 A. That's what it says. That's the
2 allegation.

3 Q. Right. And now you are aware that Mr. Ted
4 Bernstein's deposition has not been taken in this
14:29:27 5 case, correct?

6 A. I am not sure.

7 THE COURT: Can I ask you to clarify which
8 case?

9 MR. FEAMAN: Sorry.

14:29:36 10 THE COURT: The civil case?

11 MR. FEAMAN: The Stansbury action.

12 THE COURT: Thank you.

13 MR. FEAMAN: Refer to it that way for the
14 record.

14:29:40 15 THE COURT: Thank you.

16 THE WITNESS: I don't know either way.

17 BY MR. FEAMAN:

18 Q. In fact, are you aware that other than the
19 beginning of the deposition of Mr. Stansbury, that
14:29:48 20 in the Stansbury action no depositions have yet
21 been taken in that case; are you aware of that?

22 A. I recall Mr. Stansbury's deposition, but I
23 am not sure what other depositions may or may not
24 have been taken.

14:30:01 25 Q. If I told you that no other depositions

1 have been taken, that wouldn't surprise you, would
2 it? You wouldn't have any reason to disagree with
3 that?

4 A. I don't sitting here without again looking
14:30:11 5 at some more material.

6 Q. All right. And then could I draw your
7 attention to paragraph 27?

8 A. Sure.

9 Q. It says, "Throughout 2009 Simon Bernstein
14:30:21 10 and Ted Bernstein continued to make false
11 statements to Stansbury to hide the fact that LIC
12 and/or Arbitrage was their alter ego in that they
13 converted corporate property and corporate assets
14 of LIC," correct?

14:30:34 15 A. That's what it says.

16 Q. Now, assume for me for a moment that
17 discovery shows that in fact most of that conduct
18 was performed by Ted Bernstein. Would you agree
19 that then possibly the Estate of Simon Bernstein
14:30:48 20 could have a third party complaint against Ted
21 Bernstein?

22 MR. ROSE: Objection, under the same
23 grounds as before. I mean, first of all, the
24 statute prohibits the claim for contribution
14:31:02 25 which would be a third party claim for

1 contribution.

2 THE COURT: That's not a legal objection.

3 MR. ROSE: Also, he is the opposing party
4 in the lawsuit that's pending. I really object
14:31:11 5 to him asking him his opinion about strategy in
6 the case, which is -- I mean, it's a delicate
7 balance, I understand, but, you know.

8 THE COURT: Which is why I asked you first
9 if you think Judge Marx should hear this. So
14:31:24 10 if you want me to hear it, I've got to know
11 what's going on.

12 MR. ROSE: And I want you to hear it. It
13 would be the same issue in front of Judge Marx.
14 I am saying he is asking him trial strategy. I
14:31:32 15 understand what they are getting at with this
16 contribution thing. And the reason why I
17 suggest it's completely irrelevant is there
18 is --

19 THE COURT: Wait a minute. Are you
14:31:39 20 objecting trial strategy is work product as
21 between attorney and client? Do you see what I
22 am saying? I need a basis.

23 MR. ROSE: My basis for the record is this
24 is completely irrelevant because it's
14:31:49 25 undisputed in this record that there's no claim

1 for contribution which exists. So to ask about
2 a third party claim that doesn't exist I think
3 is an improper question and the objection
4 should be sustained.

14:31:59 5 THE COURT: I am overruling it. It goes
6 to the weight of the evidence and me deciding
7 overall whether or not there's a conflict. I
8 am going to let him explore his theory, but it
9 all goes to whether or not there's a conflict
14:32:12 10 that exists.

11 You may continue.

12 MR. FEAMAN: And with Your Honor's
13 permission I would just like to state for the
14 record that there's nothing in this record to
14:32:20 15 support what Mr. Rose has said. Thank you.

16 BY MR. FEAMAN:

17 Q. Now, so my question was --

18 THE COURT: Do you want it read back?

19 MR. FEAMAN: Yes.

20 (The following portion of the record was
21 read back.)

22 "Q. Now, assume for me for a moment that
23 discovery shows that in fact most of that
24 conduct was performed by Ted Bernstein. Would
25 you agree that then possibly the Estate of

1 Simon Bernstein could have a third party
2 complaint against Ted Bernstein?"

3 THE WITNESS: I don't know enough to make
4 that analysis sitting here right now because it
14:33:06 5 would have to go through -- actually it would
6 be two contribution statutes, related statutes
7 in Chapter 768 I can think of that one would
8 have to review besides the one that I have been
9 provided.

14:33:18 10 BY MR. FEAMAN:

11 Q. Okay.

12 A. And obviously then take that against what
13 the facts are that you are referencing that might
14 be disclosed in discovery, apply that against the
14:33:26 15 dismissal, release, look at the settlement
16 agreement that was signed, and take an analysis of
17 all of those items, to give you a correct answer to
18 your question.

19 Q. And you haven't seen the release even,
14:33:38 20 have you?

21 A. I have talked to Mr. Rose about it. I
22 haven't -- I don't have it in my hands. It's not
23 part of my files.

24 Q. You haven't made an independent
14:33:48 25 determination outside of what Mr. Rose may have

1 told you that there might be something in that
2 release which would somehow keep the Estate of
3 Simon Bernstein from suing Ted Bernstein out of the
4 Stansbury lawsuit, correct?

14:34:01 5 A. I don't know that. I understood it was a
6 confidential settlement.

7 Q. Okay. So then you don't know; is that
8 correct?

9 A. It is because, as I just said, I was told
14:34:10 10 it was a confidential settlement. I inquired of
11 Mr. Rose generally what the terms and conditions
12 was. I looked at the docket. I see the dismissal
13 with prejudice of the parties you referred to
14 before.

14:34:21 15 Q. And so going back to what the facts might
16 develop, you really don't know yet whether the
17 Estate of Simon Bernstein could sue Ted Bernstein
18 arising out of the conduct alleged in the Stansbury
19 lawsuit, correct?

14:34:35 20 A. Right. I think I have answered that, but
21 I will say it again. I don't have enough
22 information to apply case law. There's a Supreme
23 Court decision I can think of that deals with
24 contribution that would be relevant here, yeah, a
14:34:50 25 number of items. But I would have to start with

1 some sort of a factual basis, looking at documents,
2 what's the nature of the tort, what's the
3 contribution, if it's a contract claim, if there's
4 no contribution, all of those items would have to
14:35:05 5 be looked at because this complaint has contractual
6 claims and it has tort claims.

7 Q. Right. And assume for me, if you would,
8 that the release would not bar an action by the
9 estate. And assume for me that the facts would
14:35:18 10 support a jury's conclusion as to the truthfulness
11 of what's alleged in paragraphs 26, 27, 28 and 29.
12 Isn't it true that in that event, and I am
13 admitting now that you don't know this yet, but
14 that the estate could have an action against Ted
14:35:36 15 Bernstein?

16 A. Then I would --

17 MR. ROSE: I am going to object for the
18 record on multiple grounds, first of which is I
19 can't believe a lawyer in this courtroom who's
14:35:46 20 negotiated a general release --

21 MR. FEAMAN: Move to strike.

22 THE COURT: Hold on. One second, please.

23 MR. FEAMAN: He can object, Your Honor,
24 but he can't make statements like that.

14:35:55 25 THE COURT: I indicated at the very

1 beginning, remember point one, that no one was
2 to take a strike at the lawyer. If you want to
3 put on the law, put on the law.

4 MR. ROSE: Okay.

14:36:06 5 THE COURT: I am looking at 768.81.

6 You may proceed with your objection.

7 MR. ROSE: Can I clarify the point since
8 this is not pled and we are traveling --

9 THE COURT: Sure.

14:37:01 10 MR. ROSE: Is there a position taken in

11 this case by the movant that there is not a

12 mediation settlement agreement signed that

13 includes a general release negotiated by

14 counsel at a mediation, including Mr. Feaman

14:37:14 15 who was the lead counsel for the plaintiff,

16 that includes a general release of all

17 defendants? And if that's an issue, I need to

18 know that just to be on notice of what the

19 issues are in the case so I can be prepared to

14:37:26 20 meet the evidence that's going to be presented

21 today. I don't think it's too much to ask if

22 that's actually a disputed issue of fact today.

23 And if it is, I would submit to the Court that

24 when we prove the opposite it should reflect on

14:37:39 25 the credibility of the movant.

1 MR. FEAMAN: Move to strike --

2 MR. ROSE: And I have a legal objection
3 after I --

4 THE COURT: Mr. Feaman, it's the Court's
14:37:47 5 understanding there was a dismissal and a
6 settlement with regards to Ted individually
7 from the Stansbury lawsuit; is that correct?

8 MR. FEAMAN: That is correct.

9 THE COURT: All right. Move on, Mr. Rose.
14:37:58 10 That was the basis of your issue, correct?

11 MR. ROSE: But that included a release.
12 The settlement agreement that was signed
13 included a general release. I didn't know that
14 was a disputed issue of fact.

14:38:08 15 THE COURT: I don't think it's been raised
16 as a disputed issue of fact.

17 MR. ROSE: Okay. Then my legal objection
18 is --

19 THE COURT: I did not believe there was an
14:38:18 20 issue raised that it was a disputed issue. Was
21 in fact I believe there was a release executed
22 in the Stansbury litigation?

23 MR. FEAMAN: Right.

24 THE COURT: With regards to Ted Bernstein?

14:38:28 25 MR. FEAMAN: Correct. Now, there may be a

1 legal issue as to whether the terms of that --

2 THE COURT: I was going to say I am not
3 going there.

4 MR. FEAMAN: Correct.

14:38:35 5 THE COURT: The question is is there a
6 release?

7 MR. ROSE: So that's a stipulated fact for
8 the purposes of the hearing?

9 THE COURT: There are. A release has been
14:38:42 10 executed. The effect of that release to the
11 Court on this day is not making any
12 determination.

13 MR. ELIOT BERNSTEIN: Your Honor?

14 MR. ROSE: And then my legal objection is
14:38:48 15 the same as it was before under 768.81, 31,
16 sorry.

17 THE REPORTER: I'm sorry, what?

18 THE COURT: 768.31.

19 THE REPORTER: 768.31?

14:38:58 20 MR. ELIOT BERNSTEIN: Your Honor?

21 THE COURT: Is that correct? That was off
22 the top of my head. Is that correct?

23 MR. ROSE: Yes, Your Honor. I apologize,
24 I am not trying to disrupt the proceedings.

14:39:03 25 THE COURT: That's okay.

1 MR. ROSE: But I appreciate the
2 clarification.

3 THE COURT: Very spirited proceedings.
4 That's all right.

14:39:09 5 Yes, Mr. Eliot?

6 MR. ELIOT BERNSTEIN: Well, Your Honor, on
7 that settlement in Shirley's estate all parties
8 didn't enter into that settlement.

9 THE COURT: We are not -- that wasn't --
10 it was just --

11 MR. ELIOT BERNSTEIN: Oh, okay.

12 THE COURT: The only thing was whether or
13 not Stansbury had released Ted.

14 MR. ELIOT BERNSTEIN: Okay.

14:39:24 15 THE COURT: That was the only question.

16 MR. ELIOT BERNSTEIN: None of the
17 beneficiaries know about it.

18 THE COURT: I kept it very clear --

19 MR. ELIOT BERNSTEIN: Okay.

14:39:28 20 THE COURT: -- because I know there's a
21 lot of disputes within that one statement if I
22 go too far.

23 MR. ELIOT BERNSTEIN: Okay.

24 THE COURT: You may proceed.

14:39:35 25 MR. FEAMAN: Thank you, Your Honor.

1 THE COURT: Mr. Feaman, you may proceed.

2 MR. FEAMAN: Can you read back my last
3 question?

4 (The following portion of the record was
5 read back.)

6 "Q. And assume for me, if you would, that
7 the release would not bar an action by the
8 estate. And assume for me that the facts would
9 support a jury's conclusion as to the
10 truthfulness of what's alleged in paragraphs
11 26, 27, 28 and 29. Isn't it true that in that
12 event, and I am admitting now that you don't
13 know this yet, but that the estate could have
14 an action against Ted Bernstein?"

14:40:15 15 MR. ROSE: I object also on the grounds I
16 don't think you ask a fact witness to make
17 assumptions that aren't supported by the
18 record.

19 THE COURT: I am going to say he is
14:40:32 20 proposing a hypothetical which is often the
21 case even in medical malpractice and things of
22 that nature. So I will allow it.

23 Mr. Feaman, go ahead.

24 BY MR. FEAMAN:

14:40:40 25 Q. You may answer, sir.

1 A. Sure. Let's see if we can get to the
2 bottom of this by looking at 768.31(b)(5).

3 Q. Sure. What's the title of that statute?

4 A. Contribution Among Tort-Feasors.

14:40:50 5 Q. Okay. Does it relate to negligence?

6 A. Actually I think the Florida Supreme Court
7 has ruled in a 1970s case that it applies to all
8 tort actions.

9 Q. Okay.

14:41:10 10 A. But I'd have to have that case in front of
11 me.

12 Q. Well, take a look at Count II, if you
13 would, at page ten. That's a breach of an oral
14 contract against LIC Holdings, Arbitrage, Simon
15 Bernstein and Ted Bernstein, correct?

14:41:38

16 A. Right, a contract claim.

17 Q. Okay. And take a look, if you would, as
18 to Count III.

19 A. Count III, fraud in the inducement again
14:41:57 20 as to a contract.

21 Q. Right. That's an employment agreement
22 against Simon Bernstein and Ted Bernstein, correct?

23 A. Correct.

24 Q. Okay. Take a look at Count V. It's page
14:42:10 25 15.

1 A. I am sorry, did you say page five or
2 Count V?

3 Q. Count V. I am sorry, I may have
4 misspoken. Page 15, Count V, that's a civil
14:42:20 5 conspiracy against Simon Bernstein and Ted
6 Bernstein, right?

7 A. It incorporates Counts III and IV.

8 Q. Okay. And then take a look at Count VIII,
9 that's unjust enrichment, on page 18, again,
14:42:40 10 against all four defendants, including Simon
11 Bernstein and Ted Bernstein, correct?

12 A. That's what it says.

13 Q. Okay. And you cannot say with certainty
14 as you sit here today that under no circumstances
14:42:55 15 would the estate ever have a claim against Ted
16 Bernstein arising out of this Stansbury action, can
17 you?

18 A. I can't say with a hundred percent
19 certainty. But based on if there's a release,
14:43:11 20 there's a settlement, under the statute that I have
21 given you, there's no contribution, which I believe
22 is the topic we are debating here.

23 Q. Well, let's move on from contribution to
24 allowing a jury to apportion percentages of fault.
14:43:28 25 That certainly would be allowed, would it not, on a

1 jury verdict form --

2 MR. ROSE: Objection.

3 BY MR. FEAMAN:

4 Q. -- without a claim for contribution?

14:43:34 5 THE COURT: Legal objection?

6 MR. ROSE: Legal objection is that that
7 statute does not impose liability on the
8 person based on the percentages of fault.
9 Specifically that statute, as Your Honor is
10 well aware, liability is only apportioned on
11 the defendant. In the non-party defendants
12 they can be a hundred percent liable that
13 there's no --

14 THE COURT: I know, but your objection is
14:43:56 15 interpreting the statute. Do you have a
16 different legal objection?

17 MR. ROSE: It's a completely irrelevant
18 question as to this line of questioning is
19 irrelevant on that basis. It's a fiction. We
14:44:07 20 are doing this whole hearing based on a fiction
21 that there's some claim that doesn't exist,
22 based on negligence that doesn't exist under
23 the statute.

24 MR. FEAMAN: Goes to weight, not
14:44:19 25 admissibility, Your Honor.

1 THE COURT: I got to agree it goes to the
2 weight whether or not it could actually be
3 added as a nonparty defendant under the various
4 claims, whether -- I am not going to say
14:44:33 5 anything else. Based on the objection as you
6 have raised it I will overrule it.

7 MR. FEAMAN: Could you read it back,
8 please?

9 (The following portion of the record was
10 read back.)

11 "Q. Well, let's move on from contribution
12 to allowing a jury to apportion percentages of
13 fault. That certainly would be allowed, would
14 it not, on a jury verdict form without a claim
14:45:11 15 for contribution?"

16 THE WITNESS: And are you talking about
17 what's -- I assume you are talking about what's
18 pled in the second amended complaint?

19 BY MR. FEAMAN:

14:45:17 20 Q. Yes.

21 A. I think the problem there is you don't
22 have a negligence count.

23 Q. You've got an unjust enrichment count,
24 correct?

14:45:25 25 A. I don't count that as a negligence count.

1 THE COURT: Mr. --

2 MR. FEAMAN: Okay. I will move on, Your
3 Honor.

4 THE COURT: Thank you.

14:45:34 5 BY MR. FEAMAN:

6 Q. Now, the reference to LIC Holdings and
7 Arbitrage, those are two entities that during
8 Mr. Simon Bernstein's lifetime and that of Ted
9 Bernstein they each owned at least 45 percent each
14:45:50 10 and possibly 50 percent each at the time of
11 Mr. Simon Bernstein's death, correct?

12 A. That I am not sure what the exact
13 ownership percentage was at that point.

14 Q. Okay.

14:46:02 15 A. That would be a guess, and I am not going
16 to guess.

17 Q. And have you investigated whether Mr. Ted
18 Bernstein, who kept running the corporations after
19 Simon Bernstein's death, made any payments to the
14:46:16 20 estate as a result of renewal commissions that
21 might have been paid --

22 MR. ROSE: Objection.

23 BY MR. FEAMAN:

24 Q. -- to Simon Bernstein?

14:46:25 25 THE COURT: Before you object I need to

1 hear the whole question.

2 MR. ROSE: I am sorry, I thought he was
3 done. I apologize.

4 MR. FEAMAN: Okay.

14:46:31 5 THE COURT: I need you to say it again. I
6 lost it.

7 MR. FEAMAN: Sure. Read it back again.

8 (The following portion of the record was
9 read back.)

10 "Q. And have you investigated whether
11 Mr. Ted Bernstein, who kept running the
12 corporations after Simon Bernstein's death,
13 made any payments to the estate as a result of
14 renewal commissions that might have been paid
14:47:05 15 to Simon Bernstein?"

16 MR. ROSE: Objection as to relevancy and
17 materiality. It's beyond the scope of
18 examination.

19 THE COURT: Sustained. Next question.

14:47:11 20 BY MR. FEAMAN:

21 Q. Now, Mr. Rose represents Mr. Ted
22 Bernstein, correct?

23 A. In different capacities in different
24 proceedings.

14:47:21 25 Q. Okay.

1 A. In the call it the Bernstein matters, yes.

2 Q. Okay. And you are aware that both Simon
3 and Ted were running Arbitrage and LIC at the time
4 that Mr. Simon passed away, correct?

14:47:38 5 A. I know these entities involved the father
6 and son at various and sundry times.

7 Q. Okay.

8 A. I don't have any, of course, personal
9 knowledge of that. A lot of what I have been told
14:47:53 10 is that.

11 Q. Did you make an investigation as to
12 whether as a result of money that came in to LIC or
13 Arbitrage after Mr. Simon Bernstein's death should
14 have been payable to Mr. Simon Bernstein, but now
14:48:08 15 that he would be dead the estate, such that the
16 estate if those monies weren't paid would then have
17 a claim against Ted Bernstein?

18 MR. ROSE: Objection, same relevancy and
19 materiality, beyond the scope.

14:48:21 20 THE COURT: Sustained.

21 MR. FEAMAN: May I respond, Your Honor?

22 THE COURT: Sure.

23 MR. FEAMAN: If there's a potential that
24 the estate could have a claim against Ted
14:48:30 25 Bernstein for corporate misconduct after

1 Mr. Bernstein dies, because the corporations
2 may owe Mr. Simon Bernstein some money, that's
3 also potential conflict of interest between
4 Mr. Rose and now representing the estate.

14:48:43 5 THE COURT: Okay. That's argument. What
6 you just said that's your argument, but it is
7 beyond.

8 MR. FEAMAN: That's my respectful response
9 to your ruling.

14:48:55 10 THE COURT: No, I understand.

11 MR. FEAMAN: Okay.

12 BY MR. FEAMAN:

13 Q. Do you know what happened to the
14 commissions that Simon Bernstein was to receive
14:49:06 15 after his death?

16 MR. ROSE: Objection, same objection.

17 THE COURT: I don't want to try that
18 lawsuit now, okay? Thank you.

19 MR. FEAMAN: May I approach, Your Honor,
14:49:18 20 to grab an exhibit?

21 THE COURT: Absolutely. They are all up
22 here for you.

23 MR. ROSE: While he is doing that, for
24 scheduling purposes how much time do we have
14:49:31 25 for today?

1 THE COURT: Until 4:30.

2 MR. ROSE: Thank you.

3 MR. ELIOT BERNSTEIN: Your Honor, did you
4 get my exhibit list that I gave you last time?

14:49:35 5 THE COURT: I have your binder. But these
6 are exhibits entered into evidence he is
7 looking through. These were entered at the
8 last --

9 MR. ELIOT BERNSTEIN: Already.

14:49:44 10 THE COURT: Yes. They've already been
11 entered. The Court was holding them.

12 MR. ELIOT BERNSTEIN: My confusion, thank
13 you.

14 THE COURT: No.

14:49:50 15 MR. ELIOT BERNSTEIN: Just didn't see it
16 there.

17 THE COURT: Here's your book.

18 MR. ELIOT BERNSTEIN: Oh, no, don't lift
19 it.

14:50:00 20 THE COURT: It's got the colored tabs.

21 MR. ELIOT BERNSTEIN: Yes.

22 MR. FEAMAN: Your Honor, let the record
23 reflect that I am handing Your Honor a copy of
24 Exhibit 1, Rose Exhibit 1, so that you can read
14:50:08 25 along.

1 THE COURT: Thank you.

2 MR. ROSE: That's Trustee Exhibit 1 for
3 the record.

4 THE COURT: I can look at my exhibit list.

14:50:17 5 MR. ROSE: I don't want the record to
6 suggest there was a Rose exhibit that wasn't in
7 evidence.

8 THE COURT: I have this as Stansbury.
9 Stansbury entered all of these 1 through 8 are
14:50:33 10 without objection. The trustee --

11 MR. FEAMAN: This would be -- it's marked
12 as Trustee's Exhibit 1.

13 THE COURT: The PR waiver?

14 MR. FEAMAN: Yes.

14:50:43 15 THE COURT: That was Trustee's Number 1.

16 MR. FEAMAN: Yes. I am handing that to
17 the witness, Your Honor.

18 THE COURT: Thank you. I was just
19 checking my exhibit list.

14:50:50 20 MR. FEAMAN: Okay.

21 BY MR. FEAMAN:

22 Q. Now, the Trustee's Exhibit 1 was that
23 prepared by you?

24 A. My office, yes.

14:51:03 25 Q. Was there a draft prepared for you by

1 Mr. Rose?

2 A. Yes.

3 Q. And --

4 A. I made extensive revisions to it.

14:51:15 5 Q. I would like to draw your attention to
6 page two of Trustee's Exhibit 1. In the middle of
7 the page, the third paragraph that begins with "I
8 have been advised," do you see that?

9 A. Yes.

14:51:30 10 Q. Okay. And it says, "I have been advised
11 that Mrachek --" and you are referring for the
12 record that's Alan Rose's firm, correct?

13 A. Correct.

14 Q. Okay. "I have been advised that Mrachek
14:51:43 15 represented those defendants."

16 What defendants are you referring to
17 there?

18 A. That would be the defendants with whom the
19 I will call it the settlement was reached with
14:51:55 20 regard to this matter.

21 Q. With regard to the Stansbury litigation?

22 A. Stansbury litigation.

23 Q. Is that what you were referring to there?

24 A. Stansbury litigation, yes.

14:52:05 25 Q. Okay. "And the position taken is not in

1 conflict or adverse to the estate's position;" do
2 you see that?

3 A. I see that.

4 Q. Okay. So that's what they told you?

14:52:16 5 A. Well, that was part of the discussion that
6 I had with Mr. Rose. And, of course, from looking
7 at the lawsuit itself the interest of the estate is
8 to pay as little as possible to your client, which
9 is also the position that's being advocated by
14:52:32 10 Mr. Rose. And was his position when he was
11 representing the defendants who were dismissed as a
12 result of your settlement.

13 Q. Would you agree with me in this waiver
14 that there's nowhere that you take that position,
14:52:47 15 but the only place you make reference to there not
16 being in conflict with at least the ongoing lawsuit
17 that Stansbury has with the Mrachek firm
18 representing the estate is that one sentence?

19 A. Just give me one moment just to look at
14:53:07 20 page three.

21 Q. Sure.

22 A. That's the primary section that would deal
23 with conflict or uses the terminology of
24 conflict --

14:53:20 25 Q. All right.

1 A. -- besides the last sentence.

2 Q. All right. And would you agree with me
3 that your statement here makes absolutely no
4 reference to Mrachek's, the Mrachek firm's activity
14:53:36 5 on behalf of Ted Bernstein in what we call the
6 Chicago litigation, whereas you saw there was a
7 deposition admitted into evidence in this
8 proceeding that shows Mr. Rose representing Mr. Ted
9 Bernstein in that deposition in the Chicago action?
14:53:54 10 Would you agree with me that your statement here
11 makes no reference to any potential conflict that
12 might create between the Mrachek law firm and the
13 estate?

14 A. Well, the language here doesn't make any
14:54:08 15 reference to the Chicago litigation and the estate,
16 that's correct. But there's no involvement either
17 past, present or future contemplated by Mr. Rose
18 representing the estate in connection with the
19 Chicago litigation.

14:54:26 20 Q. No involvement --

21 MR. ROSE: I would object before -- I
22 waited until he finished the question. This
23 has now vastly exceeded the length of his
24 direct examination and it's very --

14:54:34 25 THE COURT: You do need to wrap it up.

1 MR. ROSE: -- argumentative.

2 THE COURT: I am not handling the
3 argument.

4 MR. ROSE: I know.

14:54:39 5 THE COURT: We need to --

6 MR. FEAMAN: Thank you. Just one
7 follow-up on that.

8 THE COURT: Absolutely.

9 BY MR. FEAMAN:

14:54:46 10 Q. You said no involvement past. Okay. But
11 are you not aware of the deposition that Mr. Rose
12 attended and appeared on behalf of Ted Bernstein in
13 that Chicago litigation where he made objections
14 and even instructed Mr. Bernstein not to answer a
14:55:02 15 question in that litigation?

16 A. I think you might not have heard my whole
17 answer.

18 Q. Okay.

19 A. Regarding representing the estate. I am
14:55:10 20 talking about Mr. Rose not having any involvement
21 in the Chicago litigation representing the estate.

22 Q. But he certainly had involvement in the
23 Chicago litigation representing Ted Bernstein who
24 is suing the estate, correct?

14:55:23 25 MR. ROSE: Objection, cumulative.

1 THE COURT: I will allow it. Just answer
2 the question.

3 THE WITNESS: I just recall that based on
4 this deposition that, yes, went into evidence
14:55:33 5 earlier he represented Ted Bernstein as a
6 witness in a deposition.

7 THE COURT: This is the Court being just
8 particular about the exhibits. Is this an
9 extra copy for me that you gave me or was it
14:55:42 10 the actual exhibit?

11 MR. FEAMAN: The actual exhibit is in
12 front of the witness.

13 THE COURT: Okay. Thank you. I just
14 wanted to make sure before I put it with my
14:55:51 15 notes. Thank you.

16 MR. FEAMAN: I am almost done, Your Honor.

17 THE COURT: Thank you.

18 BY MR. FEAMAN:

19 Q. Now, going back to your statement that's
14:56:00 20 Trustee's Exhibit 1.

21 A. Okay.

22 Q. Right here.

23 A. Got it.

24 Q. I want to draw your attention to the third
14:56:14 25 paragraph of page two.

1 A. Yes, I am there.

2 Q. You state that "Some of the direct and
3 indirect beneficiaries of the estate I am
4 administering advise me," and then continuing on,
14:56:37 5 "the beneficiaries wanted Mrachek to represent the
6 estate in the Stansbury lawsuit."

7 So that gets me to ask the question, if
8 only some of them, who is not consenting?
9 Obviously we know Mr. Eliot Bernstein who we have
14:56:55 10 already established is a beneficiary of the Simon
11 Bernstein estate. Who else in addition to
12 Mr. Bernstein if only some want Mr. Rose and his
13 firm to come in?

14 A. I am not aware of any objections from
14:57:09 15 anyone other than Mr. Eliot.

16 Q. Do you have any in writing, any consents
17 in writing from anybody?

18 A. I am not sure. There could be e-mail
19 correspondence on this. That I am not positive.

14:57:24 20 Q. You didn't actually take the time to have
21 people sign consents, did you?

22 A. Not formal consents.

23 Q. Okay.

24 A. That's why my best recollection this was
14:57:34 25 discussions, perhaps e-mails, but probably more

1 likely telephonic discussions with the various
2 counsel.

3 Q. And when you say indirect beneficiary,
4 would you be referring to one of the grandchildren?

14:57:47 5 A. Correct, contingent type beneficiaries.

6 Q. Eliot's?

7 A. Yes, that's the reference.

8 Q. All right. Now, have you ever made an
9 investigation as to whether any of Eliot's children
14:57:56 10 have actually reached the age of capacity and are
11 no longer minors?

12 A. Again, I'd need to look at the file. He
13 might have one child who is an adult.

14 Q. Okay. So if he has one child that's an
14:58:13 15 adult, then a consent from the guardian ad litem
16 as to his position would no longer be valid, would
17 it?

18 MR. ROSE: Objection, I think it calls for
19 a legal conclusion.

14:58:21 20 THE COURT: Sustained.

21 MR. ROSE: I'd like to be heard.

22 THE COURT: Sustained.

23 MR. ROSE: Thank you.

24 MR. FEAMAN: No further questions.

14:58:25 25 THE COURT: Thank you. All right.

1 MR. ROSE: I only have one redirect.

2 THE COURT: Well, you would be allowed to
3 call him in your case in chief.

4 MR. ROSE: That's fine.

14:58:35 5 THE COURT: Mr. O'Connell, let me ask that
6 you get off the stand at this time.

7 THE WITNESS: Yes, Your Honor.

8 MR. ELIOT BERNSTEIN: Can I redirect a
9 question or two?

14:58:50 10 THE COURT: I didn't let him do it, so,
11 no, I am not letting you do it. I did not let
12 Mr. Rose do the same thing you are asking me to
13 do. That's what he asked me to do.

14 MR. ELIOT BERNSTEIN: He is allowed to
14:58:58 15 call him back up as part of the proceeding, you
16 said?

17 THE COURT: No, we are done with this
18 witness now. So we are going to proceed to the
19 next witness in Mr. Feaman's case. But we are
14:59:07 20 going to take six minutes because I have to use
21 the restroom. Thank you.

22 (Witness excused.)

23 (A recess was taken.)

24 THE COURT: Mr. Feaman, are you ready to
15:04:39 25 proceed with the next witness?

1 MR. FEAMAN: I have a few questions of
2 Mr. Rose.

3 THE COURT: Okay.

4 MR. ROSE: I guess I can't object to being
15:04:48 5 called as a witness.

6 THE COURT: I think in this proceeding for
7 the very limited purpose of his representation,
8 I think that if we keep it limited to that,
9 which is what the motion is about, clearly I
10 don't expect or anticipate that Mr. Feaman will
11 be asking about strategy or anything like that.
12 It would be for the limited purposes of
13 representation. If we go beyond then you are
14 going to have to object on your own behalf.

15:05:05 15 MR. ROSE: I'd like permission to object
16 on my own behalf.

17 THE COURT: That's what I said, you have
18 to. I don't know how else to proceed.

19 MR. FEAMAN: I have no objection.

15:05:24 20 THE COURT: Okay.

21 MR. ROSE: And then I also -- just to be
22 very -- you know, I'd object to Eliot being
23 able to cross-examine me or at least request
24 that the Court give him very narrow latitude.

15:05:36 25 THE COURT: He will have the same latitude

1 as Mr. Feaman. It will be strictly related to
2 whether or not he represents various parties,
3 the extent of his representation of parties.
4 That is the limits of Mr. Rose being allowed to
15:05:50 5 be questioned, because he is still counsel, and
6 the only issue is representation. You don't
7 have to believe him. You don't have to like
8 it. But it's limited to that. Fair enough?

9 MR. ROSE: Fair enough.

15:06:02 10 THE COURT: Fair enough, Mr. Feaman?

11 MR. FEAMAN: Yes.

12 THE COURT: Fair enough, Mr. Eliot?

13 MR. ELIOT BERNSTEIN: I am not sure.

14 THE COURT: Okay. That's honest.

15 - - -

16 Thereupon,

17 ALAN B. ROSE,

18 a witness, being by the Court duly sworn, was
19 examined and testified as follows:

15:06:10 20 THE WITNESS: I do.

21 THE COURT: Have a seat. Again, see, the
22 Court's a little nervous about this one, so go
23 ahead.

24 ///

25 ///

1 DIRECT (ALAN B. ROSE)

2 BY MR. FEAMAN:

3 Q. Please state your name.

4 A. Alan Rose.

15:06:20 5 Q. By whom are you employed?

6 A. I am employed by the law firm Mrachek,
7 Fitzgerald, Rose, Konopka, Thomas and Weiss.

8 Q. And for how long?

9 A. Sixteen years plus.

15:06:33 10 Q. Okay. Now, you are aware that in the
11 Chicago litigation that the Estate of Simon
12 Bernstein was not originally a party to that
13 litigation, correct?

14 A. Correct.

15:06:50 15 Q. And you are aware that at some point the
16 estate, as shown by the exhibits here today,
17 intervened in that litigation, correct?

18 A. Yes, but if I can explain?

19 MR. FEAMAN: It's just yes or no so we can
15:07:07 20 move on, Your Honor.

21 THE COURT: I know the facts.

22 THE WITNESS: Okay.

23 MR. FEAMAN: Okay. Just want to set a
24 predicate.

15:07:12 25 THE COURT: Yes.

1 BY MR. FEAMAN:

2 Q. And would you agree with me, Mr. Rose,
3 that when a motion was filed to allow the estate,
4 Ben Brown was the curator then, do you recall that,
15:07:23 5 to allow the estate to intervene and Ben Brown was
6 the curator, and there was a motion filed in front
7 of Judge Colin, correct?

8 A. Technically I think what happened was you
9 filed a motion to appoint an administrator ad litem
15:07:41 10 for the Chicago action, and the judge appointed Ben
11 Brown as the administrator ad litem.

12 Q. Okay.

13 A. And I objected on behalf of the trustee.

14 Q. And you objected on behalf of the trustee
15:07:53 15 when there was a motion filed to obtain the Court's
16 permission to in fact intervene in the Chicago
17 lawsuit, correct?

18 A. I don't understand exactly. What I did
19 was on behalf of the trustee we did not want the
15:08:12 20 estate's money being spent in Illinois in a
21 lawsuit. We had a hearing, and Judge Colin allowed
22 the intervention conditioned on Mr. Stansbury
23 paying it. And once Mr. Stansbury was paying the
24 expenses, so therefore there's no risk to the
15:08:26 25 estate, it is a great deal and I am in favor of it,

1 and I have not been involved beyond that.

2 Q. So on behalf of the trustee, you are
3 talking about Ted Bernstein as the trustee which is
4 the pour over trust to the Simon Bernstein estate,
15:08:41 5 correct?

6 A. Correct, Ted Bernstein as the trustee of
7 the trust which is the sole residuary beneficiary
8 of this estate.

9 Q. Right. So on behalf of Ted Bernstein
15:08:49 10 trustee you did not want the estate to intervene to
11 make a claim toward the \$1.7 million dollars in
12 Chicago in that case where Ted Bernstein is an
13 individual plaintiff on his own in that case,
14 correct?

15:09:03 15 A. I disagree.

16 Q. He is not an individual plaintiff in the
17 Chicago lawsuit?

18 A. No, that's not the part I disagreed with.
19 The part I disagreed with was I disagree with the
15:09:12 20 what you called the intent. My concern is the
21 person who's a witness of material information in
22 the Illinois case, who I had spoken with and whose
23 testimony I believe convinced me that the estate
24 has a non-winning case, which is free to pursue so
15:09:29 25 long as it doesn't deprive the beneficiaries of

1 their remaining limited assets, which is not
2 happening now that Mr. Stansbury is funding the
3 litigation.

4 So I don't agree that the motive of why we
15:09:42 5 objected is what you did. We did not object to
6 them intervening per se. Only we objected to the
7 further drain of the very limited resources of this
8 estate.

9 Q. Sure. And now in fact, though, you are
15:09:54 10 aware that the attorney up in Chicago representing
11 the estate is now even willing to take it on a
12 contingency, isn't he?

13 A. I don't understand -- I don't know the
14 answer to that.

15:10:08 15 Q. Okay.

16 A. And I didn't understand the question
17 because it had a double negative.

18 Q. Well, you said it was a non-winner of a
19 case. Are you aware that the attorney in Chicago
15:10:16 20 now wants to take the case on a contingency whereby
21 nobody would risk any money?

22 A. I am aware that Mr. O'Connell has filed a
23 motion asking for that relief, which we oppose.

24 Q. Okay. And you oppose on behalf of the
15:10:29 25 trustee?

1 A. Correct, and the beneficiaries.

2 Q. Okay. And that's the same person that you
3 represent is the same person who is the plaintiff
4 in Chicago, correct?

15:10:37 5 A. Well, that's the next motion we are going
6 to decide after this hearing, but -- and the judge
7 will decide the issue.

8 Q. I just want to establish and then I am
9 done. I just want to establish that you
15:10:47 10 represented Ted Bernstein as the successor trustee
11 to the pour over trust, not wanting the estate to
12 intervene in a case where that same client that you
13 represent was a plaintiff opposing the estate in
14 Chicago; is that correct?

15:11:03 15 A. I don't think that's an accurate
16 statement. And I think Mr. O'Connell was aware of
17 all that when he consented to our representation.

18 Q. And one more thing. You were here in the
19 court when Mr. O'Connell said that Mr. Bernstein,
15:11:19 20 Eliot, Mr. Eliot was a beneficiary of the Estate of
21 Simon Bernstein, correct? Correct? It's a
22 perfunctory. You heard him say that?

23 A. I didn't -- I blanked out on the question.

24 THE COURT: That's okay.

15:11:35 25 THE WITNESS: I apologize.

1 THE COURT: That's okay. We'll just have
2 it read back.

3 THE WITNESS: I was thinking about
4 something else.

15:11:38 5 THE COURT: That's okay. Let's have the
6 question read back.

7 BY MR. FEAMAN:

8 Q. You were here when Mr. O'Connell said that
9 Mr. Eliot is a beneficiary of the Simon Bernstein
10 estate, correct?
15:11:47

11 A. I was here when he said it. I have said
12 it. I don't dispute it. I have told the judge
13 that. I don't understand. For tangible personal
14 property.

15:11:55 15 Q. Okay.

16 THE COURT: What am I being handed?

17 BY MR. FEAMAN:

18 Q. I am handing you a pleading that you filed
19 in September 2015 entitled Trustee's Omnibus Status
15:12:08 20 Report and Request for Case Management Conference.
21 And the very first page you said, relating to
22 Mr. Eliot, he is not a named -- he is not named as
23 a beneficiary of anything. And it's in the Estate
24 of Simon Bernstein. So my question is when did you
15:12:25 25 suddenly become aware that he is a beneficiary of

1 the estate?

2 A. That sentence is -- I now see that
3 sentence is technically wrong. It's not -- I am
4 talking about where the money is and the money is
15:12:37 5 in the trust. He is not a beneficiary of the
6 trust. I may have made a misstatement.

7 THE COURT: Are you asking me to take this
8 into evidence?

9 MR. FEAMAN: Yes.

15:12:45 10 THE COURT: Objection?

11 MR. ROSE: No. It's in the court file.

12 THE COURT: I know. Let me just mark it.

13 MR. FEAMAN: No further questions.

14 THE COURT: All right.

15:12:55 15 MR. ELIOT BERNSTEIN: Can I?

16 THE COURT: Not yet. I can only mark and
17 think in small little doses.

18 And am I missing any exhibits up here,
19 Mr. Feaman?

15:13:09 20 MR. FEAMAN: I don't believe so, Your
21 Honor.

22 THE COURT: You had given Mr. O'Connell an
23 original. I just want to make sure it's
24 returned. I am very particular. I make myself
15:13:18 25 nuts. But nonetheless, we are stuck with me.

1 It was Number 1, the waiver. Did the original
2 waiver come back?

3 MR. FEAMAN: Yes, Your Honor.

4 THE COURT: Okay. Thank you. All right.

15:13:38 5 So Number 9 is entered into evidence.

6 (Claimant Stansbury's Exb. No. 9,
7 Pleading.)

8 THE COURT: Limited to what he discussed,
9 Mr. Eliot.

15:13:49 10 MR. ELIOT BERNSTEIN: Your Honor, I kind
11 of object that I didn't have time to prepare.
12 I didn't know this would be a witness today.
13 It wasn't on the witness list.

14 THE COURT: So noted.

15:13:56 15 MR. ELIOT BERNSTEIN: No time to prepare
16 proper questioning.

17 THE COURT: Okay.

18 MR. ELIOT BERNSTEIN: So I am just going
19 to wing it for a moment.

15:14:00 20 CROSS (ALAN B. ROSE)

21 BY MR. ELIOT BERNSTEIN:

22 Q. Mr. Rose, can you state your name and
23 address for the record.

24 THE COURT: We already had that.

15:14:06 25 MR. ELIOT BERNSTEIN: Oh, okay.

1 BY MR. ELIOT BERNSTEIN:

2 Q. Your Florida Bar number?

3 A. It's in evidence in every paper I file.

4 Q. You don't know it?

15:14:19 5 A. I do know it, 961825.

6 Q. Thank you.

7 You said to the Court today that Judge
8 Phillips entered an order from the validity hearing
9 stating that I was not a beneficiary and had no
10 standing; is that correct?
15:14:37

11 A. The validity trial resulted in a final
12 judgment. Thereafter there were a series of
13 hearings before Judge Phillips where he made what I
14 would call follow-on rulings that would implement
15 the result of the final judgment dated December 15,
16 2015.
15:14:53

17 Q. Well, you actually claimed to the Court
18 repeatedly that Judge Phillips on December 15th
19 ruled that, and you actually led the judge to
20 believe that and she said, oh, I am relying on that
15:15:10 21 order.

22 MR. ELIOT BERNSTEIN: I urge you, Your
23 Honor, to look up on that order on that
24 validity hearing --

15:15:17 25 THE COURT: We are going past --

1 (Overspeaking.)

2 MR. ELIOT BERNSTEIN: Oh, it's very
3 central to this, meaning that he made a
4 statement to the Court today --

15:15:23 5 THE COURT: Please, next question. Next
6 question.

7 BY MR. ELIOT BERNSTEIN:

8 Q. Has there been a construction hearing of
9 who the beneficiaries are in any of these cases?

15:15:32 10 A. There was a final judgment that
11 resolved --

12 Q. Yes or no to the question. Was there a
13 construction hearing in any of these cases?

14 A. The construction matter that's in Count I
15:15:45 15 has been settled by agreement of all the
16 beneficiaries.

17 Q. And I am a beneficiary?

18 A. You are not a beneficiary of the trust,
19 the Shirley Bernstein Trust, which was the sole
15:15:57 20 subject of the construction proceeding. The only
21 thing relevant to the estate that was tried in this
22 case number 3698 was the narrow issue of whether
23 Simon Bernstein's will dated July 25, 2012, was
24 valid and enforceable according to its terms.

15:16:13 25 Q. So there has been no formal construction

1 hearing? You are basing it off of a validity
2 hearing?

3 A. There's nothing to construe with the will.
4 The will has never been challenged. Well, you have
15:16:25 5 challenged that the will is valid, but no one has
6 said that the will needed any construction. And
7 the only issue that needed some construction was
8 inside the Shirley Bernstein Trust. Before Judge
9 Colin would allow that issue to be heard, he wanted
15:16:38 10 a narrow issue tried, which is which documents were
11 valid so that we didn't construe a trust that he
12 later determined was invalid. And once he ruled
13 that and we had a guardian ad litem appointed to
14 protect the trust interests of all the
15:16:52 15 beneficiaries who were being represented by you,
16 then everyone entered into a mediated settlement
17 agreement that is one of the motions we are going
18 to seek approval for later today, including the
19 court-appointed guardian ad litem.

15:17:06 20 Q. Is your answer no, there was no
21 construction hearing in any of these cases?

22 A. I think I have answered your question.

23 Q. You haven't.

24 THE COURT: Okay. Let's move on because
15:17:15 25 this is about whether or not --

1 MR. ELIOT BERNSTEIN: Well, can I get an
2 answer to the question or show that he is
3 nonresponsive?

4 THE COURT: He did answer.

15:17:19 5 MR. ELIOT BERNSTEIN: Well, he didn't. He
6 answered something else.

7 THE COURT: Don't argue with me, please.
8 I understood. Certain things have been
9 determined and certain things haven't been
10 determined.

11 MR. ELIOT BERNSTEIN: Well, he is
12 misrepresenting what was determined, and that's
13 a serious problem.

14 THE COURT: Mr. Eliot?

15:17:31 15 MR. ELIOT BERNSTEIN: And it's exactly
16 moved to --

17 THE COURT: Mr. Eliot? Mr. Eliot?

18 MR. ELIOT BERNSTEIN: Yes, ma'am.

19 THE COURT: Remember I said you don't have
15:17:36 20 to like his answers?

21 MR. ELIOT BERNSTEIN: Oh, okay.

22 THE COURT: You don't have to like them.

23 MR. ELIOT BERNSTEIN: I just want the
24 truth. Okay.

25 ///

1 BY MR. ELIOT BERNSTEIN:

2 Q. At that validity hearing was the estate
3 represented by counsel?

4 A. As I explained earlier, Mr. O'Connell
15:17:59 5 entered into a stipulation that was, I think,
6 approved by Judge Colin or Judge Phillips that he
7 did not need to attend the hearing; he would abide
8 by the ruling to conserve resources.

9 So Mr. O'Connell was not technically
15:18:12 10 there. But what I was doing and what Ted Bernstein
11 as trustee was doing, we were advocating the
12 validity of the documents. So we were asserting
13 the position that Mr. O'Connell would have wanted
14 to assert, which is that the will was valid. So he
15:18:25 15 wasn't -- technically the estate wasn't represented
16 but their interests were being pushed by the
17 movant, the complainant, the plaintiff.

18 Q. Did you have a construction hearing in
19 Simon Bernstein's estate to determine the
15:18:36 20 beneficiaries?

21 A. It was not necessary.

22 Q. Okay. To your knowledge has Ted Bernstein
23 ever notified who you claim the beneficiaries are,
24 the grandchildren, that they are beneficiaries?

15:18:51 25 A. Under the terms of Simon Bernstein's trust

1 and also under his power of appointment, he
2 appointed the assets of the Shirley Bernstein Trust
3 into his trust to be distributed on the same terms.
4 The beneficiaries, technically ten trusts, none of
15:19:06 5 the grandchildren are individually beneficiaries.
6 There are ten trusts created. Each trust needs a
7 beneficiary. And because we don't have a
8 beneficiary for three of the trusts that Eliot
9 refused to serve, there's a guardian ad litem
15:19:18 10 appointed. But none of the grandchildren are
11 individually beneficiaries. They are indirect
12 beneficiaries through trusts created under Simon's
13 testamentary documents.

14 THE COURT: Understand.

15:19:27 15 BY MR. ELIOT BERNSTEIN:

16 Q. Okay. Under those testamentary documents
17 do you have those trusts for each of the
18 grandchildren?

19 THE COURT: Mr. Bernstein?

15:19:34 20 MR. ELIOT BERNSTEIN: Yes.

21 THE COURT: Mr. Eliot, I am sorry, this is
22 about whether we remove him or not. It's not
23 -- it's like, in other words, you are getting
24 into bigger issues and fights that are for a
15:19:44 25 later day.

1 MR. ELIOT BERNSTEIN: Okay. Okay. I got
2 it.

3 THE COURT: We've got to stay on
4 Mr. Feaman's, Mr. William Stansbury, he
15:19:50 5 shouldn't represent.

6 MR. ELIOT BERNSTEIN: Okay.

7 BY MR. ELIOT BERNSTEIN:

8 Q. Were you party to the negotiated
9 settlement with Mr. Stansbury?

15:20:02 10 A. I am aware that there --

11 Q. Yes or no?

12 A. I am not a party to it.

13 Q. Were you a party to the settlement? Were
14 you there at the settlement with Mr. Stansbury?

15:20:11 15 A. Well, I am saying -- I was answering I am
16 not a party to it. But I am aware there were
17 settlement discussions. I have encouraged
18 settlement discussions that Mr. Stansbury has. He
19 entered into, I think, one agreement that was --

15:20:26 20 MR. FEAMAN: Objection. If the question
21 talks of -- the settlement was at a mediation.
22 So if the settlement with regard to
23 Mr. Bernstein and some of the other defendants
24 by Mr. Stansbury in the Stansbury action, if
15:20:39 25 it's questions about what happened at the

1 mediation, I would object because that's
2 confidential.

3 THE COURT: Let me --

4 MR. ELIOT BERNSTEIN: I am just asking if
15:20:46 5 he was there.

6 THE COURT: Whether or not he was there is
7 not confidential. Let me clarify something
8 that may be kicking up a little. He is not a
9 party. He might be an attorney for a party.

10 15:20:56 MR. ELIOT BERNSTEIN: A person, sorry.

11 THE COURT: No, I am only saying because
12 some of what you may interpret as being
13 defensive is just he is not a party, just like
14 no other lawyer is a party to a lawsuit.

15 15:21:07 MR. ELIOT BERNSTEIN: Right.

16 BY MR. ELIOT BERNSTEIN:

17 Q. Were you a person at the settlement?

18 THE COURT: And also let me also tell you
19 Mr. Feaman is correct and on point that you can
15:21:17 20 ask if he was present. Those negotiations are
21 confidential under law.

22 MR. ELIOT BERNSTEIN: I am not going to
23 ask that.

24 THE WITNESS: I think my answer does not
15:21:26 25 involve anything that happened at mediation.

1 If Mr. Bernstein would just step slightly to
2 the side, Mr. Feaman can correct me if I am
3 wrong. But I believe there was a written
4 settlement agreement between Mr. Stansbury and
15:21:38 5 Mr. O'Connell as the personal representative
6 that was presented to the Court that has
7 nothing to do with the mediation.

8 BY MR. ELIOT BERNSTEIN:

9 Q. No, I am talking about the Shirley trust
15:21:47 10 settlement, not the Simon settlement that you also
11 negotiated?

12 A. Was I present? I attended a mediation.

13 THE COURT: Okay.

14 BY MR. ELIOT BERNSTEIN:

15:21:54 15 Q. Did you represent any parties at that
16 mediation?

17 THE COURT: Settlement discussions and who
18 he represented -- I am --

19 MR. ELIOT BERNSTEIN: I just need to know
15:22:08 20 which parties he represented --

21 THE COURT: I know, but --

22 MR. ELIOT BERNSTEIN: -- to show a
23 conflict, Your Honor.

24 THE COURT: Not at the mediation. You can
15:22:13 25 pick another thing. If he is in court, if he

1 is at a discovery.

2 BY MR. ELIOT BERNSTEIN:

3 Q. Did you represent any parties in the
4 settlement?

15:22:21 5 THE COURT: Place your objection on the
6 record.

7 MR. ROSE: I am concerned that --

8 THE COURT: He could also violate
9 attorney/client privilege.

15:22:30 10 MR. ELIOT BERNSTEIN: I am not going to
11 ask him any questions about the settlement.

12 THE COURT: I know. But the -- I
13 understand you are not trying to go outside the
14 bounds. I am going to ask you to ask another
15:22:39 15 question because I don't want to put him in a
16 position of violating.

17 MR. ELIOT BERNSTEIN: Okay.

18 THE COURT: But at the same time I am
19 trying to have your --

15:22:47 20 MR. ELIOT BERNSTEIN: Got you.

21 THE COURT: And if you could stick to
22 things that happened in court, because things
23 that happened in court are public record.

24 BY MR. ELIOT BERNSTEIN:

15:22:57 25 Q. Do you represent Ted Bernstein as a

1 defendant in the Stansbury action?

2 A. I do not. I did at one point in time.

3 Q. Did you also simultaneously represent Ted
4 Bernstein as the trustee for the Shirley Bernstein
15:23:18 5 Trust?

6 A. I did represent Ted Bernstein as the
7 trustee of the Shirley Bernstein Trust in the
8 Stansbury litigation defending the interests of the
9 trust, just as we proposed to defend the interests
15:23:33 10 of the estate. And I represented Ted Bernstein as
11 trustee of the Shirley Bernstein Trust in
12 proceedings in the probate court, various
13 proceedings.

14 Q. Okay. You stated today that you had
15:23:45 15 consent of all the beneficiaries. And Mr. Feaman
16 adequately asked you, am I a beneficiary of the
17 Simon estate? Yes or no? I don't need an
18 explanation.

19 A. The question has a --

15:24:09 20 MR. FEAMAN: Objection, asked and
21 answered.

22 MR. ELIOT BERNSTEIN: (Inaudible).

23 (Overspeaking.)

24 THE REPORTER: Excuse me.

25 MR. ELIOT BERNSTEIN: Sorry.

1 MR. FEAMAN: Object, asked and answered.

2 THE WITNESS: I did not --

3 THE COURT: Sustained. It's been
4 established that you are a tangible beneficiary
15:24:16 5 of the Simon Bernstein estate.

6 MR. ELIOT BERNSTEIN: Actually I don't
7 think there's a term tangible beneficiary. I
8 am a beneficiary of tangible property; is that
9 correct, for the record?

10 THE COURT: That is correct, you actually
11 did correct me.

12 MR. ELIOT BERNSTEIN: Got to be careful,
13 because that's -- there's a misinterpretation
14 going on.

15:24:34 15 BY MR. ELIOT BERNSTEIN:

16 Q. Okay. You said you had consent of all
17 beneficiaries to move forward on this settlement or
18 to have Ted come into this case. Do you have my
19 consent as a beneficiary?

15:24:48 20 A. I think what we said was they had the
21 consent of the direct and indirect beneficiaries of
22 the trust. I think what it actually says is that
23 Mr. O'Connell has the consent of the beneficiary,
24 which is Ted Bernstein as trustee, who is the
15:25:05 25 residuary beneficiary. And then all the indirect

1 beneficiaries who are the trustees of the ten
2 trusts, which is there are seven trusts for
3 grandchildren whose trustee is their parent who
4 have consented, and there are three trusts for
15:25:22 5 Eliot's children whose guardian has consented.

6 So the statement was intended to state
7 that consent was obtained from the direct
8 beneficiary -- residuary beneficiary, all of the
9 indirect beneficiaries. And in addition -- well,
15:25:44 10 that's....

11 Q. Were you aware at the time of the
12 guardianship hearings that gave Diana Lewis
13 guardianship power of my children that one of the
14 children was an adult child over the age of 18?

15:26:00 15 A. As I have explained, Your Honor, our view
16 of the interests and who are technically the
17 beneficiaries being trusts, it's also that issue
18 was appealed and the appeals have been dismissed at
19 the Fourth and at the Supreme Court. So I don't
15:26:14 20 think we are relitigating the issue of guardian ad
21 litem.

22 THE COURT: Okay. I want you to wrap up
23 this line of questioning because it was very
24 limited. One more question.

15:26:21 25 MR. ELIOT BERNSTEIN: Okay.

1 BY MR. ELIOT BERNSTEIN:

2 Q. So are you saying unequivocally that you
3 have consent of all the beneficiaries to Ted
4 Bernstein representing the estate of Simon, not the
15:26:34 5 trusts, the estate of Simon?

6 A. Well, I don't have your -- of everyone,
7 you would be the one person if we needed your --

8 Q. Yes or no, do you have consent of all?

9 THE COURT: Do not raise your voice. Do
15:26:51 10 not raise your voice.

11 MR. ELIOT BERNSTEIN: I am sorry, it's
12 getting difficult with these side tracks.

13 BY MR. ELIOT BERNSTEIN:

14 Q. Please, simple, do you have consent of all
15:26:58 15 the beneficiaries of the Simon estate, yes or no?

16 MR. ELIOT BERNSTEIN: Sorry.

17 THE COURT: That's okay.

18 MR. ELIOT BERNSTEIN: I am just
19 passionate.

15:27:07 20 THE WITNESS: To the extent that you are a
21 beneficiary, no.

22 BY MR. ELIOT BERNSTEIN:

23 Q. Okay.

24 THE COURT: Okay?

25 ///

1 BY MR. ELIOT BERNSTEIN:

2 Q. So that would be a no, correct?

3 THE COURT: He said no.

4 MR. ELIOT BERNSTEIN: Okay. Quantified it
15:27:17 5 or something.

6 THE COURT: That's it. Okay.

7 MR. ELIOT BERNSTEIN: Oh, can I ask one
8 last question?

9 THE COURT: One last question.

10 15:27:23 BY MR. ELIOT BERNSTEIN:

11 Q. Are you aware that two of my children are
12 adults and that there's never been a competency
13 hearing on either of them?

14 A. Well, I have testified to the structure of
15 15:27:34 the documents, and so I don't think I can answer
16 the question.

17 Q. So have you contacted my children --

18 THE COURT: All right.

19 BY MR. ELIOT BERNSTEIN:

20 15:27:44 Q. -- regarding settlement?

21 THE COURT: That's enough. Stop.

22 MR. ELIOT BERNSTEIN: Okay.

23 THE COURT: Do you have your own --

24 MR. ROSE: No questions.

25 15:27:50 THE COURT: You are good? Okay.

1 Mr. Feaman, any other witnesses?

2 MR. FEAMAN: I rest, Your Honor.

3 THE COURT: All right.

4 (Witness excused.)

15:27:56 5 MR. ELIOT BERNSTEIN: And I reserve my
6 rights to, you know, challenge this whole
7 hearing as part of a sham. I didn't have time.

8 THE COURT: Okay.

9 MR. ELIOT BERNSTEIN: You knew I was
15:28:03 10 medically unfit for three weeks. You have
11 medical evidence of that. And I am really
12 sorry you moved this way instead of you
13 allowing all this fraud to come out first. We
14 have wasted a lot of time and money, as they've
15:28:14 15 done all along with this nonsense.

16 THE COURT: Okay.

17 MR. ELIOT BERNSTEIN: By the way, Your
18 Honor, we are here all these years later
19 because Ted Bernstein's counsel committed fraud
15:28:25 20 and forgery to this Court, fraud on this Court.

21 THE COURT: All right.

22 MR. ELIOT BERNSTEIN: And Mr. Rose was one
23 of the people brought in by those people.

24 THE COURT: That's enough of a statement.
15:28:33 25 That was totally --

1 MR. ELIOT BERNSTEIN: Well, I didn't get
2 an opening so I am sorry to try to --

3 THE COURT: But you were late. But you
4 were late.

15:28:40 5 MR. ELIOT BERNSTEIN: I was sick.

6 THE COURT: Either way.

7 MR. ELIOT BERNSTEIN: And I petitioned.
8 It seems to have no compassion of this Court.

9 THE COURT: If -- I will not, if you
15:28:49 10 noticed, I don't tolerate disrespect from
11 anyone else. You have been very kind until
12 now. Let's not change it.

13 MR. ELIOT BERNSTEIN: Yes. Oh, and, Your
14 Honor, we have to go at the appointed time. I
15:29:08 15 thought that it was 3:30. But we have
16 commitments that we have to walk out this door
17 at 3:30, if that's okay?

18 THE COURT: Whatever you feel is
19 appropriate. I am going to continue until
15:29:16 20 4:30.

21 MR. ELIOT BERNSTEIN: Didn't you schedule
22 only for two hours? I am confused. Because
23 that would totally kill me.

24 THE COURT: Let me look at the order.

15:29:23 25 MR. ELIOT BERNSTEIN: Okay. Thank you.

1 THE COURT: I have it right here.

2 MR. ELIOT BERNSTEIN: Okay.

3 THE COURT: It says the continuation
4 hearing being held -- oh, this was just that
15:29:37 5 one. Does anybody have -- I do. Hold on. It
6 does indicate two hours were reserved.

7 MR. ELIOT BERNSTEIN: I am really sorry,
8 and I am going to have to go at the exact
9 minute. I have a child that is in need. And I
15:29:59 10 have been really sorry about that. But if you
11 want to continue without me, that's your
12 prerogative.

13 THE COURT: I did schedule this for two
14 hours.

15:30:10 15 MR. ELIOT BERNSTEIN: Yes, that was my
16 understanding.

17 THE COURT: This Court is very aware of
18 what needs to be done with regards to appellate
19 purposes. I scheduled this for two hours. I
15:32:06 20 will stick to that commitment. In two weeks we
21 will come back. Unless you have a trial or you
22 are having surgery, you will be here on the
23 date I am going to announce. Do we all
24 understand each other?

15:32:17 25 MR. FEAMAN: Yes, Your Honor.

1 THE COURT: We understand each other? I
2 am going to move something to make sure that we
3 come back in two weeks. And I am going to give
4 you a two-hour block. We are going to
15:32:28 5 conclude, if nothing else, this particular
6 matter on whether or not the part -- because it
7 will be too prejudicial to the parties to
8 continue beyond two hours.

9 MR. ELIOT is correct, I scheduled this for
15:32:41 10 two hours. He was within his rights. If a
11 lawyer asked me and said, I had this exact
12 circumstance occur yesterday, and I ended at
13 4:30 because someone had told me I had only
14 discussed 'til 4:30. So I am giving you the
15:32:56 15 same courtesy --

16 MR. ELIOT BERNSTEIN: I appreciate that.

17 THE COURT: -- I would extend to a lawyer.

18 MR. ROSE: Just briefly, Judge.

19 THE COURT: Yes.

15:33:01 20 MR. ROSE: I would suggest since the
21 evidence is closed we could submit written
22 final argument and --

23 THE COURT: You don't intend on calling
24 any other parties?

15:33:11 25 MR. ROSE: I mean, I don't think they've

1 made their case, and I have -- I mean, I would
2 move for involuntary denial of their motion
3 without having to put on evidence which in a
4 bench trial is a procedure. I don't know if
15:33:22 5 you want to hear evidence from me. I think you
6 have heard the evidence. But, you know, my
7 goal is to get beyond this because we have --

8 THE COURT: I would do that. I would
9 receive written closings from everyone, and I
15:33:33 10 will issue an order.

11 MR. ROSE: That's fine. And then we can
12 still set the other matters if you have two
13 hours --

14 THE COURT: I will give it to you.

15:33:40 15 MR. ELIOT BERNSTEIN: If that's the case,
16 then I would rather not schedule some
17 indiscriminate date. I don't know all of my
18 kids' schedules.

19 THE COURT: No, that's not how it works.
15:33:50 20 Sorry, I wouldn't give --

21 MR. ELIOT BERNSTEIN: I can't look at my
22 schedule?

23 THE COURT: You can look at your schedule
24 right now.

15:33:53 25 MR. ELIOT BERNSTEIN: I can't.

1 THE COURT: Well, then that's an
2 obligation. This Court --

3 MR. ELIOT BERNSTEIN: I have three kids
4 with obligations. I've got games --

15:34:00 5 THE COURT: If you can imagine if I let
6 everybody do that to me I would never get
7 anything set.

8 MR. ELIOT BERNSTEIN: Can't we agree on a
9 time when we get back like we always do for a
15:34:09 10 hearing?

11 THE COURT: No, we don't always do that.
12 I tell you a date.

13 MR. ELIOT BERNSTEIN: I thought that's how
14 we have been doing it.

15:34:15 15 THE COURT: I am going to -- I am not
16 promising you I will have an order done,
17 though, that's the problem, on this case by the
18 time you come back. How can I --

19 MR. ROSE: This is a very narrow issue. I
15:34:33 20 mean, there's no issue with I am going to be
21 involved in the estate proceedings either way.

22 THE COURT: Okay.

23 MR. ROSE: It's just a question of whether
24 I am going to be handling --

15:34:39 25 THE COURT: Okay. We can do that.

1 MR. ROSE: We can do everything else.

2 THE COURT: All right. March 16th, 2:00
3 o'clock, from 2:00 to 4:00.

4 MR. ELIOT BERNSTEIN: And, Your Honor, can
15:34:47 5 I ask? I put in a motion to vacate that we
6 haven't heard that would solve having any of
7 these hearings, based on the fraud that you
8 have seen in this court already, with him
9 changing statements that I am not a
10 beneficiary, beneficiary, not.
15:34:58

11 THE COURT: These have been -- we'll
12 decide when that will be heard next. These
13 have been rescheduled and rescheduled and
14 rescheduled on the docket.

15:35:06 15 MR. ELIOT BERNSTEIN: But that fraud issue
16 that you are not aware of in that motion to
17 vacate would preclude them from even
18 representing, because they've been misleading
19 this Court in fraud.

15:35:17 20 THE COURT: I have made my ruling.

21 MR. ELIOT BERNSTEIN: Thank you. Have a
22 good day.

23 THE COURT: I will have written rulings --
24 but I have to give you a date --

15:35:22 25 MR. ELIOT BERNSTEIN: Oh.

1 THE COURT: -- because you need to know
2 when I need the closing. March 16th, 2:00
3 o'clock, my JA will send out an order on things
4 that were not heard today. And I have that
15:35:32 5 order here. So --

6 MR. ROSE: I think we need to clarify too
7 because your case management order --

8 MR. FEAMAN: I didn't think Her Honor was
9 done.

15:35:40 10 THE COURT: I am not. I am not. Sit down
11 for a second. Thank you.

12 All right. I am looking at the order I am
13 relying on which ending this now that gave two
14 hours. The attorneys will submit written
15:35:53 15 closings on -- ready? And I am giving you,
16 they can be no more than ten pages in total,
17 written closings limited to ten pages double
18 spaced. Do not give me a single spaced ten
19 page, 25 page. Ten pages, single spaced --

15:36:18 20 MR. FEAMAN: Double spaced.

21 THE COURT: I am sorry, thank you, double
22 spaced. And that is on Stansbury's motion to
23 vacant, don't forget I have been briefed and
24 re-briefed, and Stansbury's motion to
15:36:30 25 disqualify. Okay? I would like those within

1 two weeks. So by March 16th the closings.

2 MR. ELIOT BERNSTEIN: Your Honor, could I
3 put in a pleading then? I mean, I was out.

4 You have a medical doctor saying that I was out
15:36:47 5 for three weeks heavily medicated. I still am
6 recovering.

7 THE COURT: Mr. Eliot?

8 MR. ELIOT BERNSTEIN: Yes, ma'am.

9 THE COURT: You are going to let me
15:36:54 10 finish.

11 MR. ELIOT BERNSTEIN: Okay.

12 THE COURT: And you keep interrupting me
13 and telling me --

14 MR. ELIOT BERNSTEIN: Pardon.

15:36:58 15 THE COURT: No. You keep telling me why I
16 can't do what I am going to do.

17 MR. ELIOT BERNSTEIN: Okay.

18 THE COURT: And I am going to do it.

19 MR. ELIOT BERNSTEIN: Okay.

15:37:02 20 THE COURT: And then you can put
21 everything you want on the record, all right?

22 MR. ELIOT BERNSTEIN: All right.

23 THE COURT: Give me a second.

24 MR. ELIOT BERNSTEIN: Sure.

15:37:07 25 THE COURT: Written closings actually I am

1 only making it a week. I want them before
2 then. I want them by March 9th. Written
3 closings by March 9th, ten pages, double
4 spaced.

15:37:19 5 Our next hearing will be March 16th which
6 will be the trustee's motion to approve
7 retention of counsel and the trustee's ominous
8 response and reply, will be March 16th for two
9 hours.

15:37:34 10 MR. ROSE: I am going to interrupt. I
11 think technically I have one clarification. I
12 don't want to speak to Mr. Feaman directly. If
13 there's not going to be any additional evidence
14 on the motion to appoint Ted as guardian ad
15:37:48 15 litem, I mean as administrator ad litem, it's
16 the same issue with the conflict and all that,
17 we could submit written closings --

18 MR. FEAMAN: I concur.

19 MR. ROSE: -- on both of those.

15:37:55 20 THE COURT: No.

21 MR. ROSE: If not, then that's the next
22 motion.

23 THE COURT: That's the next motion.

24 That's what I am saying, the trustee's motion
15:38:03 25 to -- it's the administrator ad litem.

1 MR. ROSE: Yes.

2 MR. FEAMAN: Right.

3 THE COURT: Right. That's 3/16 I said,
4 March 16th.

15:38:10 5 MR. FEAMAN: Okay.

6 THE COURT: And we have the omnibus reply,
7 and Stansbury's motion for credit or discharge
8 will be 3/16. That's all I am setting for 3/16
9 because I have got two hours, and I have
10 watched how things have proceeded. Everything
11 else will be handled in due course. All right?
12 Thank you.

13 MR. O'CONNELL: Your Honor, could I just
14 make a statement on the record about the 16th,
15 not to change the date? But I personally
16 wouldn't be able to appear. So I just want
17 everyone to know that. If you want to call me
18 as a witness I am happy to be deposed.

19 THE COURT: Fair enough. They all know he
15:38:56 20 is not available and they can depose him if he
21 is not going to be here.

22 MR. O'CONNELL: And I will have someone
23 from my office here on behalf of the estate.

24 THE COURT: All right. Thank you.

15:39:03 25 MR. O'CONNELL: Just so the Court is

1 aware.

2 MR. ELIOT BERNSTEIN: I don't think we
3 need him as witness, do we?

4 THE COURT: I can't make that decision.

15:39:08 5 All right. Court is in recess.

6 MR. ROSE: Thank you, Your Honor.

7 THE COURT: Thank you.

8

9 (The proceedings adjourned at 3:39 p.m.)

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C E R T I F I C A T E

- - -

The State of Florida
County of Palm Beach

I, Lisa Mudrick, RPR, FPR, certify that I
was authorized to and did stenographically report
the foregoing proceedings, pages 119 through 241,
and that the transcript is a true record.

Dated March 8, 2017.



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IN THE FIFTEENTH JUDICIAL CIRCUIT COURT
IN AND FOR PALM BEACH COUNTY, FLORIDA
CASE NO. 502012CP004391XXXXNBIH

IN RE:

ESTATE OF SIMON L. BERNSTEIN,

Deceased.

/

PROCEEDINGS BEFORE
THE HONORABLE ROSEMARIE SCHER
VOLUME III

Thursday, March 16, 2017

North County Courthouse

3188 PGA Boulevard

Palm Beach Gardens, Florida 33410

2:00 p.m. - 4:20 p.m.

Reported by:

Joyce A. Halverson, Court Reporter

Notary Public, State of Florida

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E X H I B I T S

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19 On behalf of himself ELIOT I.
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21 - - -

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25

1 BE IT REMEMBERED that the following
2 proceedings were had in the above-styled and
3 numbered cause in the North County Courthouse, City
4 of Palm Beach Gardens, County of Palm Beach, in the
5 State of Florida, before the Honorable Rosemarie
6 Scher, Judge of the above-named Court, on Thursday,
7 the 16th day of March, 2017, at 2:00 p.m., to wit:

8 - - -

9 THE COURT: Have a seat. Thank you so
10 much. Thank you all for being on time.
11 Appreciate it. I have the wrong document.
12 Sorry. All right. One second. I have left
13 something on my desk.

14 Okay. Appearances for the record, please,
15 starting on the far left.

16 MR. FEAMAN: Thank you. Peter Feaman,
17 Your Honor, on behalf of William Stansbury.
18 With me in court today is my law partner, Jeff
19 Royer, and Mr. Stansbury is here in court today
20 and his wife, Eileen Stansbury.

21 THE COURT: Thank you.

22 MR. ELIOT BERNSTEIN: Eliot Bernstein pro
23 se, Your Honor, and my wife.

24 THE COURT: Okay. Thank you.

25 MR. ROSE: Alan Rose, Your Honor, on

1 behalf of Ted Bernstein as trustee. Along with
2 me is Ted S. Bernstein and my associate,
3 Michael Kranz.

4 MR. ROTHMAN: Zac Rothman just to observe
5 for Brian O'Connell.

6 THE HONORABLE DIANA LEWIS: Diana Lewis,
7 Guardian Ad Litem for the Eliot Bernstein
8 children.

9 CINDY SWINAN: Cindy Swinan and my son
10 Keith and we are here in support of the
11 Bernsteins.

12 THE COURT: Okay. Don't take this wrong.
13 That doesn't narrow it down for me. Which
14 particular Bernsteins?

15 CINDY SWINAN: Eliot.

16 THE COURT: I didn't mean to be
17 disrespectful. Like I always refer to Mr.
18 Eliot as Mr. Eliot and Mr. Ted as Mr. Ted just
19 because, without disrespect, because we have a
20 lot of Bernsteins. All right. Thank you.

21 We are here pursuant to my order that was
22 issued on March 3rd. We'll start with
23 Trustee's Motion to Approve Retention of
24 Counsel -- and we have taken care of that one
25 -- to Appoint Ted S. Bernstein as

1 Administrator Ad Litem to Defend Claim Against
2 Estate by William Stansbury, Docket Entry 471.

3 Mr. Rose, you may begin.

4 MR. ROSE: Thank you. Do you want opening
5 or just witnesses? Five minute opening?

6 THE COURT: Sure. Five minutes per side.
7 I'm going to time it just because we are going
8 to end these two motions today and I am
9 diligently working on an order for you all.

10 MR. ROSE: From the podium?

11 THE COURT: Wherever you're comfortable.
12 Thank you.

13 MR. ROSE: So we are here on the second
14 half of the motion and Mr. O'Connell's
15 testimony -- there is an agreement that Mr.
16 Feaman and I reached on the record at the
17 deposition on Monday that Mr. O'Connell's
18 testimony from the prior hearing is, it's one
19 motion, is usable for the purpose of this
20 hearing. So we are going to --

21 THE COURT: Give it to the clerk,
22 hopefully.

23 MR. ROSE: We could or just the relevant
24 parts. But it was one motion. This is a
25 continuation of the same evidentiary hearing so

1 rather than asking the same questions, we have
2 agreed that his testimony is in the record.

3 THE COURT: Thank you. Good job.

4 MR. ROSE: Mr. O'Connell testified to you
5 as to his reasons for wanting to appoint an
6 administrator ad litem. And he testified that
7 it was mainly because he didn't have any
8 personal involvement in the underlying case.
9 Mr. Ted Bernstein did have direct involvement
10 in the underlying case --

11 THE COURT: I'm sorry. Go ahead. No
12 personal involvement in the underlying case.

13 MR. ROSE: -- whereas Ted Bernstein was a
14 principal of the company, worked with his
15 father and Mr. Stansbury, and is in much better
16 position to be the corporate representative or
17 the estate's representative at the trial and at
18 the same time to hire my law firm. And Mr.
19 O'Connell said those two things, in his mind,
20 went hand in hand and he has testified about
21 his reasons.

22 So what we believe makes the most sense is
23 to have Ted Bernstein appointed as the
24 administrator ad litem to handle the
25 litigation.

1 This is a case that has failed to settle
2 at two mediations and several motions were
3 brought before this Court to approve
4 settlements which motions have failed. And
5 the parties do not seem to be in any position
6 to settle the case so the only other way to
7 resolve the claim if you can't settle it is to
8 try it.

9 At the conclusion of a mediation in which
10 we were unsuccessful in settlement -- and we
11 can't talk about anything other than the fact
12 of unsuccessfulness -- the decision was made we
13 want to try the case as quickly as possible.
14 And the solution was that if Ted will serve as
15 the administrator for no fee and if my law firm
16 steps in, which has extensive knowledge on the
17 case, that was the group think decision.

18 Mr. O'Connell, exercising his business
19 judgment and his legal judgment, decided that
20 was in the best interest of the estate and he
21 has already testified to that.

22 So for the purposes of today, we have two
23 motions pending. The first one, obviously, is
24 on the administrator ad litem and Mr. Stansbury
25 has objected to Ted Bernstein serving as the

1 administrator ad litem. So, again, we have the
2 position where the plaintiff is trying to
3 decide who can represent the estate to defend
4 itself in a two and a half million dollar
5 claim.

6 Mr. Ted Bernstein will testify that he is
7 willing to serve for free because it will be
8 much less work for him if my law firm is
9 handling the matter. We have already
10 extensively worked and prepared the case. We
11 have taken the deposition of Mr. Stansbury.
12 Most of the document production is done. My
13 law firm is handling the case which we have
14 asked Your Honor to approve. Ted Bernstein is
15 the administrator ad litem. He will serve for
16 no fee. Mr. O'Connell said, on the other hand,
17 he would charge his hourly rate and, you know,
18 every hour he is involved in the case is a
19 substantial expense.

20 Another point, Mr. O'Connell is extremely
21 busy. There was a motion filed which we'll put
22 in evidence complaining that Mr. O'Connell was
23 unavailable to move this case forward. Mr.
24 Stansbury filed a motion in the trial court
25 saying I'm unhappy that Mr. O'Connell is

1 unavailable for months at a time and we need to
2 get the case moving.

3 That was also an impetus for this because
4 we want to get the case moving and concluded
5 and until we get the claim of Mr. Stansbury
6 resolved one way or the other, we can't close
7 out the estate and make progress and stop
8 incurring administrative expenses. So at the
9 end of the day, it is our belief and the
10 evidence will demonstrate it's in the best
11 interest of this estate.

12 I don't know how much evidence you need to
13 take on it. It's a fairly simple issue because
14 --

15 THE COURT: Two hours worth. We have two
16 motions. Essentially, I think that fairness
17 would say you're going -- I said five minutes
18 so you're going to sit down soon. I would
19 think we should have this one done by 3:00 --

20 MR. ROSE: I agree.

21 THE COURT: -- then have the last hour for
22 the other motion.

23 MR. ROSE: The arguments that are made by
24 Mr. Stansbury are, one, I think something with
25 this being an inherent conflict in settlement.

1 And Mr. O'Connell can handle the settlement of
2 the case if it's going to settle. We weren't
3 hired to settle the case. We were hired
4 because this was a case that cannot be settled
5 and it needs to be tried and my law firm is a
6 commercial litigation trial firm and, you know,
7 our goal is to try the case.

8 If Mr. Stansbury and Mr. O'Connell make a
9 settlement agreement, great, we'll have to give
10 notices and have hearings. That's a different
11 ball game. But until there is a settlement,
12 the only way to finish the case is to try it.

13 The other argument is conflict of interest
14 and Mr. O'Connell covered that and Mr.
15 Bernstein can, but there is no conflict between
16 the positions we want to take in this
17 courthouse, not this division but in the Palm
18 Beach County Circuit Court, we believe that Mr.
19 Stansbury's claim has no merit. He believes it
20 does.

21 Mr. Ted Bernstein and Mr. O'Connell are
22 100 percent aligned on that and our goals are
23 the same, minimize expenses, get the case tried
24 as quickly as possible and we don't believe
25 that the opposing party should decide who's

1 going to be representing the estate.

2 THE COURT: Thank you very much. Mr.
3 Feaman.

4 MR. FEAMAN: Thank you, Your Honor. May
5 it please the Court:

6 THE COURT: Thank you.

7 MR. FEAMAN: The premise of Mr.
8 Stansbury's objection to the appointment of Ted
9 Bernstein is based upon three points. Point
10 number one, in the Fungess case, which I sent
11 to Your Honor this morning -- I apologize
12 because of the late notice -- we have an extra
13 copy for Your Honor. We have handed them out
14 again today at this hearing. But the case says
15 in the Fourth District an administrator ad
16 litem must represent beneficiaries of the
17 estate with the same degree of neutrality and
18 fidelity as the personal representative of the
19 estate and administrator ad litem is also
20 subject to the supervision of appointing by the
21 court. It means that the administrator ad
22 litem has the same fiduciary duty to the estate
23 that a personal representative does. That is
24 premise number one.

25 Then premise number two is that we go to

1 Florida Statute 733.504 and that discusses the
2 removal of a personal representative and causes
3 for removal. And therein under Subsection 9 it
4 says a personal representative shall be removed
5 if he or she is not qualified to act and may be
6 revoked for any of the following causes.

7 Number 9: Holding or acquiring a conflicting
8 or adverse interest against the estate that
9 will or may interfere with the administration
10 of the estate as a whole.

11 So, therefore, if the administrator ad
12 litem has the same duty as the personal
13 representative to the estate and a conflict
14 would cause removal of the personal
15 representative, we see that Ted Bernstein is
16 clearly conflicted in this case because he is
17 suing, as Your Honor knows, now with the
18 evidence, he is suing the estate in Chicago,
19 both personally and as a purported trustee of a
20 1995 insurance trust.

21 THE COURT: Is he suing the estate or did
22 the estate intervene in his litigation against
23 the life insurance company?

24 MR. FEAMAN: Yes. The estate intervened
25 and now they are adverse, when they were first

1 brought but he is a plaintiff in that
2 personally. He is a plaintiff in that action
3 adverse to the estate because they are both
4 seeking the same pot of money, Mr. Bernstein
5 individually and the estate for its part.

6 So with that conflict and because the
7 administrator ad litem has the same duties as
8 the PR to not have a conflict, there is enough
9 in the record right now, Your Honor, for Your
10 Honor to say, you know what, I can't appoint
11 this gentleman as administrator ad litem
12 because he is suing the very estate that I'm
13 being asked to appoint him to represent and
14 that should be the end of it. I think Your
15 Honor can rule that right now.

16 And we are prepared to also put on
17 additional evidence as to why Mr. Bernstein
18 should not be appointed for reasons in addition
19 to his conflict of interest. But, as a matter
20 of law, I would respectfully suggest to the
21 Court that the fact that he is suing the estate
22 immediately precludes him from being the
23 administrator ad litem for the estate. It
24 doesn't matter what the capacity is. It is
25 simply because of the law.

1 Because the third case that we cite -- the
2 second case that we cited today was the
3 Campbell case and --

4 THE COURT: Just to be clear, he really
5 isn't suing the estate. The estate has
6 intervened and they are an adverse party. I
7 know I'm being particular but --

8 MR. FEAMAN: Okay. I'll rephrase. I'll
9 just quote the statute. In Chicago Mr. Ted
10 Bernstein holds a conflicting or adverse
11 interest against the estate.

12 THE COURT: Okay.

13 MR. FEAMAN: Okay. Because the estate
14 wants 1.7 million dollars and Mr. Ted Bernstein
15 wants part of 1.7 million dollars as an
16 individual plaintiff. Therefore, the Court
17 need inquire no further than already what is in
18 the record to say I'm sorry, I'm statutorily
19 bound not to allow an appointment of this
20 gentleman.

21 THE COURT: I have a question though. I'm
22 thinking if I want to ask it or not. Wouldn't
23 their positions be aligned for purposes of the
24 civil lawsuit?

25 MR. FEAMAN: Are they aligned for purposes

1 of the civil lawsuit?

2 THE COURT: Yes.

3 MR. FEAMAN: On paper, yes.

4 THE COURT: And isn't that the only
5 limited capacity that we are asking to appoint
6 an administrator ad litem?

7 MR. FEAMAN: Yes. But the Court cannot
8 otherwise ignore there is a conflict when, if
9 the administrator ad litem is acting adversely
10 to the estate in a related action.

11 THE COURT: No but that has nothing to do
12 with the civil. They are aligned. I know what
13 you're going to say.

14 MR. FEAMAN: No. It has everything to do
15 with it and I am going to tell you why.

16 THE COURT: Okay.

17 MR. FEAMAN: There is settlement
18 negotiations going on right now in Chicago
19 between the attorney representing Mr. Bernstein
20 and us.

21 THE COURT: Mr. Ted Bernstein?

22 MR. FEAMAN: Mr. Ted Bernstein. And the
23 attorney representing the estate who is
24 communicating with Mr. Stansbury, me and Mr.
25 O'Connell as to whether money should be paid

1 before trial.

2 Now, to have Mr. Ted Bernstein also
3 involved, whether directly or indirectly, in
4 settlement negotiations that may simultaneously
5 be taking place between the estate and Mr.
6 Stansbury's action, puts in effect the fox
7 guarding the hen house because here's Mr. Ted
8 Bernstein wanting to keep 1.7 million dollars
9 out of the estate.

10 His settlement judgment in that case and
11 the settlement judgment that he may have in the
12 Stansbury case has to be clouded and conflicted
13 because he has got -- on the other hand, he
14 wants the estate to get the money, you would
15 think, because he is also, by the way, he is
16 also the successor trustee of the pour-over
17 trust, which is the beneficiary of the Simon
18 Bernstein Estate. And as successor trustee,
19 you would want that person to want the estate
20 to get all of the money it can for its
21 beneficiaries who are the grandchildren. Yet
22 at the same time he is suing the estate in
23 Chicago to keep his trust from eventually
24 getting that money where he is successor
25 trustee.

1 So there is conflicts all over the place,
2 which is why we also filed a couple of months
3 ago for Your Honor to sua sponte take a look at
4 the conflict that Mr. Ted has as successor
5 trustee because how can he sue --

6 MR. ROSE: I object. It's not set for
7 hearing and it's an issue that has been ruled
8 on multiple times by Judge Phillips and where
9 he lacks standing --

10 THE COURT: I asked you a question so
11 conclude.

12 MR. FEAMAN: I'll conclude with this, Your
13 Honor. In the Campbell case, the Court held
14 that an administrator, which would be Mr. Ted,
15 stands in the position of a trustee holding the
16 estate in trust for the heirs, distributors and
17 creditors, of which Mr. Stansbury is one, while
18 acting in such trust capacity he cannot deal
19 with the beneficiary trust so as to acquire any
20 advantage onto himself.

21 Taking that language and applying it to
22 the case before Your Honor, he is trying to
23 take an advantage onto himself in the Chicago
24 litigation because he is a named plaintiff and
25 trying to take that money and at the same time

1 acting as an administrator for the very
2 estate.

3 And I don't think the Court is allowed to,
4 respectfully, parse whether, okay, I'll let him
5 represent the estate because in this action we
6 can separate it, especially when it's
7 complicated by the fact that the same attorney
8 --

9 THE COURT: I asked you. That wasn't an
10 unfair response. I did throw that out at you.

11 MR. FEAMAN: So I would conclude with that
12 the conflict is so present that I think that
13 they are asking the Court here to split hairs
14 and ignore what is going on in Chicago to allow
15 this.

16 And we believe that the evidence will show
17 that for that reason and others regarding Mr.
18 Bernstein and with regard to the testimony of
19 Mr. O'Connell, whose deposition we took this
20 week, that the only conclusion this Court can
21 make at the end of the day or even right now is
22 to say I just can't do this; you know, if you
23 want somebody to represent the estate at
24 counsel table at the trial, if it goes that far
25 with Mr. Stansbury, have a junior lawyer from

1 the attorney representing the estate. There is
2 situations where hospitals are defendants; they
3 send an HR person to sit through the trial.
4 That's really not a reason for this Court to
5 ignore, just it doesn't pass the look test of
6 he's adverse to the estate fighting over 1.7
7 million dollars and now is representing the
8 estate and representing the pour-over trust but
9 that's a different issue.

10 Thank you.

11 THE COURT: Thank you. Mr. Eliot.

12 MR. ELIOT BERSTEIN: Okay. In my view, we
13 are here today as part of a new fraud on the
14 Court and there have been prior frauds already
15 proven and admitted. I was here to appear
16 before Your Honor when you found that the
17 pleadings and the testimony before the Court by
18 officers of the Court was false and
19 misleading. I am a beneficiary. That is now
20 established. I have standing. And they don't
21 have the consent of all of the beneficiaries
22 for this little scheme they are pulling. That
23 now has been proven in the past pleadings in
24 all of the courts, the 4th DCA, the Illinois
25 federal complaint. That was thrown out because

1 I am not a beneficiary of Simon's estate,
2 according to Judge Robert Blakey.

3 So this new fraud here designed to allow
4 Ted and his counsel Alan to represent the
5 estate of Simon as a fiduciary and counsel in a
6 lawsuit against William Stansbury while already
7 acting as fiduciary and counsel in the Simon
8 Bernstein Trust in the Stansbury action and
9 already having acted as fiduciary in settling
10 himself out in the Shirley trust in regard to
11 the Stansbury lawsuit.

12 What the Court may not be aware of is the
13 adverse interest and conflict of interest of
14 Ted Bernstein with the Stansbury lawsuit that
15 have allowed Ted to already self deal at the
16 expense of the beneficiaries he claims to
17 represent in trusts where he has no personal
18 interest and thus stands nothing to lose
19 personally if the estate and trust of Simon's
20 beneficiaries are saddled with the entire
21 damages of the lawsuit.

22 The Stansbury lawsuit has Ted Bernstein as
23 an individual defendant and Simon Bernstein
24 individually as a defendant when it was filed.
25 The complaint, in fact, alleges Ted was the one

1 who directly committed the egregious acts of
2 bad faith, including fraud against Stansbury.

3 Now, how, the Court may ask, do these
4 adverse interests and conflict of interest of
5 Ted individually and Ted as a fiduciary allow
6 Ted to remove himself from liability personally
7 in the Stansbury action and shift the entire
8 liability to the Simon Bernstein Trust and
9 Simon Bernstein Estate beneficiaries for a
10 potential 2.5 million dollar damage claim and
11 how did he do this with no objections raised by
12 the fiduciary for the beneficiaries of the
13 estates and trusts of Simon and Shirley?

14 Well, it's obvious. Ted as a fiduciary
15 would have to pursue Ted on behalf of the
16 beneficiaries. So Ted's not going to pursue
17 himself for damages and object to settlement
18 that enabled him to slip out the back door like
19 he did already, acting as a fiduciary or file
20 counter-complaints or lawsuits on behalf of the
21 beneficiaries that allege Ted's the responsible
22 party and should pay all of the damages of 2.5
23 million.

24 This is because Ted Bernstein will not sue
25 or pursue Ted Bernstein. That is the

1 definition of a conflict of interest in adverse
2 interests. So Ted, by not raising any
3 objections as the fiduciary on behalf of
4 beneficiaries, has settled himself out of the
5 complaint already individually, shifting the
6 liabilities, and now the people who would
7 normally have a claim to say that Ted was the
8 responsible party, Ted did this, can't raise a
9 complaint because Ted is the fiduciary.

10 If you allow -- and, by the way, that's
11 why they tried to tell you I had no standing
12 and wasn't a beneficiary because they are
13 afraid of anybody making this argument to the
14 Court which would expose a 2.5 million dollar
15 fraud that is occurring through a breach of
16 fiduciary duties by ignoring conflict of
17 interest which Ted and his counsel are fully
18 aware of. So that's why they came to this
19 Court and lied because it wasn't just an
20 error.

21 And, by the way, if Mr. Rose, who put to
22 Your Honor and claimed that he erred before
23 this Court that I was a beneficiary, if he
24 doesn't know who the beneficiaries are by now
25 and his client doesn't --

1 THE COURT: The only thing I have a
2 problem with is, you know, no disrespect, you
3 can state what you believe but don't be rude.
4 Go ahead. You have been doing good, by not
5 being rude.

6 MR. ELIOT BERNSTEIN: Well, now I forgot
7 where I was. Could you read back my last
8 sentence? Sorry.

9 (Requested colloquy was read by reporter
10 as follows:

11 "And, by the way, if Mr. Rose who put to
12 Your Honor and claimed that he erred before
13 this Court that I was a beneficiary, if he
14 doesn't know who the beneficiaries are by now
15 and his client doesn't --"

16 MR. ELIOT BERNSTEIN: -- then the Court
17 needs to remove him just for incompetence. If
18 you don't know who the beneficiaries are --

19 THE COURT: I won't tolerate that.

20 MR. ELIOT BERNSTEIN: Okay. So that would
21 be a cause for removal, if the --

22 THE COURT: Move on.

23 MR. ELIOT BERNSTEIN: -- if the fiduciary
24 doesn't know who the beneficiaries are in his
25 peppered filing for two years with those claims

1 that I wasn't a beneficiary and had no standing
2 --

3 THE COURT: Move on. You have made your
4 point on that.

5 MR. ELIOT BERNSTEIN: I'm denied due
6 process. Okay. By the way, now, the Court has
7 this information that a fraud has been
8 committed before the Court or pleadings that
9 are full of false and misleading statements
10 that have led to a denial of due process rights
11 over the course of two years.

12 THE COURT: The Court has not made any
13 findings that way. You can go on.

14 MR. ELIOT BERNSTEIN: On the record you
15 stated I was a beneficiary in good standing.

16 THE COURT: I did but I didn't make a
17 finding of denial of anything at that point.

18 MR. ELIOT BERNSTEIN: Okay. That alone
19 contradicts all of the pleadings Mr. Rose has
20 submitted since Judge Phillips in effect had a
21 --

22 MR. ROSE: Objection. This is an improper
23 opening statement for the issue we have. It's
24 factually completely wrong because I have never
25 --

1 THE COURT: Sustained. One more minute.

2 MR. ELIOT BERNSTEIN: The Court should
3 also be aware that the Court has been misled
4 in these cases prior by, in the Shirley estate
5 and trust by Ted and the fiduciary's counsel,
6 Robert Spallina and Donald Tescher, who
7 committed a series of fraudulent acts to change
8 beneficiaries, they have come to the Court and
9 confessed they fraudulently altered my mother's
10 trust and sent it to my childrens' counsel.

11 MR. ROSE: Objection.

12 THE COURT: Sustained. That concludes
13 the openings. Thank you, Mr. Eliot.

14 Mr. Feaman, you said you had a case for
15 me. Do you want to give me that case?
16 Everyone have a copy of that case?

17 MR. ROSE: I think it was e-mailed to me
18 this morning.

19 THE COURT: I haven't read it so --

20 MR. FEAMAN: We e-mailed it at 10:00 and
21 also gave them additional copies today, this
22 afternoon.

23 THE COURT: Do you want the opportunity to
24 provide two cases in response?

25 MR. ROSE: I think this is totally... No.

1 THE COURT: I give you the right. Call
2 your first witness.

3 MR. ROSE: I would with one caveat. This
4 is expensive time and the --

5 THE COURT: I just asked. Call your first
6 witness.

7 MR. ROSE: Mr. Stansbury.

8 THE COURT: I'm very aware of how many
9 people are in the courthouse and the expense of
10 everything.

11 MR. ROSE: I was going to state if you
12 would rule that simply because as trustee, as
13 one trustee litigating in Illinois, he could
14 not possibly be the person to handle the
15 litigation here, like Mr. Feaman suggested, if
16 that's where you would go, we could avoid the
17 evidentiary hearing. I don't think that's
18 where you should go but --

19 THE COURT: I did not make a decision
20 yet. I promised I would not make that decision
21 until I came out and I am unbelievably -- what
22 is the word I want? -- I'm trying to think of
23 a word that is more judicial but compulsive is
24 the word coming to mind. I'm not capable of
25 having somebody say here's a case you need to

1 read and making a ruling without reading it.

2 Proceed.

3 MR. ROSE: That's fine.

4 Thereupon,

5 WILLIAM STANSBURY,

6 a witness, being by the Court duly sworn, was

7 examined and testified as follows:

8 DIRECT EXAMINATION

9 BY MR. ROSE:

10 Q. Would you state your name for the record.

11 A. William Stansbury.

12 Q. You're suing the estate of Simon Bernstein
13 for a substantial sum of money?

14 A. Yes.

15 Q. And Eliot just stated that Ted is the
16 responsible party and should pay all of the damages;
17 that Ted is 100 percent responsible for the claims
18 you have made against Simon's estate. Do you agree
19 with that?

20 A. No, I don't.

21 Q. Do you agree that Ted is responsible for
22 most of the damages and most of the harm that was
23 caused to you by Simon Bernstein?

24 A. Most of my conversations regarding my
25 compensation were had with Simon.

1 Q. So there was a question at a prior hearing
2 in which you did not attend, where Mr. O'Connell was
3 asked if the estate should not be suing Ted
4 Bernstein because the complaint alleges that he did
5 most of the fraud against Mr. Stansbury and Simon
6 Bernstein was just a partner. Is that accurate?

7 MR. FEAMAN: Objection. You can't cross
8 examine or impeach somebody with someone else's
9 testimony. He has to ask for what his view
10 is. You can't say if so and so said this, what
11 do you think about this.

12 THE COURT: Sustained.

13 MR. ELIOT BERNSTEIN: May I object?

14 THE COURT: I sustained the objection.
15 What is your objection?

16 MR. ELIOT BERNSTEIN: My objection is this
17 witness wasn't on any witness list, wasn't
18 discussed during the trial.

19 THE COURT: Overruled. This isn't a
20 trial. You may proceed.

21 BY MR. ROSE:

22 Q. Do you believe your complaint alleges that
23 Ted Bernstein did most of the fraud and Simon
24 Bernstein was just a bystander and a partner?

25 A. No.

1 Q. In fact, you testified -- strike that.

2 You would agree, wouldn't you, that most
3 of your assumptions about your financial
4 arrangements with the companies that are part of the
5 underlying lawsuit, most of those discussions were
6 with Simon Bernstein, correct?

7 A. Correct.

8 Q. Simon was the chairman of the company?

9 A. Yes.

10 Q. You considered Simon to be the leader of
11 the company?

12 A. Yes.

13 Q. And Ted had a lesser role in the company
14 than Simon?

15 A. Yes.

16 Q. You don't recall having much discussion
17 with Ted Bernstein about your financial
18 arrangements, do you?

19 A. No.

20 Q. And part of your claim is fraud, correct,
21 that you were defrauded by Simon Bernstein?

22 A. Yes.

23 Q. And it's your testimony that the person
24 who spoke to you and communicated whatever words
25 would have constituted a fraud was Simon Bernstein?

1 A. Yes.

2 Q. Now, do you recall a time in July of 2016
3 where you filed a motion complaining that Mr.
4 O'Connell was not available to attend to this case
5 because of his other busy schedule?

6 A. I don't recall that, Mr. Rose.

7 MR. FEAMAN: Objection. Relevance.

8 THE COURT: Overruled.

9 MR. ROSE: May I approach?

10 THE COURT: You may.

11 MR. ROSE: I'll mark this as Trustee's
12 Exhibit 1.

13 THE COURT: Okay.

14 MR. ROSE: I have stickers except I have
15 to remove the sticker off my copy.

16 THE COURT: That's okay. I can use my
17 stamp. Whatever you want.

18 MR. ROSE: I'll put the stickers on for
19 now.

20 THE COURT: Trustee's 1?

21 MR. ROSE: Trustee's 1 for this hearing.

22 THE COURT: If you could write 12CP, I
23 think it's 4391 -- I think I memorized the
24 number on it -- that would be great.

25 MR. ROSE: 4391?

1 THE COURT: 4391, yes. Thank you.

2 MR. FEAMAN: Trustee's what?

3 MR. ROSE: For purposes of today is 1.

4 (Trustee's Exhibit No. 1, Plaintiff's

5 Motion for Case Management Conference to

6 Schedule Depositons)

7 BY MR. ROSE:

8 Q. Mr. Stansbury, I have handed you a
9 document that is called Plaintiff's Motion for Case
10 Management Conference to Schedule Depositions. Does
11 it say on the first sentence Comes Now Plaintiff,
12 William Stansbury?

13 A. It does.

14 Q. That would be you?

15 A. That is me. It is I.

16 Q. Were you aware of Mr. Feaman's filing? In
17 other words, did you receive copies, without telling
18 me any communications you had with him?

19 A. I may have. I assume I did. It's just
20 not something that immediately I recall doing.

21 Q. Mr. Feaman is your lawyer; he is
22 authorized to file papers in court asserting
23 positions for you, correct?

24 A. I would assume.

25 MR. ROSE: I move this into evidence as

1 Exhibit 1.

2 MR. FEAMAN: No objection.

3 THE COURT: So received. I have marked
4 this one into evidence.

5 BY MR. ROSE:

6 Q. This suggests Mr. O'Connell was
7 unavailable from July through the end of November
8 for deposition because of his schedule. Does that
9 ring a bell to you?

10 A. I guess. Now that I'm seeing it, it does.

11 Q. Is it important to you that your case,
12 your lawsuit against the estate, move forward at a
13 reasonably quick pace?

14 A. It is.

15 Q. Do you think Mr. O'Connell -- well, strike
16 that.

17 You are aware that Mr. O'Connell has
18 requested that Ted Bernstein be appointed as the
19 administrator solely to defend the claim that you
20 have brought? You are aware of that?

21 A. I have heard that. You know, I don't know
22 beyond what I heard what is going on but I have
23 heard that.

24 Q. But we are here today for the judge to
25 decide whether Ted can serve as the representative

1 of the estate to defend the lawsuit you brought,
2 correct?

3 A. That is why we are here today.

4 Q. And you oppose that?

5 A. I do.

6 Q. Is there any person you can think of,
7 other than yourself or Simon Bernstein, who's
8 deceased, that would have personal knowledge at the
9 same level as Ted Bernstein of the claims that you
10 have raised in this lawsuit?

11 A. Probably not.

12 Q. And you're a claimant in the estate so you
13 have some interest in, if you succeed, being able to
14 collect against the estate, correct?

15 A. Obviously, if I succeed I aim to collect
16 and it's against the estate, as I understand it.
17 The estate has the ability to recover any
18 deficiencies that are in it from other assets that
19 may be in the trust. I'm not sure this is the only
20 recovery option.

21 Q. But you would like there to be as much
22 money in the estate as possible if you win your
23 lawsuit, correct?

24 A. Certainly as much as I would win.

25 Q. So you are aware Mr. Ted Bernstein is

1 willing to serve for no fee as administrator ad
2 litem, whereas Mr. O'Connell is going to charge \$350
3 an hour for the hours he spends? Are you aware of
4 that?

5 MR. FEAMAN: Objection. Not relevant.

6 THE COURT: Overruled.

7 THE WITNESS: I don't know what Mr.
8 O'Connell charges and simply because something
9 is free doesn't necessarily mean it's the right
10 or fair deal.

11 BY MR. ROSE:

12 Q. Would you agree Mr. O'Connell knows
13 nothing about your company from personal knowledge
14 and from having been there in 2006 through 2012,
15 correct?

16 A. Are you referencing the time that I was
17 there in 2006 because it was 2003 through 2012? Is
18 that your line of questioning?

19 Q. You are suing LIC Holdings, correct?

20 A. I did.

21 Q. And your lawsuit arose out of your
22 relationship with LIC Holdings, right?

23 I'll withdraw the question.

24 A. Yes.

25 Q. I'll ask you a different question. From

1 2003 to 2012, was Brian O'Connell involved at all in
2 whatever business you were involved in?

3 A. Not that I'm aware of.

4 Q. Had you ever heard the name Brian
5 O'Connell at that time?

6 A. No.

7 Q. Wouldn't you agree with me that Ted
8 Bernstein knows a lot more about the case than Brian
9 O'Connell?

10 A. I would assume that he would, yes.

11 Q. Do you believe Ted is motivated to
12 adequately defend the estate against your claim; in
13 other words, seeking to defeat your claim?

14 MR. FEAMAN: Objection. Calling for the
15 witness to talk about the motivation of a third
16 party. He can't know that.

17 THE COURT: Sustained.

18 BY MR. ROSE:

19 Q. I'm not really asking about that. Do you
20 think -- do you have any reason to believe that Ted
21 will not adequately, aggressively and vigorously
22 defend the estate's interest against yourself in
23 this lawsuit?

24 A. I would have no way of knowing.

25 Q. And you have no way to believe that he

1 wouldn't, correct?

2 A. I know he is suing the estate so he is
3 trying to keep money out of it.

4 Q. Do you think Ted Bernstein is going to do
5 something to help you win your lawsuit?

6 A. I doubt it.

7 Q. Now, you have settled your dispute with
8 Ted Bernstein by giving him a general release,
9 correct?

10 A. I'm not a lawyer, Mr. Rose. So yes, he
11 was dropped as a defendant.

12 Q. And your counsel stipulated at the last
13 hearing that you gave a general release to Ted
14 Bernstein?

15 MR. FEAMAN: Objection. I don't recall
16 that stipulation. Mischaracterizes what is in
17 the record.

18 THE COURT: It actually was stipulated on
19 the record that a release was given.

20 MR. FEAMAN: Respectfully, I think the
21 stipulation was there was a settlement. The
22 terms of the settlement are not before this
23 court.

24 THE COURT: No. There was a settlement
25 and a release was executed. The terms of the

1 release was not put before the Court. The
2 terms of the settlement wasn't put before the
3 Court.

4 I'm going to ask you to move on to the
5 next question.

6 MR. ROSE: Your Honor, Your Honor's
7 recollection of the record is 100 percent
8 correct. I did not accept the dismissal.

9 MR. FEAMAN: Move to strike.

10 THE COURT: Sustained.

11 BY MR. ROSE:

12 Q. You're adverse to the estate, correct?

13 A. Yes.

14 Q. You're seeking to take all of the money or
15 more than all of the money that is in the estate and
16 the trusts, right, if you win your lawsuit?

17 A. I can't speak to what is there. I'm going
18 to take what I'm due. I have no idea what's there.

19 Q. Now, you were one of the proponents of
20 Brian O'Connell being appointed as the successor
21 personal representative; do you recall that?

22 A. I don't know that I would characterize
23 myself as a proponent. I don't know enough about
24 people or lawyers and what they do and how they do
25 it.

1 Q. You were at the hearing where Mr.
2 O'Connell was appointed PR, correct?

3 A. I was.

4 Q. And your counsel brought Mr. O'Connell to
5 the hearing?

6 A. He did.

7 Q. And Mr. O'Connell was appointed personal
8 representative?

9 A. Yes.

10 Q. And if, in his business judgment and his
11 legal judgment that what he's proposing to happen
12 with Ted as the administrator is in the best
13 interest of the estate, do you feel that he is
14 mistaken?

15 A. Based on what I have heard, I think it's a
16 mistake.

17 Q. You have had multiple chances to settle
18 your claim, correct?

19 MR. FEAMAN: Objection. Outside the
20 scope, whether he has settled. It's also
21 confidential.

22 THE COURT: Sustained.

23 BY MR. ROSE:

24 Q. You attended mediation in July, correct,
25 July 25th?

1 A. Yes.

2 Q. No settlement was reached and an impasse
3 was declared, correct?

4 A. Yes.

5 Q. Okay. So what is left to do with your
6 case now is to get it tried, right?

7 MR. FEAMAN: Objection. No predicate. No
8 foundation.

9 THE COURT: Overruled-. The Court can
10 take judicial notice the case is still going on
11 or we wouldn't be here, correct? If the case
12 isn't settled, it's still going on.

13 BY MR. ROSE:

14 Q. Is there any reason why you couldn't
15 negotiate a settlement with Mr. O'Connell at any
16 time you wanted to while Mr. Bernstein and his
17 counsel prepared to defend the case and get it ready
18 for trial and get it set for the estate to be
19 victorious?

20 A. I was led to believe that the estate's
21 assets were deminimus, which may at that point
22 require the trust to support any judgment or
23 settlement that I would have with the estate.

24 Based upon Mr. O'Connell's statements when
25 he was brought in, he didn't believe that Ted

1 Bernstein was officially qualified to be the trustee
2 of the trust. Therefore, I essentially may have
3 been negotiating for a settlement with a party who
4 didn't have the capacity to provide a settlement.
5 So what I have been asking for is just a hearing to
6 clarify whether Ted is qualified based on the
7 language of the trust or he isn't.

8 Q. So it's your testimony even Mr. O'Connell
9 is not qualified to discuss settlement with you?

10 A. I'm not sure that it's the settlement
11 discussion as much as what happens if there is a
12 settlement agreed to and the money needs to come
13 from another source other than the estate.

14 Q. But is there any reason you can't have
15 discussions with Mr. O'Connell while we get ready to
16 defeat your claim in court?

17 A. Sort of the -- I'll leave that to my
18 attorneys to figure it out.

19 MR. ROSE: Nothing further.

20 THE COURT: Mr. Eliot.

21 CROSS EXAMINATION

22 BY MR. ELIOT BERNSTEIN:

23 Q. Hi, Bill. Did you sue Ted in the lawsuit?

24 A. He was a defendant, yes.

25 Q. What did Ted do according to your lawsuit?

1 A. There was misrepresentation of, you know,
2 what was going on with my money and why I wasn't
3 being paid.

4 Q. Was there anything with your stock that
5 you talked with Ted about that didn't sit well with
6 you, according to your complaint?

7 A. Yes.

8 Q. Could you explain that to the court.

9 A. I was a 10 percent stockholder of the
10 company and Ted approached me in December of 2011
11 and told me that there had been some discussion with
12 the accounting firm that the firm used that might
13 result in an income tax liability to me for money
14 that would not be paid to me. In other words, from
15 other prior years of taxes that may have been
16 challenged. I don't know the details because I
17 didn't interface with the accounting firm.

18 He said if I wrote a letter to him ceding
19 my shares of stock back to the company, he would
20 hold it and it had to be dated in 2011 and if the
21 tax liability happened, then I wouldn't be
22 responsible for owing money for taxes on money that
23 I never received. So he said he would hold it and
24 if that issue didn't arise, then it would just be
25 torn up and thrown in the garbage.

1 Q. And so in your complaint you alleged that
2 Ted basically swindled you out of that stock?

3 A. I don't know that I used the word swindled
4 but I believe --

5 Q. Fraud?

6 A. I believe that it was a misrepresentation
7 of the determination of why I would have just one
8 day signed the stock back to the company for no
9 other reason.

10 Q. Okay. Did Ted cash the alleged checks you
11 claim were fraudulently cashed?

12 A. I don't know who cashed them, Eliot, but
13 they weren't cashed by me.

14 Q. Were you aware of any problems leading up
15 to your lawsuit with Simon and Ted, between those
16 two?

17 MR. ROSE: Objection. Relevance and
18 scope.

19 MR. FEAMAN: Overly broad.

20 THE COURT: Sustained.

21 BY MR. ELIOT BERNSTEIN:

22 Q. If Ted represented the lawsuit for the
23 estate, would Ted make a claim that he was
24 responsible for damages done to you in the lawsuit?
25 Would he sue himself or --

1 A. Doesn't seem like that would be a logical
2 thing for him to do.

3 Q. Because that is the definition of an
4 adverse interest. You are not going to pursue
5 yourself or sue yourself. Okay. Mr. Stansbury --

6 MR. ROSE: Objection. Move to strike.

7 THE COURT: Sustained.

8 MR. ELIOT BERNSTEIN: Do what?

9 THE COURT: The little commentary at the
10 end. You can't make your little comments.

11 BY MR. ELIOT BERNSTEIN:

12 Q. Yes. Okay. All right. Have you seen
13 that letter before?

14 THE COURT: Have you given everyone a copy
15 of whatever it is you're showing him?

16 MR. ELIOT BERNSTEIN: Oh, do we have
17 copies of that? That might take me a minute to
18 find.

19 How many copies are there of that letter?
20 One? Yes. One. Can I make a copy? Do you
21 have a copier, by any chance?

22 THE COURT: I don't have an assistant this
23 week. Trust me, I have my own issues.

24 MR. ELIOT BERNSTEIN: I'll ask questions
25 from my own letter. Can you hand that back to

1 him to see if he knows that letter. It's a
2 June 20th... I'll give it to them.

3 THE WITNESS: Have I seen it before, is
4 that your question?

5 BY MR. ELIOT BERNSTEIN:

6 Q. Yes.

7 A. Yes.

8 MR. FEAMAN: May I approach the witness
9 and look at the letter the witness has?

10 THE COURT: Mr. Rose, if you want to as
11 well.

12 MR. ROSE: I think it's an exhibit to the
13 complaint. It's already in evidence. Mr.
14 Feaman wrote the letter. He has surely seen it
15 before.

16 MR. FEAMAN: Thank you.

17 BY MR. ELIOT BERNSTEIN:

18 Q. Good to go. I'll just ask him... Sorry,
19 Bill. This is a June 20th, 2012 letter. It's
20 certified mail and it's marked personal and
21 confidential and it's to Ted Bernstein and it was
22 authored by your attorney, Mr. Feaman.

23 MR. ROSE: I think he misstates the
24 addressee of the letter though.

25 MR. ELIOT BERNSTEIN: Okay. Can you hand

1 it back to him?

2 BY MR. ELIOT BERNSTEIN:

3 Q. Who is it addressed to?

4 A. Mr. Ted Bernstein, President, LIC
5 Holdings, Inc., 950 Peninsula Circle, Boca Raton,
6 Florida 33487.

7 Q. Anybody else?

8 THE COURT: Mr. Eliot, just to explain the
9 objection, when you say Ted, if it's as
10 president, you just have to say that.

11 MR. ELIOT BERNSTEIN: If it's what?

12 THE COURT: As president of the company.
13 That was the objection.

14 MR. ELIOT BERNSTEIN: Okay.

15 THE COURT: Next question?

16 BY MR. ELIOT BERNSTEIN:

17 Q. Nobody else?

18 A. No one else is listed on this.

19 Q. Okay. Fine. I'll take it back.

20 So in this letter -- prior to your
21 lawsuit, you write a letter to Ted Bernstein that
22 describes issues and concerns to Ted Bernstein of
23 Ted Bernstein's acts against you. In efforts to
24 stage this whole thing off at the pass, I guess, you
25 wrote a letter timely requesting that these

1 egregious acts be resolved and you contacted Ted.
2 Would you say that Ted Bernstein is responsible for
3 any teeny tiny amount of damages done to you? Is
4 that why you sued him?

5 A. Yes.

6 Q. Okay. So there would be, in your view, a
7 -- if Ted represented the estates and trusts that
8 you sued, there would be a possibility that those
9 estates and trusts were represented by a non adverse
10 party would raise a claim stating, hey, we shouldn't
11 pay all of the damages, Ted apportioned at least a
12 certain part, correct?

13 MR. ROSE: Objection. Calls for legal
14 conclusion.

15 THE COURT: Sustained.

16 I need you to wrap it up, Mr. Eliot. I
17 haven't let Mr. Feaman ask questions yet. So
18 one more question.

19 BY MR. ELIOT BERNSTEIN:

20 Q. To your knowledge, have you gotten
21 discovery of all of the records of LIC Holdings and
22 Arbitrage, International?

23 MR. ROSE: Objection. Relevance and
24 beyond scope.

25 THE COURT: I got hung up on the name.

1 Let me hear the question again, if you would
2 read back the question.

3 (Pending question read by reporter as
4 follows:)

5 "Q. To your knowledge, have you gotten
6 discovery of all of the records of LIC Holdings
7 and Arbitrage, International?"

8 THE COURT: Sustained.

9 MR. ELIOT BERNSTEIN: Those are parties to
10 the action.

11 THE COURT: It's not relevant to this
12 proceeding. All right. So thank you very
13 much, Mr. Eliot. Mr. Feaman.

14 MR. FEAMAN: No questions, Your Honor.

15 THE COURT: Thank you, sir. Redirect.

16 REDIRECT EXAMINATION

17 BY MR. ROSE:

18 Q. One question. Your stock claim is only
19 against Ted Bernstein and the company; isn't that
20 true? Let me hand you Count IV of the second
21 amended complaint. Can you take a look at it and
22 then after you have looked at it, I have a question
23 for you.

24 A. How much of this am I reading?

25 Q. Just the title.

1 A. Fraud in the inducement...

2 Q. I want you to read that. Do you see that
3 part there?

4 A. Do you want me to read it for myself or --

5 Q. Read it for yourself and take a look at
6 it. Have you done that?

7 A. I did.

8 Q. Does that refresh your recollection that
9 the only defendants in Count IV relating to the
10 stock are Ted Bernstein and the company?

11 A. Yes.

12 Q. And you have released both of those
13 entities in your settlement, right?

14 A. I guess.

15 Q. You are not suing Simon Bernstein's estate
16 for anything having to do with stock?

17 A. No, I am not.

18 MR. ROSE: Okay.

19 MR. ELIOT BERNSTEIN: Can I get redirect?

20 THE COURT: No. We don't go back again.

21 Thank you.

22 MR. ELIOT BERNSTEIN: Can I submit that as
23 evidence to the Court?

24 THE COURT: Any objection to the letter?

25 I think we have already got it in evidence

1 because it was attached to the complaint but --

2 MR. ROSE: No objection, Your Honor.

3 MR. FEAMAN: No objection.

4 THE COURT: This will be marked as
5 Interested Party's Number 1, without objection,
6 into evidence and Mr. Stansbury may step down.

7 (Interested Party's Exhibit No. 1, Letter
8 dated 6-20-12)

9 THE WITNESS: Thank you, Your Honor.

10 (Witness stepped down)

11 THE COURT: Thank you. Give me one second
12 to complete marking this.

13 Okay. Mr. Rose, next witness.

14 MR. ROSE: At the risk of turning this
15 into a circus, I'll call Ted Bernstein.

16 THE COURT: Are you guys going to hand me
17 some portions of Mr. O'Connell's deposition at
18 some point because you said that you have
19 agreed? I was hoping I would actually have a
20 hard copy of that testimony.

21 MR. ROSE: Not his deposition. I don't
22 care about the deposition. The testimony he
23 gave.

24 THE COURT: The testimony from the last
25 hearing?

1 MR. ROSE: I can provide that. I can read
2 it in closing. Actually, the same pages we
3 cited in our final arguments. His statement is
4 in the best interest.

5 THE COURT: I would still like a written
6 copy. I can make copies of that if you have
7 it. That would be awesome. Mr. Ted.

8 Thereupon,

9 TED S. BERNSTEIN,
10 a witness, being by the Court duly sworn, was
11 examined and testified as follows:

12 DIRECT EXAMINATION

13 BY MR. ROSE:

14 Q. State your name for the record.

15 A. Ted Bernstein.

16 Q. Now, you do not currently have a fiduciary
17 role in the Estate of Simon Bernstein; is that
18 correct?

19 A. Correct.

20 MR. FEAMAN: Objection. Calls for a legal
21 conclusion.

22 THE COURT: Overruled.

23 BY MR. ROSE:

24 Q. Mr. O'Connell is the personal
25 representative of the estate?

1 A. That's correct.

2 Q. Now, you are serving as the trustee of the
3 Simon Bernstein Trust?

4 A. I am.

5 Q. And the beneficiaries of the Simon
6 Bernstein Trust are 10 trusts created by your
7 father's trust?

8 A. 10 subtrusts, yes.

9 Q. And the trustee -- who are the trustees of
10 those subtrusts supposed to be?

11 A. The parents for the children.

12 Q. And other than Eliot, are the other
13 parents serving as trustees?

14 A. They are.

15 Q. All right. Now, at some point in time Mr.
16 O'Connell and yourself had discussions about how
17 best to handle the Stansbury case; is that true?

18 A. Yes, we did.

19 Q. And can you tell -- well, we have heard
20 what Mr. O'Connell has said about that. Do you
21 disagree with his version of those events?

22 MR. FEAMAN: Objection. Improper
23 question.

24 THE COURT: Sustained.

25 THE WITNESS: I agree with what Mr.

1 O'Connell said.

2 MR. FEAMAN: Move to strike.

3 THE COURT: Sustained.

4 BY MR. ROSE:

5 Q. In your own words, can you tell the judge
6 what the arrangement should be?

7 A. Sure. His firm is unable to tend to the
8 matter as quickly as everybody wanted it to be
9 tended to so he asked if I would help him manage the
10 litigation.

11 MR. FEAMAN: Objection. Hearsay.

12 THE COURT: Sustained on the last portion,
13 the portion that is asked if he would help
14 you. That's hearsay.

15 BY MR. ROSE:

16 Q. You reviewed the motion that has been
17 filed to appoint you as administrator ad litem?

18 A. Yes, I have.

19 Q. Do you believe you would do a good job
20 representing the interest of the estate against Mr.
21 Stansbury?

22 A. I do believe I would do an excellent job,
23 yes.

24 Q. Is there anyone else alive that knows more
25 about the facts and could take that role than

1 yourself?

2 A. No, there is not.

3 Q. And you have agreed to serve for what
4 compensation?

5 A. I agreed to do it for no cost.

6 Q. Why did you agree to do it for no cost?

7 A. Well, I don't think there is anybody else
8 that knows the matter as well as I do. I think that
9 I'm going to be involved in the case anyway and I
10 believe that most of my time has been spent in
11 preparing for, you know, what the case would involve
12 so there is really no big extra amount of time on my
13 part that would be required to do what is asked of
14 me.

15 Q. Do you have an opinion as to which law
16 firm should be defending the estate?

17 A. I do.

18 Q. Which law firm?

19 A. That would be your law firm.

20 Q. Why do you have that opinion?

21 A. Because nobody else can represent us in
22 that case more effectively than your firm because
23 you have already done what I consider to be a huge
24 amount of work in that case. Any other firm would
25 have to get up to speed and it's not a simple case;

1 this happened to be quite complex, and you're what I
2 consider to be up to speed.

3 Q. Now, assuming that the guardian ad litem
4 is representing the interest of Eliot's three
5 children in the trust for which there currently is
6 no serving trustee, is it accurate that all of the
7 trustees of the 10 trusts under Simon's trust are in
8 favor of this?

9 A. They are in favor of this, yes.

10 Q. Unanimously?

11 A. Yes, unanimously.

12 Q. Is it your belief that if the Court does
13 not remove my law firm and does appoint you, it will
14 result in any benefits to the estate?

15 A. Could you ask me that question again?

16 Q. If the judge does not disqualify or remove
17 our firm and appoints you so that what Mr. O'Connell
18 has asked for actually happens, will the estate
19 benefit by having lower expenses?

20 A. Yes, it will.

21 Q. Will it benefit by having the Stansbury
22 claim resolved faster?

23 MR. FEAMAN: Objection. Speculation.

24 THE WITNESS: Yes, it will.

25 THE COURT: The last objection is

1 sustained.

2 BY MR. ROSE:

3 Q. Did you see the motion Mr. Feaman filed
4 last summer that is in evidence, when it was filed
5 in July?

6 A. I'm sure I have seen it.

7 Q. Did it cause you concern to see that Mr.
8 O'Connell wasn't available for months to schedule
9 depositions?

10 A. Yes, it did.

11 Q. Is that one of the factors that led to the
12 discussion of appointing you as administrator?

13 A. Yes; very much so.

14 Q. Are you generally available to assist in
15 the defense?

16 A. Yes, I am.

17 Q. Are you willing to sit at trial, at
18 counsel table and assist in the defense?

19 A. Yes, I am.

20 Q. Would the estate have the same opportunity
21 to defend itself if you weren't sitting at counsel
22 table during the trial?

23 MR. FEAMAN: Objection. Speculation.

24 THE COURT: Could I hear the question
25 again?

1 (Pending question read by reporter as
2 follows:

3 "Q. Would the estate have the same
4 opportunity to defend itself if you weren't
5 sitting at counsel table during the trial?"

6 THE COURT: Okay. I'm sorry. The
7 objection?

8 MR. FEAMAN: Speculation.

9 THE COURT: Sustained.

10 BY MR. ROSE:

11 Q. If I was trying the case, would I want
12 anybody other than you next to me to defend the case
13 against Mr. Stansbury?

14 MR. FEAMAN: Objection. Calls for the
15 state of mind of Mr. Rose.

16 THE COURT: Sustained. The Court is
17 pretty clear on your state of mind. Not to
18 worry. You can move forward.

19 BY MR. ROSE:

20 Q. In your role as the trustee of the Simon
21 Trust, would you want anyone else other than you
22 sitting at that table?

23 A. No, I wouldn't.

24 Q. Third time was the charm so...

25 Now, in Illinois there is a dispute over

1 an alleged 1995 irrevocable life insurance trust
2 that was alleged to have been created by Simon
3 Bernstein. That's one claim and the other claim is
4 the estate; is that accurate?

5 A. Yes, it is accurate.

6 Q. And do you consider that you're personally
7 adverse to the estate, trying to take money out of
8 the estate?

9 MR. FEAMAN: Objection. His personal
10 opinion as to whether he holds interests I
11 don't think is proper or relevant.

12 THE COURT: Sustained.

13 BY MR. ROSE:

14 Q. What is your -- what do you believe --
15 well, strike that.

16 Do you believe that what is happening in
17 Illinois is determining what your father's intent
18 was with respect to his life insurance proceeds?

19 MR. FEAMAN: Objection to his commenting
20 on his deceased father's intent.

21 THE COURT: Sustained.

22 MR. ROSE: I am not asking for his
23 intent. I'm asking if that is the proceeding
24 to determine --

25 THE COURT: At this point it's not the

1 State of Illinois decision anyway.

2 BY MR. ROSE:

3 Q. That's fine. Is there any way that what
4 is happening in Illinois would, in your view, impact
5 your ability to adequately represent the interest of
6 the estate against Mr. Stansbury?

7 MR. FEAMAN: Objection.

8 THE COURT: Overruled.

9 THE WITNESS: No, I do not believe that
10 there is anything to be benefitted by it. They
11 are doing the best job they can.

12 THE COURT: Would you either push the mic
13 forward or move it closer to you?

14 BY MR. ROSE:

15 Q. If you're appointed administrator ad
16 litem, would you in any way interfere with Mr.
17 O'Connell's ability to settle the case?

18 A. No, I would not.

19 Q. Now, any settlement would still have to be
20 approved by the Court so you might have a say in the
21 approval process?

22 MR. FEAMAN: Objection. Leading.

23 THE COURT: Sustained.

24 BY MR. ROSE:

25 Q. Other than any role you play in an

1 approval process, would you in any way interfere or
2 impede Mr. Stanbury's ability to communicate with
3 Mr. O'Connell or Mr. O'Connell's ability to
4 communicate with Mr. Stansbury?

5 A. I would not.

6 MR. ROSE: I have nothing further.

7 THE COURT: Thank you. Mr. Eliot.

8 CROSS EXAMINATION

9 BY MR. ELIOT BERNSTEIN:

10 Q. Ted, did you settle with Stansbury
11 individually in the Stansbury action?

12 A. I did.

13 Q. Did you settle Shirley's trust as trustee,
14 settle her out of the Stansbury lawsuit?

15 A. It has been a while but I believe I did.

16 Q. Were you adverse to the beneficiaries of
17 Shirley's trust when you did that?

18 A. I'm sorry. I don't understand what you
19 mean.

20 Q. You don't understand what an adverse
21 interest is?

22 A. I don't understand what the question was.

23 Q. Did you have an adverse interest with the
24 beneficiaries of the estate when you settled
25 Shirley's trust?

1 A. I don't believe that I ever had an adverse
2 interest.

3 Q. Do you know what that is?

4 A. I think I understand what the word adverse
5 means.

6 Q. Okay. So you don't know what an adverse
7 interest is technically?

8 MR. ROSE: Objection. Asked and
9 answered.

10 BY MR. ELIOT BERNSTEIN:

11 Q. You were sued by Mr. Stansbury you heard
12 here and you're cognizant of -- and you heard Mr.
13 Stansbury say that you had, according to his
14 complaint, possible liability for the actions done
15 to him; is that correct?

16 MR. ROSE: Objection. In light of the
17 settlement he has no liability to Mr.
18 Stansbury.

19 THE COURT: Sustained.

20 BY MR. ELIOT BERNSTEIN:

21 Q. Prior to the settlement, did you have
22 liability in the Stansbury lawsuit?

23 MR. ROSE: Objection. Relevance and
24 materiality as to timing. We are not asking
25 him to be appointed back in when he was a

1 defendant.

2 THE COURT: Overruled.

3 THE WITNESS: I don't believe I had
4 liability, no.

5 BY MR. ELIOT BERNSTEIN:

6 Q. Well, you were sued so wouldn't that
7 represent a liability to you?

8 A. No.

9 Q. Okay. Let me ask you another question.
10 While you were representing Shirley's trust to
11 settle her out, could you have raised the claim that
12 you were the responsible party for the acts against
13 Mr. Stansbury?

14 MR. ROSE: Objection. Relevance and
15 materiality.

16 THE COURT: Sustained.

17 BY MR. ELIOT BERNSTEIN:

18 Q. You settled Shirley's trust as the
19 trustee. Did you make any investigation as to the
20 apportionment of damages to the parties of the
21 complaint?

22 MR. ROSE: Objection. Same, relevance and
23 materiality.

24 THE COURT: Sustained.

25 BY MR. ELIOT BERNSTEIN:

1 Q. Have you done any investigation into the
2 apportionment of damages to the parties you
3 represented in the Stansbury lawsuit?

4 MR. ROSE: Objection. Same objection.

5 THE COURT: To the parties he represented?

6 MR. ELIOT BERNSTEIN: Yes. He represented
7 Shirley's trust. They were sued, all these
8 parties.

9 THE COURT: I asked because I didn't
10 understand the question. That's why.

11 MR. ROSE: Objection. Relevance and
12 materiality.

13 THE COURT: Sustained.

14 BY MR. ELIOT BERNSTEIN:

15 Q. Have you, Ted, or your counsel provided
16 the Court with a full and complete inventory of all
17 LIC and Arbitrage records from 2008 to present?

18 MR. ROSE: Objection. Relevance.

19 THE COURT: Sustained.

20 BY MR. ELIOT BERNSTEIN:

21 Q. In June of 2012, did you receive a demand
22 letter addressed to you only from Peter Feaman on
23 behalf of William Stansbury; yes or no?

24 MR. ROSE: Objection. Leading.

25 THE COURT: Overruled.

1 THE WITNESS: Eliot, I honestly can't
2 remember the details of these things but about
3 that time I believe that I received a letter
4 from Mr. Feaman.

5 BY MR. ELIOT BERNSTEIN:

6 Q. Do you recall the allegations in that
7 letter?

8 A. Hardly.

9 Q. Do you recall the allegations against you
10 and your office for missing and opening mail and
11 forged checks?

12 A. I remember something about that, yes.

13 Q. When did you first read the will of Simon
14 Bernstein, the 2012 will?

15 MR. ROSE: Objection. Relevance. Clearly
16 beyond the scope.

17 THE COURT: Sustained.

18 BY MR. ELIOT BERNSTEIN:

19 Q. As a child of Simon Bernstein --

20 THE COURT: Last two questions.

21 BY MR. ELIOT BERNSTEIN:

22 Q. -- am I a beneficiary, am I a beneficiary
23 of Simon Bernstein or am I a child of Simon
24 Bernstein? Yes?

25 A. Pardon me?

1 Q. Am I a child of Simon Bernstein?

2 A. Are you his son, yes, you are.

3 Q. Are you familiar with any filings, letters
4 or petitions made by your counsel on your behalf to
5 the Court claiming I am not a beneficiary of
6 anything?

7 MR. ROSE: Object to the form.

8 THE COURT: Sustained.

9 One more question, Mr. Eliot.

10 MR. ELIOT BERNSTEIN: Can I ask why I'm
11 being limited? It's very important if he
12 should become a fiduciary here because we are
13 trying to establish that Ted Bernstein is
14 misusing fiduciary roles.

15 THE COURT: Ask him a question about him.
16 I told you one more question.

17 MR. ELIOT BERNSTEIN: I asked him if he is
18 aware of pleadings he made to the Court.

19 THE COURT: Pleadings?

20 MR. ELIOT BERNSTEIN: That claim I am not
21 a beneficiary which would materially affect --

22 THE COURT: All right. I'll allow it.

23 THE WITNESS: I'm sorry. Now, could you
24 please ask me the question again?

25 (Pending question read by reporter as

1 follows:)

2 "Q. Are you familiar with any filings,
3 letters or petitions made by your counsel on
4 your behalf to the Court claiming I am not a
5 beneficiary of anything?"

6 MR. ROSE: Objection. Relevancy. There
7 is no issue that he did not have standing for
8 the purpose of substantial personal property.
9 I didn't ask him any questions about whether he
10 had standing.

11 THE COURT: He's asking him on the stand
12 though. Overruled.

13 THE WITNESS: I'm not familiar enough with
14 the, whatever you characterize those things as,
15 to know what is inside of them. Just about you
16 being a beneficiary. That is my answer.

17 BY MR. ELIOT BERNSTEIN:

18 Q. Did you read the pleadings before the
19 Court that are filed on your behalf as a fiduciary?

20 A. Yes, I did.

21 Q. Have you taken any direct, or have you
22 found out through these proceedings that it was
23 claimed that I was not a beneficiary with no
24 standing by your counsel?

25 MR. ROSE: Objection. Relevancy, scope.

1 THE COURT: Overruled. Can you answer the
2 question, please, Mr. Bernstein?

3 THE WITNESS: Sure. I believe there was
4 some mention of documents filed that you were
5 not a beneficiary and in some limited way you
6 have been deemed as a beneficiary.

7 MR. ELIOT BERNSTEIN: Okay.

8 THE COURT: Okay. That was the last
9 question.

10 MR. ELIOT BERNSTEIN: Can I ask one last
11 follow-up?

12 THE COURT: Okay. One last follow-up.
13 That's it.

14 MR. ELIOT BERNSTEIN: That's a follow-up.
15 I want to say I feel and put on the record that
16 I'm being limited in my ability to question
17 witnesses.

18 BY MR. ELIOT BERNSTEIN:

19 Q. Have you ever, since finding that out,
20 have you corrected any of the filings that you filed
21 or were filed on your behalf that claimed to any
22 courts of law that I am not a beneficiary in Simon's
23 estate?

24 MR. ROSE: Objection. I think it's an
25 improper question. In the actual document he

1 is referring to, which is in evidence, at a
2 later point --

3 THE COURT: You are asking him for
4 information that is an attorney/client
5 privilege so I'm going to sustain the
6 objection. We're good. Last question. Thank
7 you.

8 Mr. Feaman, you're next. Thank you very
9 much.

10 MR. FEAMAN: Your Honor, I have this
11 witness under subpoena so I'll ask the Court's
12 permission to exceed the scope of direct and
13 handle him as my witness now at one time.

14 THE COURT: Rather than call him up again
15 as a separate witness?

16 MR. FEAMAN: Yes.

17 THE COURT: As long as everybody
18 understands that you're actually doing your
19 direct of your witness. But first I want to
20 know, before you do that, do you have any other
21 witnesses, Mr. Rose? No. Okay.

22 MR. ROSE: No, Your Honor.

23 THE COURT: The other thing, he would be
24 entitled to redirect.

25 MR. ROSE: I have no objection, to speed

1 things up, if Mr. Feaman does the examination
2 and I don't mind if he exceeds the direct, as
3 long as he stays within the scope of the narrow
4 issue we are deciding.

5 MR. FEAMAN: Now that I know he has no
6 other witnesses, I have one or two, and I can
7 call him to the stand.

8 THE COURT: Perfect.

9 CROSS EXAMINATION

10 BY MR. FEAMAN:

11 Q. Thank you. Good afternoon, sir.

12 A. Hello.

13 Q. Now, there was a chart here that was
14 referred to in your direct examination by your
15 counsel. Do you have that chart, Mr. Rose? This
16 one?

17 Okay. Now, there is a reference that the
18 trustees of the Simon trust were in an agreement
19 with the trustees of the subtrust for the
20 grandkids.

21 By the way, many of the grandkids are
22 adults now; are they not?

23 A. Yes.

24 Q. The trustees of the subtrusts, I believe
25 you testified as far as they exist, are in agreement

1 with you becoming the administrator ad litem,
2 correct?

3 A. That's correct. That's what I testified
4 to.

5 Q. Those other trustees, those are your other
6 siblings other than Mr. Eliot, correct?

7 A. Yes.

8 Q. And all of those other siblings are also
9 plaintiffs with you in the Chicago action; are they
10 not?

11 A. I believe so.

12 Q. Okay. So as far as any potential conflict
13 of interest that may exist that I know you deny,
14 they are in the same position as you relative to
15 being adverse to the estate in the Chicago action,
16 Bernstein estate, correct, sir?

17 MR. ROSE: Object to the form. A, calls
18 for legal conclusion. B, it's contrary to the
19 terms of the trust that we have talked about,
20 which Exhibit, paragraph 4J allows the
21 fiduciary to serve as a fiduciary even though
22 they are interested in some other aspects of
23 the estate or trust.

24 THE COURT: I'm just deciding as to the
25 appropriate question. I'm going to overrule

1 it. You can answer, if you can.

2 THE WITNESS: I'm sorry. Can you please
3 ask me that question again or --

4 BY MR. FEAMAN:

5 Q. I'll ask it again. All of these other
6 trustees of the subtrusts are your three other
7 siblings, not including Mr. Eliot, because there is
8 five of you, correct?

9 A. That's correct.

10 Q. So the four of you are all the trustees of
11 the subtrusts, correct?

12 A. Yes.

13 Q. Other than Mr. Eliot. And the four of you
14 are also plaintiffs in the Chicago litigation,
15 correct?

16 A. Yes.

17 Q. And the plaintiffs in that Chicago
18 litigation are adverse to the estate of Simon, of
19 your dad, in that litigation; is that correct?

20 A. Not correct. I'm not saying yes or no. I
21 feel like I'm being put in a box about this word
22 adverse. So my understanding of that word I feel is
23 a rock solid understanding of that word, but I feel
24 like I'm being put in a box today about what you're
25 trying to get me to say something about this

1 adversity. I don't think they are adverse. I don't
2 think my siblings are adverse other than they are
3 trying to collect the proceeds of a life insurance
4 policy.

5 Q. Right. If they don't collect, the money
6 is going to go to the estate, isn't it?

7 A. I'm not sure of that.

8 Q. Okay. Is that -- are you aware that's
9 what the estate is seeking in that action?

10 A. Well, I know that's what they're seeking
11 but you are asking me if I was aware if they were
12 going to go there.

13 MR. FEAMAN: That's all I have on cross,
14 Your Honor.

15 THE COURT: Direct. No, you don't get
16 redirect because he called him as a witness.

17 MR. ROSE: I need one second to think.

18 THE COURT: Sure. How it works, the
19 person calls the witness and everybody gets to
20 cross and the person that calls the witness
21 gets to question again.

22 MR. ELIOT BERNSTEIN: Do I get to question
23 again on this stuff?

24 THE COURT: No. No. When Mr. Feaman asks
25 his direct, you'll get an opportunity to do

1 whatever Mr. Feaman's questions are about.

2 MR. ELIOT BERNSTEIN: What does that mean,
3 the direct?

4 THE COURT: The person that calls the
5 witness is the direct.

6 MR. ELIOT BERNSTEIN: Mr. Feaman --

7 THE COURT: I'm sorry, sir. I want to
8 finish and then I'll explain. Go ahead.

9 REDIRECT EXAMINATION

10 BY MR. ROSE:

11 Q. In seeking to uphold your father's
12 testamentary documents in Florida, were you
13 attempting to carry out what you believed to be his
14 wishes?

15 A. Yes.

16 Q. Is that what you're doing in Illinois?

17 A. Yes.

18 Q. And whatever your father's wishes were is
19 how the Illinois case will resolve?

20 MR. FEAMAN: Objection. Calls for
21 speculation, legal conclusion.

22 THE COURT: Sustained.

23 BY MR. ROSE:

24 Q. Whatever the ruling is in Illinois as to
25 what your father's wishes or intent were, will you

1 abide by that in your role, whatever roles you have
2 in this estate?

3 A. Yes, I will.

4 MR. ROSE: Nothing further. We rest --

5 THE COURT: Okay. Let me quickly answer
6 your question.

7 MR. ROSE: -- with the caveat that Mr.
8 O'Connell's testimony from the last hearing is
9 in evidence.

10 THE COURT: Which hasn't been given to
11 me.

12 MR. ROSE: I will give it to you.

13 THE COURT: When you subpoena a witness or
14 you call a witness or you represent a party --
15 and you can't because you are not a lawyer --
16 but when you call a witness to the witness
17 stand, like Mr. Rose called his own client to
18 the witness stand, he, because he is calling
19 his own client, gets the first round of
20 questions. Then you all get to ask questions
21 and he gets the last round and then that's it.

22 Now, Mr. Feaman has subpoenaed Mr. Ted so
23 now he is asking me to now call his subpoenaed
24 witness so he will get the first round of
25 questions and everyone will get to ask

1 questions and he will get the final hit. So
2 does that make sense?

3 MR. ELIOT BERNSTEIN: Called him from the
4 subpoena, right?

5 THE COURT: Yes. He subpoenaed him before
6 the first hearing and now he wants to call
7 him. We could have him technically walk back
8 down and walk back up.

9 MR. ELIOT BERNSTEIN: Is there a play book
10 on this direct, redirect or something that I
11 can be reading maybe? Rules of civil
12 procedure?

13 THE COURT: I don't want to be insulting.

14 Okay. You're still under oath. You're
15 up, Mr. Feaman. I want to remind you, you have
16 got until four and, Mr. Feaman, your motion is
17 next so if we get to it, we get to it. If we
18 don't get to it, we don't get to it.

19 MR. FEAMAN: Before I ask any questions, I
20 move for a directed finding based upon my
21 opening statement.

22 THE COURT: Denied. Go ahead.

23 MR. FEAMAN: Thank you, Your Honor.

24 DIRECT EXAMINATION

25 BY MR. FEAMAN:

1 Q. Okay. So please state your name.

2 A. Ted Bernstein.

3 Q. And your relationship to Simon is his son,
4 correct?

5 A. Yes.

6 MR. FEAMAN: And, Your Honor, I ask
7 permission to lead because he is a hostile
8 witness.

9 THE COURT: So granted.

10 BY MR. FEAMAN:

11 Q. The five adult children of Mr. Simon
12 Bernstein, your father, are Eliot and who are the
13 others?

14 A. You are asking me my siblings' names?

15 Q. Yes.

16 A. Pam Simon, Lisa Friedstein, Jill Iahtoni.

17 Q. Now, your father died in September of
18 2012, correct, sir?

19 A. That's right, yes.

20 THE COURT: September or December?

21 THE WITNESS: September.

22 BY MR. FEAMAN:

23 Q. September 2012. And the personal
24 representatives appointed by your father of the
25 estate were two gentlemen by the name of Robert

1 Spallina and Donald Tescher; is that correct?

2 MR. ROSE: Objection. Materiality and
3 beyond the scope of issues for today. We have
4 already got a personal representative.

5 MR. FEAMAN: I'm trying to lay a
6 foundation and predicate for my questions that
7 come later.

8 THE COURT: I need you to proffer where
9 you're going with this.

10 MR. FEAMAN: Okay. And then I am going to
11 then use information about their conduct as
12 personal representative and Ted's involvement
13 in their conduct as personal representative as
14 grounds to impeach Mr. Ted's character, his
15 honesty and his judgment because he is asking
16 this Court to appoint him as a fiduciary.
17 Therefore, I am delving into the, if you will,
18 the prior bad acts of both Messrs. Tescher,
19 Spallina and Mr. Bernstein with reference to
20 the Simon Bernstein estate in order to impeach
21 his character, judgment and honesty so that I
22 can argue, in addition to the conflict, he
23 otherwise should not be appointed by this Court
24 to hold a fiduciary position in the Estate of
25 Simon Bernstein.

1 THE COURT: And what authority are you --
2 I'm not saying this disrespectfully. I'm
3 asking what authority are you relying on that
4 allows you to do that?

5 MR. FEAMAN: What authority am I relying
6 on?

7 THE COURT: To go to the further prior bad
8 acts?

9 MR. FEAMAN: The Court is being asked to
10 make an appointment of somebody to be fiduciary
11 which entails positions of trust and honesty
12 and the Court can perfectly delve into the
13 proposed fiduciary's background in terms of
14 honesty, trustworthiness, character and
15 judgment. As it relates to the various estates
16 that he is asking to be the fiduciary for and
17 as it relates to his mother's estate, where he
18 did act as a fiduciary because if he was
19 dishonest in connection with his duties as a
20 fiduciary in his mother's estate, that's
21 relevant for the Court to consider in whether
22 this gentleman should be appointed as a
23 fiduciary in this lawsuit.

24 THE COURT: Do you have any proof of
25 dishonesty; in other words, any charges, any

1 removals, anything of that nature, or is this
2 just bantering and fighting amongst the
3 parties?

4 MR. FEAMAN: I have --

5 THE COURT: Do you see what I'm saying? I
6 know the other two were removed but he has not
7 been removed to the best of the Court's
8 knowledge.

9 MR. ROSE: No one was removed. Resigned.
10 If you look at the final judgment dated
11 December 16th when Judge Phillips heard the
12 trial which included the validity of the trusts
13 of Simon Bernstein, this Court specifically
14 made a finding that he played no role in
15 anything that those prior lawyers did.

16 MR. FEAMAN: That's not true. You're
17 misrepresenting things on the record, Mr. Rose.

18 THE COURT: Wait. I don't want you
19 arguing about what it says.

20 MR. FEAMAN: Thank you, Your Honor.

21 THE COURT: Give me one second, please. In
22 case -- the Shirley trust --

23 MR. ROSE: The Shirley trust construction,
24 we call it the trust construction case but it
25 was the one about the validity --

1 THE COURT: That's 2012.

2 MR. ROSE: It's a 2014 case.

3 THE COURT: Apparently she died after
4 him.

5 MR. ROSE: No. This is the trust
6 construction. She does die after him in 2012.
7 I'm sorry. She died first. I'm sorry. Yes.

8 THE COURT: All right. December 2015,
9 correct?

10 MR. FEAMAN: Correct.

11 MR. ROSE: Correct. December 16th.

12 MR. FEAMAN: That was not a trial of the
13 complete case, by the way, Your Honor. I might
14 add, it was only as to, I believe, Count II or
15 Count I, one or the other, involving the
16 validity of the underlying estate documents,
17 period.

18 THE COURT: The testamentary documents.

19 MR. FEAMAN: Correct.

20 THE COURT: I can read it. I just can't
21 pronounce it. Ted S. Bernstein played no role
22 in any questionable acts of the law firm
23 Tescher & Spallina. Move on. I'm sustaining
24 the objection. Next question, please.

25 BY MR. FEAMAN:

1 Q. Now, Mr. Spallina was your attorney before
2 you introduced him to your father, correct?

3 MR. ROSE: Objection. Relevance.

4 THE COURT: Sustained.

5 BY MR. FEAMAN:

6 Q. Now, Tescher & Spallina, specifically Mr.
7 Spallina, was also representing you personally
8 before the lawsuit in Chicago was filed, correct?

9 MR. ROSE: Objection. Relevance.

10 MR. FEAMAN: This is going to relate to
11 the Chicago action.

12 THE COURT: Overruled on that one.

13 THE WITNESS: Could you please ask me that
14 question again?

15 BY MR. FEAMAN:

16 Q. Mr. Spallina was representing you
17 personally and your siblings in negotiating with the
18 insurance company before the lawsuit in Chicago
19 first filed in state court and now in federal court
20 was commenced, correct?

21 A. Well, I don't recall him representing me
22 personally but it's going back years and years now
23 so...

24 Q. Did he represent -- was he your attorney
25 during that time period in connection with dealings

1 with the lead-up to the filing of the Chicago
2 litigation?

3 MR. ROSE: Objection. In what capacity
4 because he clearly was --

5 BY MR. FEAMAN:

6 Q. Any capacity?

7 A. Maybe counsel in his capacity as trustee
8 of the --

9 MR. ROSE: The objection is --

10 THE COURT: Excuse me. I'm hearing his
11 objection. Complete your objection.

12 MR. ROSE: My objection is I think he has
13 got to clarify the question because it's not
14 fair to ask him if he was his personal lawyer.

15 MR. FEAMAN: I'll clarify.

16 THE COURT: Thank you.

17 BY MR. FEAMAN:

18 Q. Did Mr. Spallina communicate in writing
19 with the Heritage Union Life Insurance Company in
20 connection with the life insurance policy that is at
21 issue in the Chicago litigation?

22 MR. ROSE: Objection to that as
23 relevancy.

24 THE COURT: Overruled.

25 THE WITNESS: I believe Mr. Spallina

1 corresponded with the insurance company.

2 BY MR. FEAMAN:

3 Q. And when he corresponded with the
4 insurance company, was he doing that on behalf of
5 you and your brothers and sisters, other than Mr.
6 Eliot, or was he doing it on behalf of the Estate of
7 Simon Bernstein?

8 A. I'm not sure. I can't tell you. I don't
9 know.

10 Q. Do you recall that in connection with the
11 1995 life insurance trust, which is the subject
12 matter of the Chicago litigation, that Mr. Spallina
13 represented to Heritage Union Life Insurance Company
14 that he was, in fact, the trustee of that 1995 life
15 insurance trust?

16 MR. ROSE: Objection. Relevance.

17 THE COURT: Sustained.

18 BY MR. FEAMAN:

19 Q. Did anybody other than you ever, to your
20 knowledge, ever represent to the Heritage Life
21 Insurance Company that they were the trustee and not
22 you?

23 MR. ROSE: Objection. Relevancy.

24 THE COURT: Sustained.

25 BY MR. FEAMAN:

1 Q. Were you aware that Mr. Spallina
2 represented to Heritage that he was the trustee?
3 Have you ever been aware of that?

4 MR. ROSE: Objection. Relevance.

5 THE COURT: Sustained.

6 BY MR. FEAMAN:

7 Q. Now, in the lawsuit in Chicago, you're
8 representing to the Court that you're the trustee
9 there, correct?

10 A. Yes.

11 Q. Did that change from November of 2012 to
12 the time that the lawsuit was filed in April of
13 2013?

14 MR. ROSE: Objection. Relevance. We are
15 not here to try the Illinois case.

16 THE COURT: Overruled. Back to the
17 alleged conflict so let me hear the response,
18 please.

19 THE WITNESS: Could you please ask me that
20 question again or read that back?

21 (Pending question read by reporter as
22 follows:)

23 "Q. Did that change from November
24 of 2012 to the time that the lawsuit was filed
25 in April of 2013?"

1 THE WITNESS: I think it changed because
2 the lawsuit was filed in Illinois and
3 Spallina's conversations with the insurance
4 company were out of Florida. So yes, to answer
5 your question, it changed. Something changed.

6 BY MR. FEAMAN:

7 Q. And did you become trustee in -- when did
8 you become trustee?

9 MR. ROSE: Objection. Relevance.

10 THE COURT: Overruled.

11 THE WITNESS: I think I was always the
12 trustee of the Illinois trust.

13 BY MR. FEAMAN:

14 Q. Do you know why Mr. Spallina would have
15 represented to the life insurance company that he
16 was the trustee?

17 MR. ROSE: Objection. Speculation.

18 THE COURT: Sustained.

19 BY MR. FEAMAN:

20 Q. Are you aware that Mr. Spallina asked the
21 life insurance company to send the money into his
22 trust account --

23 MR. ROSE: Objection. Hearsay.

24 BY MR. FEAMAN:

25 Q. -- in December of 2014?

1 MR. ROSE: Relevance.

2 BY MR. FEAMAN:

3 Q. December of 2012?

4 THE COURT: Sustained.

5 BY MR. FEAMAN:

6 Q. Do you recall when the personal
7 representatives of your father's estate, Simon
8 Bernstein's estate, withdrew?

9 MR. ROSE: Objection. Relevance.

10 THE COURT: What's the relevance?

11 MR. FEAMAN: I am laying a predicate that
12 he had knowledge and I'm going to impeach him
13 with some of his acts, Mr. Bernstein's acts as
14 trustee of the Shirley Bernstein Trust. So,
15 again, it goes -- I'm laying a predicate for
16 impeachment of the witness.

17 THE COURT: Could you read the question
18 back for me?

19 (Pending question read by reporter as
20 follows:)

21 "Q. Do you recall when the personal
22 representative of your father's estate, Simon
23 Bernstein's estate, withdrew?"

24 THE COURT: I'll allow that question.
25 Overruled.

1 THE WITNESS: Are you asking me for a
2 specific date?

3 BY MR. FEAMAN:

4 Q. Yes. Month and year?

5 A. I don't know.

6 Q. Okay. Let me see if I can refresh your
7 recollection.

8 MR. ROSE: January 2014 --

9 THE WITNESS: Sounds about right.

10 MR. ROSE: -- to speed things up.

11 BY MR. FEAMAN:

12 Q. Let me hand you what I have had premarked
13 for identification as Stansbury's Exhibit 16, which
14 appears to be a letter written by Donald Tescher
15 dated January 14th, 2014 withdrawing. Does that
16 refresh your recollection?

17 A. Yes, it does.

18 Q. And are you aware that under your mother's
19 trust, the Shirley Bernstein Trust by which you
20 became the trustee, that you were disinherited,
21 along with your children?

22 MR. ROSE: Objection. Relevance.

23 THE COURT: Sustained.

24 MR. ROSE: Also goes to the issue of the
25 final judgment.

1 THE COURT: Sustained.

2 BY MR. FEAMAN:

3 Q. And do you recall when -- do you recall
4 that the Shirley Bernstein Trust owned a condominium
5 on the ocean in Boca Raton called the Aragon? Do
6 you recall that?

7 MR. ROSE: Objection. Relevance.

8 THE COURT: Sustained.

9 BY MR. FEAMAN:

10 Q. Do you recall that the condominium was
11 sold and you were given a legal opinion by your
12 attorneys as to how to distribute -- without telling
13 me what that opinion was -- as to how to distribute
14 the proceeds of the sale of that condominium?

15 MR. ROSE: Objection. Relevance and,
16 further, there is a motion pending to approve
17 settlement of that case, if we could ever get
18 there.

19 THE COURT: Sustained. I'll strike the
20 last comment.

21 MR. ROSE: I'll withdraw it and I'll
22 apologize.

23 BY MR. FEAMAN:

24 Q. Did you distribute the proceeds of the
25 sale of the Aragon Condominium to your children?

1 MR. ROSE: Objection. Relevancy.

2 BY MR. FEAMAN:

3 Q. In part?

4 MR. ROSE: Objection.

5 THE COURT: Sustained.

6 BY MR. FEAMAN:

7 Q. Did your attorneys at that time ever
8 advise you not to do that?

9 MR. ROSE: Objection. Calls for
10 attorney/client privilege --

11 THE COURT: Sustained.

12 MR. ROSE: -- and also relevance.

13 THE COURT: Mr. Feaman, how many more
14 witnesses do you have?

15 MR. FEAMAN: I have a portion of the
16 transcript, of about two minutes, of the
17 O'Connell deposition, and that's it.

18 THE COURT: Thank you. Can I ask you be
19 done within five minutes so I can let everyone
20 else get a chance, to conclude this matter?

21 MR. FEAMAN: Okay.

22 THE COURT: Thank you very much.

23 BY MR. FEAMAN:

24 Q. Now, let's get back to the Chicago
25 litigation. You agree, do you not, that your

1 position in the lawsuit is such that if you were to
2 prevail as a plaintiff, then the proceeds of the
3 life insurance policy would go to you eventually, I
4 guess you and your four siblings; is that correct?

5 A. Yes.

6 Q. That's what you're seeking, correct?

7 A. Yes.

8 Q. And you are aware that the estate has
9 intervened in that case, correct, the Estate of
10 Simon Bernstein?

11 A. Yes. I am aware of that, yes.

12 Q. Have you read any of the pleadings that
13 have been filed by your attorney or the attorney for
14 the estate in that case?

15 A. Yes. At some point I read them, yes.

16 Q. So you are aware then that the estate is
17 making a claim in that action that the Estate of
18 Simon Bernstein should be awarded the 1.7 million
19 dollars and not you and your siblings, correct?

20 MR. ROSE: Objection. Cumulative.

21 THE COURT: Sustained.

22 BY MR. FEAMAN:

23 Q. Now, so the beneficiary of the estate of
24 Simon Bernstein, should it prevail in the Chicago
25 litigation, is the pour-over trust which is of Simon

1 Bernstein, correct?

2 MR. ROSE: Objection.

3 THE COURT: I'm sorry. I need that
4 question read back before you even say the
5 objection. I don't think I follow you.

6 BY MR. FEAMAN:

7 Q. Let me try to rephrase. The Estate of
8 Simon Bernstein that would receive the 1.7 million
9 if it prevailed, according to this, the beneficiary
10 of the estate, the monetary beneficiary is the Simon
11 Bernstein Trust that was created down here in
12 Florida, correct?

13 A. Yes. You are asking me if the trust of
14 Simon was the --

15 Q. Yes.

16 A. Yes.

17 Q. And assume for the moment that Mr.
18 Stansbury is not successful or is unsuccessful in
19 his lawsuit against the estate, then that 1.7
20 million dollars would, in fact, pass through the
21 estate and go to the trust, correct?

22 A. I'm not sure that the money goes --

23 MR. ROSE: Objection. Calls for legal
24 conclusion. He said he is not sure and the
25 Court is well aware of the proceeds of the

1 estate.

2 THE COURT: I'll let him answer if he
3 knows.

4 THE WITNESS: So I believe that what
5 you're asking me is if the estate prevails, do
6 the proceeds, I think you said automatically go
7 into the trust, and if you did say that, then I
8 understood what you're asking me and I'm not
9 sure that is what happens.

10 BY MR. FEAMAN:

11 Q. I don't think I used the word
12 automatically. I think what I said was that after
13 the payment of all claims, creditors, the money, the
14 1.7 million dollars would then pass from the estate
15 to the Simon Bernstein Trust; is that correct?

16 A. That is my understanding, after those
17 payments.

18 Q. So that would not go to you in the Chicago
19 litigation, correct, or would not go to you as
20 plaintiffs in the Chicago litigation; it would go to
21 the trust, correct?

22 A. That's correct.

23 Q. Okay. And none of those adult children
24 who are plaintiffs in the Chicago litigation are
25 beneficiaries of the trust, are they?

1 A. No, they are not.

2 Q. And, in fact, it's all of their kids that
3 are beneficiaries of the trust through the
4 subtrusts, correct?

5 A. Yes.

6 MR. ROSE: Objection to the form.

7 THE COURT: Overruled. Mr. Feaman, last
8 question.

9 BY MR. FEAMAN:

10 Q. So if the money goes to the 10
11 grandchildren of Mr. Simon Bernstein that is being
12 litigated in Chicago and not the five adult
13 children, okay, and you are the successor trustee
14 for the trust where the money goes to the
15 grandchildren and yet at the same time you are the
16 plaintiff in the Chicago action, don't you see that
17 as a conflict?

18 A. No.

19 Q. Let me ask one more. Are you watching out
20 for you as a plaintiff in the Chicago litigation or
21 are you watching out for the 10 grandchildren of
22 your father as successor trustee of the trust that
23 is the beneficiary of the estate down here in
24 Florida?

25 MR. ROSE: Objection. Argumentative.

1 THE COURT: Sustained. It doesn't have
2 parameters.

3 Okay. Mr. Eliot.

4 CROSS EXAMINATION

5 BY MR. ELIOT BERNSTEIN:

6 Q. Ted, your counsel stated that there is 10
7 subtrusts that are the beneficiaries of Simon and
8 Shirley for the grandchildren; is that correct? Is
9 that what you believe?

10 A. Yes. That's what he said.

11 Q. Are you serving as a subtrustee of your
12 childrens' trust?

13 A. Yes, I am.

14 Q. Okay. Did you sue the subtrust in your
15 Shirley trust lawsuit?

16 MR. ROSE: Objection.

17 MR. ELIOT BERNSTEIN: This is very
18 important, Your Honor.

19 THE COURT: I get to hear his objection.
20 Don't tell me how important it is.

21 MR. ROSE: First of all, it's a matter of
22 public record. He is required in our lawsuit,
23 which you looked at, 3698 of the complaint, we
24 had to sue every single person that could
25 potentially be a beneficiary.

1 THE COURT: You can answer the question.

2 Overruled. Answer, if you can.

3 THE WITNESS: Yes.

4 BY MR. ELIOT BERNSTEIN:

5 Q. Okay. So can I show you -- and there is
6 your complaint, Mr. Rose, so if you need a copy, let
7 me know.

8 THE COURT: In which case for the record?

9 MR. ELIOT BERNSTEIN: The 3698 complaint
10 that was served, the amended complaint.

11 BY MR. ELIOT BERNSTEIN:

12 Q. Ted, on that complaint --

13 THE BAILIFF: Sir, behind the podium.

14 BY MR. ELIOT BERNSTEIN:

15 Q. Sorry. -- you sued Alexandra Bernstein.
16 Do you know who that is?

17 MR. ROSE: Objection. Relevance.

18 THE COURT: Sustained. Move on.

19 BY MR. ELIOT BERNSTEIN:

20 Q. Okay. Did you sue your children's
21 subtrusts as beneficiaries?

22 A. Was that the last question that you asked
23 me? Yes.

24 Q. You did. Can you point out in the caption
25 where you sued them?

1 A. Can I point out in the caption where I
2 sued the defendants?

3 Q. The subtrusts for your children. Mr. Rose
4 just said you had to sue all of the potential
5 beneficiaries.

6 MR. ROSE: Objection. Docket speaks for
7 itself, if you read the caption. This is just
8 improper questioning.

9 MR. ELIOT BERNSTEIN: I can't see where he
10 sued the subtrusts so I'm asking him if maybe
11 he could show me.

12 THE COURT: I'm wondering how it relates
13 to this hearing.

14 MR. ELIOT BERNSTEIN: Oh, it relates.

15 THE COURT: That's not good enough.

16 MR. ELIOT BERNSTEIN: Let me explain.
17 What is being argued here is that these
18 beneficiaries exist that all of this affects,
19 all of these hearings, obviously, and what I'm
20 establishing is the groundwork that the 10
21 subtrusts don't factually exist.

22 THE COURT: Move on.

23 BY MR. ELIOT BERNSTEIN:

24 Q. Okay. Ted, in your lawsuit you sued a
25 Simon Bernstein Trust dated 9-13-12; is that

1 correct? Do you see that there?

2 A. I see that there.

3 Q. Okay. Are you aware of your father on
4 9-13-12, the day he died, between the hours of 12
5 and two a.m., when he was code blue, that he
6 formulated any trust on that date?

7 MR. ROSE: Objection. It's an improper
8 question on a couple of grounds, but if I can
9 help the Court, the trust creates 10 subtrusts
10 on the date of his death so he didn't create
11 anything new. It's based upon the 7-25-12
12 trust that the Court has already validated.

13 THE COURT: I got it.

14 BY MR. ELIOT BERNSTEIN:

15 Q. You didn't sue the 7-25 trust; you signed
16 a Simon Bernstein Trust dated on the day he died.
17 Do you have a trust in your possession of Simon
18 Bernstein's dated 9-13-12?

19 MR. ROSE: Objection. Relevance.

20 THE COURT: Sustained.

21 BY MR. ELIOT BERNSTEIN:

22 Q. Well, you --

23 THE COURT: No. I made the ruling. Next
24 question, please.

25 MR. ELIOT BERNSTEIN: I'm getting to the

1 next question.

2 THE COURT: Excellent.

3 BY MR. ELIOT BERNSTEIN:

4 Q. You sued me as trustee of the Simon
5 Bernstein Trust dated 9-13-12; are you aware of
6 that? Is that what it says in that caption?

7 A. Yes. That's what it says.

8 Q. Okay. So am I the trustee of the Simon
9 Bernstein Trust dated 9-13-12, that you are aware
10 of?

11 MR. ROSE: Objection. May I be heard
12 because --

13 THE COURT: Sure.

14 MR. ROSE: -- he would be the trustee
15 under the terms of the trust agreement if he
16 had accepted his role.

17 THE COURT: I know.

18 MR. ROSE: On the basis to accept his
19 role, we have a guardian. It's cumulative and
20 there is no point in asking the question.

21 THE COURT: Sustained.

22 BY MR. ELIOT BERNSTEIN:

23 Q. Did you sue yourself as trustee of your
24 childrens' trust under the 9-13-12 trust?

25 MR. ROSE: Objection. Cumulative,

1 relevance.

2 THE COURT: Sustained.

3 BY MR. ELIOT BERNSTEIN:

4 Q. Okay. Has there been a construction
5 hearing to determine the beneficiaries of the Simon
6 or Shirley Trust that you're representing?

7 MR. ROSE: Objection. Relevance.

8 THE COURT: Sustained.

9 BY MR. ELIOT BERNSTEIN:

10 Q. Did you file a pleading in the Illinois
11 Court stating that I wasn't a beneficiary of the
12 Simon Bernstein Estate?

13 A. I don't think so.

14 Q. Okay. Are you aware of a ruling by Judge
15 John Robert Blakey of Illinois that states that
16 based on your pleading claiming that I wasn't a
17 beneficiary of Simon's estate, that I was being
18 removed from that federal lawsuit?

19 MR. ROSE: Objection. Relevance.

20 THE COURT: Sustained.

21 BY MR. ELIOT BERNSTEIN:

22 Q. Were you the fiduciary of Shirley's estate
23 and trust when your counsel filed fraudulent
24 documents with the court?

25 MR. ROSE: Objection.

1 THE COURT: Okay. That will be the last
2 question after this one. Overruled. Excuse
3 me. Sustained.

4 MR. ELIOT BERNSTEIN: Okay.

5 THE COURT: Last question.

6 BY MR. ELIOT BERNSTEIN:

7 Q. Were fraudulent documents submitted to the
8 court while you were a fiduciary?

9 MR. ROSE: Objection. Relevance,
10 materiality, beyond the scope of the
11 examination.

12 MR. ELIOT BERNSTEIN: Well, definitely due
13 to the fact whether he qualifies or not to
14 become a fiduciary.

15 THE COURT: It's an inappropriate
16 question. Sustained. All right. Thank you.
17 Mr. Rose.

18 MR. ELIOT BERNSTEIN: Can I state on the
19 record that I have been denied my access to the
20 witness.

21 THE COURT: You may. Go ahead, Mr. Rose.

22 MR. ELIOT BERNSTEIN: I will.

23 CROSS EXAMINATION

24 BY MR. ROSE:

25 Q. Assuming the Illinois lawsuit results in

1 the money coming into the estate, that would leave a
2 lot of money available to pay Mr. Stansbury's claim;
3 would it not?

4 A. Yes, it would.

5 Q. All the more reason to have Mr. O'Connell
6 as the personal representative represented by the
7 people that give you the best chance of winning that
8 case, right?

9 A. That's right.

10 MR. ROSE: Nothing further.

11 MR. FEAMAN: No redirect.

12 THE COURT: You may step down. Thank
13 you.

14 (Witness stepped down)

15 THE COURT: All right. Now, at this time
16 Mr. O'Connell's testimony from the last
17 hearing, is it being submitted in its entirety
18 to the Court?

19 MR. ROSE: I'm only going to put a few
20 passages in. I'm going to read them. I can
21 hand them to the Court.

22 THE COURT: I'll mark them into evidence
23 if Mr. Feaman is of the same mindset and he can
24 hand me the pages. Did you have any pages?

25 MR. ELIOT BERNSTEIN: I would like to

1 submit the full thing.

2 THE COURT: Do you have the full thing of
3 his testimony? If you have all of his
4 testimony, I'll take all of it.

5 MR. ROSE: I have underlined the parts I
6 wanted to put in evidence so I think it would
7 be easier to read. I could read for the first
8 two or three minutes and you would get
9 everything you needed and then you wouldn't
10 have to read the entire transcript.

11 THE COURT: If you do that again, Mr.
12 Eliot, I will have you leave. You continue to
13 laugh and snarf and I do not tolerate that in
14 my courtroom. I don't allow anyone to do it to
15 you.

16 MR. ELIOT BERNSTEIN: Okay.

17 THE COURT: Do you have the pages prepared
18 here today that you wish to submit, Mr. Eliot?
19 This is the time.

20 MR. ELIOT BERNSTEIN: No. I'll submit
21 them afterwards.

22 THE COURT: If you have them here today,
23 this is the time when we submit evidence.

24 (Trustee's Exhibit No. 2, Brian O'Connell
25 Excerpts of 3-2-17 Hearing Testimony)

1 THE COURT: Mr. Feaman, do you have what
2 you wish to submit?

3 MR. FEAMAN: I do. For the record, if
4 Your Honor wants to take notes, it's Mr.
5 O'Connell's deposition taken this past Monday,
6 on March 13th. And as it relates to the
7 appointment of Mr. Ted Bernstein as
8 administrator ad litem, we are doing this in
9 the interest of time rather than calling the
10 witness and having -- I was going to call Mr.
11 Royer and have him read --

12 THE COURT: I think I'm confused. Did you
13 all agree on the deposition or his testimony at
14 the prior hearing?

15 MR. FEAMAN: I said he could put in
16 whatever he wanted from the prior hearing. I'm
17 not seeking to put in anything from the prior
18 hearing of Mr. O'Connell, but if he wants to, I
19 said I have no objection.

20 MR. ROSE: Prior hearing?

21 THE COURT: Yes, prior hearing first.

22 MR. ROSE: Do you want me to read it
23 quickly? It's not many passages.

24 THE COURT: No. I actually want them in
25 my hand, to be honest with you. Just identify

1 it for the record.

2 MR. ROSE: I have page 1, which just is
3 the cover page. I'll take out the appearances
4 of counsel. So there's designations on pages
5 14, 15, 16, 22, 23, 24, 25, 26, 27, 28 and 31,
6 which I have circled or underlined.

7 THE COURT: Now you can read it. Now go
8 ahead and read it. So I'll take the hard copy
9 but go ahead and read it.

10 MR. ROSE: I'll read it first. Okay.

11 THE COURT: Take your time.

12 MR. ROSE:

13 "Q. Now, you have not gotten -- you said
14 that you wanted to retain Mr. Rose to represent
15 the estate here in Florida, correct?

16 "A. Yes. But I want to state my position
17 precisely, which is as now has been pled that
18 Ted Bernstein should be the administrator ad
19 litem to defend that litigation. And then if
20 he chooses, which I expect he would, employ
21 Mr. Rose and Mr. Rose would operate as his
22 counsel."

23 Picking up on line 15 -- page 15, line
24 14:

25 "A. Here's why, yes, because of events.

1 You have an apple and an orange with respect to
2 Illinois. Mr. Rose and Ted Bernstein is not
3 going to have any -- doesn't have any
4 involvement in the prosecution by the estate of
5 its position to those insurance proceedings.
6 That's not on the table."

7 "THE COURT: Say it again, Ted has no
8 involvement.

9 "THE WITNESS: Ted Bernstein and Mr. Rose
10 have no involvement in connection with the
11 estate's position in the Illinois litigation,
12 Your Honor. I am not seeking that. If someone
13 asked me that, I would say absolutely no.

14 Page 22, line 15:

15 "Q. And notwithstanding the fact that in
16 Illinois Ted as the trustee of this insurance
17 trust wants the money to go into this 1995
18 insurance trust, right?

19 "A. Right.

20 "Q. And he has got an affidavit from
21 Spallina that says that's what Simon wanted, or
22 he's got some affidavit he filed, whatever it
23 is? And you have your own lawyer up there,
24 Stamos and Trucco, right?

25 "A. Correct.

1 "Q. And notwithstanding that, you still
2 believe that it's in the best interests of the
3 estate as a whole to have Ted to be
4 administrator ad litem and me" -- Alan Rose was
5 asking the question -- "to represent the estate
6 given our prior knowledge and involvement in
7 the case, right?

8 "A. It's based on maybe three things.
9 It's the prior knowledge and involvement that
10 you had, the amount of money, limited amount of
11 funds that are available in the estate to
12 defend the action, and then a number of the
13 beneficiaries, or call them contingent
14 beneficiaries because they are trust
15 beneficiaries, have requested that we consent
16 to what we have just outlined, ad litem and
17 your representation, those items?

18 "Q. And clearly you are adverse to Mr.
19 Stansbury, right?

20 "A. Yes."

21 Page 24, line 5:

22 "Q. So he hasn't paid in full, right?
23 You know he is \$40,000 in arrears with the
24 lawyer?

25 "A. Approximately, yes."

1 MR. ROSE: That's referring to Mr.
2 Stansbury.

3 Page 25:

4 "Q. Okay. So despite that order, you
5 have personal knowledge that he is \$40,000 in
6 arrears with the Chicago counsel?

7 A. I have knowledge from my counsel."

8 26, line 5:

9 "Q. Would you--"

10 MR. FEAMAN: Objection as to relevancy as
11 to the administrator ad litem issue. Mr.
12 Stansbury , whether he owes money or not,
13 supposedly Chicago counsel might go to the
14 discharge issue but not to the administrator
15 ad litem with regard to Ted Bernstein.

16 MR. ROSE: I believe if you're in contempt
17 of a, or in violation of a court order, the
18 court has the power to disregard your filings
19 and your objections if you violate a court
20 order which as Mr. --

21 MR. FEAMAN: There is no finding of
22 violation of a court order.

23 THE COURT: I need the question again.

24 MR. ROSE: I'll withdraw the question for
25 the purposes of this hearing.

1 THE COURT: Thank you. Mark through it,
2 if you would, and identify what page and line
3 that was.

4 MR. ROSE: 24, 5 through 9 and 25, 22
5 through 25, would you like me to remove them?

6 THE COURT: Excellent. If you provide the
7 Court the hard copy that has been read into
8 evidence, it will just be for my records.

9 MR. ROSE: I agree.

10 Page 26:

11 "Q. Would you agree with me that you have
12 spent almost no money defending the estate so
13 far as the Stansbury litigation?

14 "A. Well, there's been some money spent.
15 I wouldn't say no money. I have to look at the
16 billings to tell you.

17 "Q. Very minimal? Minimal?

18 "A. Not a significant amount.

19 "Q. Okay. Minimal in comparison to what
20 it's going to cost to try the case?

21 "A. Yes."

22 Page 27:

23 "Q. And if Ted is not the administrator
24 ad litem, you are going to have to spend money
25 to sit through a two-week trial?

1 "A. Yes."

2 Line 9:

3 "Q. Would you agree with me that you know
4 nothing about the relationship, personal
5 relationship between Ted, Simon and Bill
6 Stansbury, personal knowledge? Were you in any
7 of the meetings between them?

8 "A. No, not personal knowledge."

9 MR. ROSE: I want to withdraw page 28
10 because it's not -- it goes to the last
11 hearing.

12 On page 31:

13 "Q. You agreed to this procedure that I
14 would become counsel and Ted would become the
15 administrator ad litem because you thought it
16 was in the best interests of the estate as a
17 whole, right?

18 "A. For the reasons stated previously,
19 yes.

20 "Q. And other than having to go through
21 this expensive procedure to not be
22 disqualified, you still agree that it's in the
23 best interests of the estate that our firm be
24 counsel and that Ted Bernstein be administrator
25 ad litem?

1 "A. For the defense of the Stansbury
2 civil action, yes.

3 "Q. And that's the only thing we are
4 asking to get involved in, correct?

5 "A. Correct."

6 MR. ROSE: And that's it. Nothing
7 further.

8 THE COURT: Thank you.

9 MR. ROSE: I'll tender to the Court the
10 hard copy.

11 THE COURT: Thank you. These are just for
12 my records.

13 MR. FEAMAN: May I approach Your Honor?

14 THE COURT: You may.

15 MR. FEAMAN: The excerpts that I'm going
16 to identify on the record and copies for you of
17 Mr. O'Connell's deposition deal with the
18 exhibit marked at the deposition.

19 THE COURT: Hold on one second. Again,
20 this is just a copy for my reference of what
21 you will be reading into the record?

22 MR. FEAMAN: Yes, Your Honor.

23 THE COURT: And this I'll receive into
24 evidence which is just as the exhibit to those
25 pages. It is the Objection to Accounting of

1 the Simon Bernstein Trust. So that will be on
2 Stansbury's 1. What's going on?

3 (Stansbury's Exhibit No. 1, Objection to
4 Accounting)

5 MR. ELIOT BERNSTEIN: Can I enter that
6 into evidence?

7 THE COURT: After I'm complete with him.

8 MR. ROSE: Might I see a copy of the
9 transcript that he is going to rely upon?

10 MR. FEAMAN: It's on your desk. There is
11 a copy right there.

12 MR. ROSE: Thank you, sir. Appreciate it.

13 THE COURT: You may proceed.

14 MR. FEAMAN: Thank you. For Your Honor's

15 --

16 THE COURT: I'm sorry. We have an
17 emergency I need to sign.

18 MR. FEAMAN: This will be quick.

19 THE COURT: No. I have to sign the
20 emergency.

21 MR. FEAMAN: Okay.

22 THE COURT: Thank you. You may proceed.

23 MR. FEAMAN: We are submitting for the
24 record page 20 of the deposition taken of Brian
25 O'Connell on March 13th, page 22, line 14

1 through page 27, line 1. And then within that
2 I want to read a subpart into the record.

3 THE COURT: Okay.

4 MR. FEAMAN: Specifically page 25, line
5 18:

6 "Handing you what's been marked as
7 Exhibit 3, can you identify that for the
8 record, please, Mr. O'Connell?

9 "A. That's an objection that I filed as
10 the personal representative of the Estate of
11 Simon Bernstein to an accounting that was
12 prepared and served by Ted Bernstein as trustee
13 of the Simon Bernstein Trust.

14 "Q. All right. And that's your signature
15 on page 3?

16 "A. Yes.

17 "Q. On Exhibit 3? Or is that Joy
18 Foglietta? Is that yours or is that Joy's
19 initials for you?

20 "A. They have all been hers."

21 Line 11:

22 "Q. Will you stipulate that Joy signed on
23 your behalf with your full knowledge and
24 consent?"

25 MR. FEAMAN: Joy Foglietta, Your Honor,

1 is another lawyer.

2 "A. That's correct.

3 "Q. These objections to the accounting,
4 was there ever a hearing on these objections?

5 "A. No.

6 "Q. These objections, are they still
7 pending?

8 "A. Still pending.

9 "Q. Do you know if there was a revised
10 accounting ever done in response to the
11 objection that you filed on behalf of the
12 estate?

13 "A. I am not sure."

14 Thank you.

15 MR. ROSE: Just briefly, page --

16 THE COURT: Go ahead.

17 MR. ROSE: -- page 94, line 16:

18 "Q. Now, do you know anybody alive, other
19 than Bill Stansbury, who has more knowledge of
20 the facts and circumstances surrounding the
21 independent action of Ted Bernstein?"

22 MR. FEAMAN: Objection. Repetitive,
23 cumulative.

24 THE COURT: I think it has to be taken
25 from a different vein from than was asked of

1 Mr. Bernstein but this is the PR. So
2 overruled. Thank you.

3 MR. ROSE:

4 "A. Not that I can think of. It would be
5 the two of them would seem to have the most
6 knowledge of their dispute with one another
7 most personal knowledge at least.

8 "Q. Now, if the Court did not want to
9 appoint Ted Bernstein as administrator ad
10 litem, would you still want the court to
11 appoint someone else as administrator ad
12 litem?

13 "A. I haven't given that any
14 consideration. But probably in the interests
15 of trying to move the case along I would have
16 to have sort of an internal discussion to see
17 who could advance that defense the quickest,
18 in-house, getting an ad litem involved, getting
19 another law firm involved. So those are the
20 things I am giving you the conditions I would
21 have to weigh if that happened but we would do
22 something to keep the case going."

23 95, line 5:

24 "Q. Anything Ted Bernstein would be
25 doing, attending a deposition or reviewing

1 documents or meeting with witnessess, he would
2 not be charging?"

3 "A. That's my understanding of the setup.

4 "Q. And that would result in lower costs
5 to the estate?

6 "A. It should.

7 "Q. Which would not only be in the best
8 interest of the beneficiaries but also really
9 in the best interest of Mr. Stansbury because
10 it would lower the amount of money that would
11 be drained from the estate to defend his claim?

12 "A. True."

13 MR. ROSE: No further questions.

14 MR. FEAMAN: All right. My turn, Your
15 Honor. Page 98, line 13:

16 THE COURT: 98, 13.

17 MR. FEAMAN: Yes. Question by Mr.
18 Feaman:

19 "All right. Now, in response to a
20 question asked by Mr. Rose, you said that you,
21 Mr. O'Connell, would be handling any settlement
22 discussions arising out of the independent
23 action by Mr. Stansbury against the estate,
24 correct?

25 "A. Correct. Because that's what you

1 have and I have actually done that.

2 "Q. But if the case got rolling and
3 discovery was taken, depositions were taken,
4 documents were produced, all of which has not
5 taken place yet, you would have to speak to Mr.
6 Rose and Ted Bernstein to get their opinion on
7 how the case is going, wouldn't you?

8 "A. Well, I'd speak to them and I'd take
9 a look at the discovery or motions. I know
10 there's a motion for summary judgment that was
11 pending, for example. So I would speak and
12 then take a look at the record. I would do
13 both.

14 "Q. And how many lawyers do you
15 presently have in your law firm, sir?

16 "A. Approximately 32.

17 "Q. Okay. And of those how many are
18 commercial or business litigators?

19 "A. Primarily? Because some people --

20 "Q. Primarily?

21 "A. There's some overlap.

22 "Q. Yes, of course.

23 "A. Even in our own department. So
24 there's -- I'd say principally two for sure.

25 "Q. Okay.

1 "A. But that's primarily what they do.

2 "Q. Do you think that they are, in your
3 opinion, competent and capable of defending the
4 estate in connection with Mr. Stansbury's
5 claims in his independent action?"

6 THE COURT: There is an objection by you.
7 I just overruled it but you can continue.

8 MR. FEAMAN: Page 100, line 4:

9 "Q. You can answer."

10 Line 5:

11 "A. Yes, I think they have the skill set
12 to do that. It's the other instances that I
13 don't want to repeat because they are already
14 sort of in our pleading as to why we chose this
15 course of action."

16 MR. FEAMAN: Nothing further.

17 THE COURT: Mr. Eliot, what do you want to
18 submit?

19 MR. ELIOT BERNSTEIN: I wanted to submit
20 the deposition of Mr. O'Connell in full. I
21 hate to be --

22 THE COURT: I have to mark that -- hold on
23 -- because it's going into evidence.
24 Objections?

25 MR. ELIOT BERNSTEIN: And then --

1 THE COURT: Hold on. Objections?

2 MR. ROSE: To the whole deposition coming
3 in?

4 THE COURT: Yes.

5 MR. ROSE: I don't think it's appropriate
6 to just enter a deposition in evidence but to
7 speed things up...

8 MR. ELIOT BERNSTEIN: I will be relying on
9 parts of it too.

10 THE COURT: No. If you're putting in the
11 whole thing, there is no need to be relying on
12 parts.

13 MR. ELIOT BERNSTEIN: Okay. I got what
14 you're saying. Okay. Great.

15 THE COURT: Mr. Feaman.

16 MR. FEAMAN: No objection.

17 MR. ELIOT BERNSTEIN: Your Honor --

18 THE COURT: Wait. I'm still waiting for
19 Mr. Rose.

20 MR. ROSE: If Your Honor is willing to
21 read the whole transcript, to save time --

22 THE COURT: I'll read it.

23 MR. ROSE: Then I would allow you to read
24 it, preserving our objections for the record.

25 THE COURT: To any further hearings. I

1 got it.

2 MR. ROSE: To the form objections that are
3 stated in there. I can trust Your Honor to
4 rule on those as you read it.

5 THE COURT: Okay. Give me a second, Mr.
6 Eliot. I have to mark everything
7 appropriately. This is Interested Party's
8 Number 2. Yes.

9 (Interested Party's Exhibit No. 2, Brian
10 O'Connell deposition 3-13-17)

11 MR. ELIOT BERNSTEIN: I'm sorry. We are
12 six minutes over and I am going to be six
13 minutes late to a commitment that my kids are
14 relying on. And I believe you only scheduled
15 two hours again and I base my life and
16 childrens' life on those two hours. So I have
17 to fly but I want to make sure that I get a
18 chance to call witnesses at some point to this
19 hearing.

20 THE COURT: Now is the time.

21 MR. ELIOT BERNSTEIN: I don't have time.
22 You scheduled two hours.

23 THE COURT: Who are you going to call and
24 did you subpoena witnesses to be here today?

25 MR. ELIOT BERNSTEIN: I was going to call

1 Diana Lewis.

2 THE COURT: Has she been subpoenaed for
3 today? Answer my question.

4 MR. ELIOT BERNSTEIN: No.

5 THE COURT: So she wouldn't be --

6 MR. ELIOT BERNSTEIN: Well, they have
7 called other witnesses that weren't subpoenaed
8 and you allowed that.

9 THE COURT: They called parties.

10 MR. ELIOT BERNSTEIN: What?

11 THE COURT: They called parties.

12 MR. ELIOT BERNSTEIN: She is a party.

13 THE COURT: She is not considered a party.

14 MR. ELIOT BERNSTEIN: She is not a
15 trustee.

16 THE HONORABLE DIANA LEWIS: I'm a
17 guardian.

18 THE COURT: She is a guardian of the trust
19 of the children. How long was your --

20 MR. ELIOT BERNSTEIN: Probably 15, 20
21 minutes. And then I have Ted Bernstein that I
22 was going to call and Alan Rose perhaps.
23 Probably 30, 40 minutes more at least.

24 THE COURT: You didn't tell me that until
25 right now.

1 MR. ELIOT BERNSTEIN: You gave two hours.

2 THE COURT: Let's finish it. Go ahead and

3 --

4 MR. ELIOT BERNSTEIN: I have got to leave.

5 THE COURT: This is the second time you
6 have done that but I'm willing to today. I
7 made it clear we are going to conclude this
8 hearing. If you want to call Diana Lewis today
9 she is here. We can conclude this. You said
10 20 minutes.

11 MR. ELIOT BERNSTEIN: I don't have time.

12 THE COURT: By 5:00.

13 MR. ELIOT BERNSTEIN: Your order said two
14 hours.

15 THE COURT: Wait, Mr. Bernstein. We are
16 not going to play this game because I want to
17 conclude this hearing. When you're telling me
18 there is other commitments, everyone in here
19 has other commitments. I want to conclude this
20 hearing because this has been set for this
21 time, this particular motion as well, is my
22 recollection. So I don't want to misstate. At
23 the last hearing I set this one. We had two
24 matters set. I want to conclude this today.
25 Last time I continued it because you told me

1 you had other commitments.

2 MR. ELIOT BERNSTEIN: And I do again. I'm
3 sorry. But, listen, you can go on without me.

4 THE COURT: Wait but I want to be very
5 clear. I'll stay and let you call your
6 witnesses that are here.

7 MR. ELIOT BERNSTEIN: You scheduled it for
8 two hours. I told you at the hearing that it
9 would take longer probably and you said no. So
10 now we are at the point where everybody used
11 all of the time. I hardly had any time.

12 THE COURT: You had equal time throughout
13 every witness.

14 MR. ELIOT BERNSTEIN: Okay.

15 THE COURT: As long as you understand the
16 Court is willing to stay. Are all of the other
17 attorneys willing to stay?

18 MR. ROSE: Yes.

19 MR. FEAMAN: Yes.

20 THE COURT: I want you to know I'll stay
21 for you.

22 MR. ELIOT BERNSTEIN: We should have
23 scheduled a proper time for the hearing.

24 THE COURT: I do appreciate your
25 position.

1 MR. ELIOT BERNSTEIN: Okay.

2 THE COURT: The Court will then be
3 ruling.

4 MR. ELIOT BERNSTEIN: Thank you,
5 everyone.

6 THE COURT: As you understand, Mr. Feaman,
7 we didn't get to your other hearing. I don't
8 have a JA today. I'm going to put it on the
9 table. I can't give you a date because when I
10 touch my calendar, I do bad things. I'll issue
11 another order, okay. I'll get these two orders
12 out. The Court is very aware that you all want
13 orders. I haven't had it that long so bear
14 with me. In fact --

15 MR. ROSE: Can we do that hearing now,
16 discharge administrator ad litem? It's to
17 discharge his funding obligations --

18 THE COURT: I am not going to do that
19 because I would have concluded, giving Mr.
20 Eliot time on the other one. I'm not going to
21 do the other one outside of his presence. I
22 wanted to finish this one which I made clear
23 from the beginning of this hearing.

24 Thank you very much. We're in recess.

25 THE BAILIFF: Court's in recess.

1 MR. FEAMAN: Could we do a two minute
2 closing?

3 THE COURT: I can do that.

4 MR. FEAMAN: I'm serious about two
5 minutes. I'm not going to go to five.

6 THE COURT: I can do that, absolutely.

7 Mr. Rose, do you want to start with
8 closing?

9 MR. ROSE: Sure. I will be very brief.
10 It's the same argument we made in our written
11 final argument, you know, these are proceedings
12 to administer an estate. I think, as I said in
13 my written final argument, I think your choice
14 is fairly simple and binding one way or the
15 other.

16 Are you going to let O'Connell run the
17 estate the way he thinks is best? You have
18 heard testimony of O'Connell and Bernstein as
19 to what is best for the estate, to reduce
20 costs, speed things up, and it's what Mr.
21 O'Connell wants to do.

22 You have seen that Mr. Stansbury even
23 moved the Court to speed up the case because
24 Mr. O'Connell wasn't available. He's a busy
25 trial lawyer. It's in evidence. He blocked

1 off months at a time because he had other
2 cases. So in order to move the cases along --
3 and you can't close this estate until we try to
4 understand Mr. Stansbury's claim. So we
5 respectfully request that you allow Mr.
6 O'Connell's plan that we support to go into
7 effect.

8 This idea of a conflict of interest is
9 really a red herring. Clearly everyone has a
10 conflicting interest. Mr. Stansbury is aligned
11 with the estate in Illinois because he wants
12 the money to come in and he wants to take it
13 out at the other end.

14 But you should not allow the person who is
15 suing the estate for two and a half million
16 dollars to get to choose who sits at the table
17 to defend him. He wants a less qualified, less
18 experienced attorney, or a less knowledgeable
19 attorney. And Mr. O'Connell's testimony is
20 that he has two commercial litigators in his
21 firm. That is not a lot of commercial
22 litigators in a firm. We are a litigation
23 boutique with 14 lawyers but only do commercial
24 litigation.

25 And you heard from Mr. Bernstein. He is

1 trying to do what is in the best interest of
2 his family, who are the beneficiaries, to
3 protect them from Mr. Stansbury and we would
4 like you to allow that plan to go into effect.

5 THE COURT: Mr. Eliot.

6 MR. ELIOT BERNSTEIN: I object to
7 everything. I have got to go. I object that
8 the hearing is going on without me.

9 THE COURT: It's not. If you don't want
10 to do a closing, Mr. Feaman.

11 MR. ELIOT BERNSTEIN: No. I was denied
12 time to do this by the Court.

13 THE COURT: Again, we'll stay until five.
14 Call your witnesses.

15 MR. ELIOT BERNSTEIN: No. It's okay.

16 (Mr. Eliot Bernstein left the courtroom)

17 THE COURT: Okay. Mr. Feaman.

18 MR. FEAMAN: In order to try to
19 crystallize for the Court why there is a
20 conflict that precludes Mr. Ted Bernstein from
21 becoming the administrator ad litem -- and, by
22 the way, it's not that Mr. Stansbury wants to
23 tell the Court who it should be. First of all,
24 there doesn't have to be an administrator ad
25 litem.

1 Mr. O'Connell never said he's not
2 available to sit at counsel table coming up.
3 There has been no testimony on the record
4 prospectively, only retrospectively that
5 somehow he can't attend. No testimony that he
6 couldn't. There is no lawyer from his office
7 but the lawyer is a different thing.

8 So to crystallize the conflict, let's
9 reverse the order of things. Let's say that
10 Mr. Ted was appointed administrator ad litem
11 first before the Chicago action existed and he
12 is representing the estate in connection with
13 Mr. Stansbury's action against the estate.
14 Okay. He is also the successor trustee to the
15 pour-over trust. Okay. No argument there.

16 Now, let's say that Mr. Ted Bernstein then
17 decides that he is going to bring an action to
18 fight over this 1.7 million dollars that the
19 estate says that's our money. Mr. Ted
20 Bernstein says no, that's my money. And so
21 then all of a sudden he's now becoming
22 plaintiff up there.

23 The personal representative or anybody,
24 any beneficiaries, interested person of the
25 estate could now easily say now, wait a minute,

1 Mr. Personal Representative, you need to take a
2 look at this because where once Mr. Ted
3 Bernstein had no conflict, now he is fighting
4 over this 1.7 million dollars. He's clearly
5 adverse to the estate. How can he hold a
6 fiduciary position as administrator ad litem on
7 behalf of the estate because now it's changed.
8 Now he is adverse.

9 So I think it crystallizes if you reverse
10 the chronological order of things to show that,
11 gee, now he clearly holds a conflict of
12 interest and he should step down as the
13 administrator ad litem. It makes no difference
14 what order it comes in but it does crystallize
15 the fact that Mr. Ted Bernstein and that has
16 nothing to do with Mr. Rose. But just, Mr. Ted
17 Bernstein, you're trying to keep 1.7 million
18 dollars out of the hands of the estate. On
19 paper that is a conflict. Under the law that I
20 mentioned in opening statement and under the
21 statute that a person holding fiduciary duty
22 should not, that position should not be blessed
23 by this Court. Thank you.

24 MR. ROSE: Just if you look at his cases,
25 they are situations where you're actually suing

1 the estate. We are not suing the estate. We
2 are both parties in an interpleader trying to
3 determine what did Simon Bernstein intend to
4 happen to his life insurance proceeds. That
5 case is going to happen whatever happens.

6 Mr. O'Connell is correct, it's apples and
7 oranges, and you have got to look at what's in
8 the best interest of these estates to get the
9 case done quickly, cheaply and efficiently.
10 And I don't know how you're going to, you know,
11 not think it's in the best interest to have the
12 guy that knows the facts sitting at the table
13 for free defending the estate and there is no
14 one that has suggested he's going to do a bad
15 job or not going to do it wholeheartedly.

16 I believe we -- obviously, it's your
17 decision. We think that if you go the path of
18 letting them set this course, that I don't know
19 where the estate goes from here because the
20 case was floundering.

21 THE COURT: All right. We got it. Thank
22 you, everyone, very much. Court is in recess.

23 (At 4:20 p.m., Court stood in recess)
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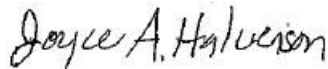
C E R T I F I C A T E

STATE OF FLORIDA

COUNTY OF PALM BEACH

I, JOYCE A. HALVERSON, Court Reporter,
certify that I was authorized to and did
stenographically report the foregoing
proceedings and that the transcript is a true
record.

Dated this 23rd day of March 2017.



JOYCE A. HALVERSON
Court Reporter

2014 CP 063698

WILL OF
SHIRLEY BERNSTEIN

Prepared by:

Tescher & Spallina, P.A.
2101 Corporate Blvd., Suite 107, Boca Raton, Florida 33431
(561) 998-7847
www.tescherlaw.com

TESCHER & SPALLINA, P.A.



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CONFIRMED COPY

WILL OF
SHIRLEY BERNSTEIN

The original of this Will is being held in the safe deposit box of the law firm of Tescher & Spallina, P.A.

I, SHIRLEY BERNSTEIN, of Palm Beach County, Florida, hereby revoke all my prior Wills and Codicils and make this Will. My spouse is SIMON L. BERNSTEIN ("*SIMON*"). My children are TED S. BERNSTEIN ("*TED*"), PAMELA B. SIMON, ELIOT BERNSTEIN, JILL IANTONI and LISA S. FRIEDSTEIN.

ARTICLE I. TANGIBLE PERSONAL PROPERTY

I give such items of my tangible personal property to such persons as I may designate in a separate written memorandum prepared for this purpose. I give to SIMON, if SIMON survives me, my personal effects, jewelry, collections, household furnishings and equipment, automobiles and all other non-business tangible personal property other than cash, not effectively disposed of by such memorandum, and if SIMON does not survive me, I give this property to my children who survive me, divided among them as they agree, or if they fail to agree, divided among them by my Personal Representatives in as nearly equal shares as practical, and if neither SIMON nor any child of mine survives me, this property shall pass with the residue of my estate.

ARTICLE II. RESIDENCES

I give to SIMON, if SIMON survives me, my entire interest in any real property used by us as a permanent or seasonal residence, subject to any mortgage or other lien. If SIMON does not survive me, such interest shall pass with the residue of my estate.

ARTICLE III. RESIDUE OF MY ESTATE

I give all the residue of my estate to the Trustee then serving under my revocable Trust Agreement dated today, as may be amended and restated from time to time (the "*Existing Trust*"), as Trustee without bond, but I do not exercise any powers of appointment held by me except as provided in the later paragraph titled "Death Costs." The residue shall be added to and become a part of the Existing Trust, and shall be held under the provisions of said Agreement in effect at my death, or if this is not permitted by applicable law or the Existing Trust is not then in existence, under the provisions of said Agreement as existing today. If necessary to give effect to this gift, but not otherwise, said Agreement as existing today is incorporated herein by reference.

LAST WILL
OF SHIRLEY BERNSTEIN

TESCHER & SPALLINA, P.A.

ARTICLE IV. PERSONAL REPRESENTATIVES

1. Appointment and Bond. I appoint SIMON and TED, one at a time and successively in that order, as my Personal Representative (the "*fiduciary*"). Each fiduciary shall serve without bond and have all of the powers, privileges and immunities granted to my fiduciary by this Will or by-law, provided, however, that my fiduciary shall exercise all powers in a fiduciary capacity.

2. Powers of Personal Representatives. My fiduciary may exercise its powers without court approval. No one dealing with my fiduciary need inquire into its authority or its application of property. My fiduciary shall have the following powers:

a. Investments. To sell or exchange at public or private sale and on credit or otherwise, with or without security, and to lease for any term or perpetually, any property, real and personal, at any time forming a part of my probate estate (the "*estate*"); to grant and exercise options to buy or sell; to invest or reinvest in real or personal property of every kind, description and location; and to receive and retain any such property whether originally a part of the estate, or subsequently acquired, even if a fiduciary is personally interested in such property, and without liability for any decline in the value thereof; all without limitation by any statutes or judicial decisions, whenever enacted or announced, regulating investments or requiring diversification of investments.

b. Distributions or Divisions. To distribute directly to any beneficiary who is then entitled to distribution under the Existing Trust; to make any division or distribution pro rata or non-pro rata, in cash or in kind; and to allocate undivided interests in property and dissimilar property (without regard to its tax basis) to different shares, and to make any distribution to a minor or any other incapacitated person directly to such person, to his or her legal representative, to any person responsible for or assuming his or her care, or in the case of a minor to an adult person or an eligible institution (including a fiduciary) selected by my fiduciary as custodian for such minor under the Uniform Transfers to Minors Act or similar provision of law. The receipt of such payee is a complete release to the fiduciary.

c. Management. To manage, develop, improve, partition or change the character of or abandon an asset or interest in property at any time; and to make ordinary and extraordinary repairs, replacements, alterations and improvements, structural or otherwise.

d. Borrowing. To borrow money from anyone on commercially reasonable terms, including a fiduciary, beneficiaries and other persons who may have a direct or indirect interest in the estate; and to mortgage, margin, encumber and pledge real and personal property of the estate as security for the payment thereof, without incurring any personal liability thereon and to do so for a term within or extending beyond the terms of the estate and to renew, modify or extend existing borrowing on similar or different terms and with the same or different security without incurring any personal liability; and such borrowing from my fiduciary may be with or without interest, and may be secured with a lien on the estate assets or any beneficiary's interest in said assets.

LAST WILL
OF SHIRLEY BERNSTEIN

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TESCHER & SPALLINA, P.A.

e. Lending. To extend, modify or waive the terms of any obligation, bond or mortgage at any time forming a part of the estate and to foreclose any such mortgage; accept a conveyance of encumbered property, and take title to the property securing it by deed in lieu of foreclosure or otherwise and to satisfy or not satisfy the indebtedness securing said property; to protect or redeem any such property from forfeiture for nonpayment of taxes or other lien; generally, to exercise as to such bond, obligation or mortgage all powers that an absolute owner might exercise; and to loan funds to beneficiaries at commercially reasonable rates, terms and conditions.

f. Abandonment of Property. To abandon any property or asset when it is valueless or so encumbered or in such condition that it is of no benefit to the estate. To abstain from the payment of taxes, liens, rents, assessments, or repairs on such property and/or permit such property to be lost by tax sale, foreclosure or other proceeding or by conveyance for nominal or no consideration to anyone including a charity or by escheat to a state; all without personal liability incurred therefor.

g. Real Property Matters. To subdivide, develop or partition real estate; to dedicate the same to public use; to make or obtain the location of any plats; to adjust boundaries; to adjust differences in valuations on exchange or partition by giving or receiving consideration; and, to grant easements with or without consideration as they may determine; and to demolish any building, structures, walls and improvements, or to erect new buildings, structures, walls and improvements and to insure against fire and other risks.

h. Claims. To enforce, compromise, adjust, arbitrate, release or otherwise settle or pay any claims or demands by or against the estate.

i. Business Entities. To deal with any business entity or enterprise even if a fiduciary is or may be a fiduciary of or own interests in said business entity or enterprise, whether operated in the form of a corporation, partnership, business trust, limited liability company, joint venture, sole proprietorship, or other form (all of which business entities and enterprises are referred to herein as "*Business Entities*"). I vest the fiduciary with the following powers and authority in regard to Business Entities:

i. To retain and continue to operate a Business Entity for such period as the fiduciary deems advisable;

ii. To control, direct and manage the Business Entities. In this connection, the fiduciary, in its sole discretion, shall determine the manner and extent of its active participation in the operation and may delegate all or any part of its power to supervise and operate to such person or persons as the fiduciary may select, including any associate, partner, officer or employee of the Business Entity;

iii. To hire and discharge officers and employees, fix their compensation and define their duties; and similarly to employ, compensate and discharge agents, attorneys, consultants, accountants, and such other representatives as the fiduciary may deem appropriate; including the right

LAST WILL
OF SHIRLEY BERNSTEIN

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TESCHER & SPALLINA, P.A.

to employ any beneficiary or fiduciary in any of the foregoing capacities;

iv. To invest funds in the Business Entities, to pledge other assets of the estate or a trust as security for loans made to the Business Entities, and to lend funds from my estate or a trust to the Business Entities;

v. To organize one or more Business Entities under the laws of this or any other state or country and to transfer thereto all or any part of the Business Entities or other property of my estate or a trust, and to receive in exchange such stocks, bonds, partnership and member interests, and such other securities or interests as the fiduciary may deem advisable;

vi. To treat Business Entities as separate from my estate or a trust. In a fiduciary's accounting to any beneficiary, the fiduciary shall only be required to report the earnings and condition of the Business Entities in accordance with standard business accounting practice;

vii. To retain in Business Entities such net earnings for working capital and other purposes of the Business Entities as the fiduciary may deem advisable in conformity with sound business practice;

viii. To sell or liquidate all or any part of the Business Entities at such time and price and upon such terms and conditions (including credit) as the fiduciary may determine. My fiduciary is specifically authorized and empowered to make such sale to any person, including any partner, officer, or employee of the Business Entities, a fiduciary, or to any beneficiary; and

ix. To guaranty the obligations of the Business Entities, or pledge assets of the estate or a trust to secure such a guaranty.

j. Life Insurance. With respect to any life insurance policies constituting an asset of the estate to pay premiums; to apply dividends in reduction of such premiums; to borrow against the cash values thereof; to convert such policies into other forms of insurance including paid-up insurance; to exercise any settlement options provided in any such policies; to receive the proceeds of any policy upon its maturity and to administer such proceeds as a part of the principal of the estate or trust; and in general, to exercise all other options, benefits, rights and privileges under such policies; provided, however, no fiduciary other than a sole fiduciary may exercise any incidents of ownership with respect to policies of insurance insuring the fiduciary's own life.

k. Reimbursement. To reimburse itself from the estate for all reasonable expenses incurred in the administration thereof.

l. Voting. To vote and give proxies, with power of substitution to vote, stocks, bonds and other securities, or not to vote a security.

m. Ancillary Administration. To appoint or nominate, and replace with or without

cause, any persons or corporations, including itself, as ancillary administrators to administer property in other jurisdictions, with the same powers, privileges and immunities as my fiduciary and without bond.

n. Tax Elections. To file tax returns, and to exercise all tax-related elections and options at their discretion, without compensating adjustments or reimbursements between any accounts or any beneficiaries.

3. Survivorship. A beneficiary is not deemed to survive me unless he or she survives me by five days.

4. Death Costs. My fiduciary shall pay (a) from the residuary estate my debts which are allowed as claims against my estate, (b) from the residuary estate my funeral expenses without regard to legal limitations, (c) from the residuary estate the expenses of administering my estate and (d) from the residuary estate other than the portion of the residuary estate qualifying for the marital deduction under the laws then in effect, without apportionment, all estate, inheritance and succession taxes (excluding generation-skipping taxes other than with respect to direct skips), and interest and penalties thereon, due because of my death and attributable to all property whether passing under this Will or otherwise and not required by the terms of the Existing Trust to be paid out of said trust. However, such taxes, penalties and interest payable out of my residuary estate shall not include taxes, penalties and interest attributable to (i) property over which I have a power of appointment granted to me by another person, (ii) qualified terminable interest property held in a trust of which I was the income beneficiary at the time of my death (other than qualified terminable interest property held in a trust for which an election was made under Code Section 2652(a)(3)), and (iii) life insurance proceeds on policies insuring my life which proceeds are not payable to my probate estate. My fiduciary shall not be reimbursed for any such payment from any person or property. However, my fiduciary in its discretion may direct that part or all of said death costs shall be paid by my Trustee as provided in the Existing Trust, and shall give such direction to the extent necessary so that the gifts made in Articles I and II of this Will and the gifts made in any codicil hereto shall not be reduced by said death costs.

5. Reimbursement for Debts and Expenses. My fiduciary shall promptly reimburse my friends and members of my family who have disbursed their own funds for the payment of any debts, funeral expenses or costs of administration of my estate.

6. Expenses of Handling Tangible Personal Property. All expenses incurred by my fiduciary during the settlement of my estate in appraising, storing, packing, shipping, delivering or insuring an article of tangible personal property passing under this Will shall be charged as an expense of administering my estate.

7. Dealing with Estate. Each fiduciary may act under this Will even if interested in my estate in an individual capacity, as a fiduciary of another estate or trust (including any trust identified in this Will or created under the Existing Trust) or in any other capacity. Each fiduciary may in good faith buy from, sell to, lend funds to or otherwise deal with my estate.

LAST WILL
OF SHIRLEY BRANSTEIN

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TESCHER & SPALLINA, P.A.

8. Spouse. The term "spouse" herein means, as to a designated individual, the person to whom that individual is from time to time married.

9. Other Beneficiary Designations. Except as otherwise explicitly and with particularity provided herein, (a) no provision of this Will shall revoke or modify any beneficiary designation of mine made by me and not revoked by me prior to my death under any individual retirement account, other retirement plan or account, or annuity or insurance contract, (b) I hereby reaffirm any such beneficiary designation such that any assets held in such account, plan, or contract shall pass in accordance with such designation, and (c) regardless of anything herein to the contrary, any of such assets which would otherwise pass pursuant to this Will due to the beneficiary designation not having met the requirements for a valid testamentary disposition under applicable law or otherwise shall be paid as a gift made hereunder to the persons and in the manner provided in such designation which is incorporated herein by this reference.

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LAST WILL
OF SHURLEY BERNSTEIN

-6-

TESCHER & SPALLINA, P.A.

I have published and signed this instrument as my Will at Boca Raton, Florida, on the 20 day of May, 2008.

/s/ Shirley Bernstein
SHIRLEY BERNSTEIN

This instrument, consisting of this page numbered 7 and the preceding typewritten pages, was signed, sealed, published and declared by the Testatrix to be the Testatrix's Will in our presence, and at the Testatrix's request and in the Testatrix's presence, and in the presence of each other, we have subscribed our names as witnesses at Boca Raton, Florida on this _____ day of _____, 2008.

/s/ Robert L. Spallina residing at 7387 Wisteria Ave
[Witness Signature] [Witness Address]

Parkland, FL 33076
[Witness Address]

/s/ Diana Banks residing at 23415 Boca Trace Dr.
[Witness Signature] [Witness Address]

Boca Raton, FL 33433
[Witness Address]

LAST WILL
OF SHIRLEY BERNSTEIN

TESCHER & SPALLINA, P.A.

State Of Florida

SS.

County Of Palm Beach

I, SHIRLEY BERNSTEIN, declare to the officer taking my acknowledgment of this instrument, and to the subscribing witnesses, that I signed this instrument as my will.

/s/ Shirley Bernstein
SHIRLEY BERNSTEIN, Testatrix

We, Robert L. Spallina and Diana Banks have been sworn by the officer signing below, and declare to that officer on our oaths that the Testatrix declared the instrument to be the Testatrix's will and signed it in our presence and that we each signed the instrument as a witness in the presence of the Testatrix and of each other.

/s/ Robert L. Spallina
Witness

/s/ Diana Banks
Witness

Acknowledged and subscribed before me, by the Testatrix, SHIRLEY BERNSTEIN, who is personally known to me or who has produced _____ (state type of identification) as identification, and sworn to and subscribed before me by the witnesses, Robert L. Spallina, who is personally known to me or who has produced _____ (state type of identification) as identification, and Diana Banks, who is personally known to me or who has produced _____ (state type of identification) as identification, and subscribed by me in the presence of SHIRLEY BERNSTEIN and the subscribing witnesses, all on this 20 day of May, 2008.

Kimberly Moran
Commission # DD766470
Expires: APR. 28, 2012

/s/ Kimberly Moran
Signature - Notary Public-State of Florida

[Seal with Commission Expiration Date]

Print, type or stamp name of Notary Public

FAWPDATA\Adri\Bernstein, Shirley & Simon\2503 Estate Planning\Will of Shirley Bernstein.wpd [08 15:36:41 5 19]

LAST WILL
OF SHIRLEY BERNSTEIN

TESCHER & SPALLINA, P.A.

SHIRLEY BERNSTEIN

TRUST AGREEMENT

Prepared by:

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www.tescherlaw.com

2014CP003698



TESCHER & SPALLINA, P.A.

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SHIRLEY BERNSTEIN

TRUST AGREEMENT

This Trust Agreement is dated this 20 day of MAY, 2008, and is between SHIRLEY BERNSTEIN, of Palm Beach County, Florida referred to in the first person, as settlor, and SHIRLEY BERNSTEIN, of Palm Beach County, and SHIRLEY BERNSTEIN's successors, as trustee (referred to as the "Trustee," which term more particularly refers to all individuals and entities serving as trustee of a trust created hereunder during the time of such service, whether alone or as co-trustees, and whether originally serving or as a successor trustee). Said Trustee acknowledges receipt of the property described in the Attachment to this Agreement, and agrees to hold said property and all additions, in trust, as provided in this Agreement.

ARTICLE I. DURING MY LIFE AND UPON MY DEATH

A. Rights Reserved. I reserve the right (a) to add property to this trust during my life or on my death, by my Will or otherwise; (b) to withdraw property held hereunder; and (c) by separate written instrument delivered to the Trustee, to revoke this Agreement in whole or in part and otherwise modify or amend this Agreement. However, after my spouse's death I may not exercise any of said rights with respect to property added by my spouse upon my spouse's death by my spouse's Will or otherwise.

B. Payments During My Life. If income producing property is held in the trust during my life, the Trustee shall pay the net income of the trust to me or as I may direct. However, during any periods while I am Disabled, the Trustee shall pay to me or on my behalf such amounts of the net income and principal of the trust as is proper for my Welfare, and also may in its discretion pay to my spouse such amounts of said net income and principal as is proper for his Welfare. Any income not so paid shall be added to principal.

C. Gifts. If I am Disabled, I authorize the Trustee to make gifts from trust property during my lifetime for estate planning purposes, or to distribute amounts to my legally appointed guardian or to my attorney-in-fact for those purposes, subject to the following limitations:

1. Recipients. The gifts may be made only to my spouse and my lineal descendants or to trusts primarily for their benefit, and in aggregate annual amounts to any one such recipient that do not exceed the exclusion amount provided for under Code Section 2503(b).

2. Trustee Limited. When a person eligible to receive gifts is serving as Trustee, the aggregate of all gifts to that person during the calendar year allowable under the preceding subparagraph 1. shall thereafter not exceed the greater of Five Thousand Dollars (\$5,000), or five percent

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(5%) of the aggregate value of the trust estate. However, gifts completed prior to a recipient's commencing to serve as Trustee shall not be affected by this limitation.

3. Charitable Pledges. The Trustee may pay any charitable pledges I made while I was not Disabled (even if not yet due).

D. Upon My Death. Upon my death the Trustee shall collect and add to the trust all amounts due to the trust under any insurance policy on my life or under any death benefit plan and all property added to the trust by my Will or otherwise. After paying or providing for the payment from the augmented trust of all current charges and any amounts payable under the later paragraph captioned "Death Costs," the Trustee shall hold the trust according to the following provisions.

ARTICLE II. AFTER MY DEATH

A. Disposition of Tangible Personal Property. If any non-business tangible personal property other than cash (including, but not limited to, my personal effects, jewelry, collections, household furnishings, and equipment, and automobiles) is held in the trust at the time of my death, such items shall be promptly distributed by the Trustee of the trust to such person or persons, including my estate, as to the item or items or proportion specified, as I may appoint, and to the extent that any such items are not disposed of by such appointment, such items shall be disposed of by the Trustee of the trust in exactly the same manner as such items would have been disposed of under the terms and provisions of my Will (including any Codicil thereto, or what the Trustee in good faith believes to be such Will and Codicil) had such items been included in my probate estate. Any such items which are not effectively disposed of pursuant to the preceding sentence shall pass with the other trust assets.

B. Specific Cash Devise. The Trustee shall set aside in a separate trust the sum of Two Hundred Thousand (\$200,000.00) Dollars for MATTHEW LOGAN, and said separate trust shall be administered as provided in Subparagraph II.F below. If MATTHEW LOGAN does not survive me this devise shall lapse.

C. Marital Deduction Gift. If my spouse survives me:

1. Family Trust. The Trustee shall hold as a separate "*Family Trust*" (i) all property of the trust estate as to which a federal estate tax marital deduction would not be allowed if it were distributed outright to my spouse, and (ii) after giving effect to (i), the largest pecuniary amount which will not result in or increase any federal or state death tax otherwise payable by reason of my death. In determining the pecuniary amount the Trustee shall assume that none of this Family Trust qualifies for a federal estate tax deduction, and shall assume that all of the Marital Trust hereinafter established (including any part thereof disclaimed by my spouse) qualifies for the federal estate tax marital deduction. I recognize that the pecuniary amount may be reduced by certain state death taxes and administration expenses which are not deducted for federal estate tax purposes.

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2. Marital Trust. The balance of the trust remaining after the establishment of the Family Trust shall be held as a separate "Marital Trust."

3. Disclaimer. Any part of the Marital Trust my spouse disclaims shall be added to the Family Trust. My spouse shall not be deemed to have predeceased me for purposes of such addition. I suggest that my spouse or my spouse's fiduciaries consider an appropriate partial disclaimer to minimize the death taxes due upon both of our deaths.

If my spouse does not survive me, the entire trust shall be held as the Family Trust without regard to the provisions of Subparagraph II.B.1 describing or limiting which assets shall be held thereunder.

D. During Spouse's Life. Commencing with the date of my death the Trustee shall,

1. Marital Trust. Pay to my spouse from the Marital Trust, the net income, and such amounts of principal as is proper for my spouse's Welfare; and

2. Family Trust. Pay to my spouse from the Family Trust, the net income, and such amounts of principal as is proper for my spouse's Welfare. I request (but do not require) that no principal be paid to my spouse from the Family Trust for my spouse's Welfare unless the Marital Trust has been exhausted by use, consumption, distribution, or otherwise or is not reasonably available.

E. Disposition of Trusts Upon Death of Survivor of My Spouse and Me. Upon the death of the survivor of my spouse and me,

1. Limited Power. My spouse (if my spouse survives me) may appoint the Marital Trust and Family Trust (except any part added by disclaimer from the Marital Trust and proceeds of insurance policies on my spouse's life) to or for the benefit of one or more of my lineal descendants and their spouses;

2. Disposition of Balance. Any parts of the Marital Trust and the Family Trust my spouse does not or cannot effectively appoint (including any additions upon my spouse's death), or all of the Family Trust if my spouse did not survive me, shall be divided among and held in separate Trusts for my lineal descendants then living, per stirpes. Any assets allocated under this Subparagraph II.D. to my children (as that term is defined under this Trust), shall be distributed to the then serving Trustees of each of their respective Family Trusts, established by my spouse as grantor on even date herewith (the "Family Trusts" which term includes any successor trust thereto), to be held and administered as provided under said Trusts. The provisions of the Family Trusts are incorporated herein by reference, and if any of the Family Trusts are not then in existence and it is necessary to accomplish the foregoing dispositions, the current Trustee of this Trust is directed to take such action to establish or reconstitute such applicable trust(s), or if the Trustee is unable to do so, said assets shall be held in separate trusts for such lineal descendants and administered as provided in Subparagraph II.E. below. Each of my lineal descendants for whom a separate Trust is held hereunder shall hereinafter be referred to as a "beneficiary," with their separate trusts to be administered as provided in Subparagraph II.E. below.

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F. Trusts for Beneficiaries. The Trustee shall pay to a beneficiary the net income of such beneficiary's trust. The Trustee shall pay to the beneficiary and the beneficiary's children, such amounts of the principal of such beneficiary's trust as is proper for the Welfare of such individuals. After a beneficiary has reached any one or more of the following birthdays, the beneficiary may withdraw the principal of his or her separate trust at any time or times, not to exceed in the aggregate 1/3 in value after the beneficiary's 25th birthday, 1/2 in value (after deducting any amount previously subject to withdrawal but not actually withdrawn) after the beneficiary's 30th birthday, and the balance after the beneficiary's 35th birthday, provided that the withdrawal powers described in this sentence shall not apply to any child of mine as beneficiary of a separate trust. The value of each trust shall be its value as of the first exercise of each withdrawal right, plus the value of any subsequent addition as of the date of addition. The right of withdrawal shall be a privilege which may be exercised only voluntarily and shall not include an involuntary exercise. If a beneficiary dies with assets remaining in his or her separate trust, upon the beneficiary's death the beneficiary may appoint his or her trust to or for the benefit of one or more of my lineal descendants and their spouses (excluding from said class, however, such beneficiary and such beneficiary's creditors, estate, and creditors of such beneficiary's estate). Any part of his or her trust such beneficiary does not effectively appoint shall upon his or her death be divided among and held in separate Trusts for the following persons:

1. for his or her lineal descendants then living, *per stirpes*; or
2. if he or she leaves no lineal descendant then living, *per stirpes* for the lineal descendants then living of his or her nearest ancestor (among me and my lineal descendants) with a lineal descendant then living who is also a lineal descendant of my spouse.

A trust for a lineal descendant of mine shall be held under this paragraph, or if a trust is then so held, shall be added to such trust.

G. Termination of Small Trust. If at any time after the death of the survivor of my spouse and me in the opinion of the Trustee a separate trust holds assets of a value of less than \$50,000.00 and is too small to justify the expense of its retention, and termination of such trust is in the best interests of its current income beneficiary, the Trustee in its discretion may terminate such trust and pay it to said beneficiary.

H. Contingent Gift. If at any time property of a trust held under this Agreement is not disposed of under the other provisions of this Agreement, it shall be paid, as a gift made hereunder, to such persons and in such shares as such property would be distributed if my spouse and I had each then owned one-half of such property and had each then died solvent, unmarried and intestate domiciled in the State of Florida, according to the laws of inheritance of the State of Florida then in effect.

I. Protective Provision. No beneficiary of any trust herein created shall have any right or power to anticipate, transfer, pledge, sell, alienate, assign or encumber in any way his or her interest in the income or principal of such trust. Furthermore, no creditor shall have the right to attach, lien, seize or levy upon the interest of a beneficiary in this trust (other than myself) and such interest shall not be

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liable for or subject to the debts, liabilities or obligations of any such beneficiary or any claims against such beneficiary (whether voluntarily or involuntarily created), and the Trustee shall pay directly to or for the use or benefit of such beneficiary all income and principal to which such beneficiary is entitled, notwithstanding that such beneficiary has executed a pledge, assignment, encumbrance or in any other manner alienated or transferred his or her beneficial interest in the trust to another. This paragraph shall not preclude the effective exercise of any power of appointment granted herein or the exercise of any disclaimer.

J. Maximum Duration. Regardless of anything in this Agreement to the contrary, no trust interest herein created shall continue beyond three hundred sixty (360) years as provided in F.S. § 689.225(2)(a)(2), nor shall any power of appointment be exercised in such manner so as to delay vesting of any trust beyond such period. Immediately prior to the expiration of such period, all such trusts then in existence shall terminate, and the assets thereof shall be distributed outright and in fee to then beneficiaries of the current income and in the proportions in which such persons are the beneficiaries, and if such proportions cannot be ascertained, then equally among such beneficiaries.

K. Florida Homestead Possessory Rights. Notwithstanding anything herein to the contrary, if any portion of any Florida improved residential real estate (excluding commercial multi-unit rental property) is an asset of the Marital Trust, my spouse shall have the exclusive and continuous present right to full use, occupancy and possession of such real estate for life. It is my intention that my spouse's interest in such property shall constitute a "beneficial interest for life" and "equitable title to real estate" as contemplated by Section 196.041(2) of Florida Statutes, as amended from time to time or any corresponding provision of law.

ARTICLE III. GENERAL

A. Disability. Subject to the following Subparagraph captioned "Subchapter S Stock," while any beneficiary (other than my spouse as beneficiary of the Marital Trust) is Disabled, the Trustee shall pay to him or her only such portion of the income to which he or she is otherwise entitled as is proper for his or her Welfare, and any income not so paid shall be added to the principal from which derived. While any beneficiary is Disabled, income or principal payable to him or her may, in the discretion of the Trustee, be paid directly to him or her, without the intervention of a guardian, directly to his or her creditors or others for his or her sole benefit or to an adult person or an eligible institution (including the Trustee) selected by the Trustee as custodian for a minor beneficiary under the Uniform Transfers to Minors Act or similar law. The receipt of such payee is a complete release to the Trustee.

B. Timing of Income Distributions. The Trustee shall make required payments of income at least quarterly.

C. Substance Abuse.

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1. In General. If the Trustee reasonably believes that a beneficiary (other than myself) of any trust:

a. routinely or frequently uses or consumes any illegal substance so as to be physically or psychologically dependent upon that substance, or

b. is clinically dependent upon the use or consumption of alcohol or any other legal drug or chemical substance that is not prescribed by a board certified medical doctor or psychiatrist in a current program of treatment supervised by such doctor or psychiatrist,

and if the Trustee reasonably believes that as a result the beneficiary is unable to care for himself or herself, or is unable to manage his or her financial affairs, all mandatory distributions (including distributions upon termination of the trust) to the beneficiary, all of the beneficiary's withdrawal rights, and all of the beneficiary's rights to participate in decisions concerning the removal and appointment of Trustees will be suspended (excluding, however, mandatory income rights under the Marital Trust). In that event, the following provisions of this Subparagraph III.C will apply.

2. Testing. The Trustee may request the beneficiary to submit to one or more examinations (including laboratory tests of bodily fluids) determined to be appropriate by a board certified medical doctor and to consent to full disclosure to the Trustee of the results of all such examinations. The Trustee shall maintain strict confidentiality of those results and shall not disclose those results to any person other than the beneficiary without the prior written permission of the beneficiary. The Trustee may totally or partially suspend all distributions otherwise required or permitted to be made to that beneficiary until the beneficiary consents to the examination and disclosure to the Trustee.

3. Treatment. If, in the opinion of the examining doctor, the examination indicates current or recent use of a drug or substance as described above, the examining doctor will determine an appropriate method of treatment for the beneficiary (for example, counseling or treatment on an in-patient basis in a rehabilitation facility) that is acceptable to the Trustee. If the beneficiary consents to the treatment, the Trustee shall pay the costs of treatment directly to the provider of those services from the distributions suspended under this Subparagraph III.C.

4. Resumption of Distributions. The Trustee may resume other distributions to the beneficiary (and the beneficiary's other suspended rights will be restored) when, in the case of use or consumption of an illegal substance, examinations indicate no such use for 12 months and, in all cases, when the Trustee in its discretion determines that the beneficiary is able to care for himself or herself and is able to manage his or her financial affairs.

5. Disposition of Suspended Amounts. When other distributions to the beneficiary are resumed, the remaining balance, if any, of distributions that were suspended may be distributed to the beneficiary at that time. If the beneficiary dies before distribution of those suspended amounts, the Trustee shall distribute the balance of the suspended amounts to the persons who would be the alternate

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takers of that beneficiary's share (or takers through the exercise of a power of appointment) as otherwise provided in this Trust Agreement.

6. Exoneraton. No Trustee (or any doctor retained by the Trustee) will be responsible or liable to anyone for a beneficiary's actions or welfare. The Trustee has no duty to inquire whether a beneficiary uses drugs or other substances as described in this Subparagraph III.C. The Trustee (and any doctor retained by the Trustee) is to be indemnified from the trust estate and held harmless from any liability of any nature in exercising its judgment and authority under this Subparagraph III.C, including any failure to request a beneficiary to submit to medical examination, and including a decision to distribute suspended amounts to a beneficiary.

7. Tax Savings Provision. Despite the provisions of this Subparagraph III.C, the Trustee cannot suspend any mandatory distributions or withdrawal rights that are required for that trust to become or remain a Qualified Subchapter S Trust (unless the Trustee elects for the trust to be an Electing Small Business Trust), or to qualify for any federal transfer tax exemption, deduction, or exclusion allowable with respect to that trust.

D. Income on Death of Beneficiary. Subject to the later paragraph captioned "Subchapter S Stock," and except as otherwise explicitly provided herein, upon the death of any beneficiary, all accrued or undistributed income of such deceased beneficiary's trust shall pass with the principal of his or her trust but shall remain income for trust accounting purposes.

E. Definitions. In this Agreement,

1. Children, Lineal Descendants. The terms "*child*," "*children*" and "*lineal descendant*" mean only persons whose relationship to the ancestor designated is created entirely by or through (a) legitimate births occurring during the marriage of the joint biological parents to each other, (b) children and their lineal descendants arising from surrogate births and/or third party donors when (i) the child is raised from or near the time of birth by a married couple (other than a same sex married couple) through the pendency of such marriage, (ii) one of such couple is the designated ancestor, and (iii) to the best knowledge of the Trustee both members of such couple participated in the decision to have such child, and (c) lawful adoptions of minors under the age of twelve years. No such child or lineal descendant loses his or her status as such through adoption by another person. Notwithstanding the foregoing, as I have adequately provided for them during my lifetime, for purposes of the dispositions made under this Trust, my children, TED S. BERNSTEIN ("*TED*") and PAMELA B. SIMON ("*PAM*"), and their respective lineal descendants shall be deemed to have predeceased the survivor of my spouse and me, provided, however, if my children, ELIOT BERNSTEIN, JILL LANTONI and LISA S. FRIEDSTEIN, and their lineal descendants all predecease the survivor of my spouse and me, then TED and PAM, and their respective lineal descendants shall not be deemed to have predeceased me and shall be eligible beneficiaries for purposes of the dispositions made hereunder.

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2. Code. "Code" means the Internal Revenue Code of 1986, as amended, and in referring to any particular provision of the Code, includes a reference to any equivalent or successor provision of a successor federal tax law.

3. Disabled. "Disabled" or being under "Disability" means, as to any applicable individual: (1) being under the age of 21 years, (2) having been adjudicated by a court of competent jurisdiction as mentally or physically incompetent or unable to manage his or her own property or personal affairs (or a substantially similar finding under applicable state or national law), or (3) being unable to properly manage his or her personal or financial affairs, or a trust estate hereunder as to a Trustee hereunder, because of a mental or physical impairment (whether temporary or permanent in nature). A written certificate executed by an individual's attending physician or attending psychiatrist confirming that person's impairment will be sufficient evidence of Disability under item (3) above, and all persons may rely conclusively on such a certificate.

4. Education. The term "education" herein means vocational, primary, secondary, preparatory, theological, college and professional education, including post-graduate courses of study, at educational institutions or elsewhere, and expenses relating directly thereto, including tuition, books and supplies, room and board, and travel from and to home during school vacations. It is intended that the Trustee liberally construe and interpret references to "education," so that the beneficiaries entitled to distributions hereunder for education obtain the best possible education commensurate with their abilities and desires.

5. My Spouse. "My spouse" is SIMON L. BERNSTEIN ("SIMON").

6. Needs and Welfare Distributions. Payments to be made for a person's "Needs" means payments for such person's support, health (including lifetime residential or nursing home care), maintenance and education. Payments to be made for a person's "Welfare" means payments for such person's Needs, and as the Trustee determines in its sole discretion also for such person's advancement in life (including assistance in the purchase of a home or establishment or development of any business or professional enterprise which the Trustee believes to be reasonably sound), happiness and general well-being. However, the Trustee, based upon information reasonably available to it, shall make such payments for a person's Needs or Welfare only to the extent such person's income, and funds available from others obligated to supply funds for such purposes (including, without limitation, pursuant to child support orders and agreements), are insufficient in its opinion for such purposes, and shall take into account such person's accustomed manner of living, age, health, marital status and any other factor it considers important. Income or principal to be paid for a person's Needs or Welfare may be paid to such individual or applied by the Trustee directly for the benefit of such person. The Trustee may make a distribution or application authorized for a person's Needs or Welfare even if such distribution or application substantially depletes or exhausts such person's trust, without any duty upon the Trustee to retain it for future use or for other persons who might otherwise benefit from such trust.

7. Per Stirpes. In a division "per stirpes" each generation shall be represented and counted whether or not it has a living member.

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8. Related or Subordinate Party. A "*Related or Subordinate Party*" to a trust describes a beneficiary of the subject trust or a related or subordinate party to a beneficiary of the trust as the terms "related or subordinate party" are defined under Code Section 672(c).

9. Spouse. A person's "*spouse*" includes only a spouse then married to and living as husband and wife with him or her, or a spouse who was married to and living as husband and wife with him or her at his or her death. The following rules apply to each person who is a beneficiary or a permissible appointee under this Trust Agreement and who is married to a descendant of mine. Such a person will cease to be a beneficiary and will be excluded from the class of permissible appointees upon:

- a. the legal termination of the marriage to my descendant (whether before or after my death), or
- b. the death of my descendant if a dissolution of marriage proceeding was pending when he or she died.

10. Gender, Number. Where appropriate, words of any gender include all genders and the singular and plural are interchangeable.

F. Powers of Appointment. Property subject to a power of appointment shall be paid to, or retained by the Trustee or paid to any trustee under any will or trust agreement for the benefit of, such one or more permissible appointees, in such amounts and proportions, granting such interests, powers and powers of appointment, and upon such conditions including spendthrift provisions as the holder of such power (i) in the case of a power exercisable upon the death of such holder, appoints in his or her will or in a trust agreement revocable by him or her until his or her death, or (ii) in the case of a power exercisable during the life of such holder, appoints in a written instrument signed by such holder, two witnesses and a notary public, but in either case only if such will, trust agreement, or instrument specifically refers to such power.

G. Limitations on Powers of Trustee. Regardless of anything herein to the contrary, no Trustee shall make or participate in making any distribution of income or principal of a trust to or for the benefit of a beneficiary which would directly or indirectly discharge any legal obligation of such Trustee or a donor of such trust (as an individual, and other than myself as donor) to support such beneficiary; and no Trustee (other than myself and other than my spouse as Trustee of the Marital Trust) shall make or participate in making any discretionary distribution of income or principal to or for the benefit of himself or herself other than for his or her Needs, including by reason of a determination to terminate a trust described herein. For example, if a Trustee (other than myself and other than my spouse as Trustee of the Marital Trust) has the power to distribute income or principal to himself or herself for his or her own Welfare, such Trustee (the "restricted Trustee") shall only have the power to make or participate in making a distribution of income or principal to the restricted Trustee for the restricted Trustee's Needs, although any co-Trustee who is not also a restricted Trustee may make or participate in making a distribution of income or principal to the restricted Trustee for such restricted Trustee's Welfare without the participation or consent of said restricted Trustee.

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H. Presumption of Survivorship. If my spouse and I die under circumstances which make it difficult or impracticable to determine which one of us survived the other, I direct that my spouse shall be deemed to have survived me for purposes of this Agreement (except in regard to any property passing hereunder that became part of this trust solely by reason of passage to my probate estate or this trust from the probate estate of or a revocable trust established by my spouse in which case the opposite presumption shall apply), notwithstanding any provisions of law which provide for a contrary presumption. If any person other than my spouse shall be required to survive another person in order to take any interest under this Agreement, the former person shall be deemed to have predeceased the latter person, if such persons die under circumstances which make it difficult or impracticable to determine which one died first.

I. Governing Law. This Agreement is governed by the law of the State of Florida.

J. Other Beneficiary Designations. Except as otherwise explicitly and with particularity provided herein, (a) no provision of this trust shall revoke or modify any beneficiary designation of mine made by me and not revoked by me prior to my death under any individual retirement account, other retirement plan or account, or annuity or insurance contract, (b) I hereby reaffirm any such beneficiary designation such that any assets held in such account, plan, or contract shall pass in accordance with such designation, and (c) regardless of anything herein to the contrary, any of such assets which would otherwise pass pursuant to this trust due to the beneficiary designation not having met the requirements for a valid testamentary disposition under applicable law or otherwise shall be paid as a gift made hereunder to the persons and in the manner provided in such designation which is incorporated herein by this reference.

K. Mandatory Notice Required by Florida Law. The trustee of a trust may have duties and responsibilities in addition to those described in the instrument creating the trust. If you have questions, you should obtain legal advice.

L. Release of Medical Information.

1. Disability of Beneficiary. Upon the written request of a Trustee (with or without the concurrence of co-Trustees) issued to any current income or principal beneficiary (including discretionary beneficiaries) for whom a determination of Disability is relevant to the administration of a trust hereunder and for whom a Trustee (with or without the concurrence of co-Trustees) desires to make such a determination, such beneficiary shall issue to all Trustees (who shall be identified thereon both by name to the extent known and by class description) a valid authorization under the Health Insurance Portability and Accountability Act of 1996 and any other applicable or successor law authorizing all health care providers and all medical sources of such requested beneficiary to release protected health information of the requested beneficiary to all Trustees that is relevant to the determination of the Disability of the requested beneficiary as Disability is defined hereunder. The period of each such valid authorization shall be for six months (or the earlier death of the requested beneficiary). If such beneficiary (or his or her legal representative if such beneficiary is a minor or legally disabled) refuses within thirty days of receipt of the request to provide a valid authorization, or

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at any time revokes an authorization within its term, the Trustee shall treat such beneficiary as Disabled hereunder until such valid authorization is delivered.

2. Disability of Trustee. Upon the request to a Trustee that is an individual by (a) a co-Trustee, or if none, (b) the person or entity next designated to serve as a successor Trustee not under legal incapacity, or if none, (c) any adult current income or principal beneficiary not under legal incapacity, or in any event and at any time (d) a court of competent jurisdiction, such Trustee shall issue to such person and all persons, courts of competent jurisdiction, and entities (who shall be identified thereon both by name to the extent known and by class description), with authority hereunder to determine such requested Trustee's Disability, a valid authorization under the Health Insurance Portability and Accountability Act of 1996 and any other applicable or successor law authorizing all health care providers and all medical sources of such requested Trustee to release protected health information of the requested Trustee to such persons, courts and entities, that is relevant to the determination of the Disability of the requested Trustee as Disability is defined hereunder. The period of each such valid authorization shall be for six months (or the earlier death or resignation of the requested Trustee). If such requested Trustee refuses within thirty days of receipt of the request to deliver a valid authorization, or at any time revokes an authorization within its term, such requested Trustee shall thereupon be treated as having resigned as Trustee hereunder.

3. Authorization to Issue Certificate. All required authorizations under this paragraph shall include the power of a physician or psychiatrist to issue a written certificate to the appropriate persons or entities as provided in Subparagraph III.E.3 hereof.

ARTICLE IV. FIDUCIARIES

A. Powers of the Trustee. During my life except while I am Disabled, the Trustee shall exercise all powers provided by law and the following powers, other than the power to retain assets, only with my written approval. While I am Disabled and after my death, the Trustee shall exercise said powers without approval, provided that the Trustee shall exercise all powers in a fiduciary capacity.

1. Investments. To sell or exchange at public or private sale and on credit or otherwise, with or without security, and to lease for any term or perpetually, any property, real and personal, at any time forming a part of the trust estate (the "*estate*"); to grant and exercise options to buy or sell; to invest or reinvest in real or personal property of every kind, description and location; and to receive and retain any such property whether originally a part of any trust herein created or subsequently acquired, even if the Trustee is personally interested in such property, and without liability for any decline in the value thereof; all without limitation by any statutes or judicial decisions whenever enacted or announced, regulating investments or requiring diversification of investments, it being my intention to give the broadest investment powers and discretion to the Trustee. Any bank, trust company, or other corporate trustee serving hereunder as Trustee is authorized to invest in its own common trust funds.

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2. Special Investments. The Trustee is expressly authorized (but not directed) to retain, make, hold, and dispose of investments not regarded as traditional for trusts, including interests or investments in privately held business and investment entities and enterprises, including without limitation stock in closely held corporations, limited partnership interests, joint venture interests, mutual funds, business trust interests, and limited liability company membership interests, notwithstanding (a) any applicable prudent investor rule or variation thereof, (b) common law or statutory diversification requirements (it being my intent that no such duty to diversify shall exist) (c) a lack of current cash flow therefrom, (d) the presence of any risk or speculative elements as compared to other available investments (it being my intent that the Trustee have sole and absolute discretion in determining what constitutes acceptable risk and what constitutes proper investment strategy), (e) lack of a reasonable rate of return, (f) risks to the preservation of principal, (g) violation of a Trustee's duty of impartiality as to different beneficiaries (it being my intent that no such duty exists for this purpose), and (h) similar limitations on investment under this Agreement or under law pertaining to investments that may or should be made by a Trustee (including without limitation the provisions of Fla.Stats. §518.11 and successor provisions thereto that would characterize such investments as forbidden, imprudent, improper or unlawful). The Trustee shall not be responsible to any trust created hereunder or the beneficiaries thereof for any loss resulting from any such authorized investment, including without limitation loss engendered by the higher risk element of that particular entity, investment, or enterprise, the failure to invest in more conservative investments, the failure to diversify trust assets, the prudent investor rule or variant thereof. Notwithstanding any provisions for distributions to beneficiaries hereunder, if the Trustee determines that the future potential investment return from any illiquid or closely held investment asset warrants the retention of that investment asset or that sufficient value could not be obtained from the sale or other disposition of an illiquid or closely held investment asset, the Trustee is authorized to retain that asset and if necessary reduce the distributions to beneficiaries due to lack of sufficient liquid or marketable assets. However, the preceding provisions of this Subparagraph shall not be exercised in a manner as to jeopardize the availability of the estate tax marital deduction for assets passing to or held in the a trust for my surviving spouse or that would otherwise qualify for the estate tax marital deduction but for such provisions, shall not override any express powers hereunder of my surviving spouse to demand conversion of unproductive property to productive property, or reduce any income distributions otherwise required hereunder for a trust held for the benefit of my surviving spouse or a "qualified subchapter S trust" as that term is defined in Code Section 1361(d)(3).

3. Distributions. To make any division or distribution pro rata or non-pro rata, in cash or in kind, and to allocate undivided interests in property and dissimilar property (without regard to its tax basis) to different shares.

4. Management. To manage, develop, improve, partition or change the character of an asset or interest in property at any time; and to make ordinary and extraordinary repairs, replacements, alterations and improvements, structural or otherwise.

5. Borrowing. To borrow money from anyone on commercially reasonable terms, including entities owned in whole or in part by the trust, a Trustee, beneficiaries and other persons who may have a direct or indirect interest in a Trust; and to mortgage, margin, encumber and pledge real and

personal property of a trust as security for the payment thereof, without incurring any personal liability thereon and to do so for a term within or extending beyond the terms of the trust and to renew, modify or extend existing borrowing on similar or different terms and with the same or different security without incurring any personal liability; and such borrowing from a Trustee may be with or without interest, and may be secured with a lien on trust assets.

6. Lending. To extend, modify or waive the terms of any obligation, bond or mortgage at any time forming a part of a trust and to foreclose any such mortgage; accept a conveyance of encumbered property, and take title to the property securing it by deed in lieu of foreclosure or otherwise and to satisfy or not satisfy the indebtedness securing said property; to protect or redeem any such property from forfeiture for nonpayment of taxes or other lien; generally, to exercise as to such bond, obligation or mortgage all powers that an absolute owner might exercise; and to loan funds to beneficiaries at commercially reasonable rates, terms and conditions.

7. Abandonment of Property. To abandon any property or asset when it is valueless or so encumbered or in such condition that it is of no benefit to a trust. To abstain from the payment of taxes, liens, rents, assessments, or repairs on such property and/or permit such property to be lost by tax sale, foreclosure or other proceeding or by conveyance for nominal or no consideration to anyone including a charity or by escheat to a state; all without personal liability incurred therefor.

8. Real Property Matters. To subdivide, develop or partition real estate; to purchase or sell real property and to enter into contracts to do the same; to dedicate the same to public use; to make or obtain the location of any plats; to adjust boundaries; to adjust differences in valuations on exchange or partition by giving or receiving consideration; and, to grant easements with or without consideration as the fiduciaries may determine; and to demolish any building, structures, walls and improvements, or to erect new buildings, structures, walls and improvements and to insure against fire and other risks; and to protect and conserve, or to lease, or to encumber, or otherwise to manage and dispose of real property to the extent such power is not otherwise granted herein or otherwise restricted herein.

9. Claims. To enforce, compromise, adjust, arbitrate, release or otherwise settle or pay any claims or demands by or against a trust.

10. Business Entities. To deal with any business entity or enterprise even if a Trustee is or may be a fiduciary of or own interests in said business entity or enterprise, whether operated in the form of a corporation, partnership, business trust, limited liability company, joint venture, sole proprietorship, or other form (all of which business entities and enterprises are referred to herein as "Business Entities"). I vest the Trustee with the following powers and authority in regard to Business Entities:

a. To retain and continue to operate a Business Entity for such period as the Trustee deems advisable;

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b. To control, direct and manage the Business Entities. In this connection, the Trustee, in its sole discretion, shall determine the manner and extent of its active participation in the operation and may delegate all or any part of its power to supervise and operate to such person or persons as the Trustee may select, including any associate, partner, officer or employee of the Business Entity;

c. To hire and discharge officers and employees, fix their compensation and define their duties; and similarly to employ, compensate and discharge agents, attorneys, consultants, accountants, and such other representatives as the Trustee may deem appropriate; including the right to employ any beneficiary or fiduciary in any of the foregoing capacities;

d. To invest funds in the Business Entities, to pledge other assets of a trust as security for loans made to the Business Entities, and to lend funds from a trust to the Business Entities;

e. To organize one or more Business Entities under the laws of this or any other state or country and to transfer thereto all or any part of the Business Entities or other property of a trust, and to receive in exchange such stocks, bonds, partnership and member interests, and such other securities or interests as the Trustee may deem advisable;

f. To treat Business Entities as separate from a trust. In a Trustee's accounting to any beneficiary, the Trustee shall only be required to report the earnings and condition of the Business Entities in accordance with standard business accounting practice;

g. To retain in Business Entities such net earnings for working capital and other purposes of the Business Entities as the Trustee may deem advisable in conformity with sound business practice;

h. To sell or liquidate all or any part of the Business Entities at such time and price and upon such terms and conditions (including credit) as the Trustee may determine. My Trustee is specifically authorized and empowered to make such sale to any person, including any partner, officer, or employee of the Business Entities, a fiduciary, or to any beneficiary; and

i. To guaranty the obligations of the Business Entities, or pledge assets of a trust to secure such a guaranty.

11. Principal and Income. To allocate items of income or expense between income and principal as permitted or provided by the laws of the State of Florida but without limiting the availability of the estate tax marital deduction, provided that the Trustee shall not be required to provide a rate of return on unproductive property unless otherwise provided in this instrument.

12. Life Insurance. With respect to any life insurance policies constituting an asset of a trust, to pay premiums; to apply dividends in reduction of such premiums; to borrow against the cash values thereof; to convert such policies into other forms of insurance, including paid-up insurance; to

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exercise any settlement options provided in any such policies; to receive the proceeds of any policy upon its maturity and to administer such proceeds as a part of the principal of the Trust; and in general, to exercise all other options, benefits, rights and privileges under such policies.

13. Continuing Power. To continue to have or exercise, after the termination of a trust, in whole or in part, and until final distribution thereof, all title, power, discretions, rights and duties conferred or imposed upon the Trustee by law or by this Agreement or during the existence of the trust.

14. Exoneration. To provide for the exoneration of the Trustee from any personal liability on account of any arrangement or contract entered into in a fiduciary capacity.

15. Agreements. To comply with, amend, modify or rescind any agreement made during my lifetime, including those regarding the disposition, management or continuation of any closely held unincorporated business, corporation, partnership or joint venture, and including the power to complete contracts to purchase and sell real estate.

16. Voting. To vote and give proxies, with power of substitution to vote, stocks, bonds and other securities, or not to vote a security.

17. Combination of Shares. To hold the several shares of a trust or several Trusts as a common fund, dividing the income proportionately among them, to assign undivided interests to the several shares or Trusts, and to make joint investments of the funds belonging to them. For such purposes and insofar as may be practicable, the Trustee, to the extent that division of the trust estate is directed hereby, may administer the trust estate physically undivided until actual division thereof becomes necessary to make distributions. The Trustee may hold, manage, invest and account for whole or fractional trust shares as a single estate, making the division thereof by appropriate entries in the books of account only, and may allocate to each whole or fractional trust share its proportionate part of all receipts and expenses; provided, however, this carrying of several Trusts as a single estate shall not defer the vesting in possession of any whole or fractional share of a trust for the beneficiaries thereof at the times specified herein.

18. Reimbursement. To reimburse itself from a trust for reasonable expenses incurred in the administration thereof.

19. Reliance Upon Communication. To rely, in acting under a trust, upon any letter, notice, certificate, report, statement, document or other paper, or upon any telephone, telegraph, cable, wireless or radio message, if believed by the Trustee to be genuine, and to be signed, sealed, acknowledged, presented, sent, delivered or given by or on behalf of the proper person, firm or corporation, without incurring liability for any action or inaction based thereon.

20. Assumptions. To assume, in the absence of written notice to the contrary from the person or persons concerned, that a fact or an event, by reason of which an interest or estate under

a trust shall commence or terminate, does not exist or has not occurred, without incurring liability for any action or inaction based upon such assumption.

21. Service as Custodian. To serve as successor custodian for any beneficiary of any gifts that I may have made under any Transfer to Minors Act, if at the time of my death no custodian is named in the instrument creating the gift.

22. Removal of Assets. The Trustee may remove from the domiciliary state during the entire duration of a trust or for such lesser period as it may deem advisable, any cash, securities or other property at any time in its hands whether principal or not, and to take and keep the same outside the domiciliary state and at such place or places within or outside the borders of the United States as it may determine, without in any event being chargeable for any loss or depreciation to the trust which may result therefrom.

23. Change of Situs. The situs and/or applicable law of any trust created hereunder may be transferred to such other place as the Trustee may deem to be for the best interests of the trust estate. In so doing, the Trustee may resign and appoint a successor Trustee, but may remove such successor Trustee so appointed and appoint others. Each successor Trustee may delegate any and all fiduciary powers, discretionary and ministerial, to the appointing Trustee as its agent.

24. Fiduciary Outside Domiciliary State. In the event the Trustee shall not be able and willing to act as Trustee with respect to any property located outside the domiciliary state, the Trustee, without order of court, may appoint another individual or corporation (including any employee or agent of any appointing Trustee) to act as Trustee with respect to such property. Such appointed Trustee shall have all of the powers and discretions with respect to such property as are herein given to the appointing Trustee with respect to the remaining trust assets. The appointing Trustee may remove such appointed Trustee and appoint another upon ten (10) days notice in writing. All income from such property, and if such property is sold, exchanged or otherwise disposed of, the proceeds thereof, shall be remitted to the appointing Trustee, to be held and administered by it as Trustee hereunder. Such appointed Trustee may employ the appointing Trustee as agent in the administration of such property. No surety shall be required on the bond of the Trustee or agent acting under the provisions of this paragraph. No periodic court accounting shall be required of such appointed Trustee, it being my intention to excuse any statutory accounting which may ordinarily be required.

25. Selection of Assets for Marital Trust. The Trustee shall have sole discretion to determine which assets shall be allocated to the Marital Trust; provided, if possible no assets or the proceeds of any assets which do not qualify for the federal estate tax marital deduction shall be allocated to the Marital Trust. To the extent that other assets qualifying for the marital deduction are available, the Trustee shall not allocate to the Marital Trust any assets with respect to which a credit for foreign taxes paid is allowable under the Code, nor any policy of insurance on the life of my spouse. Any allocation of assets among the Family Trust and the Marital Trust shall, with respect to each such trust, be comprised of assets having an aggregate market value at the time of such allocation fairly representative of the net appreciation or depreciation in the value of the property available for such



allocation between the date of valuation for federal estate tax purposes and the date or dates of said allocation and selection.

26. Additions. To receive and accept additions to the Trusts in cash or in kind from donors, executors, administrators, Trustee or attorneys in fact, including additions of my property by the Trustee or others as my attorneys in fact.

27. Title and Possession. To have title to and possession of all real or personal property held in the Trusts, and to register or hold title to such property in its own name or in the name of its nominee, without disclosing its fiduciary capacity, or in bearer form.

28. Dealing with Estates. To use principal of the Trusts to make loans to my estate, with or without interest, and to make purchases from my estate or my spouse's estate.

29. Agents. To employ persons, including attorneys, auditors, investment advisers, and agents, even if they are the Trustee or associated with the Trustee, to advise or assist the Trustee in the performance of its administrative duties and to pay compensation and costs incurred in connection with such employment from the assets of the Trust; to act without independent investigation upon their recommendations; and, instead of acting personally, to employ one or more agents to perform any act of administration, whether or not discretionary.

30. Tax Elections. To file tax returns, and to exercise all tax-related elections and options at its discretion, without compensating adjustments or reimbursements between any of the Trusts or any of the trust accounts or any beneficiaries.

B. Resignation. A Trustee may resign with or without cause, by giving no less than 30 days advance written notice, specifying the effective date of such resignation, to its successor Trustee and to the persons required and in the manner provided under Fla.Stats. §§736.0705(1)(a) and 736.0109. As to any required recipient, deficiencies in fulfilling the foregoing resignation requirements may be waived in a writing signed by such recipient. Upon the resignation of a Trustee, such Trustee shall be entitled to reimbursement from the trust for all reasonable expenses incurred in the settlement of accounts and in the transfer of assets to his or her successor.

C. Appointment of Successor Trustee.

1. Appointment. Upon a Trustee's resignation, or if a Trustee becomes Disabled or for any reason ceases to serve as Trustee, I may appoint any person or persons as successor Trustee, and in default of such appointment by me, SIMON and TED, one at a time and successively in that order, shall serve as successor Trustee. Notwithstanding the foregoing, if a named Trustee is not a U.S. citizen or resident at the time of commencement of his term as Trustee, such Trustee should give due consideration to declining to serve to avoid potential adverse U.S. income tax consequences by reason of the characterization of a trust hereunder as a foreign trust under the Code, but shall not be construed to have any duty to so decline if such Trustee desires to serve.

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2. Specific Trusts. Notwithstanding the preceding provisions of this Subparagraph IV.C, subsequent to my death I specifically appoint the following person or persons as Trustee of the following Trusts under the following described circumstances:

a. Trustee of the Marital Trust. SIMON and TED, one at a time and successively in that order, shall serve as Trustee of the Marital Trust. While serving as Trustee, my spouse may designate a co-Trustee to serve with my spouse and my spouse may remove and/or replace such co-Trustee from time to time.

b. Trustee of the Family Trust. SIMON and TED, one at a time and successively in that order, shall serve as Trustee of the Family Trust. While serving as Trustee, my spouse may designate a co-Trustee that is not a Related or Subordinate Party to serve with my spouse and my spouse may remove and/or replace such co-Trustee with another that is not a Related or Subordinate Party from time to time.

c. Trustee of Separate Trusts for My Children. Each child of mine shall serve as sole Trustee of his or her separate trust. While serving alone as Trustee, a child of mine may designate a co-Trustee that is not a Related or Subordinate Party to serve with such child and such child may remove and/or replace such co-Trustee with another that is not a Related or Subordinate Party from time to time.

d. Trustee of Separate Trusts for My Lineal Descendants Other Than My Children. In regard to a separate trust held for a lineal descendant of mine other than a child of mine which lineal descendant is the sole current mandatory or discretionary income beneficiary, each such lineal descendant shall serve as co-Trustee, or sole Trustee if the preceding described Trustees cease or are unable to serve or to continue to serve, of his or her separate trust upon reaching age twenty-five (25) years.

e. Trustee of Separate Trust for MATTHEW LOGAN. In regard to a separate trust held MATTHEW LOGAN, his mother, DEBORAH BERNSTEIN ("DEBORAH"), shall serve as Trustee until MATTHEW attains age 25 years, at which time he shall serve as a co-Trustee with DEBORAH of such separate trust.

3. Successor Trustees Not Provided For. Whenever a successor Trustee or co-Trustee is required and no successor or other functioning mechanism for succession is provided for under the terms of this Trust Agreement, the last serving Trustee or the last person or entity designated to serve as Trustee of the applicable trust may appoint his or her successor, and if none is so appointed, the following persons shall appoint a successor Trustee (who may be one of the persons making the appointment):

a. The remaining Trustees, if any; otherwise,

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b. A majority of the permissible current mandatory or discretionary income beneficiaries, including the natural or legal guardians of any beneficiaries who are Disabled,

A successor Trustee appointed under this subparagraph shall not be a Related or Subordinate Party of the trust. The appointment will be by a written document executed by such person in the presence of two witnesses and acknowledged before a notary public delivered to the appointed Trustee and to me if I am living and not Disabled or in a valid last Will. Notwithstanding the foregoing, a designation under this Subparagraph of a successor trustee to a corporate or entity trustee shall be limited to a corporate or entity trustee authorized to serve as such under Florida law with assets under trust management of no less than one billion dollars.

4. Power to Remove Trustee. Subsequent to my death, the age 25 or older permissible current mandatory or discretionary income beneficiaries from time to time of any trust established hereunder shall have the power to unanimously remove a Trustee of such trust at any time with or without cause, with the successor Trustee to be determined in accordance with the foregoing provisions.

D. Method of Appointment of Trustee. Any such appointment of a successor Trustee by a person shall be made in a written instrument executed by such person in the presence of two witnesses and acknowledged before a notary public which is delivered to such appointed Trustee during the lifetime of the person making such appointment, or any such appointment of a successor Trustee by a person may be made under the last Will of such person.

E. Limitations on Removal and Replacement Power. Any power to remove and/or replace a trustee hereunder that is granted to an individual (including such power when reserved to me) is personal to that individual and may not be exercised by a guardian, power of attorney holder, or other legal representative or agent.

F. Successor Fiduciaries. No Trustee is responsible for, nor has any duty to inquire into, the administration, acts or omissions of any executor, administrator, Personal Representative, or trustee or attorney-in-fact adding property to these Trusts, or of any predecessor Trustee. Each successor Trustee has all the powers, privileges, immunities, rights and title (without the execution of any instrument of transfer or any other act by any retiring Trustee) and all the duties of all predecessors.

G. Liability and Indemnification of Trustee.

1. Liability in General. No individual Trustee (that is, a Trustee that is not a corporation or other entity) shall be liable for any of his or her actions or failures to act as Trustee, even if the individual Trustee is found by a court to have been negligent or in breach of fiduciary duty, except for liability caused by his or her actions or failures to act done in bad faith or with reckless indifference to the purposes of the trust or the interests of the beneficiaries. Each Trustee that is a corporation or other entity will be liable for its actions or failures to act that are negligent or that breach its fiduciary duty, without contribution by any individual Trustee.

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2. Indemnification of Trustee. Except in regard to liabilities imposed on a Trustee under Subparagraph IV, G.1, each Trustee shall be held harmless and indemnified from the assets of the trust for any liability, damages, attorney's fees, expenses, and costs incurred as a result of its service as Trustee. A Trustee who ceases to serve for any reason will be entitled to receive reasonable security from the assets of the trust to protect it from liability, and may enforce these provisions for indemnification against the current Trustee or against any assets held in the trust, or if the former Trustee is an individual and not a corporation or other entity, against any beneficiary to the extent of distributions received by that beneficiary. This indemnification right extends to the estate, personal representatives, legal successors and assigns of a Trustee.

3. Indemnification of Trustee - Additional Provisions. I recognize that if a beneficiary accuses a Trustee of wrongdoing or breach of fiduciary duty, the Trustee may have a conflict of interest that ordinarily would prevent it from paying legal fees and costs from the trust estate to defend itself. I do not want to put a financial burden on any individual named to serve as a Trustee. Just as important, I do not want an individual who has been selected to serve as a Trustee to be reluctant to accept the position, or while serving to be intimidated in the performance of the Trustee's duties because of the threats of lawsuits that might force the Trustee to pay fees and costs from the Trustee's personal resources. For this reason, I deliberately and intentionally waive any such conflict of interest with respect to any individual serving as Trustee so that he or she can hire counsel to defend himself or herself against allegations of wrongdoing or if sued for any reason (whether by a beneficiary or by someone else) and pay all fees and costs for his or her defense from the trust estate until the dispute is resolved. I understand and agree that a court may award, disallow or allocate fees and costs in whole or in part after the dispute is resolved, as provided by law. The Trustee will account for all such fees and costs paid by it as provided by law. This provision shall not apply to any Trustee that is a corporation or other entity.

H. Compensation, Bond. Each Trustee is entitled to be paid reasonable compensation for services rendered in the administration of the trust. Reasonable compensation for a non-individual Trustee will be its published fee schedule in effect when its services are rendered unless otherwise agreed in writing, and except as follows. Any fees paid to a non-individual Trustee for making principal distributions, for termination of the trust, and upon termination of its services must be based solely on the value of its services rendered, not on the value of the trust principal. During my lifetime the Trustee's fees are to be charged wholly against income (to the extent sufficient), unless directed otherwise by me in writing. Each Trustee shall serve without bond.

I. Maintenance of Records. The Trustee shall maintain accurate accounts and records. It shall render annual statements of the receipts and disbursements of income and principal of a trust upon the written request of any adult vested beneficiary of such trust or the guardian of the person of any vested beneficiary and the approval of such beneficiary shall be binding upon all persons then or thereafter interested in such trust as to the matters and transactions shown on such statement. The Trustee may at any time apply for a judicial settlement of any account. No Trustee shall be required to file any statutory or other periodic accountings of the administration of a trust.

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J. Interested Trustee. The Trustee may act under this Agreement even if interested in these Trusts in an individual capacity, as a fiduciary of another trust or estate (including my estate) or in any other capacity. The Trustee may in good faith enter into a sale, encumbrance, or other transaction involving the investment or management of trust property for the Trustee's own personal account or which is otherwise affected by a conflict between the Trustee's fiduciary and personal interests, without liability and without being voidable by a beneficiary. The Trustee is specifically authorized to make loans to, to receive loans from, or to sell, purchase or exchange assets in a transaction with (i) the Trustee's spouse, (ii) the Trustee's children or grandchildren, siblings, parents, or spouses of such persons, (iii) an officer, director, employee, agent, or attorney of the Trustee, or (iv) a corporation, partnership, limited liability company, or other business entity in which the Trustee has a financial interest, provided that in any transaction the trusts hereunder receive fair and adequate consideration in money or money's worth. The Trustee may renounce any interest or expectancy of a trust in, or an opportunity to participate in, specified business opportunities or specified classes or categories of business opportunities that are presented to the Trustee. Such renunciation shall not prohibit the Trustee from participating in the Trustee's individual capacity in such opportunity or expectancy,

K. Third Parties. No one dealing with the Trustee need inquire into its authority or its application of property.

L. Merger of Trusts. If the Trustee is also trustee of a trust established by myself or another person by will or trust agreement, the beneficiaries to whom income and principal may then be paid and then operative terms of which are substantially the same as those of a trust held under this Agreement, the Trustee in its discretion may merge either such trust into the other trust. The Trustee, in exercising its discretion, shall consider economy of administration, convenience to the beneficiaries, tax consequences and any other factor it considers important. If it is later necessary to reestablish the merged trust as separate trusts, it shall be divided proportionately to the value of each trust at the time of merger.

M. Multiple Trustees. If two Trustees are serving at any time, any power or discretion of the Trustees may be exercised only by their joint agreement. Either Trustee may delegate to the other Trustee the authority to act on behalf of both Trustees and to exercise any power held by the Trustees. If more than two Trustees are serving at any time, and unless unanimous agreement is specifically required by the terms of this Trust Agreement, any power or discretion of the Trustees may be exercised only by a majority. The Trustees may delegate to any one or more of themselves the authority to act on behalf of all the Trustees and to exercise any power held by the Trustees. Trustees who consent to the delegation of authority to other Trustees will be liable for the consequences of the actions of those other Trustees as if the consenting Trustees had joined the other Trustees in performing those actions. A dissenting Trustee who did not consent to the delegation of authority to another Trustee and who has not joined in the exercise of a power or discretion cannot be held liable for the consequences of the exercise. A dissenting Trustee who joins only at the direction of the majority will not be liable for the consequences of the exercise if the dissent is expressed in writing delivered to any of the other Trustees before the exercise of that power or discretion.

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ARTICLE V. ADDITIONAL TAX AND RELATED MATTERS

A. GST Trusts.

1. Family Trust. I direct (a) that the Trustee shall divide any trust other than the Marital Trust to which there is allocated any GST exemption into two separate Trusts (each subject to the provisions of this Trust Agreement relating to the trust that is being divided) so that the generation-skipping tax inclusion ratio of one such trust is zero.

2. Marital Trust. I direct that, if possible, (a) the Trustee shall divide the Marital Trust into two separate Marital Trusts (each subject to the provisions hereof concerning the Marital Trust) so that through allocation of my GST exemption remaining unallocated at my death and not otherwise allocated to transfers occurring at or by reason of my death (including allocations to the Family Trust), if any, the generation-skipping tax inclusion ratio of one such Marital Trust is zero (the GST Marital Trust), (b) my Personal Representative to exercise the election provided by Code Section 2652(a)(3) as to the GST Marital Trust, and (c) that upon the death of my spouse the total amount recoverable by my spouse's estate from the property of the Marital Trusts under Code Section 2207A shall first be recoverable in full from the non-GST Marital Trust to the extent thereof.

3. Misc. I direct that (a) upon the death of the survivor of me and my spouse, any property then directed to be paid or distributed which constitutes a direct skip shall be paid first from property then exempt from generation-skipping taxation (by reason of the allocation of any GST exemption) to the extent thereof, (b) property exempt from generation-skipping taxation (by reason of the allocation of any GST exemption) and not directed to be paid or distributed in a manner which constitutes a direct skip shall be divided and distributed as otherwise provided herein and held for the same persons designated in Trusts separate from any property then also so divided which is not exempt from generation-skipping taxation, and (c) if upon the death of a beneficiary a taxable termination would otherwise occur with respect to any property held in trust for him or her with an inclusion ratio greater than zero, such beneficiary shall have with respect only to such property a power to appoint such fractional share thereof which if included in such beneficiary's gross estate for federal estate tax purposes (without allowing any deduction with respect to such share) would not be taxed at the highest federal estate tax rate and such fractional share of such property shall be distributed to such persons including only such beneficiary's estate, spouse, and lineal descendants, in such estates, interests, and proportions as such beneficiary may, by a will specifically referring to this general power appoint, and any part of a trust such beneficiary does not effectively appoint shall be treated as otherwise provided for disposition upon his or her death, provided, if upon his or her death two or more Trusts for his or her benefit are directed to be divided among and held or distributed for the same persons and the generation-skipping tax inclusion ratio of any such trust is zero, the amount of any other such trust to which there is allocated any of such beneficiary's GST exemption shall be added to the Trusts with generation-skipping tax inclusion ratios of zero in equal shares. I request (but do not require) that if two or more Trusts are held hereunder for any person, no principal be paid to such person from the Trusts with the lower inclusion ratios for generation-skipping tax purposes unless the trust with the highest inclusion ratio has been exhausted by use, consumption, distribution, or otherwise or is not reasonably available.

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Notwithstanding any other provision of this Trust Agreement, for purposes of funding any pecuniary payment or trust division to which there is allocated any GST exemption, such payment or trust division allocation shall be satisfied with cash or property which fairly represents appreciation and depreciation (occurring between the valuation date and the date of distribution) in all of the assets from which such distribution or allocation could be made, and any pecuniary payment made before a residual transfer of property to which any GST exemption is allocated shall be satisfied with cash or property which fairly represents appreciation and depreciation (occurring between the valuation date and the date of distribution) in all of the assets from which such pecuniary payment could be satisfied and shall be allocated a pro rata share of income earned by all such assets between the valuation date and the date of payment. In regard to the division or severance of a trust hereunder, including the Marital Trust, such division or severance shall be made in a manner that all resulting trusts are recognized for purposes of Chapter 13 of the Code, including without limitation complying with the requirements of Treas.Reg. §26.2654-1(b). Except as otherwise expressly provided herein, the valuation date with respect to any property shall be the date as of which its value is determined for federal estate tax purposes with respect to the transfer thereof, and subject to the foregoing, property distributed in kind in satisfaction of any pecuniary payment shall be selected on the basis of the value of such property on the valuation date. All terms used in this Article which are defined or explained in Chapter 13 of the Code or the regulations thereunder shall have the same meaning when used herein. The Trustee is authorized and directed to comply with the provisions of the Treasury Regulations interpreting the generation skipping tax provisions of the Code in severing or combining any trust, creating or combining separate trust shares, allocating GST exemption, or otherwise, as necessary to best accomplish the foregoing allocations, inclusion ratios, combinations, and divisions, including, without limitation, the payment of "appropriate interest" as determined by the Trustee as that term is applied and used in said Regulations.

B. Individual Retirement Accounts. In the event that this trust or any trust created under this Agreement is the beneficiary of an Individual retirement account established and maintained under Code Section 408 or a qualified pension, profit sharing or stock bonus plan established and maintained under Code Section 401 (referred to in this paragraph as "IRA"), the following provisions shall apply to such trust:

1. I intend that the beneficiaries of such trust shall be beneficiaries within the meaning of Code Section 401(a)(9) and the Treasury Regulations thereunder. All provisions of such trust shall be construed consistent with such intent. Accordingly, the following provisions shall apply to such trust:

a. No benefits from any IRA may be used or applied for the payment of any debts, taxes or other claims against my estate as set forth in the later paragraph captioned "Taxes", unless other assets of this trust are not available for such payment.

b. In the event that a beneficiary of any trust created under this Agreement has a testamentary general power of appointment or a limited power of appointment over all or any portion of any trust established under this Agreement, and if such trust is the beneficiary of any benefits from any IRA, the beneficiary shall not appoint any part of such trust to a charitable organization or to

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a lineal descendant of mine (or a spouse of a lineal descendant of mine) who is older than the beneficiary whose life expectancy is being used to calculate distributions from such IRA.

2. The Trustee shall deliver a copy of this Agreement to the custodian of any IRA of which this trust or any trust created under this Agreement is the named beneficiary within the time period prescribed Code Section 401(a)(9) and the Treasury Regulations thereunder, along with such additional items required thereunder. If the custodian of the IRA changes after a copy of this Agreement has been provided pursuant to the preceding sentence, the Trustee shall immediately provide a copy of this Agreement to the new custodian. The Trustee shall request each custodian to complete a receipt of the Agreement and shall attach such receipt to this Agreement. The Trustee shall provide a copy of each amendment of this Agreement to the custodian and shall obtain a receipt of such amendment.

C. Gift Transfers Made From Trust During My Lifetime. I direct that all gift transfers made from the trust during my lifetime be treated for all purposes as if the gift property had been first withdrawn by (or distributed to) me and then transferred by me to the donees involved. Thus, in each instance, even where title to the gift property is transferred directly from the name of the trust (or its nominee) into the name of the donee, such transfer shall be treated for all purposes as first a withdrawal by (or distribution of the property to) me followed by a gift transfer of the property to the donee by me as donor, the Trustee making the actual transfer in my behalf acting as my attorney in fact, this paragraph being, to that extent, a power of attorney from me to the Trustee to make such transfer, which power of attorney shall not be affected by my Disability, incompetence, or incapacity.

D. Death Costs. If upon my death the Trustee hold any United States bonds which may be redeemed at par in payment of federal estate tax, the Trustee shall pay the federal estate tax due because of my death up to the amount of the par value of such bonds and interest accrued thereon at the time of payment. The Trustee shall also pay from the trust all of my following death costs, but if there is an acting executor, administrator or Personal Representative of my estate my Trustee shall pay only such amounts of such costs as such executor, administrator or Personal Representative directs:

1. my debts which are allowed as claims against my estate,
2. my funeral expenses without regard to legal limitations,
3. the expenses of administering my estate,
4. the balance of the estate, inheritance and other death taxes (excluding generation-skipping transfer taxes unless arising from direct skips), and interest and penalties thereon, due because of my death with respect to all property whether or not passing under my Will or this Agreement (other than property over which I have a power of appointment granted to me by another person, and qualified terminable interest property which is not held in a trust that was subject to an election under Code Section 2652(a)(3) at or about the time of its funding) and life insurance proceeds on policies insuring my life which proceeds are not held under this trust or my probate estate at or by reason of my death), and

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5. any gifts made in my Will or any Codicil thereto.

The Trustee may make any such payment either to my executor, administrator or Personal Representative or directly to the proper party. The Trustee shall not be reimbursed for any such payment, and is not responsible for the correctness or application of the amounts so paid at the direction of my executor, administrator, or Personal Representative. The Trustee shall not pay any of such death costs with any asset which would not otherwise be included in my gross estate for federal or state estate or inheritance tax purposes, or with any asset which otherwise cannot be so used, such as property received under a limited power of appointment which prohibits such use. Further, no payment of any such death costs shall be charged against or paid from the property disposed of pursuant to the prior paragraphs captioned "Disposition of Tangible Personal Property", "Specific Cash Devise" nor from the Marital Trust.

E. Marital Trust. I intend the maximum obtainable reduction of federal estate tax due by reason of my death by use of the federal estate tax marital deduction, and qualification of all property of the Marital Trust for the marital deduction. This Agreement shall be construed and all powers shall be exercised consistent with such intent. For example, the Trustee shall not allocate any receipt to principal or any disbursement to income if such allocation understates the net income of the Marital Trust under Florida law; and upon the written demand of my spouse, the Trustee shall convert unproductive or underproductive property of said trust into productive property within a reasonable time notwithstanding any other provision hereunder. The foregoing notwithstanding, if my spouse survives me but dies within six months after my death, the Marital Trust provided in Subparagraph II.B will be reduced to that amount, if any, required to obtain for my estate an estate tax marital deduction resulting in the lowest combined estate taxes in my estate and my spouse's estate, on the assumption that my spouse died after me on the date of my death, that my spouse's estate is valued on the same date and in the same manner as my estate is valued for federal estate tax purposes, and that elections in my spouse's estate were made that would be consistent with minimizing taxes. The purpose of this provision is to equalize, insofar as possible, our estates for federal estate tax purposes, based on the above assumptions.

F. Subchapter S Stock. Regardless of anything herein to the contrary, in the event that after my death the principal of a trust includes stock in a corporation for which there is a valid election to be treated under the provisions of Subchapter S of the Code, the income beneficiary of such a trust is a U.S. citizen or U.S. resident for federal income tax purposes, and such trust is not an "electing small business trust" under Code Section 1361(e)(1) in regard to that corporation, the Trustee shall (a) hold such stock as a substantially separate and independent share of such trust within the meaning of Code Section 663(c), which share shall otherwise be subject to all of the terms of this Agreement, (b) distribute all of the income of such share to the one income beneficiary thereof in annual or more frequent installments, (c) upon such beneficiary's death, pay all accrued or undistributed income of such share to the beneficiary's estate, (d) distribute principal from such share during the lifetime of the income beneficiary only to such beneficiary, notwithstanding any powers of appointment granted to any person including the income beneficiary, and (e) otherwise administer such share in a manner that qualifies it as a "qualified Subchapter S trust" as that term is defined in Code Section 1361(d)(3), and shall otherwise.

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manage and administer such share as provided under this Agreement to the extent not inconsistent with the foregoing provisions of this paragraph.

G. Residence as Homestead. Regardless of anything herein to the contrary, while any residential real property located in Florida is owned by a trust, I, or my spouse if I am not then living and such trust is the Marital Trust, shall have the right to use, possess and occupy such residence as a personal residence so that such right shall constitute a possessory right in such real property within the meaning of Florida Statute Section 196.041.

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IN WITNESS WHEREOF, the parties hereto have executed this Trust Agreement on the date first above written.

SETTLOR and TRUSTEE:

[Signature]
SHIRLEY BERNSTEIN

This instrument was signed by SHIRLEY BERNSTEIN in our presence, and at the request of and in the presence of SHIRLEY BERNSTEIN and each other, we subscribe our names as witnesses on this 20 day of May, 2008:

[Signature]
Print Name: ROBERT L. SPALLINA
Address: 7387 WISTERIA AVENUE
PARKLAND, FL 33076

[Signature]
Print Name: TRACI KEATISH
Address: 16068 CLENCREST AVENUE
DELERY BEACH, FL 33446

STATE OF FLORIDA

SS.

COUNTY OF PALM BEACH

The foregoing instrument was acknowledged before me this 20 day of May, 2008, by SHIRLEY BERNSTEIN.

NOTARY PUBLIC STATE OF FLORIDA
Kimberly Moran
Commission # DD766470
Expires: APR. 28, 2012
BONDED THRU ATLANTIC BONDING CO., INC.

[Seal With Commission Expiration Date]

[Signature]
Signature - Notary Public-State of Florida

Print, type or stamp name of Notary Public

Personally Known [initials] or Produced Identification _____
Type of Identification Produced _____

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SHIRLEY BERNSTEIN
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ATTACHMENT

The following property has been delivered in trust under this Agreement:

One Dollar (\$1.00) Cash

During my life, the Trustee has no duty to maintain, invest, review, insure, account for, or any other responsibility with respect to trust property other than income producing property, or any duty to pay premiums on life insurance payable to the trust, and shall receive no fee for its services as Trustee based on any trust property other than income producing property.



SHIRLEY BERNSTEIN, Settlor and Trustee

ELIOT BERNSTEIN
FAMILY TRUST

Prepared by:

Tescher & Spallina, P.A.
2101 Corporate Blvd., Suite 107, Boca Raton, Florida 33431
(561) 998-7847
www.tescherlaw.com

TESCHER & SPALLINA, P.A.

ELIOT BERNSTEIN

FAMILY TRUST

THIS IRREVOCABLE TRUST AGREEMENT is made and entered into this 20 day of May, 2008, by and between SIMON L. BERNSTEIN, a resident of Palm Beach County, Florida, as grantor, hereinafter referred to in the first person, and SIMON L. BERNSTEIN and SHIRLEY BERNSTEIN as co-trustees (referred to as the "*Trustee*," which term more particularly refers to all individuals and entities serving as trustee of a trust created hereunder during the time of such service, whether alone or as co-trustees, and whether originally serving or as a successor trustee), and ROBERT L. SPALLINA as the independent trustee (referred to as the "*Independent Trustee*," which term more particularly refers to all individuals and entities serving as independent trustee of a trust created hereunder during the time of such service, whether alone or as co-trustees, and whether originally serving or as a successor independent trustee). I have delivered to the Trustee certain property as set forth in the Attachment annexed hereto, receipt of which is hereby acknowledged by the Trustee. Such property, and any additions to such property, shall be held in trust as provided in this Agreement.

ARTICLE I. TRUST ADMINISTRATION

A. Additions, Substitutions and Trust Irrevocable. I or any other person may cause additional property to be added hereunder at any time during life or at death by will, insurance or death benefit beneficiary designation or otherwise. I shall have no right or power, either alone or in conjunction with any other person, to alter, amend, revoke or terminate any of the terms of this Agreement in any manner whatever. Unless and until surrendered by me in a writing delivered to the Trustee, I retain the power, to be exercised in an individual and nonfiduciary capacity (*i.e.*, without any fiduciary duty to any beneficiary with respect to its exercise or nonexercise) and without requiring the consent or approval of any person, to from time to time reacquire trust principal by substituting other property of equivalent value for said principal. Notwithstanding the foregoing, said right of substitution shall not apply to any insurance policies on my life owned by this Trust that would cause me to have any incidents of ownership as that term is defined under Section 2042 of the Code and the Regulations thereunder. I shall have the right at any time or times by an instrument, in writing, delivered to the Trustee to relinquish the right of substitution provided for herein.

B. Rights of Withdrawal. In any calendar year during my life in which property is contributed to the Trust by gift, each Withdrawal Beneficiary with respect to such contribution, acting personally or through his or her legal or natural guardian or attorney-in-fact, is hereby granted the absolute right, with respect to each such contribution, by written instrument or instruments delivered to the Trustee prior to the termination of such right, to withdraw from the principal of the Trust, from time

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to time, an amount having an aggregate value (as of the date or dates of withdrawal) equal to such Withdrawal Beneficiary's Withdrawal Amount with respect to such contribution. Any such right to withdraw shall terminate at the earliest time and then to the extent that any such termination will not result in a taxable gift made by the individual holding the right, provided, no part of any right to withdraw shall terminate less than 60 days after the contribution to the Trust to which such right relates.

1. For purposes of this Subparagraph I.B., a Withdrawal Beneficiary with respect to a contribution to the Trust shall mean each person designated by the contributor to the Trustee in writing contemporaneously with such contribution, provided, in the event the contributor fails to make any such designation with respect to a contribution, my then living lineal descendants and their spouses shall be the Withdrawal Beneficiary with respect to such contribution.

2. For purposes of this Subparagraph I.B., each Withdrawal Beneficiary's Withdrawal Amount with respect to a contribution shall be such amount designated by the contributor to the Trustee in writing contemporaneously with the contributor's designation of such person as a Withdrawal Beneficiary, provided, if the contributor of such contribution fails to designate a Withdrawal Amount with respect to any Withdrawal Beneficiary, then each Withdrawal Beneficiary's Withdrawal Amount with respect to such contribution shall be an amount equal to a fraction (defined below) multiplied by the lesser of (i) the value of such contribution (at the time of such contribution), or (ii) the sum of the amounts of all federal gift tax exclusions then available to the contributor with respect to all Withdrawal Beneficiaries with respect to such contribution. The numerator of said fraction shall be the amount of any federal gift tax exclusion available to such contributor with respect to such Withdrawal Beneficiary (at the time of such contribution) and the denominator shall be the sum of the amounts of all federal gift tax exclusions then available to such contributor with respect to all such Withdrawal Beneficiaries. One-half of a contribution made by a married person shall be treated as a second separate contribution made by his or her spouse, provided, if such married person's spouse is then one of such Withdrawal Beneficiaries, only one-half of the excess of such contribution (at the time of such contribution) over the amount of the federal gift tax exclusion then available to such contributor with respect to his or her spouse shall be so treated.

3. Regardless of anything in this Subparagraph I.B. to the contrary, each contributor of a contribution to this Trust shall have the right with respect to such contribution by a written instrument delivered to the Trustee at the time of such contribution (i) to exclude any person who would otherwise have a right of withdrawal from exercising such power; (ii) to increase or decrease the amount subject to any right of withdrawal except that the amount subject to all withdrawal rights shall not exceed the amount of the contribution; and/or (iii) to change the period during which any right of withdrawal may be exercised.

4. The Trustee shall inform any Withdrawal Beneficiary of the existence of such right of withdrawal within ten days after it comes into existence but not later than the last day of the calendar year in which it comes into existence. Any such Withdrawal Beneficiary or his or her guardian may, after receiving such notice at least once, waive further notices by an instrument in writing delivered to the Trustee.



C. Trusts for ELIOT BERNSTEIN and my Lineal Descendants. The Trust shall be administered as follows for its beneficiaries:

1. Initial Beneficiary. My son, ELIOT BERNSTEIN, shall be the first principal beneficiary of the Trust.

2. Net Income and Principal Distributions.

a. The Trustee shall pay to or apply for the benefit of a principal beneficiary and the lineal descendants of a principal beneficiary dependent on such principal beneficiary for support, so much of the net income and then principal of his or her separate Trust as the Independent Trustee determines in its sole, absolute and unreviewable discretion, provided, however, that while a principal beneficiary is serving as Trustee hereunder, he or she may make distributions to or for the benefit of himself or herself for such beneficiary's Needs without any authorization from the Independent Trustee. Having in mind the extent to which funds will be available for expenditure for the benefit of such beneficiaries, the Independent Trustee is authorized to expend such amounts as it, in its sole, absolute and unreviewable discretion, shall determine to maintain the then current lifestyle of such beneficiaries, including, but not limited to, complete authority to provide for their personal care and comfort in any manner whatsoever. Net income that is not distributed shall be added to principal on an annual basis.

b. The Independent Trustee is specifically authorized in its sole, absolute and unreviewable discretion to acquire, hold and maintain one or more residences (whether held as real property, condominium or cooperative apartment) for the use and benefit of the principal beneficiary and his or her cohabitating spouse and lineal descendants, and to sell or otherwise dispose of such residences when not desired for such use and benefit. The Independent Trustee is authorized to pay all carrying charges of such residences, including, but not limited to, any taxes, assessments and maintenance thereon, and all expenses of the repair, renovation, improvement and operation thereof, including the employment of domestic servants and other expenses incident to the running of a household for the benefit of such beneficiaries.

c. In exercising the discretions conferred in this Subparagraph, the Independent Trustee should give due consideration to the advisability of using the principal beneficiary's own assets and resources in order to reduce the amount of the principal beneficiary's taxable estate, thereby minimizing the amount of the principal beneficiary's future taxes. Further, it is my intent that this Trust be used to enhance the principal beneficiaries' quality of life, including (without limitation) travel, purchase of a home, cultural appreciation and enjoyment (music, arts, etc.), and education. In addition, I would like this Trust to provide a source of funds in the event that a principal beneficiary, through accident or misfortune, does not have sufficient sources of income to provide for his or her own support. I expect my lineal descendants to support themselves independently and to be productive members of their communities and not to become dependent upon distributions from the Trusts to the extent that they lose their ambition and incentive. When a beneficiary is able to be gainfully employed

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and is not actively engaged in raising his or her children, the Independent Trustee should give due consideration in exercising its discretion to not using Trust assets to replace the beneficiary's own efforts to work and accumulate financial security. However, it is not my intent to force a parent to work outside the home when he or she has determined that it is important to stay at home to raise a family. In addition, I do not intend that the Independent Trustee place undue emphasis on the amount a beneficiary earns if he or she is actively engaged in a worthwhile pursuit, including working as an unpaid volunteer for charitable purposes. In prioritizing distributions between the principal beneficiary and his or her lineal descendants, it is my intent that my first priority is the principal beneficiary. In addition to the foregoing guidance, I request, but do not require, that my lineal descendants take adequate precautions for the protection of our family's wealth and property from marital discord through the use of prenuptial agreements or other similar planning and devices. I also request, but do not require, that my lineal descendants pursue higher education, to the best of their abilities and individual circumstances. For some descendants this may mean the completion of a college education, the receipt of a masters or a doctorate, or a professional degree, and for others this may mean training in their chosen vocation. It is not my goal that the Independent Trustee reward professional students, nor punish those lineal descendants for whom life or individual circumstances indicate that the pursuit of higher education is not practical or advantageous, but only to encourage my lineal descendants to take full advantage of all educational opportunities open to them and not rush their entry into the workplace. I do not intend by these expressions of intent to bind the Independent Trustee or alter the absolute discretion it has been granted hereunder or create enforceable obligations to any beneficiary, but merely to provide general guidance to the Independent Trustee in the exercise of its discretions.

3. Death of a Principal Beneficiary. If a principal beneficiary dies with assets remaining in his or her separate Trust, upon his or her death he or she may appoint all or part of his or her Trust, in trust, to or for the benefit of one or more of my lineal descendants and their spouses (excluding from said class, however, such principal beneficiary and such principal beneficiary's creditors, estate, and creditors of such principal beneficiary's estate), provided that any such appointment to a surviving spouse of a principal beneficiary shall be limited to a life estate in all or a lesser portion of such principal beneficiary's separate Trust, and such spouse's separate trust shall be administered as provided in Subparagraph I.D. below. Any part of his or her Trust such principal beneficiary does not effectively appoint shall upon his or her death be divided among and held in separate Trusts for the following persons with such persons to become the principal beneficiary thereunder;

- a. for his or her lineal descendants then living, *per stirpes*; or
- b. if he or she leaves no lineal descendant then living, *per stirpes* for the lineal descendants then living of his or her nearest ancestor (among me and my lineal descendants) with a lineal descendant then living who is also a lineal descendant of my spouse, SHIRLEY BERNSTEIN.

Such separate Trusts shall be administered as provided for trusts under this Subparagraph I.C., or added to Trusts established for such principal beneficiaries that are already in existence under Subparagraph I.C.



D. Administration of Separate Trust for Spouse. The Independent Trustee shall pay to the spouse of a principal beneficiary, so much of the net income and principal of his or her separate trust as is proper for such spouse's Needs. Net income that is not distributed shall be added to principal on an annual basis. Upon the death of a spouse of a principal beneficiary, the remaining assets of his or her separate trust shall be divided among and held in separate Trusts for his or her lineal descendants then living, *per stirpes*, who are also lineal descendants of the predeceased principal beneficiary who established this Trust for his or her spouse pursuant to the power of appointment granted to said principal beneficiary under Subparagraph I.C. above. Each lineal descendant for whom a separate trust is established shall become the principal beneficiary of such separate Trusts and such separate trusts shall be administered as provided under Subparagraph I.C., or added to Trusts established for such principal beneficiaries that are already in existence under Subparagraph I.C.

E. Termination of Small Trust. If at any time after my death in the opinion of the Trustee a separate Trust holds assets of a value of less than \$50,000.00 and is too small to justify the expense of its retention, and termination of such Trust is in the best interests of its current principal beneficiary, the Independent Trustee in its discretion may terminate such Trust and pay it to said principal beneficiary.

F. Contingent Gift. If at any time property of a Trust held under this Agreement is not disposed of under the other provisions of this Agreement, it shall be paid, as a gift made hereunder, to such persons and in such shares as such property would be distributed if I had then owned such property and had then died solvent, unmarried and intestate domiciled in the State of Florida, according to the laws of Florida then in effect.

G. Maximum Duration. Regardless of anything in this Agreement to the contrary, no trust interest herein created shall continue beyond three hundred sixty (360) years after the date of creation of this Agreement, nor shall any power of appointment be exercised in such manner so as to delay vesting of any trust beyond such period. Immediately prior to the expiration of such period, all such trusts then in existence shall terminate, and the assets thereof shall be distributed outright and in fee to then beneficiaries of the current income and in the proportions in which such persons are the beneficiaries, and if such proportions cannot be ascertained, then equally among such beneficiaries.

ARTICLE II. GENERAL

A. Disability. While any beneficiary is Disabled, income or principal payable to him or her may, in the discretion of the Trustee, be paid directly to him or her, without the intervention of a guardian, directly to his or her creditors or others for his or her sole benefit or to an adult person or an eligible institution (including the Trustee) selected by the Trustee as custodian for such a minor beneficiary under the Uniform Transfers to Minors Act or similar law. The receipt by such payee is a complete release to the Trustee.

B. Substance Abuse.

1. In General. If the Independent Trustee reasonably believes that a beneficiary of any trust (which for purposes of this Subparagraph II.B.1 includes the lineal descendants of a principal beneficiary who are eligible to receive distributions from that trust):

a. routinely or frequently uses or consumes any illegal substance so as to be physically or psychologically dependent upon that substance, or

b. is clinically dependent upon the use or consumption of alcohol or any other legal drug or chemical substance that is not prescribed by a board certified medical doctor or psychiatrist in a current program of treatment supervised by such doctor or psychiatrist,

and if the Independent Trustee reasonably believes that as a result the beneficiary is unable to care for himself or herself, or is unable to manage his or her financial affairs, all distributions (including distributions upon termination of the trust) to the beneficiary, all of the beneficiary's withdrawal rights (if any), and all of the beneficiary's rights to participate in decisions concerning the removal and appointment of Trustees and Independent Trustees will be suspended. In that event, the following provisions of this Subparagraph II.B will apply.

2. Testing. The Independent Trustee may request the beneficiary to submit to one or more examinations (including laboratory tests of bodily fluids) determined to be appropriate by a board certified medical doctor and to consent to full disclosure to the Independent Trustee of the results of all such examinations. The Independent Trustee shall maintain strict confidentiality of those results and shall not disclose those results to any person other than the beneficiary without the prior written permission of the beneficiary. The Independent Trustee may totally or partially suspend all distributions otherwise required or permitted to be made to that beneficiary until the beneficiary consents to the examination and disclosure to the Independent Trustee.

3. Treatment. If, in the opinion of the examining doctor, the examination indicates current or recent use of a drug or substance as described above, the examining doctor will determine an appropriate method of treatment for the beneficiary (for example, counseling or treatment on an in-patient basis in a rehabilitation facility) that is acceptable to the Independent Trustee. If the beneficiary consents to the treatment, the Independent Trustee may, in its absolute and unfettered discretion, pay the costs of treatment including directly to the provider of those services.

4. Resumption of Distributions. The Independent Trustee may resume other distributions to the beneficiary (and the beneficiary's other suspended rights will be restored) when, in the case of use or consumption of an illegal substance, examinations indicate no such use for 12 months and, in all cases, when the Independent Trustee in its discretion determines that the beneficiary is able to care for himself or herself and is able to manage his or her financial affairs.

5. Exoneration. No Independent Trustee (nor any doctor retained by the



Independent Trustee) will be responsible or liable to anyone for a beneficiary's actions or welfare. The Independent Trustee has no duty to inquire whether a beneficiary uses drugs or other substances as described in this Subparagraph II.B. The Independent Trustee (and any doctor retained by the Independent Trustee) is to be indemnified from the Trust estate and held harmless from any liability of any nature in exercising its judgment and authority under this Subparagraph II.B, including any failure to request a beneficiary to submit to medical examination, and including a decision to distribute amounts to a beneficiary.

6. Tax Savings Provision. Despite the provisions of this Subparagraph II.B, the Independent Trustee cannot suspend any mandatory distributions or withdrawal rights that are required for that trust to become or remain a Qualified Subchapter S Trust (unless the Independent Trustee elects for the trust to be an Electing Small Business Trust), or to qualify for any federal transfer tax exemption, deduction, or exclusion allowable with respect to that trust.

C. Income on Death of Beneficiary. Subject to the following Subparagraph captioned "Subchapter S Stock," and except as otherwise explicitly provided herein, upon the death of any principal beneficiary, all accrued or undistributed income of such deceased principal beneficiary's Trust shall pass with the principal of his or her Trust but shall remain income for trust accounting purposes.

D. Definitions. In this Agreement,

1. Children, Lineal Descendants. The terms "*child*," "*children*" and "*lineal descendant*" mean only persons whose relationship to the ancestor designated is created entirely by or through (a) legitimate births occurring during the marriage of the joint biological parents to each other, (b) children and their lineal descendants arising from surrogate births and/or third party donors when (i) the child is raised from birth by a married couple through the pendency of such marriage, (ii) one of such couple is the designated ancestor, and (iii) to the best knowledge of the Trustee both members of such couple participated in the decision to have such child, and (c) lawful adoptions of minors under the age of twelve years. No such child or lineal descendant loses his or her status as such through adoption by another person. Notwithstanding the foregoing, for all purposes of this Trust and the dispositions made hereunder, my children shall only include TED S. BERNSTEIN, PAMELA B. SIMON, ELIOT BERNSTEIN, JILL IANTONI and LISA S. FRIEDSTEIN, and my lineal descendants shall include only said named individuals and their respective lineal descendants.

2. Code. "*Code*" means the Internal Revenue Code of 1986, as amended, and in referring to any particular provision of the Code, includes a reference to any equivalent or successor provision of a successor federal tax law.

3. Disabled. "*Disabled*" or being under "*Disability*" means, as to any applicable individual: (1) being under the age of 21 years, (2) having been adjudicated by a court of competent jurisdiction as mentally or physically incompetent or unable to manage his or her own property or personal affairs (or a substantially similar finding under applicable state or national law), or (3) being unable to properly manage his or her personal or financial affairs, or a trust estate hereunder as to a

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Trustee hereunder, because of a mental or physical impairment (whether temporary or permanent in nature). A written certificate executed by an individual's attending physician or attending psychiatrist confirming that person's impairment will be sufficient evidence of Disability under item (3) above, and all persons may rely conclusively on such a certificate.

4. Needs Distributions. Payments to be made for a person's "*Needs*" means payments for such person's support, health (including lifetime residential or nursing home care), maintenance and education. However, the Trustee, based upon information reasonably available to it, shall make such payments for a person's Needs only to the extent such person's income, and funds available from others obligated to supply funds for such purposes (including, without limitation, pursuant to child support orders and agreements), are insufficient in its opinion for such purposes, and shall take into account such person's accustomed manner of living, age, health, marital status and any other factor it considers important. Income or principal to be paid for a person's Needs may be paid to such individual or applied by the Trustee directly for the benefit of such person. The Trustee may make a distribution or application authorized for a person's Needs even if such distribution or application substantially depletes or exhausts such person's trust, without any duty upon the Trustee to retain it for future use or for other persons who might otherwise benefit from such trust.

5. Per Stirpes. In a division "*per stirpes*" each generation shall be represented and counted whether or not it has a living member.

6. Related or Subordinate Party. A "*Related or Subordinate Party*" to a trust describes a beneficiary of the subject trust or a related or subordinate party to a beneficiary of the trust as the terms "related or subordinate party" are defined under Code Section 672(c).

7. Spouse. A person's "*spouse*" includes only a spouse then married to and living as husband and wife with him or her, or a spouse who was married to and living as husband and wife with him or her at his or her death. The following rules apply to each person who is a beneficiary or a permissible appointee under this Trust Agreement and who is married to a descendant of mine. Such a person will cease to be a beneficiary and will be excluded from the class of permissible appointees and distribution recipients upon:

- a. the legal termination of the marriage to my descendant (whether before or after my death), or
- b. the death of my descendant if a dissolution of marriage proceeding was pending when he or she died.

The Trust will be administered as if that person had died upon the happening of the terminating event described above.

8. Gender Number. Where appropriate, words of any gender include all genders and the singular and plural are interchangeable.

E. Powers of Appointment. Property subject to a power of appointment shall be paid to, or retained by the Trustee or paid to any trustee under any will or trust agreement for the benefit of, such one or more permissible appointees, in such amounts and proportions, granting such interests, powers and powers of appointment, and upon such conditions including spendthrift provisions as the holder of such power (i) in the case of a power exercisable upon the death of such holder, appoints in his or her will or in a trust agreement revocable by him or her until his or her death, or (ii) in the case of a power exercisable during the life of such holder, appoints in a written instrument signed by such holder, two witnesses and a notary public, but in either case only if such will, trust agreement, or instrument specifically refers to such power.

F. Limitations on Powers of Trustee. Regardless of anything herein to the contrary, no Trustee shall make or participate in making any distribution of income or principal of a trust to or for the benefit of a beneficiary which would directly or indirectly discharge any legal obligation of such Trustee or a donor of such trust (as an individual) to support such beneficiary; and no Trustee shall make or participate in making any discretionary distribution of income or principal to or for the benefit of himself or herself other than for his or her Needs, including by reason of a determination to terminate a trust described herein.

G. Presumption of Survivorship. If any person shall be required to survive another person in order to take any interest under this Agreement, the former person shall be deemed to have predeceased the latter person, if such persons die under circumstances which make it difficult or impracticable to determine which one died first.

H. Protective Provision. No beneficiary of any Trust herein created shall have any right or power to anticipate, transfer, pledge, sell, alienate, assign or encumber in any way his or her interest in the income or principal of such trust. Furthermore, no creditor shall have the right to attach, lien, seize or levy upon the interest of the beneficiary in this Trust and such interest shall not be liable for or subject to the debts, liabilities or obligations of any such beneficiary or any claims against such beneficiary (whether voluntarily or involuntarily created), and the Trustee shall pay directly to or for the use or benefit of such beneficiary all income and principal to which such beneficiary is entitled, notwithstanding that such beneficiary has executed a pledge, assignment, encumbrance or in any other manner alienated or transferred his or her beneficial interest in the Trust to another. This paragraph shall not preclude the effective exercise of any power of appointment granted herein or the exercise of any disclaimer.

I. Governing Law. This Agreement is governed by the law of the State of Florida.

J. Mandatory Notice Required by Florida Law. The trustee of a trust may have duties and responsibilities in addition to those described in the instrument creating the trust. If you have questions, you should obtain legal advice.



K. Release of Medical Information.

1. Disability of Beneficiary. Upon the written request of the Independent Trustee (with or without the concurrence of co-Trustees) issued to any current income or principal beneficiary (including discretionary beneficiaries) for whom a determination of Disability is relevant to the administration of a trust hereunder and for whom a Trustee (with or without the concurrence of co-Trustees) desires to make such a determination, such beneficiary shall issue to all Trustees including Independent Trustees (who shall be identified thereon both by name to the extent known and by class description) a valid authorization under the Health Insurance Portability and Accountability Act of 1996 and any other applicable or successor law authorizing all health care providers and all medical sources of such requested beneficiary to release protected health information of the requested beneficiary to all such Trustees that is relevant to the determination of the Disability of the requested beneficiary as Disability is defined hereunder. The period of each such valid authorization shall be for six months (or the earlier death of the requested beneficiary). If such beneficiary (or his or her legal representative if such beneficiary is a minor or legally disabled) refuses within thirty days of receipt of the request to provide a valid authorization, or at any time revokes an authorization within its term, the Trustee shall treat such beneficiary as Disabled hereunder until such valid authorization is delivered.

2. Disability of Trustee. Upon the request to a Trustee, including myself and an Independent Trustee, that is an individual by (a) a co-Trustee, or if none, (b) the person or entity next designated to serve as a successor Trustee not under legal incapacity, or if none, (c) any adult current income or principal beneficiary not under legal incapacity, or in any event and at any time (d) a court of competent jurisdiction, such Trustee shall issue to such person and all persons, courts of competent jurisdiction, and entities (who shall be identified thereon both by name to the extent known and by class description), with authority hereunder to determine such requested Trustee's Disability, a valid authorization under the Health Insurance Portability and Accountability Act of 1996 and any other applicable or successor law authorizing all health care providers and all medical sources of such requested Trustee to release protected health information of the requested Trustee to such persons, courts and entities, that is relevant to the determination of the Disability of the requested Trustee as Disability is defined hereunder. The period of each such valid authorization shall be for six months (or the earlier death or resignation of the requested Trustee). If such requested Trustee refuses within thirty days of receipt of the request to deliver a valid authorization, or at any time revokes an authorization within its term, such requested Trustee shall thereupon be treated as having resigned as Trustee hereunder.

3. Authorization to Issue Certificate. All required authorizations under this paragraph shall include the power of a physician or psychiatrist to issue a written certificate to the appropriate persons or entities as provided in paragraph II.D.3 hereof.

ARTICLE III. FIDUCIARIES

A. Powers of the Trustee. The Trustee has the powers now or hereafter provided by law and the following powers exercisable without court approval, provided, however, that the Trustee shall

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exercise all powers in a fiduciary capacity:

1. Investments. To sell or exchange at public or private sale and on credit or otherwise, with or without security, and to lease for any term or perpetually, any property, real and personal, at any time forming a part of the trust estate (the "*estate*"); to grant and exercise options to buy or sell; to make purchases from my estate, any trust established by me during my lifetime, for full and adequate consideration and to make loans to my estate for adequate and reasonable interest and security, and the Trustee is expressly authorized to purchase stock and securities for adequate and full consideration owned by my estate, any trust established by me during my lifetime, whether such stock and securities are issued by closely held corporations or publicly traded corporations; to invest or reinvest in real or personal property of every kind, description and location; and to receive and retain any such property whether originally a part of any trust herein created or subsequently acquired, even if the Trustee is personally interested in such property, and without liability for any decline in the value thereof; all without limitation by any statutes or judicial decisions whenever enacted or announced, regulating investments or requiring diversification of investments, it being my intention to give the broadest investment powers and discretion to the Trustee. Any bank, trust company, or other corporate trustee serving hereunder as Trustee is authorized to invest in its own common trust funds.

2. Special Investments. The Trustee is expressly authorized (but not directed) to retain, make, hold, and dispose of investments not regarded as traditional for trusts, including interests or investments in privately held business and investment entities and enterprises, including without limitation stock in closely held corporations, limited partnership interests, joint venture interests, mutual funds, business trust interests, and limited liability company membership interests, notwithstanding (a) any applicable prudent investor rule or variation thereof, (b) common law or statutory diversification requirements (it being my intent that no such duty to diversify shall exist) (c) a lack of current cash flow therefrom, (d) the presence of any risk or speculative elements as compared to other available investments (it being my intent that the Trustee have sole and absolute discretion in determining what constitutes acceptable risk and what constitutes proper investment strategy), (e) lack of a reasonable rate of return, (f) risks to the preservation of principal, (g) violation of a Trustee's duty of impartiality as to different beneficiaries (it being my intent that no such duty exists for this purpose), and (h) similar limitations on investment under this Agreement or under law pertaining to investments that may or should be made by a Trustee (including without limitation the provisions of Fla.Stats. §518.11 and successor provisions thereto that would characterize such investments as forbidden, imprudent, improper or unlawful). The Trustee shall not be responsible to any trust created hereunder or the beneficiaries thereof for any loss resulting from any such authorized investment, including without limitation loss engendered by the higher risk element of that particular entity, investment, or enterprise, the failure to invest in more conservative investments, the failure to diversify trust assets, the prudent investor rule or variant thereof. Notwithstanding any provisions for distributions to beneficiaries hereunder, if the Trustee determines that the future potential investment return from any illiquid or closely held investment asset warrants the retention of that investment asset or that sufficient value could not be obtained from the sale or other disposition of an illiquid or closely held investment asset, the Trustee is authorized to retain that asset and if necessary reduce the distributions to beneficiaries due to lack of sufficient liquid or marketable assets. However, the preceding provisions of this Subparagraph shall not

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reduce any income distributions otherwise required hereunder for a "qualified subchapter S trust" as that term is defined in Code Section 1361(d)(3).

3. Distributions. To make any division or distribution pro rata or non-pro rata, in cash or in kind, and to allocate undivided interests in property and dissimilar property (without regard to its tax basis) to different shares.

4. Management. To manage, develop, improve, partition or change the character of an asset or interest in property at any time; and to make ordinary and extraordinary repairs, replacements, alterations and improvements, structural or otherwise.

5. Borrowing. To borrow money from anyone on commercially reasonable terms, including entities owned in whole or in part by the trust, a Trustee, beneficiaries and other persons who may have a direct or indirect interest in a Trust; and to mortgage, margin, encumber and pledge real and personal property of a trust as security for the payment thereof, without incurring any personal liability thereon and to do so for a term within or extending beyond the terms of the trust and to renew, modify or extend existing borrowing on similar or different terms and with the same or different security without incurring any personal liability; and such borrowing from a Trustee may be with or without interest, and may be secured with a lien on trust assets.

6. Lending. To extend, modify or waive the terms of any obligation, bond or mortgage at any time forming a part of a trust and to foreclose any such mortgage; accept a conveyance of encumbered property, and take title to the property securing it by deed in lieu of foreclosure or otherwise and to satisfy or not satisfy the indebtedness securing said property; to protect or redeem any such property from forfeiture for nonpayment of taxes or other lien; generally, to exercise as to such bond, obligation or mortgage all powers that an absolute owner might exercise; and to loan funds to beneficiaries at commercially reasonable rates, terms and conditions.

7. Abandonment of Property. To abandon any property or asset when it is valueless or so encumbered or in such condition that it is of no benefit to a trust. To abstain from the payment of taxes, liens, rents, assessments, or repairs on such property and/or permit such property to be lost by tax sale, foreclosure or other proceeding or by conveyance for nominal or no consideration to anyone including a charity or by escheat to a state; all without personal liability incurred therefor.

8. Real Property Matters. To subdivide, develop or partition real estate; to purchase or sell real property and to enter into contracts to do the same; to dedicate the same to public use; to make or obtain the location of any plats; to adjust boundaries; to adjust differences in valuations on exchange or partition by giving or receiving consideration; and, to grant easements with or without consideration as the Trustee may determine; and to demolish any building, structures, walls and improvements, or to erect new buildings, structures, walls and improvements and to insure against fire and other risks; and to protect and conserve, or to lease, or to encumber, or otherwise to manage and dispose of the real property to the extent such power is not otherwise granted herein or otherwise restricted herein.

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9. Claims. To enforce, compromise, adjust, arbitrate, release or otherwise settle or pay any claims or demands by or against a trust.

10. Business Entities. To deal with any business entity or enterprise even if a Trustee is or may be a fiduciary of or own interests in said business entity or enterprise, whether operated in the form of a corporation, partnership, business trust, limited liability company, joint venture, sole proprietorship, or other form (all of which business entities and enterprises are referred to herein as "***Business Entities***"). I vest the Trustee with the following powers and authority in regard to Business Entities:

a. To retain and continue to operate a Business Entity for such period as the Trustee deems advisable;

b. To control, direct and manage the Business Entities. In this connection, the Trustee, in its sole discretion, shall determine the manner and extent of its active participation in the operation and may delegate all or any part of its power to supervise and operate to such person or persons as the Trustee may select, including any associate, partner, officer or employee of the Business Entity;

c. To hire and discharge officers and employees, fix their compensation and define their duties; and similarly to employ, compensate and discharge agents, attorneys, consultants, accountants, and such other representatives as the Trustee may deem appropriate; including the right to employ any beneficiary or fiduciary in any of the foregoing capacities;

d. To invest funds in the Business Entities, to pledge other assets of a trust as security for loans made to the Business Entities, and to lend funds from a trust to the Business Entities;

e. To organize one or more Business Entities under the laws of this or any other state or country and to transfer thereto all or any part of the Business Entities or other property of a trust, and to receive in exchange such stocks, bonds, partnership and member interests, and such other securities or interests as the Trustee may deem advisable;

f. To treat Business Entities as separate from a trust. In a Trustee's accounting to any beneficiary, the Trustee shall only be required to report the earnings and condition of the Business Entities in accordance with standard business accounting practice;

g. To retain in Business Entities such net earnings for working capital and other purposes of the Business Entities as the Trustee may deem advisable in conformity with sound business practice;

h. To sell or liquidate all or any part of the Business Entities at such time and price and upon such terms and conditions (including credit) as the Trustee may determine. My Trustee is specifically authorised and empowered to make such sale to any person, including any partner, officer,

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or employee of the Business Entities, a fiduciary, or to any beneficiary; and

i. To guaranty the obligations of the Business Entities, or pledge assets of a trust to secure such a guaranty.

11. Principal and Income. To allocate items of income or expense between income and principal as permitted or provided by the laws of the State of Florida, provided, unless otherwise provided in this instrument, the Trustee shall establish out of income and credit to principal reasonable reserves for depreciation, obsolescence and depletion, determined to be equitable and fair in accordance with some recognized reasonable and preferably uncomplicated trust accounting principle and; provided, further that the Trustee shall not be required to provide a rate of return on unproductive property unless otherwise provided in this instrument.

12. Life Insurance. The Trustee (or the Independent Trustee if I am serving as Trustee or if a Related or Subordinate Party is serving as Trustee) is authorized to purchase one or more life insurance policies on my life, the life of any beneficiary described herein, or any spouse or lineal ascendant or lineal descendant of myself or such beneficiaries. The following provisions shall apply with respect to any insurance policies constituting an asset of any trust herein created:

a. General Powers. The Trustee shall have the power to pay premiums; to apply dividends in reduction of such premiums; to borrow against the cash values thereof; to convert such policies into other forms of insurance, including paid-up insurance; to exercise any settlement options provided in any such policies; to receive the proceeds of any policy upon its maturity and to administer such proceeds as part of the principal of the trust; and in general, to exercise all other options, benefits, rights and privileges under such policies; provided, however, no Trustee other than a sole Trustee may exercise any incidents of ownership with respect to policies of insurance insuring the Trustee's own life.

b. Payment of Premiums. The Trustee shall be under no obligation to pay the premiums which may become due and payable under the provisions of any policy of insurance subject to this trust, or to make certain that such premiums are paid by myself or any other person, or to notify any persons of the nonpayment of such premiums, and it shall be under no responsibility or liability of any kind in case such premiums are not paid, except that it shall apply any dividends received by it on such policy to the payment of premiums thereon. Upon notice at any time during the continuance of this trust that the premiums due upon such policies are in default, or that premiums to become due will not be paid, either by myself or by any other person, the Trustee, within its sole discretion, may apply any cash values attributable to such policy to the purchase of paid-up insurance or of extended term insurance, or may borrow upon such policy for the payment of premiums due thereon or may accept the cash values of such policy upon its forfeiture. If facts shall occur, under the terms of the policy which shall enable a waiver of the payment of future premiums, the Trustee, upon receipt of written notice of such facts, shall promptly notify the insurance company which has issued such policy, and shall take any and all steps necessary to make such waiver of premium provision effective.

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c. Collection of Proceeds. Upon the death of an insured the proceeds of the insurance policies insuring that life which are then subject to this trust shall be collected by the Trustee. The Trustee shall have full authority to take any action with regard to the collection that it deems best and to pay any expenses thereof out of the trust estate. However, it shall not be required to enter into or maintain any litigation to enforce payment of such policies until it shall have been indemnified to its satisfaction against all expenses and liabilities to which it might, in its judgment, be subjected by any such action on its part. The Trustee shall have full authority to make any compromise or settlement with respect to any such policies and to give to all insurance companies the necessary and proper releases and acquittances in full discharge of all their liabilities under such policies. Only the net proceeds of insurance policies subject to this trust shall be collected by the Trustee.

d. Liability of Insurance Company. No insurance company, whose policies shall be subject to this trust and who shall make payment of the proceeds thereof to the Trustee, shall be required to inquire into or take notice of any of the terms or conditions of this trust or to see to the application or disposition of the proceeds of such policies. The receipt of the Trustee to any such insurance company shall be effectual to release and discharge it for any payment so made and shall be binding upon every beneficiary of the trusts herein created.

13. Continuing Power. To continue to have or exercise, after the termination of a trust, in whole or in part, and until final distribution thereof, all title, power, discretions, rights and duties conferred or imposed upon the Trustee by law or by this Agreement or during the existence of the trust.

14. Exoneration. To provide for the exoneration of the Trustee from any personal liability on account of any arrangement or contract entered into in a fiduciary capacity.

15. Agreements. To comply with, amend, modify or rescind any agreement made during my lifetime, including those regarding the disposition, management or continuation of any closely held unincorporated business, corporation, partnership or joint venture, and including the power to complete contracts to purchase and sell real estate.

16. Voting. To vote and give proxies, with power of substitution to vote, stocks, bonds and other securities, or not to vote a security.

17. Combination of Shares. To hold the several shares of a trust or several Trusts as a common fund, dividing the income proportionately among them, to assign undivided interests to the several shares or Trusts, and to make joint investments of the funds belonging to them. For such purposes and insofar as may be practicable, the Trustee, to the extent that division of the trust estate is directed hereby, may administer the trust estate physically undivided until actual division thereof becomes necessary to make distributions. The Trustee may hold, manage, invest and account for whole or fractional trust shares as a single estate, making the division thereof by appropriate entries in the books of account only, and may allocate to each whole or fractional trust share its proportionate part of all receipts and expenses; provided, however, this carrying of several Trusts as a single estate shall not defer the vesting in possession of any whole or fractional share of a trust for the beneficiaries thereof at



the times specified herein.

18. Reimbursement. To reimburse itself from a trust for reasonable expenses incurred in the administration thereof.

19. Reliance Upon Communication. To rely, in acting under a trust, upon any letter, notice, certificate, report, statement, document or other paper, or upon any telephone, telegraph, cable, wireless or radio message, if believed by the Trustee to be genuine, and to be signed, sealed, acknowledged, presented, sent, delivered or given by or on behalf of the proper person, firm or corporation, without incurring liability for any action or inaction based thereon.

20. Assumptions. To assume, in the absence of written notice to the contrary from the person or persons concerned, that a fact or an event, by reason of which an interest or estate under a trust shall commence or terminate, does not exist or has not occurred, without incurring liability for any action or inaction based upon such assumption.

21. Removal of Assets. The Trustee may remove from the domiciliary state during the entire duration of a trust or for such lesser period as it may deem advisable, any cash, securities or other property at any time in its hands whether principal or not, and to take and keep the same outside the domiciliary state and at such place or places within or outside the borders of the United States as it may determine, without in any event being chargeable for any loss or depreciation to the trust which may result therefrom.

22. Change of Situs. The situs and/or applicable law of any trust created hereunder may be transferred to such other place as the Trustee may deem to be for the best interests of the trust estate. In so doing, the Trustee may resign and appoint successor Trustees, but may remove such successor Trustees so appointed and appoint others. Each successor Trustee may delegate any and all fiduciary powers, discretionary and ministerial, to the appointing Trustee as its agent.

23. Fiduciary Outside Domiciliary State. In the event no Trustee shall be able and willing to act as Trustee with respect to any property located outside the domiciliary state, the Trustee, without order of court, may appoint another individual or corporation (including any employee or agent of any Trustee) to act as Trustee with respect to such property. Such appointed Trustee shall have all of the powers and discretions with respect to such property as are given to the appointing Trustee with respect to the trust. The appointing Trustee may remove such appointed Trustee and appoint another upon ten (10) days notice in writing. All income from such property, and if such property is sold, exchanged or otherwise disposed of, the proceeds thereof, shall be remitted to the appointing Trustee, to be held and administered by it as Trustee hereunder. Such appointed Trustee may employ the appointing Trustee as agent in the administration of such property. No surety shall be required of any Trustee or agent acting under the provisions of this paragraph. No periodic court or statutory accounting shall be required of such appointed Trustee.

24. Additions. To receive and accept additions to the Trusts in cash or in kind from



donors, Personal Representatives, administrators, Trustees or attorneys in fact, including additions of my property by the Trustee or others as my attorneys in fact.

25. Title and Possession. To have title to and possession of all real or personal property held in the Trusts, and to register or hold title to such property in its own names or in the name of its nominee, without disclosing its fiduciary capacity, or in bearer form.

26. Agents. To employ persons, including attorneys, auditors, investment advisers, and agents, even if they are the Trustee or associated with the Trustee, to advise or assist the Trustee in the performance of its administrative duties and to pay compensation and costs incurred in connection with such employment from the assets of the Trust; to act without independent investigation upon their recommendations; and, instead of acting personally, to employ one or more agents to perform any act of administration, whether or not discretionary.

27. Tax Elections. To file tax returns, and to exercise all tax-related elections and options at its discretion, without compensating adjustments or reimbursements between any of the Trusts or any of the trust accounts or any beneficiaries.

28. Tax Reimbursement. To pay, from time to time in the Independent Trustee's sole and absolute discretion, to me or the Personal Representatives of my estate, on a cumulative basis as may be necessary, such amounts as I or my Personal Representatives shall certify as is necessary to discharge my tax liability (whether federal, state or otherwise) in respect of income realized by the Trust and not distributed to me; provided, however, this authority shall only be exercised by the Independent Trustee hereunder, and I shall not make or participate in making any discretionary distribution pursuant to this Subparagraph. The Independent Trustee shall have no obligation to reimburse me for any income taxes imposed on me by law and paid by me on Trust income or gains.

If I am serving as Trustee hereunder or if a Related or Subordinate Party is serving as Trustee hereunder, any powers and discretions provided under this Subparagraph III.A. to the Trustee that would result in gross estate inclusion of assets of this Trust under Code §§ 2036, 2038, or 2042, or successor provisions thereto, shall not be exercisable by me or such related or subordinate Trustee, and shall be exercisable only by the other Trustees who are not related or subordinate to me, or if none, by the Independent Trustee.

B. Resignation or Removal. The Trustee may resign with or without cause, by giving written notice, specifying the effective date of such resignation to his or her successor Trustee and to the current income beneficiaries, at the time of giving notice. I (or my spouse if she is serving as sole Trustee) reserve the right to remove a Trustee or co-Trustee from office, with or without cause, by giving written notice, specifying the effective date of such resignation to the removed Trustee, to his or her successor Trustee, and to the current income beneficiaries. Upon the resignation or removal of a Trustee, such Trustee shall be entitled to reimbursement from the Trust for all reasonable expenses incurred in the settlement of accounts and in the transfer of assets to his or her successor. For purposes of this Subparagraph, the Trustee shall include the Independent Trustee.



C. Appointment of Successor Trustee.

1. Appointment. Upon a Trustee's resignation (including the Independent Trustee), or if a Trustee becomes Disabled or for any reason ceases to serve as Trustee (including the Independent Trustee), I (or my spouse if she is serving as sole Trustee) may appoint any person or persons as successor Trustee, co-Trustee or Independent Trustee, and in the case of the Independent Trustee it shall not be a Related or Subordinate Party, nor a person related or subordinate to me within the meaning of Code Section 672(c), the Treasury Regulations issued thereunder, and successor provisions thereto. Notwithstanding the foregoing, if a named Trustee is not a U.S. citizen or resident at the time of commencement of his term as Trustee, such Trustee should give due consideration to declining to serve to avoid potential adverse U.S. income tax consequences by reason of the characterization of a trust hereunder as a foreign trust under the Code, but shall not be construed to have any duty to so decline if such Trustee desires to serve. There shall always be a Trustee and an Independent Trustee serving hereunder, provided that the same person or entity may serve in both capacities.

2. Specific Trusts. Notwithstanding the preceding provisions of this Subparagraph III.C, subsequent to the death of the survivor of my spouse and me, I specifically appoint the following person or persons as Trustee of the following Trusts:

a. Trustee of Separate Trusts for My Lineal Descendants. With regard to a separate trust held for a lineal descendant of mine hereunder under which such lineal descendant is the principal beneficiary, each such lineal descendant of mine shall serve as co-Trustee with the then serving Trustee upon attaining age thirty (30) years, and each such lineal descendant shall serve as sole Trustee upon attaining age thirty-five (35) years, provided, however, that there shall always be an Independent Trustee serving of such separate trust. While serving as sole Trustee, a lineal descendant of mine may designate an co-Trustee to serve with such lineal descendant and each such lineal descendant may remove and/or replace such co-Trustee with another from time to time.

b. Trustee of Separate Trust for a Spouse of a Lineal Descendant of Mine. A corporate fiduciary shall serve as Trustee and Independent Trustee of any separate trust held for the benefit of a spouse of a lineal descendant of mine. Such corporate fiduciary shall be an entity with trust powers under state law and no less than One Billion (\$1,000,000,000.00) Dollars under trust management (itself and its affiliates).

3. Successor Trustees Not Provided For. Whenever a successor Trustee or co-Trustee (including the Independent Trustee) is required and no successor or other functioning mechanism for succession is provided for under the terms of this Trust Agreement, the last serving Trustee (or Independent Trustee, as the case may be) or the last person or entity designated to serve as Trustee of the applicable trust (or Independent Trustee, as the case may be) may appoint his or her successor, and if none is so appointed, the following persons shall appoint a successor Trustee or Independent Trustee (who may be one of the persons making the appointment if over the age of thirty years):



- a. The remaining Trustees, if any; otherwise,
- b. The principal beneficiary or the spouse of a principal beneficiary for whom a separate trust is held.

The appointment shall be by a written document executed by such person in the presence of two witnesses and acknowledged before a notary public delivered to the appointed Trustee and to me if I am living and not Disabled or in a valid last Will.

4. Power to Remove Trustee. Subsequent to the death of the survivor of my spouse and me, the age 35 or older principal beneficiary of a Trust, or the spouse of a principal beneficiary for whom a separate trust is held, shall have the power to unanimously remove a Trustee, co-Trustee or Independent Trustee of such Trust at any time with or without cause other than a successor Trustee or Independent Trustee appointed by me or my spouse at death under our last Wills, with the successor Trustee or Independent Trustee to be determined in accordance with the foregoing provisions.

D. Method of Appointment of Trustee. Any such appointment of a successor Trustee by a person shall be made in a written instrument executed by such person in the presence of two witnesses and acknowledged before a notary public which is delivered to such appointed Trustee during the lifetime of the person making such appointment, or any such appointment of a successor Trustee by a person may be made under the last Will of such person.

E. Successor Fiduciaries. No Trustee is responsible for, nor has any duty to inquire into, the administration, acts or omissions of any executor, administrator, Personal Representative, or trustee or attorney-in-fact adding property to these Trusts, or of any predecessor Trustee. Each successor Trustee has all the powers, privileges, immunities, rights and title (without the execution of any instrument of transfer or any other act by any retiring Trustee) and all the duties of all predecessors.

F. Liability and Indemnification of Trustee.

1. Liability in General. No individual Trustee (that is, a Trustee that is not a corporation or other entity) shall be liable for any of his or her actions or failures to act as Trustee, even if the individual Trustee is found by a court to have been negligent or in breach of fiduciary duty, except for liability caused by his or her actions or failures to act done in bad faith or with reckless indifference to the purposes of the trust or the interests of the beneficiaries. Each Trustee that is a corporation or other entity will be liable for its actions or failures to act that are negligent or that breach its fiduciary duty, without contribution by any individual Trustee.

2. Indemnification of Trustee. Except in regard to liabilities imposed on a Trustee under the preceding paragraph, each Trustee shall be held harmless and indemnified from the assets of the trust for any liability, damages, attorney's fees, expenses, and costs incurred as a result of its service as Trustee. A Trustee who ceases to serve for any reason will be entitled to receive reasonable security from the assets of the trust to protect it from liability, and may enforce these provisions for

indemnification against the current Trustee or against any assets held in the trust, or if the former Trustee is an individual and not a corporation or other entity, against any beneficiary to the extent of distributions received by that beneficiary. This indemnification right extends to the estate, Personal Representatives, legal successors and assigns of a Trustee.

3. **Indemnification of Trustee - Additional Provisions.** I recognize that if a beneficiary accuses a Trustee of wrongdoing or breach of fiduciary duty, the Trustee may have a conflict of interest that ordinarily would prevent it from paying legal fees and costs from the trust estate to defend itself. I do not want to put a financial burden on any individual named to serve as a Trustee. Just as important, I do not want an individual who has been selected to serve as a Trustee to be reluctant to accept the position, or while serving to be intimidated in the performance of the Trustee's duties because of the threats of lawsuits that might force the Trustee to pay fees and costs from the Trustee's personal resources. For this reason, I deliberately and intentionally waive any such conflict of interest with respect to any individual serving as Trustee so that he or she can hire counsel to defend himself or herself against allegations of wrongdoing or if sued for any reason (whether by a beneficiary or by someone else) and pay all fees and costs for his or her defense from the trust estate until the dispute is resolved. I understand and agree that a court may award, disallow or allocate fees and costs in whole or in part after the dispute is resolved, as provided by law. The Trustee will account for all such fees and costs paid by it as provided by law. This provision shall not apply to any Trustee that is a corporation or other entity.

G. Compensation, Bond. Each Trustee is entitled to be paid reasonable compensation for services rendered in the administration of the Trust. Reasonable compensation for a non-individual Trustee will be its published fee schedule in effect when its services are rendered unless otherwise agreed in writing, and except as follows. Any fees paid to a non-individual Trustee for making principal distributions, for termination of the trust, and upon termination of its services must be based solely on the value of its services rendered, not on the value of the trust principal. During my lifetime the Trustee's fees are to be charged wholly against income (to the extent sufficient), unless directed otherwise by me in writing. Each Trustee shall serve without bond.

H. Maintenance of Records. The Trustee shall maintain accurate accounts and records. It shall render annual statements of the receipts and disbursements of income and principal of a Trust upon the written request of any adult vested beneficiary of such Trust or the guardian of the person of any vested beneficiary and the approval of such beneficiary shall be binding upon all persons then or thereafter interested in such Trust as to the matters and transactions shown on such statement. The Trustee may at any time apply for a judicial settlement of any account. No Trustee shall be required to file any statutory or other periodic accountings of the administration of a Trust.

I. Interested Trustee. The Trustee may act under this Agreement even if interested in these Trusts in an individual capacity, as a fiduciary of another trust or estate (including my estate) or in any other capacity. The Trustee may in good faith enter into a sale, encumbrance, or other transaction involving the investment or management of trust property for the Trustee's own personal account or which is otherwise affected by a conflict between the Trustee's fiduciary and personal interests, without liability and without being voidable by a beneficiary. The Trustee is specifically authorized to make



loans to, to receive loans from, or to sell, purchase or exchange assets in a transaction with (i) the Trustee's spouse, (ii) the Trustee's children or grandchildren, siblings, parents, or spouses of such persons, (iii) an officer, director, employee, agent, or attorney of the Trustee, or (iv) a corporation, partnership, limited liability company, or other business entity in which the Trustee has a financial interest, provided that in any transaction the trusts hereunder receive fair and adequate consideration in money or money's worth. The Trustee may renounce any interest or expectancy of a trust in, or an opportunity to participate in, specified business opportunities or specified classes or categories of business opportunities that are presented to the Trustee. Such renunciation shall not prohibit the Trustee from participating in the Trustee's individual capacity in such opportunity or expectancy.

J. Third Parties. No one dealing with the Trustee need inquire into its authority or its application of property.

K. Merger of Trusts. If the Trustee is also trustee of a trust established by myself or another person by will or trust agreement, the beneficiaries to whom income and principal may then be paid and then operative terms of which are substantially the same as those of a Trust held under this Agreement, the Trustee in its discretion may merge either such trust into the other trust. The Trustee, in exercising its discretion, shall consider economy of administration, convenience to the beneficiaries, tax consequences and any other factor it considers important. If it is later necessary to reestablish the merged trust as separate trusts, it shall be divided proportionately to the value of each trust at the time of merger.

L. Multiple Trustees. Except as specifically provided herein as to the allocation of powers or discretion of the Independent Trustee, if two Trustees are serving at any time, any power or discretion of the Trustees may be exercised only by their joint agreement. Either Trustee may delegate to the other Trustee the authority to act on behalf of both Trustees and to exercise any power held by the Trustees. If more than two Trustees are serving at any time, and unless unanimous agreement is specifically required by the terms of this Trust Agreement, any power or discretion of the Trustees may be exercised only by a majority. The Trustees may delegate to any one or more of themselves the authority to act on behalf of all the Trustees and to exercise any power held by the Trustees. Trustees who consent to the delegation of authority to other Trustees will be liable for the consequences of the actions of those other Trustees as if the consenting Trustees had joined the other Trustees in performing those actions. A dissenting Trustee who did not consent to the delegation of authority to another Trustee and who has not joined in the exercise of a power or discretion cannot be held liable for the consequences of the exercise. A dissenting Trustee who joins only at the direction of the majority will not be liable for the consequences of the exercise if the dissent is expressed in writing delivered to any of the other Trustees before the exercise of that power or discretion.

ARTICLE IV. INDEPENDENT TRUSTEE

A. In General. The Independent Trustee shall have only those duties, obligations, and powers hereunder expressly provided to it, and the Trustee shall not participate in any affirmative duties

provided to the Independent Trustee. Otherwise, the provisions hereunder applicable to the Trustee shall be applicable also to the Independent Trustee except where the context differentiates between a Trustee and an Independent Trustee, including without limitation provisions relating to liability and indemnification of trustees. In the event of any conflict between the powers granted hereunder to both the Trustee and the Independent Trustee, the powers of the Independent Trustee shall have priority over the Trustee. Thus, for example, if the Independent Trustee determines to invest in a Closely Held Interest, such investment is permissible notwithstanding that it reduces the assets available for other investments by the Trustee.

B. Who May Serve. Notwithstanding any other provisions of this Trust Agreement to the contrary, including without limitation powers in myself or others to appoint additional or successor Trustees or Independent Trustees, at no time shall a person or entity serve as an Independent Trustee hereunder if such person or entity is a Related or Subordinate Party or is related or subordinate to me within the meaning of Code Section 672(c), the Treasury Regulations issued thereunder, and successor provisions thereto, nor shall I be eligible to serve.

C. Limited Power of Amendment.

1. **Amendment Power.** In the case of each separate Trust at any time in existence hereunder, such Trust's then Independent Trustee, other than any (i) who has ever made a gift transfer to such trust, or (ii) who is prohibited by the provisions of Subparagraph IV.C.2 below from participating in the amendment involved, from time to time may, notwithstanding any other provision of this instrument, amend or restate this instrument, including its dispositive, administrative and other provisions of all kinds, in order to permit the Trustees hereunder (including the Independent Trustee):

a. To address tax and/or other circumstantial changes that may affect such Trust and/or its beneficiaries,

b. To take advantage of changed trust drafting approaches to address potential trust problems, and/or

c. To remove from the governing trust instrument any provisions which have become "deadwood" (i.e., no longer operative in the ongoing administration of such trust due to changed circumstances)

with respect to (i) such Trust, and (ii) all trusts that are subsequently to come into existence under this instrument to hold part or all of the assets of such Trust, in whatever way or ways, such Independent Trustee, in the exercise of its sole discretion, may deem appropriate in the best interests, as interpreted by such Independent Trustee alone, of the principal beneficiary of such Trust(s) and of each such principal beneficiary's family as a whole. Such Independent Trustee shall be guided by what, in the sole judgment of such Independent Trustee alone, would apparently be my original intent hereunder in the light of the changed circumstances. This power of amendment shall include, by way of example and not limitation, the power to:

ELIOT BERNSTEIN
FAMILY TRUST

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TESCHER & SPALLINA, P.A.



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d. Grant, reduce or eliminate general (as defined in Code Section 2041) and special powers of appointment with respect to part or all of any trust property (such powers may be made subject to any conditions or consents and limited to such objects as may be described in the grant or reduction of each power);

e. Add mandatory distribution or set aside provisions for one or more beneficiaries or permissible distributees;

f. Divide a Trust into separate trusts or merge separate trusts together;

g. Provide for the creation of one or more separate subaccounts (equivalent to a separate trust) in any Trust hereunder with respect to which such subaccounts are more restrictive or other administrative or dispositive provisions are made applicable in order to permit some or all of the properties or interests that may at any time be held in or allocable to that Trust to be segregated and transferred to that subaccount to achieve some tax or other benefit that would otherwise not be available to such property or interest or to the principal beneficiary or one or more of the other current beneficiaries of that Trust (such as, by way of example and not limitation, to permit (i) such property, interest or beneficiary to qualify for some governmental or tax benefit, generation-skipping transfer tax exemption or Code Section 2032A election, or (ii) a disclaimer to be made; and

h. Restrict in any way, revocably or irrevocably, the future exercise of any power held by any beneficiaries, myself, and/or a Trustee (including Independent Trustee) hereunder.

2. Limitations on Amendment Power. Notwithstanding the foregoing, however, under no circumstances shall any such amendment:

a. Extend the period of any such trust's existence beyond the already applicable rule against perpetuities limitation period specified in Subparagraph L.G.;

b. Diminish in any way (that is not controlled by the beneficiary) any enforceable right any beneficiary may already have (under the then terms of this instrument) to receive the income of any trust, currently or at any time in the future (but, to the extent an amendment benefits or grants a power to a current beneficiary of any trust, it may diminish the rights of one or more beneficiaries to receive in the future the income of that trust or of any trust subsequently to come into existence to hold part or all of the assets of that trust);

c. Reduce in any way the restrictions and limitations on or liabilities of (i) myself hereunder, including without limitation Subparagraph I.A. or as a fiduciary as set forth in Subparagraph III.F., or (ii) this Article IV. This shall not be interpreted to limit the ability of the Independent Trustee to increase such restrictions, limitations and liabilities;

d. Result in any direct or indirect financial benefit to anyone who is not presently or in the future a lineal descendant of mine or the spouse of lineal descendant of mine while



married to a lineal descendant of mine;

e. Make any change that would have the effect of disqualifying any such trust insofar as such trust, prior to such amendment, otherwise qualified for and was in fact already taking advantage of, while such advantage otherwise will continue, (i) any exemption from a surviving spouse's elective right or from any creditor's right to levy on any beneficiary's interest in any such trust, or (ii) any substantial deduction, credit, exclusion or other tax benefit (such as any charitable deduction, any annual gift tax exclusion, Code Section 2032A election, a generation-skipping tax exemption, the opportunity to be a stockholder in an S corporation without adversely affecting the S election of such corporation, a significant grandfathered status under some changed law, and so on).

3. Method of Amendment. Any such amendment shall be by written instrument, executed by such amending Independent Trustee with all the formalities of a deed, setting forth the trust or trusts hereunder to which the amendment applies and the effective date of such amendment.

ARTICLE V. ADDITIONAL TAX MATTERS

A. GST Trusts. I direct (a) that the Trustee shall divide any Trust to which there is allocated any GST exemption into two separate Trusts (each subject to the provisions hereof) so that the generation-skipping tax inclusion ratio of one such Trust is zero, (b) any property exempt from generation-skipping taxation shall be divided as otherwise provided herein and held for the same persons designated in Trusts separate from any property then also so divided which is not exempt from generation-skipping taxation, and (c) if upon the death of a beneficiary a taxable termination would otherwise occur with respect to any property held in Trust for him or her with an inclusion ratio greater than zero, such beneficiary shall have with respect only to such property a power to appoint such fractional share thereof which if included in such beneficiary's gross estate for federal estate tax purposes (without allowing any deduction with respect to such share) would not be taxed at the highest federal estate tax rate and such fractional share of such property shall be distributed to such persons including only such beneficiary's estate, spouse, and issue, as such beneficiary may appoint, and any part of a Trust such beneficiary does not effectively appoint shall be treated as otherwise provided for disposition upon his or her death, provided, if upon his or her death two or more Trusts for his or her benefit are directed to be divided among and held or distributed for the same persons and the generation-skipping tax inclusion ratio of any such Trust is zero, the amount of any other such Trust to which there is allocated any of such beneficiary's GST exemption shall be added to the Trusts with generation-skipping tax inclusion ratios of zero in equal shares.

I request (but do not require) that if two or more Trusts are held hereunder for any person, no principal be paid to such person from the Trusts with the lower inclusion ratios for generation-skipping tax purposes unless the Trust with the highest inclusion ratio has been exhausted by use, consumption, distribution or otherwise or is not reasonably available. For purposes of funding any pecuniary payment or trust division to which there is allocated any GST exemption, such payment or trust division allocation shall be satisfied with cash or property which fairly represents appreciation and depreciation

ELIOT BERNSTEIN
FAMILY TRUST

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TESCHER & SPALLINA, P.A.



TS001284

(occurring between the valuation date and the date of distribution) in all of the assets from which such distribution or allocation could be made, and any pecuniary payment made before a residual transfer of property to which any GST exemption is allocated shall be satisfied with cash or property which fairly represents appreciation and depreciation (occurring between the valuation date and the date of distribution) in all of the assets from which such pecuniary payment could be satisfied and shall be allocated a pro rata share of income earned by all such assets between the valuation date and the date of payment. The valuation date with respect to any property shall be the date as of which its value is determined for federal estate tax purposes with respect to the transferor thereof, and subject to the foregoing, property distributed in kind in satisfaction of any pecuniary payment shall be selected on the basis of the value of such property on the valuation date. All terms used in this paragraph which are defined or explained in Chapter 13 of the Code shall have the same meaning when used herein. The Trustee is authorized and directed to comply with the provisions of the Treasury Regulations interpreting the generation skipping tax provisions of the Code in severing or combining any trust, creating or combining separate trust shares, allocating GST exemption, or otherwise, as necessary to best accomplish the foregoing allocations, inclusion ratios, combinations, and divisions, including, without limitation, the payment of "appropriate interest" as determined by the Trustee as that term is applied and used in said Regulations.

B. Individual Retirement Accounts. In the event that this Trust or any trust created under this Agreement is the beneficiary of an Individual retirement account established and maintained under Code Section 408 or a qualified pension, profit sharing or stock bonus plan established and maintained under Code Section 401 (referred to in this paragraph as "IRA"), the following provisions shall apply to such trust:

1. I intend that the beneficiaries of such trust shall be beneficiaries within the meaning of Code Section 401(a)(9) and the Treasury Regulations thereunder. All provisions of such trust shall be construed consistent with such intent. Accordingly, the following provisions shall apply to such trust:

a. No benefits from any IRA may be used or applied for the payment of any debts, taxes or other claims against my estate as set forth in the later paragraph captioned "Taxes", unless other assets of this Trust are not available for such payment.

b. In the event that a beneficiary of any trust created under this Agreement has a testamentary general power of appointment or a limited power of appointment over all or any portion of any trust established under this Agreement, and if such trust is the beneficiary of any benefits from any IRA, the beneficiary shall not appoint any part of such trust to a charitable organization or to a lineal descendant of mine who is older than the beneficiary whose life expectancy is being used to calculate distributions from such IRA.

2. The Trustee shall deliver a copy of this Agreement to the custodian of any IRA of which this Trust or any trust created under this Agreement is the named beneficiary within the time period prescribed Code Section 401(a)(9) and the Treasury Regulations thereunder, along with such



additional items required thereunder. If the custodian of the IRA changes after a copy of this Agreement has been provided pursuant to the preceding sentence, the Trustee shall immediately provide a copy of this Agreement to the new custodian. The Trustee shall request each custodian to complete a receipt of the Agreement and shall attach such receipt to this Agreement. The Trustee shall provide a copy of each amendment of this Agreement to the custodian and shall obtain a receipt of such amendment.

C. Subchapter S Stock. Regardless of anything herein to the contrary, in the event that the principal of a Trust includes stock in a corporation for which there is a valid election to be treated under the provisions of Subchapter S of the Code, the income beneficiary of such a Trust is a U.S. citizen or U.S. resident for federal income tax purposes, and such Trust is not an "electing small business trust" under Code Section 1361(e)(1) in regard to that corporation, the Trustee[s] shall (a) hold such stock as a substantially separate and independent share of such Trust within the meaning of Code Section 663(c), which share shall otherwise be subject to all of the terms of this Agreement, (b) distribute all of the income of such share to the one income beneficiary thereof in annual or more frequent installments, (c) upon such beneficiary's death, pay all accrued or undistributed income of such share to the beneficiary's estate, (d) distribute principal from such share during the lifetime of the income beneficiary only to such beneficiary, notwithstanding any powers of appointment granted to any person including the income beneficiary, and (e) otherwise administer such share in a manner that qualifies it as a "qualified Subchapter S trust" as that term is defined in Code Section 1361(d)(3), and shall otherwise manage and administer such share as provided under this Agreement to the extent not inconsistent with the foregoing provisions of this paragraph.

D. Taxes. The Trustee shall pay to the Personal Representative of my estate from the principal of the Trust, but not from the portion of any asset or the proceeds thereof which would not otherwise be includible in my gross estate for estate tax purposes, such as the proceeds of insurance policies that are not includible in my estate, such amounts as the Personal Representative certifies, in writing, are required for the payment of estate, inheritance, succession and transfer taxes, including any interest or penalty thereon, which are payable by said Personal Representative by reason of my death and are attributable to assets held in this Trust (*i.e.*, to the extent that such taxes are increased by the taxability of such Trust assets). The Trustee may rely upon the correctness of such certifications and is exonerated from all liability for making payments in reliance thereon. Notwithstanding any distribution requirement herein, subsequent to my death the Trustee is authorized to retain in trust any amounts designated to be distributed until the earlier of the issuance of an estate tax closing letter from the Internal Revenue Service in regard to my estate or the closing of the federal estate tax statute of limitations for estate taxes arising by reason of my death.

E. Taxpayer Identification Number. By executing this Trust Agreement, the Trustee authorizes Tescher & Spallina, P.A. to apply for a taxpayer identification number from the Internal Revenue Service for the Trust.

ELJOT BERNSTEIN
FAMILY TRUST

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TESCHER & SPALLINA, P.A.



TS001286

IN WITNESS WHEREOF, the parties hereto have executed this Trust Agreement on the date first above written.

GRANTOR and CO-TRUSTEE:

[Handwritten Signature]

SIMON L. BERNSTEIN

This instrument was signed by SIMON L. BERNSTEIN in our presence, and at the request of and in the presence of SIMON L. BERNSTEIN and each other, we subscribe our names as witnesses on this 20 day of May, 2008:

[Handwritten Signature]

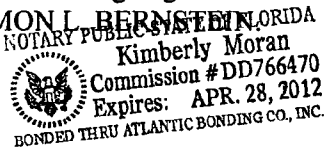
Print Name: Diana Banks
Address: 23415 Boca Trace Dr
Boca Raton FL 33433

[Handwritten Signature]

Print Name: TRACI KRATICH
Address: 16068 GLENCREST AVE
DEER BEACH, FL 33446

STATE OF FLORIDA
SS.
COUNTY OF PALM BEACH

The foregoing instrument was acknowledged before me this 20 day of May, 2008, by SIMON L. BERNSTEIN



[Handwritten Signature]

Signature - Notary Public

[Seal with Commission Expiration Date]

Print, type or stamp name of Notary Public

Personally Known or Produced Identification _____
Type of Identification Produced _____

CO-TRUSTEE:

[Signature]
SHIRLEY BERNSTEIN

This instrument was signed by SHIRLEY BERNSTEIN in our presence, and at the request of and in the presence of SHIRLEY BERNSTEIN and each other, we subscribe our names as witnesses on this 1st day of May, 2008:

[Signature]
Print Name: Diana Banks
Address: 23415 Boca Trace Dr
Boca Raton FL 33433

[Signature]
Print Name: TRACI KRATISH
Address: 16068 GLENCREST AVE
DEER BEACH, FL 33446

STATE OF FLORIDA
SS.
COUNTY OF PALM BEACH

The foregoing instrument was acknowledged before me this 20 day of May, 2008, by SHIRLEY BERNSTEIN.

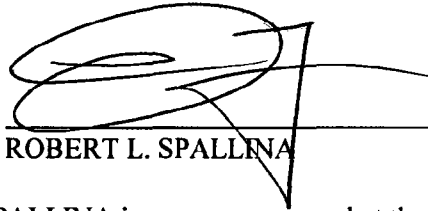
NOTARY PUBLIC-STATE OF FLORIDA
Kimberly Moran
Commission # DD766470
Expires: APR. 28, 2012
[Seal with Commission Expiration Date]

[Signature]
Signature - Notary Public

Print, type or stamp name of Notary Public


Personally Known ✓ or Produced Identification _____
Type of Identification Produced _____

INDEPENDENT TRUSTEE:




ROBERT L. SPALLINA

This instrument was signed by ROBERT L. SPALLINA in our presence, and at the request of and in the presence of ROBERT L. SPALLINA and each other, we subscribe our names as witnesses on this 2nd day of May, 2008:



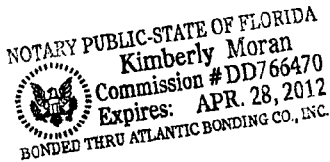
Print Name: Diana Banks
Address: 22415 Boca Trace Dr
Boca Raton, FL 33433

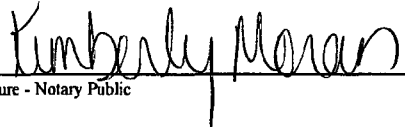


Print Name: TRAIL KATISHA
Address: 16068 GLENCREST AVE
DEERBAY BEACH, FL 33446

STATE OF FLORIDA
SS. ◦
COUNTY OF PALM BEACH

The foregoing instrument was acknowledged before me this 20 day of May, 2008, by ROBERT L. SPALLINA.





Signature - Notary Public

[Seal with Commission Expiration Date]

Print, type or stamp name of Notary Public

Personally Known ✓ or Produced Identification _____
Type of Identification Produced _____

F:\WPDATA\drm\Bernstein, Shirley & Simon\Children's Trusts\Eliot Bernstein Family Trust.wpd [05/11/22 20:08]

TRUST

ATTACHMENT

<u>ITEM NO.</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>
1	Cash	\$1.00

WILL OF
SIMON L. BERNSTEIN

COPY
SOUTH COUNTY BRANCH OFFICE
ORIGINAL RECEIVED
OCT - 2 2012
SHARON R. BOCK
CLERK & COMPTROLLER
PALM BEACH COUNTY

Prepared by:

Tescher & Spallina, P.A.
4855 Technology Way, Suite 720, Boca Raton, Florida 33431
(561) 997-7008
www.tescherspallina.com

2014CP003698
PLAINTIFF'S
EXHIBIT
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P4
12-15-15

TS004297

WILL OF

SIMON L. BERNSTEIN

I, SIMON L. BERNSTEIN, of Palm Beach County, Florida, hereby revoke all my prior Wills and Codicils and make this Will. I am a widower, but in the event that I marry subsequent to the execution of this Will, I specifically make no provision for my spouse. My children are TED S. BERNSTEIN, PAMELA B. SIMON, ELIOT BERNSTEIN, JILL IANTONI and LISA S. FRIEDSTEIN.

ARTICLE I. TANGIBLE PERSONAL PROPERTY

I give such items of my tangible personal property to such persons as I may designate in a separate written memorandum prepared for this purpose. I give to my children who survive me, divided among them as they agree, or if they fail to agree, divided among them by my Personal Representatives in as nearly equal shares as practical my personal effects, jewelry, collections, household furnishings and equipment, automobiles and all other non-business tangible personal property other than cash, not effectively disposed of by such memorandum, and if no child of mine survives me, this property shall pass with the residue of my estate.

ARTICLE II. EXERCISE OF POWER OF APPOINTMENT

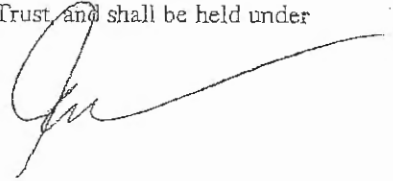
Under Subparagraph E.1. of Article II. of the SHIRLEY BERNSTEIN TRUST AGREEMENT dated May 20, 2008, (the "*Shirley Trust*"), I was granted a special power of appointment upon my death to direct the disposition of the remaining assets of the Marital Trust and the Family Trust established under the Shirley Trust. Pursuant to the power granted to me under the Shirley Trust, upon my death, I hereby direct the then serving Trustees of the Marital Trust and the Family Trust to divide the remaining trust assets into equal shares for my then living grandchildren and distribute said shares to the then serving Trustees of their respective trusts established under Subparagraph II.B. of my Existing Trust, as referenced below, and administered pursuant to Subparagraph II.C. thereunder.

ARTICLE III. RESIDUE OF MY ESTATE

I give all the residue of my estate, including my homestead, to the Trustee then serving under my revocable Trust Agreement dated May 20, 2008, as amended and restated from time to time and on even date herewith (the "*Existing Trust*"), as Trustee without bond, but I do not exercise any powers of appointment held by me except as provided in Article II., above, and in the later paragraph titled "Death Costs." The residue shall be added to and become a part of the Existing Trust and shall be held under

LAST WILL
OF SIMON L. BERNSTEIN

LAW OFFICES
TESCHER & SPALLINA, P.A.



TS004298

the provisions of said Agreement in effect at my death, or if this is not permitted by applicable law or the Existing Trust is not then in existence, under the provisions of said Agreement as existing today. If necessary to give effect to this gift, but not otherwise, said Agreement as existing today is incorporated herein by reference.

ARTICLE IV. PERSONAL REPRESENTATIVES

1. Appointment and Bond. I appoint ROBERT L. SPALLINA and DONALD R. TESCHER to serve together as my co-Personal Representatives, or either of them alone as Personal Representative if either of them is unable to serve (the "*fiduciary*"). Each fiduciary shall serve without bond and have all of the powers, privileges and immunities granted to my fiduciary by this Will or by law, provided, however, that my fiduciary shall exercise all powers in a fiduciary capacity.

2. Powers of Personal Representatives. My fiduciary may exercise its powers without court approval. No one dealing with my fiduciary need inquire into its authority or its application of property. My fiduciary shall have the following powers:

a. Investments. To sell or exchange at public or private sale and on credit or otherwise, with or without security, and to lease for any term or perpetually, any property, real and personal, at any time forming a part of my probate estate (the "*estate*"); to grant and exercise options to buy or sell; to invest or reinvest in real or personal property of every kind, description and location; and to receive and retain any such property whether originally a part of the estate, or subsequently acquired, even if a fiduciary is personally interested in such property, and without liability for any decline in the value thereof; all without limitation by any statutes or judicial decisions, whenever enacted or announced, regulating investments or requiring diversification of investments.

b. Distributions or Divisions. To distribute directly to any beneficiary who is then entitled to distribution under the Existing Trust; to make any division or distribution pro rata or non-pro rata, in cash or in kind; and to allocate undivided interests in property and dissimilar property (without regard to its tax basis) to different shares, and to make any distribution to a minor or any other incapacitated person directly to such person, to his or her legal representative, to any person responsible for or assuming his or her care, or in the case of a minor to an adult person or an eligible institution (including a fiduciary) selected by my fiduciary as custodian for such minor under the Uniform Transfers to Minors Act or similar provision of law. The receipt of such payee is a complete release to the fiduciary.

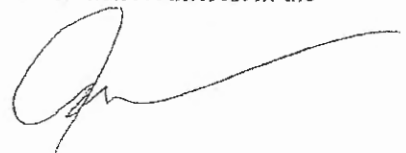
c. Management. To manage, develop, improve, partition or change the character of or abandon an asset or interest in property at any time; and to make ordinary and extraordinary repairs, replacements, alterations and improvements, structural or otherwise.

d. Borrowing. To borrow money from anyone on commercially reasonable terms, including a fiduciary, beneficiaries and other persons who may have a direct or indirect interest in the

LAST WILL
OF SIMON L. BERNSTEIN

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LAW OFFICES
TESCHER & SPALLINA, P.A.



TS004299

estate; and to mortgage, margin, encumber and pledge real and personal property of the estate as security for the payment thereof, without incurring any personal liability thereon and to do so for a term within or extending beyond the terms of the estate and to renew, modify or extend existing borrowing on similar or different terms and with the same or different security without incurring any personal liability; and such borrowing from my fiduciary may be with or without interest, and may be secured with a lien on the estate assets or any beneficiary's interest in said assets.

e. Lending. To extend, modify or waive the terms of any obligation, bond or mortgage at any time forming a part of the estate and to foreclose any such mortgage; accept a conveyance of encumbered property, and take title to the property securing it by deed in lieu of foreclosure or otherwise and to satisfy or not satisfy the indebtedness securing said property; to protect or redeem any such property from forfeiture for nonpayment of taxes or other lien; generally, to exercise as to such bond, obligation or mortgage all powers that an absolute owner might exercise; and to loan funds to beneficiaries at commercially reasonable rates, terms and conditions.

f. Abandonment of Property. To abandon any property or asset when it is valueless or so encumbered or in such condition that it is of no benefit to the estate. To abstain from the payment of taxes, liens, rents, assessments, or repairs on such property and/or permit such property to be lost by tax sale, foreclosure or other proceeding or by conveyance for nominal or no consideration to anyone including a charity or by escheat to a state; all without personal liability incurred therefor.

g. Real Property Matters. To subdivide, develop or partition real estate; to dedicate the same to public use; to make or obtain the location of any plats; to adjust boundaries; to adjust differences in valuations on exchange or partition by giving or receiving consideration; and, to grant easements with or without consideration as they may determine; and to demolish any building, structures, walls and improvements, or to erect new buildings, structures, walls and improvements and to insure against fire and other risks.

h. Claims. To enforce, compromise, adjust, arbitrate, release or otherwise settle or pay any claims or demands by or against the estate.

i. Business Entities. To deal with any business entity or enterprise even if a fiduciary is or may be a fiduciary of or own interests in said business entity or enterprise, whether operated in the form of a corporation, partnership, business trust, limited liability company, joint venture, sole proprietorship, or other form (all of which business entities and enterprises are referred to herein as "*Business Entities*"). I vest the fiduciary with the following powers and authority in regard to Business Entities:

i. To retain and continue to operate a Business Entity for such period as the fiduciary deems advisable;

ii. To control, direct and manage the Business Entities. In this connection, the fiduciary, in its sole discretion, shall determine the manner and extent of its active participation in the

LAST WILL
OF SIMON L. BERNSTEIN

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operation and may delegate all or any part of its power to supervise and operate to such person or persons as the fiduciary may select, including any associate, partner, officer or employee of the Business Entity;

iii. To hire and discharge officers and employees, fix their compensation and define their duties; and similarly to employ, compensate and discharge agents, attorneys, consultants, accountants, and such other representatives as the fiduciary may deem appropriate; including the right to employ any beneficiary or fiduciary in any of the foregoing capacities;

iv. To invest funds in the Business Entities, to pledge other assets of the estate or a trust as security for loans made to the Business Entities, and to lend funds from my estate or a trust to the Business Entities;

v. To organize one or more Business Entities under the laws of this or any other state or country and to transfer thereto all or any part of the Business Entities or other property of my estate or a trust, and to receive in exchange such stocks, bonds, partnership and member interests, and such other securities or interests as the fiduciary may deem advisable;

vi. To treat Business Entities as separate from my estate or a trust. In a fiduciary's accounting to any beneficiary, the fiduciary shall only be required to report the earnings and condition of the Business Entities in accordance with standard business accounting practice;

vii. To retain in Business Entities such net earnings for working capital and other purposes of the Business Entities as the fiduciary may deem advisable in conformity with sound business practice;

viii. To sell or liquidate all or any part of the Business Entities at such time and price and upon such terms and conditions (including credit) as the fiduciary may determine. My fiduciary is specifically authorized and empowered to make such sale to any person, including any partner, officer, or employee of the Business Entities, a fiduciary, or to any beneficiary; and

ix. To guaranty the obligations of the Business Entities, or pledge assets of the estate or a trust to secure such a guaranty.

j. Life Insurance. With respect to any life insurance policies constituting an asset of the estate to pay premiums; to apply dividends in reduction of such premiums; to borrow against the cash values thereof; to convert such policies into other forms of insurance including paid-up insurance; to exercise any settlement options provided in any such policies; to receive the proceeds of any policy upon its maturity and to administer such proceeds as a part of the principal of the estate or trust; and in general, to exercise all other options, benefits, rights and privileges under such policies; provided, however, no fiduciary other than a sole fiduciary may exercise any incidents of ownership with respect to policies of insurance insuring the fiduciary's own life.

LAST WILL
OF SIMON L. BERNSTEIN

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k. Reimbursement. To reimburse itself from the estate for all reasonable expenses incurred in the administration thereof.

l. Voting. To vote and give proxies, with power of substitution to vote, stocks, bonds and other securities, or not to vote a security.

m. Ancillary Administration. To appoint or nominate, and replace with or without cause, any persons or corporations, including itself, as ancillary administrators to administer property in other jurisdictions, with the same powers, privileges and immunities as my fiduciary and without bond.

n. Tax Elections. To file tax returns, and to exercise all tax-related elections and options at their discretion, without compensating adjustments or reimbursements between any accounts or any beneficiaries.

3. Survivorship. A beneficiary is not deemed to survive me unless he or she survives me by five days.

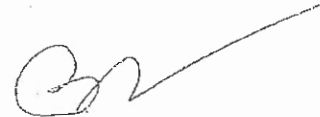
4. Death Costs. My fiduciary shall pay (a) from the residuary estate my debts which are allowed as claims against my estate, (b) from the residuary estate my funeral expenses without regard to legal limitations, (c) from the residuary estate the expenses of administering my estate and (d) from the residuary estate other than the portion of the residuary estate qualifying for the marital deduction under the laws then in effect, without apportionment, all estate, inheritance and succession taxes (excluding generation-skipping taxes other than with respect to direct skips), and interest and penalties thereon, due because of my death and attributable to all property whether passing under this Will or otherwise and not required by the terms of the Existing Trust to be paid out of said trust. However, such taxes, penalties and interest payable out of my residuary estate shall not include taxes, penalties and interest attributable to (i) property over which I have a power of appointment granted to me by another person, (ii) qualified terminable interest property held in a trust of which I was the income beneficiary at the time of my death (other than qualified terminable interest property held in a trust for which an election was made under Code Section 2652(a)(3)), and (iii) life insurance proceeds on policies insuring my life which proceeds are not payable to my probate estate. My fiduciary shall not be reimbursed for any such payment from any person or property. However, my fiduciary in its discretion may direct that part or all of said death costs shall be paid by my Trustee as provided in the Existing Trust, and shall give such direction to the extent necessary so that the gifts made in Article I of this Will and the gifts made in any codicil hereto shall not be reduced by said death costs. If the amount of the above-described taxes, and interest and penalties arising by reason of my death (without regard to where payable from under the terms of this paragraph or applicable law) is increased because of the power of appointment granted to me under Subparagraph II.E.1. of the SHIRLEY BERNSTEIN TRUST AGREEMENT dated May 20, 2008, I hereby appoint to my probate estate from the property subject to such power (to the extent allowable under such power) the amount of such increase (calculating such increase at the highest applicable marginal rates) and exercise such power to this extent only, and notwithstanding the other provisions of this paragraph further direct my fiduciary to make payment of such increase in taxes,

LAST WILL
OF SIMON L. BERNSTEIN

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interest and penalties to the appropriate taxing authorities from the appointed property or the proceeds thereof. Any trustee holding such appointive property may pay to my fiduciary the amount which my fiduciary certifies as due under this paragraph and is not responsible for the correctness or application of amounts so paid.

5. Reimbursement for Debts and Expenses. My fiduciary shall promptly reimburse my friends and members of my family who have disbursed their own funds for the payment of any debts, funeral expenses or costs of administration of my estate.

6. Expenses of Handling Tangible Personal Property. All expenses incurred by my fiduciary during the settlement of my estate in appraising, storing, packing, shipping, delivering or insuring an article of tangible personal property passing under this Will shall be charged as an expense of administering my estate.

7. Dealing with Estate. Each fiduciary may act under this Will even if interested in my estate in an individual capacity, as a fiduciary of another estate or trust (including any trust identified in this Will or created under the Existing Trust) or in any other capacity. Each fiduciary may in good faith buy from, sell to, lend funds to or otherwise deal with my estate.

8. Spouse. The term "*spouse*" herein means, as to a designated individual, the person to whom that individual is from time to time married.

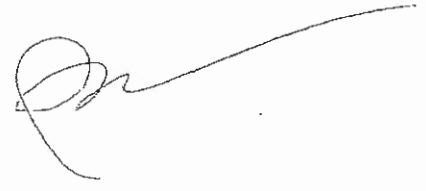
9. Other Beneficiary Designations. Except as otherwise explicitly and with particularity provided herein, (a) no provision of this Will shall revoke or modify any beneficiary designation of mine made by me and not revoked by me prior to my death under any individual retirement account, other retirement plan or account, or annuity or insurance contract, (b) I hereby reaffirm any such beneficiary designation such that any assets held in such account, plan, or contract shall pass in accordance with such designation, and (c) regardless of anything herein to the contrary, any of such assets which would otherwise pass pursuant to this Will due to the beneficiary designation not having met the requirements for a valid testamentary disposition under applicable law or otherwise shall be paid as a gift made hereunder to the persons and in the manner provided in such designation which is incorporated herein by this reference.

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LAST WILL
OF SIMON L. BERNSTEIN

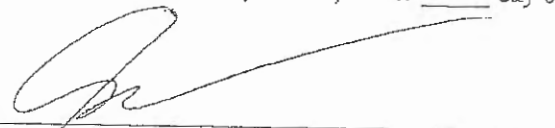
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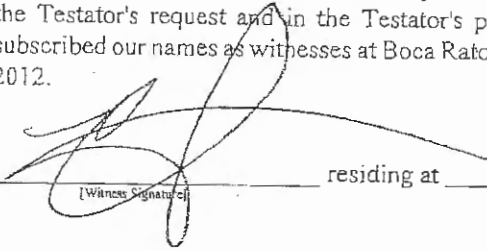


TS004303

I have published and signed this instrument as my Will at Boca Raton, Florida, on the 25 day of July, 2012.


SIMON L. BERNSTEIN

This instrument, consisting of this page numbered 7 and the preceding typewritten pages, was signed, sealed, published and declared by the Testator to be the Testator's Will in our presence, and at the Testator's request and in the Testator's presence, and in the presence of each other, we have subscribed our names as witnesses at Boca Raton, Florida on this 27 day of July, 2012.


[Witness Signature] residing at ROBERT L. SPALLINA
7387 WISTERIA AVENUE
PARKLAND, FL 33076
[Witness Address]

Kimberly Moran
[Witness Signature] residing at Kimberly Moran
6362 Las Flores Drive
Boca Raton, FL 33433
[Witness Address]

LAST WILL
OF SIMON L. BERNSTEIN

LAW OFFICES
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State Of Florida

SS.

County Of Palm Beach

I, SIMON L. BERNSTEIN, declare to the officer taking my acknowledgment of this instrument, and to the subscribing witnesses, that I signed this instrument as my will.

[Signature]
SIMON L. BERNSTEIN, Testator

We, Robert L. Spallina and Kimberly Moran

have been sworn by the officer signing below, and declare to that officer on our oaths that the Testator declared the instrument to be the Testator's will and signed it in our presence and that we each signed the instrument as a witness in the presence of the Testator and of each other.

[Signature]
Witness

Kimberly Moran
Witness

Acknowledged and subscribed before me, by the Testator, SIMON L. BERNSTEIN, who is personally known to me or who has produced _____ (state type of identification) as identification, and sworn to and subscribed before me by the witnesses, Robert L. Spallina, who is personally known to me or who has produced _____ (state type of identification) as identification, and Kimberly Moran, who is personally known to me or who has produced _____ (state type of identification) as identification, and subscribed by me in the presence of SIMON L. BERNSTEIN and the subscribing witnesses, all on this 25 day of July, 2012.

[Signature]
Signature - Notary Public State of Florida
Lindsay Baxley
Print, type or stamp name of Notary Public

[Seal with Commission Expiration Date]

NOTARY PUBLIC STATE OF FLORIDA
Lindsay Baxley
Commission # EE092282
Expires: MAY 10, 2015
BONDED THRU ATLANTIC BONDING CO., INC.

LAST WILL
OF SIMON L. BERNSTEIN

LAW OFFICES
TESCHER & SPALLINA, P.A.

SIMON L. BERNSTEIN

TRUST AGREEMENT

Prepared by:

Tescher & Spallina, P.A.
2101 Corporate Blvd., Suite 107, Boca Raton, Florida 33431
(561) 998-7847
www.tescherlaw.com

TESCHER & SPALLINA, P.A.

SIMON L. BERNSTEIN

TRUST AGREEMENT

This Trust Agreement is dated this 20th day of May, 2008, and is between SIMON L. BERNSTEIN, of Palm Beach County, Florida referred to in the first person, as settlor, and SIMON L. BERNSTEIN, of Palm Beach County, and SIMON L. BERNSTEIN's successors, as trustee (referred to as the "Trustee," which term more particularly refers to all individuals and entities serving as trustee of a trust created hereunder during the time of such service, whether alone or as co-trustees, and whether originally serving or as a successor trustee). Said Trustee acknowledges receipt of the property described in the Attachment to this Agreement, and agrees to hold said property and all additions, in trust, as provided in this Agreement.

ARTICLE I. DURING MY LIFE AND UPON MY DEATH

A. Rights Reserved. I reserve the right (a) to add property to this trust during my life or on my death, by my Will or otherwise; (b) to withdraw property held hereunder; and (c) by separate written instrument delivered to the Trustee, to revoke this Agreement in whole or in part and otherwise modify or amend this Agreement. However, after my spouse's death I may not exercise any of said rights with respect to property added by my spouse upon my spouse's death by my spouse's Will or otherwise.

B. Payments During My Life. If income producing property is held in the trust during my life, the Trustee shall pay the net income of the trust to me or as I may direct. However, during any periods while I am Disabled, the Trustee shall pay to me or on my behalf such amounts of the net income and principal of the trust as is proper for my Welfare, and also may in its discretion pay to my spouse such amounts of said net income and principal as is proper for her Welfare. Any income not so paid shall be added to principal.

C. Gifts. If I am Disabled, I authorize the Trustee to make gifts from trust property during my lifetime for estate planning purposes, or to distribute amounts to my legally appointed guardian or to my attorney-in-fact for those purposes, subject to the following limitations:

1. **Recipients.** The gifts may be made only to my spouse and my lineal descendants or to trusts primarily for their benefit, and in aggregate annual amounts to any one such recipient that do not exceed the exclusion amount provided for under Code Section 2503(b).

2. **Trustee Limited.** When a person eligible to receive gifts is serving as Trustee, the aggregate of all gifts to that person during the calendar year allowable under the preceding subparagraph 1. shall thereafter not exceed the greater of Five Thousand Dollars (\$5,000), or five percent

SIMON L. BERNSTEIN
TRUST AGREEMENT

TESCHER & SPALLINA, P.A.



(5%) of the aggregate value of the trust estate. However, gifts completed prior to a recipient's commencing to serve as Trustee shall not be affected by this limitation.

3. Charitable Pledges. The Trustee may pay any charitable pledges I made while I was not Disabled (even if not yet due).

D. Upon My Death. Upon my death the Trustee shall collect and add to the trust all amounts due to the trust under any insurance policy on my life or under any death benefit plan and all property added to the trust by my Will or otherwise. After paying or providing for the payment from the augmented trust of all current charges and any amounts payable under the later paragraph captioned "Death Costs," the Trustee shall hold the trust according to the following provisions.

ARTICLE II. AFTER MY DEATH

A. Disposition of Tangible Personal Property. If any non-business tangible personal property other than cash (including, but not limited to, my personal effects, jewelry, collections, household furnishings, and equipment, and automobiles) is held in the trust at the time of my death, such items shall be promptly distributed by the Trustee of the trust to such person or persons, including my estate, as to the item or items or proportion specified, as I may appoint, and to the extent that any such items are not disposed of by such appointment, such items shall be disposed of by the Trustee of the trust in exactly the same manner as such items would have been disposed of under the terms and provisions of my Will (including any Codicil thereto, or what the Trustee in good faith believes to be such Will and Codicil) had such items been included in my probate estate. Any such items which are not effectively disposed of pursuant to the preceding sentence shall pass with the other trust assets.

B. Marital Deduction Gift. If my spouse survives me:

1. Family Trust. The Trustee shall hold as a separate "*Family Trust*" (i) all property of the trust estate as to which a federal estate tax marital deduction would not be allowed if it were distributed outright to my spouse, and (ii) after giving effect to (i), the largest pecuniary amount which will not result in or increase any federal or state death tax otherwise payable by reason of my death. In determining the pecuniary amount the Trustee shall assume that none of this Family Trust qualifies for a federal estate tax deduction, and shall assume that all of the Marital Trust hereinafter established (including any part thereof disclaimed by my spouse) qualifies for the federal estate tax marital deduction. I recognize that the pecuniary amount may be reduced by certain state death taxes and administration expenses which are not deducted for federal estate tax purposes.

2. Marital Trust. The balance of the trust remaining after the establishment of the Family Trust shall be held as a separate "*Marital Trust*."

Notwithstanding the foregoing, prior to the funding of the Family Trust and the Marital Trust under this Subparagraph II.B., or only the Family Trust if my spouse does not survive me, the Trustees of this Trust



or the Personal Representatives of my estate as the case may be, shall finalize the sale of my shares in LIC HOLDINGS, INC., a Florida corporation or its successor in interest ("**LIC HOLDINGS**"), owned by me or this Trust at the time of my death, pursuant to that certain buy-sell agreement entered into by and between my son, TED S. BERNSTEIN, and me. Upon the sale of such shares, the Trustee shall fund the trust(s) provided for hereunder.

3. Disclaimer. Any part of the Marital Trust my spouse disclaims shall be added to the Family Trust. My spouse shall not be deemed to have predeceased me for purposes of such addition. I suggest that my spouse or my spouse's fiduciaries consider an appropriate partial disclaimer to minimize the death taxes due upon both of our deaths.

If my spouse does not survive me, the entire trust shall be held as the Family Trust without regard to the provisions of Subparagraph II.B.1 describing or limiting which assets shall be held thereunder.

C. During Spouse's Life. Commencing with the date of my death the Trustee shall,

1. Marital Trust. Pay to my spouse from the Marital Trust, the net income, and such amounts of principal as is proper for my spouse's Welfare; and

2. Family Trust. Pay to my spouse from the Family Trust, the net income, and such amounts of principal as is proper for my spouse's Welfare. I request (but do not require) that no principal be paid to my spouse from the Family Trust for my spouse's Welfare unless the Marital Trust has been exhausted by use, consumption, distribution, or otherwise or is not reasonably available.

D. Disposition of Trusts Upon Death of Survivor of My Spouse and Me. Upon the death of the survivor of my spouse and me,

1. Limited Power. My spouse (if my spouse survives me) may appoint the Marital Trust and Family Trust (except any part added by disclaimer from the Marital Trust and proceeds of insurance policies on my spouse's life) to or for the benefit of one or more of my lineal descendants and their spouses;

2. Disposition of Balance. Any parts of the Marital Trust and the Family Trust my spouse does not or cannot effectively appoint (including any additions upon my spouse's death), or all of the Family Trust if my spouse did not survive me, shall be divided among and held in separate Trusts for my lineal descendants then living, *per stirpes*. Any assets allocated under this Subparagraph II.D. to my children (as that term is defined under this Trust), shall be distributed to the then serving Trustees of each of their respective Family Trusts, established by me as grantor on even date herewith (the "**Family Trusts**" which term includes any successor trust thereto), to be held and administered as provided under said Trusts. The provisions of the Family Trusts are incorporated herein by reference, and if any of the Family Trusts are not then in existence and it is necessary to accomplish the foregoing dispositions, the current Trustee of this Trust is directed to take such action to establish or reconstitute such applicable trust(s), or if the Trustee is unable to do so, said assets shall be held in separate trusts



for such lineal descendants and administered as provided in Subparagraph II.E. below. Each of my lineal descendants for whom a separate Trust is held hereunder shall hereinafter be referred to as a "*beneficiary*," with their separate trusts to be administered as provided in Subparagraph II.E. below.

E. Trusts for Beneficiaries. The Trustee shall pay to a beneficiary the net income of such beneficiary's trust. The Trustee shall pay to the beneficiary and the beneficiary's children, such amounts of the principal of such beneficiary's trust as is proper for the Welfare of such individuals. After a beneficiary has reached any one or more of the following birthdays, the beneficiary may withdraw the principal of his or her separate trust at any time or times, not to exceed in the aggregate 1/3 in value after the beneficiary's 25th birthday, 1/2 in value (after deducting any amount previously subject to withdrawal but not actually withdrawn) after the beneficiary's 30th birthday, and the balance after the beneficiary's 35th birthday, provided that the withdrawal powers described in this sentence shall not apply to any child of mine as beneficiary of a separate trust. The value of each trust shall be its value as of the first exercise of each withdrawal right, plus the value of any subsequent addition as of the date of addition. The right of withdrawal shall be a privilege which may be exercised only voluntarily and shall not include an involuntary exercise. If a beneficiary dies with assets remaining in his or her separate trust, upon the beneficiary's death the beneficiary may appoint his or her trust to or for the benefit of one or more of my lineal descendants and their spouses (excluding from said class, however, such beneficiary and such beneficiary's creditors, estate, and creditors of such beneficiary's estate). Any part of his or her trust such beneficiary does not effectively appoint shall upon his or her death be divided among and held in separate Trusts for the following persons:

1. for his or her lineal descendants then living, *per stirpes*; or
2. if he or she leaves no lineal descendant then living, *per stirpes* for the lineal descendants then living of his or her nearest ancestor (among me and my lineal descendants) with a lineal descendant then living who is also a lineal descendant of my spouse.

A trust for a lineal descendant of mine shall be held under this paragraph, or if a trust is then so held, shall be added to such trust.

F. Termination of Small Trust. If at any time after the death of the survivor of my spouse and me in the opinion of the Trustee a separate trust holds assets of a value of less than \$50,000.00 and is too small to justify the expense of its retention, and termination of such trust is in the best interests of its current income beneficiary, the Trustee in its discretion may terminate such trust and pay it to said beneficiary.

G. Contingent Gift. If at any time property of a trust held under this Agreement is not disposed of under the other provisions of this Agreement, it shall be paid, as a gift made hereunder, to such persons and in such shares as such property would be distributed if my spouse and I had each then owned one-half of such property and had each then died solvent, unmarried and intestate domiciled in the State of Florida, according to the laws of inheritance of the State of Florida then in effect.



H. Protective Provision. No beneficiary of any trust herein created shall have any right or power to anticipate, transfer, pledge, sell, alienate, assign or encumber in any way his or her interest in the income or principal of such trust. Furthermore, no creditor shall have the right to attach, lien, seize or levy upon the interest of a beneficiary in this trust (other than myself) and such interest shall not be liable for or subject to the debts, liabilities or obligations of any such beneficiary or any claims against such beneficiary (whether voluntarily or involuntarily created), and the Trustee shall pay directly to or for the use or benefit of such beneficiary all income and principal to which such beneficiary is entitled, notwithstanding that such beneficiary has executed a pledge, assignment, encumbrance or in any other manner alienated or transferred his or her beneficial interest in the trust to another. This paragraph shall not preclude the effective exercise of any power of appointment granted herein or the exercise of any disclaimer.

I. Maximum Duration. Regardless of anything in this Agreement to the contrary, no trust interest herein created shall continue beyond three hundred sixty (360) years as provided in F.S. § 689.225(2)(a)(2), nor shall any power of appointment be exercised in such manner so as to delay vesting of any trust beyond such period. Immediately prior to the expiration of such period, all such trusts then in existence shall terminate, and the assets thereof shall be distributed outright and in fee to then beneficiaries of the current income and in the proportions in which such persons are the beneficiaries, and if such proportions cannot be ascertained, then equally among such beneficiaries.

J. Florida Homestead Possessory Rights. Notwithstanding anything herein to the contrary, if any portion of any Florida improved residential real estate (excluding commercial multi-unit rental property) is an asset of the Marital Trust, my spouse shall have the exclusive and continuous present right to full use, occupancy and possession of such real estate for life. It is my intention that my spouse's interest in such property shall constitute a "beneficial interest for life" and "equitable title to real estate" as contemplated by Section 196.041(2) of Florida Statutes, as amended from time to time or any corresponding provision of law.

ARTICLE III. GENERAL

A. Disability. Subject to the following Subparagraph captioned "Subchapter S Stock," while any beneficiary (other than my spouse as beneficiary of the Marital Trust) is Disabled, the Trustee shall pay to him or her only such portion of the income to which he or she is otherwise entitled as is proper for his or her Welfare, and any income not so paid shall be added to the principal from which derived. While any beneficiary is Disabled, income or principal payable to him or her may, in the discretion of the Trustee, be paid directly to him or her, without the intervention of a guardian, directly to his or her creditors or others for his or her sole benefit or to an adult person or an eligible institution (including the Trustee) selected by the Trustee as custodian for a minor beneficiary under the Uniform Transfers to Minors Act or similar law. The receipt of such payee is a complete release to the Trustee.

B. Timing of Income Distributions. The Trustee shall make required payments of income at least quarterly.



C. Substance Abuse.

1. In General. If the Trustee reasonably believes that a beneficiary (other than myself) of any trust:

a. routinely or frequently uses or consumes any illegal substance so as to be physically or psychologically dependent upon that substance, or

b. is clinically dependent upon the use or consumption of alcohol or any other legal drug or chemical substance that is not prescribed by a board certified medical doctor or psychiatrist in a current program of treatment supervised by such doctor or psychiatrist,

and if the Trustee reasonably believes that as a result the beneficiary is unable to care for himself or herself, or is unable to manage his or her financial affairs, all mandatory distributions (including distributions upon termination of the trust) to the beneficiary, all of the beneficiary's withdrawal rights, and all of the beneficiary's rights to participate in decisions concerning the removal and appointment of Trustees will be suspended (excluding, however, mandatory income rights under the Marital Trust). In that event, the following provisions of this Subparagraph III.C will apply.

2. Testing. The Trustee may request the beneficiary to submit to one or more examinations (including laboratory tests of bodily fluids) determined to be appropriate by a board certified medical doctor and to consent to full disclosure to the Trustee of the results of all such examinations. The Trustee shall maintain strict confidentiality of those results and shall not disclose those results to any person other than the beneficiary without the prior written permission of the beneficiary. The Trustee may totally or partially suspend all distributions otherwise required or permitted to be made to that beneficiary until the beneficiary consents to the examination and disclosure to the Trustee.

3. Treatment. If, in the opinion of the examining doctor, the examination indicates current or recent use of a drug or substance as described above, the examining doctor will determine an appropriate method of treatment for the beneficiary (for example, counseling or treatment on an in-patient basis in a rehabilitation facility) that is acceptable to the Trustee. If the beneficiary consents to the treatment, the Trustee shall pay the costs of treatment directly to the provider of those services from the distributions suspended under this Subparagraph III.C.

4. Resumption of Distributions. The Trustee may resume other distributions to the beneficiary (and the beneficiary's other suspended rights will be restored) when, in the case of use or consumption of an illegal substance, examinations indicate no such use for 12 months and, in all cases, when the Trustee in its discretion determines that the beneficiary is able to care for himself or herself and is able to manage his or her financial affairs.

5. Disposition of Suspended Amounts. When other distributions to the beneficiary are resumed, the remaining balance, if any, of distributions that were suspended may be distributed to



the beneficiary at that time. If the beneficiary dies before distribution of those suspended amounts, the Trustee shall distribute the balance of the suspended amounts to the persons who would be the alternate takers of that beneficiary's share (or takers through the exercise of a power of appointment) as otherwise provided in this Trust Agreement.

6. Exoneration. No Trustee (or any doctor retained by the Trustee) will be responsible or liable to anyone for a beneficiary's actions or welfare. The Trustee has no duty to inquire whether a beneficiary uses drugs or other substances as described in this Subparagraph III.C. The Trustee (and any doctor retained by the Trustee) is to be indemnified from the trust estate and held harmless from any liability of any nature in exercising its judgment and authority under this Subparagraph III.C, including any failure to request a beneficiary to submit to medical examination, and including a decision to distribute suspended amounts to a beneficiary.

7. Tax Savings Provision. Despite the provisions of this Subparagraph III.C, the Trustee cannot suspend any mandatory distributions or withdrawal rights that are required for that trust to become or remain a Qualified Subchapter S Trust (unless the Trustee elects for the trust to be an Electing Small Business Trust), or to qualify for any federal transfer tax exemption, deduction, or exclusion allowable with respect to that trust.

D. Income on Death of Beneficiary. Subject to the later paragraph captioned "Subchapter S Stock," and except as otherwise explicitly provided herein, upon the death of any beneficiary, all accrued or undistributed income of such deceased beneficiary's trust shall pass with the principal of his or her trust but shall remain income for trust accounting purposes.

E. Definitions. In this Agreement,

1. Children, Lineal Descendants. The terms "*child*," "*children*" and "*lineal descendant*" mean only persons whose relationship to the ancestor designated is created entirely by or through (a) legitimate births occurring during the marriage of the joint biological parents to each other, (b) children and their lineal descendants arising from surrogate births and/or third party donors when (i) the child is raised from or near the time of birth by a married couple (other than a same sex married couple) through the pendency of such marriage, (ii) one of such couple is the designated ancestor, and (iii) to the best knowledge of the Trustee both members of such couple participated in the decision to have such child, and (c) lawful adoptions of minors under the age of twelve years. No such child or lineal descendant loses his or her status as such through adoption by another person. Notwithstanding the foregoing, as I have adequately provided for them during my lifetime, for purposes of the dispositions made under this Trust, my children, TED S. BERNSTEIN ("*TED*") and PAMELA B. SIMON ("*PAM*"), and their respective lineal descendants shall be deemed to have predeceased the survivor of my spouse and me, provided, however, if my children, ELIOT BERNSTEIN, JILL IANTONI and LISA S. FRIEDSTEIN, and their lineal descendants all predecease the survivor of my spouse and me, then TED and PAM, and their respective lineal descendants shall not be deemed to have predeceased me and shall be eligible beneficiaries for purposes of the dispositions made hereunder.



2. Code. "**Code**" means the Internal Revenue Code of 1986, as amended, and in referring to any particular provision of the Code, includes a reference to any equivalent or successor provision of a successor federal tax law.

3. Disabled. "**Disabled**" or being under "**Disability**" means, as to any applicable individual: (1) being under the age of 21 years, (2) having been adjudicated by a court of competent jurisdiction as mentally or physically incompetent or unable to manage his or her own property or personal affairs (or a substantially similar finding under applicable state or national law), or (3) being unable to properly manage his or her personal or financial affairs, or a trust estate hereunder as to a Trustee hereunder, because of a mental or physical impairment (whether temporary or permanent in nature). A written certificate executed by an individual's attending physician or attending psychiatrist confirming that person's impairment will be sufficient evidence of Disability under item (3) above, and all persons may rely conclusively on such a certificate.

4. Education. The term "**education**" herein means vocational, primary, secondary, preparatory, theological, college and professional education, including post-graduate courses of study, at educational institutions or elsewhere, and expenses relating directly thereto, including tuition, books and supplies, room and board, and travel from and to home during school vacations. It is intended that the Trustee liberally construe and interpret references to "education," so that the beneficiaries entitled to distributions hereunder for education obtain the best possible education commensurate with their abilities and desires.

5. My Spouse. "**My spouse**" is SHIRLEY BERNSTEIN ("**SHIRLEY**").

6. Needs and Welfare Distributions. Payments to be made for a person's "**Needs**" means payments for such person's support, health (including lifetime residential or nursing home care), maintenance and education. Payments to be made for a person's "**Welfare**" means payments for such person's Needs, and as the Trustee determines in its sole discretion also for such person's advancement in life (including assistance in the purchase of a home or establishment or development of any business or professional enterprise which the Trustee believes to be reasonably sound), happiness and general well-being. However, the Trustee, based upon information reasonably available to it, shall make such payments for a person's Needs or Welfare only to the extent such person's income, and funds available from others obligated to supply funds for such purposes (including, without limitation, pursuant to child support orders and agreements), are insufficient in its opinion for such purposes, and shall take into account such person's accustomed manner of living, age, health, marital status and any other factor it considers important. Income or principal to be paid for a person's Needs or Welfare may be paid to such individual or applied by the Trustee directly for the benefit of such person. The Trustee may make a distribution or application authorized for a person's Needs or Welfare even if such distribution or application substantially depletes or exhausts such person's trust, without any duty upon the Trustee to retain it for future use or for other persons who might otherwise benefit from such trust.

7. Per Stirpes. In a division "**per stirpes**" each generation shall be represented and counted whether or not it has a living member.



8. Related or Subordinate Party. A "*Related or Subordinate Party*" to a trust describes a beneficiary of the subject trust or a related or subordinate party to a beneficiary of the trust as the terms "related or subordinate party" are defined under Code Section 672(c).

9. Spouse. A person's "*spouse*" includes only a spouse then married to and living as husband and wife with him or her, or a spouse who was married to and living as husband and wife with him or her at his or her death. The following rules apply to each person who is a beneficiary or a permissible appointee under this Trust Agreement and who is married to a descendant of mine. Such a person will cease to be a beneficiary and will be excluded from the class of permissible appointees upon:

a. the legal termination of the marriage to my descendant (whether before or after my death), or

b. the death of my descendant if a dissolution of marriage proceeding was pending when he or she died.

10. Gender, Number. Where appropriate, words of any gender include all genders and the singular and plural are interchangeable.

F. Powers of Appointment. Property subject to a power of appointment shall be paid to, or retained by the Trustee or paid to any trustee under any will or trust agreement for the benefit of, such one or more permissible appointees, in such amounts and proportions, granting such interests, powers and powers of appointment, and upon such conditions including spendthrift provisions as the holder of such power (i) in the case of a power exercisable upon the death of such holder, appoints in his or her will or in a trust agreement revocable by him or her until his or her death, or (ii) in the case of a power exercisable during the life of such holder, appoints in a written instrument signed by such holder, two witnesses and a notary public, but in either case only if such will, trust agreement, or instrument specifically refers to such power.

G. Limitations on Powers of Trustee. Regardless of anything herein to the contrary, no Trustee shall make or participate in making any distribution of income or principal of a trust to or for the benefit of a beneficiary which would directly or indirectly discharge any legal obligation of such Trustee or a donor of such trust (as an individual, and other than myself as donor) to support such beneficiary; and no Trustee (other than myself and other than my spouse as Trustee of the Marital Trust) shall make or participate in making any discretionary distribution of income or principal to or for the benefit of himself or herself other than for his or her Needs, including by reason of a determination to terminate a trust described herein. For example, if a Trustee (other than myself and other than my spouse as Trustee of the Marital Trust) has the power to distribute income or principal to himself or herself for his or her own Welfare, such Trustee (the "restricted Trustee") shall only have the power to make or participate in making a distribution of income or principal to the restricted Trustee for the restricted Trustee's Needs, although any co-Trustee who is not also a restricted Trustee may make or participate in making a distribution of income or principal to the restricted Trustee for such restricted Trustee's Welfare without the participation or consent of said restricted Trustee.

H. **Presumption of Survivorship.** If my spouse and I die under circumstances which make it difficult or impracticable to determine which one of us survived the other, I direct that my spouse shall be deemed to have survived me for purposes of this Agreement (except in regard to any property passing hereunder that became part of this trust solely by reason of passage to my probate estate or this trust from the probate estate of or a revocable trust established by my spouse in which case the opposite presumption shall apply), notwithstanding any provisions of law which provide for a contrary presumption. If any person other than my spouse shall be required to survive another person in order to take any interest under this Agreement, the former person shall be deemed to have predeceased the latter person, if such persons die under circumstances which make it difficult or impracticable to determine which one died first.

I. **Governing Law.** This Agreement is governed by the law of the State of Florida.

J. **Other Beneficiary Designations.** Except as otherwise explicitly and with particularity provided herein, (a) no provision of this trust shall revoke or modify any beneficiary designation of mine made by me and not revoked by me prior to my death under any individual retirement account, other retirement plan or account, or annuity or insurance contract, (b) I hereby reaffirm any such beneficiary designation such that any assets held in such account, plan, or contract shall pass in accordance with such designation, and (c) regardless of anything herein to the contrary, any of such assets which would otherwise pass pursuant to this trust due to the beneficiary designation not having met the requirements for a valid testamentary disposition under applicable law or otherwise shall be paid as a gift made hereunder to the persons and in the manner provided in such designation which is incorporated herein by this reference.

K. **Mandatory Notice Required by Florida Law.** The trustee of a trust may have duties and responsibilities in addition to those described in the instrument creating the trust. If you have questions, you should obtain legal advice.

L. **Release of Medical Information.**

1. **Disability of Beneficiary.** Upon the written request of a Trustee (with or without the concurrence of co-Trustees) issued to any current income or principal beneficiary (including discretionary beneficiaries) for whom a determination of Disability is relevant to the administration of a trust hereunder and for whom a Trustee (with or without the concurrence of co-Trustees) desires to make such a determination, such beneficiary shall issue to all Trustees (who shall be identified thereon both by name to the extent known and by class description) a valid authorization under the Health Insurance Portability and Accountability Act of 1996 and any other applicable or successor law authorizing all health care providers and all medical sources of such requested beneficiary to release protected health information of the requested beneficiary to all Trustees that is relevant to the determination of the Disability of the requested beneficiary as Disability is defined hereunder. The period of each such valid authorization shall be for six months (or the earlier death of the requested beneficiary). If such beneficiary (or his or her legal representative if such beneficiary is a minor or legally disabled) refuses within thirty days of receipt of the request to provide a valid authorization, or



at any time revokes an authorization within its term, the Trustee shall treat such beneficiary as Disabled hereunder until such valid authorization is delivered.

2. Disability of Trustee. Upon the request to a Trustee that is an individual by (a) a co-Trustee, or if none, (b) the person or entity next designated to serve as a successor Trustee not under legal incapacity, or if none, (c) any adult current income or principal beneficiary not under legal incapacity, or in any event and at any time (d) a court of competent jurisdiction, such Trustee shall issue to such person and all persons, courts of competent jurisdiction, and entities (who shall be identified thereon both by name to the extent known and by class description), with authority hereunder to determine such requested Trustee's Disability, a valid authorization under the Health Insurance Portability and Accountability Act of 1996 and any other applicable or successor law authorizing all health care providers and all medical sources of such requested Trustee to release protected health information of the requested Trustee to such persons, courts and entities, that is relevant to the determination of the Disability of the requested Trustee as Disability is defined hereunder. The period of each such valid authorization shall be for six months (or the earlier death or resignation of the requested Trustee). If such requested Trustee refuses within thirty days of receipt of the request to deliver a valid authorization, or at any time revokes an authorization within its term, such requested Trustee shall thereupon be treated as having resigned as Trustee hereunder.

3. Authorization to Issue Certificate. All required authorizations under this paragraph shall include the power of a physician or psychiatrist to issue a written certificate to the appropriate persons or entities as provided in Subparagraph III.E.3 hereof.

ARTICLE IV. FIDUCIARIES

A. Powers of the Trustee. During my life except while I am Disabled, the Trustee shall exercise all powers provided by law and the following powers, other than the power to retain assets, only with my written approval. While I am Disabled and after my death, the Trustee shall exercise said powers without approval, provided that the Trustee shall exercise all powers in a fiduciary capacity.

1. Investments. To sell or exchange at public or private sale and on credit or otherwise, with or without security, and to lease for any term or perpetually, any property, real and personal, at any time forming a part of the trust estate (the "*estate*"); to grant and exercise options to buy or sell; to invest or reinvest in real or personal property of every kind, description and location; and to receive and retain any such property whether originally a part of any trust herein created or subsequently acquired, even if the Trustee is personally interested in such property, and without liability for any decline in the value thereof; all without limitation by any statutes or judicial decisions whenever enacted or announced, regulating investments or requiring diversification of investments, it being my intention to give the broadest investment powers and discretion to the Trustee. Any bank, trust company, or other corporate trustee serving hereunder as Trustee is authorized to invest in its own common trust funds.



2. Special Investments. The Trustee is expressly authorized (but not directed) to retain, make, hold, and dispose of investments not regarded as traditional for trusts, including interests or investments in privately held business and investment entities and enterprises, including without limitation stock in closely held corporations, limited partnership interests, joint venture interests, mutual funds, business trust interests, and limited liability company membership interests, notwithstanding (a) any applicable prudent investor rule or variation thereof, (b) common law or statutory diversification requirements (it being my intent that no such duty to diversify shall exist) (c) a lack of current cash flow therefrom, (d) the presence of any risk or speculative elements as compared to other available investments (it being my intent that the Trustee have sole and absolute discretion in determining what constitutes acceptable risk and what constitutes proper investment strategy), (e) lack of a reasonable rate of return, (f) risks to the preservation of principal, (g) violation of a Trustee's duty of impartiality as to different beneficiaries (it being my intent that no such duty exists for this purpose), and (h) similar limitations on investment under this Agreement or under law pertaining to investments that may or should be made by a Trustee (including without limitation the provisions of Fla.Stats. §518.11 and successor provisions thereto that would characterize such investments as forbidden, imprudent, improper or unlawful). The Trustee shall not be responsible to any trust created hereunder or the beneficiaries thereof for any loss resulting from any such authorized investment, including without limitation loss engendered by the higher risk element of that particular entity, investment, or enterprise, the failure to invest in more conservative investments, the failure to diversify trust assets, the prudent investor rule or variant thereof. Notwithstanding any provisions for distributions to beneficiaries hereunder, if the Trustee determines that the future potential investment return from any illiquid or closely held investment asset warrants the retention of that investment asset or that sufficient value could not be obtained from the sale or other disposition of an illiquid or closely held investment asset, the Trustee is authorized to retain that asset and if necessary reduce the distributions to beneficiaries due to lack of sufficient liquid or marketable assets. However, the preceding provisions of this Subparagraph shall not be exercised in a manner as to jeopardize the availability of the estate tax marital deduction for assets passing to or held in the a trust for my surviving spouse or that would otherwise qualify for the estate tax marital deduction but for such provisions, shall not override any express powers hereunder of my surviving spouse to demand conversion of unproductive property to productive property, or reduce any income distributions otherwise required hereunder for a trust held for the benefit of my surviving spouse or a "qualified subchapter S trust" as that term is defined in Code Section 1361(d)(3).

3. Distributions. To make any division or distribution pro rata or non-pro rata, in cash or in kind, and to allocate undivided interests in property and dissimilar property (without regard to its tax basis) to different shares.

4. Management. To manage, develop, improve, partition or change the character of an asset or interest in property at any time; and to make ordinary and extraordinary repairs, replacements, alterations and improvements, structural or otherwise.

5. Borrowing. To borrow money from anyone on commercially reasonable terms, including entities owned in whole or in part by the trust, a Trustee, beneficiaries and other persons who may have a direct or indirect interest in a Trust; and to mortgage, margin, encumber and pledge real and



personal property of a trust as security for the payment thereof, without incurring any personal liability thereon and to do so for a term within or extending beyond the terms of the trust and to renew, modify or extend existing borrowing on similar or different terms and with the same or different security without incurring any personal liability; and such borrowing from a Trustee may be with or without interest, and may be secured with a lien on trust assets.

6. Lending. To extend, modify or waive the terms of any obligation, bond or mortgage at any time forming a part of a trust and to foreclose any such mortgage; accept a conveyance of encumbered property, and take title to the property securing it by deed in lieu of foreclosure or otherwise and to satisfy or not satisfy the indebtedness securing said property; to protect or redeem any such property from forfeiture for nonpayment of taxes or other lien; generally, to exercise as to such bond, obligation or mortgage all powers that an absolute owner might exercise; and to loan funds to beneficiaries at commercially reasonable rates, terms and conditions.

7. Abandonment of Property. To abandon any property or asset when it is valueless or so encumbered or in such condition that it is of no benefit to a trust. To abstain from the payment of taxes, liens, rents, assessments, or repairs on such property and/or permit such property to be lost by tax sale, foreclosure or other proceeding or by conveyance for nominal or no consideration to anyone including a charity or by escheat to a state; all without personal liability incurred therefor.

8. Real Property Matters. To subdivide, develop or partition real estate; to purchase or sell real property and to enter into contracts to do the same; to dedicate the same to public use; to make or obtain the location of any plats; to adjust boundaries; to adjust differences in valuations on exchange or partition by giving or receiving consideration; and, to grant easements with or without consideration as the fiduciaries may determine; and to demolish any building, structures, walls and improvements, or to erect new buildings, structures, walls and improvements and to insure against fire and other risks; and to protect and conserve, or to lease, or to encumber, or otherwise to manage and dispose of real property to the extent such power is not otherwise granted herein or otherwise restricted herein.

9. Claims. To enforce, compromise, adjust, arbitrate, release or otherwise settle or pay any claims or demands by or against a trust.

10. Business Entities. To deal with any business entity or enterprise even if a Trustee is or may be a fiduciary of or own interests in said business entity or enterprise, whether operated in the form of a corporation, partnership, business trust, limited liability company, joint venture, sole proprietorship, or other form (all of which business entities and enterprises are referred to herein as "**Business Entities**"). I vest the Trustee with the following powers and authority in regard to Business Entities:

a. To retain and continue to operate a Business Entity for such period as the Trustee deems advisable;

b. To control, direct and manage the Business Entities. In this connection, the Trustee, in its sole discretion, shall determine the manner and extent of its active participation in the operation and may delegate all or any part of its power to supervise and operate to such person or persons as the Trustee may select, including any associate, partner, officer or employee of the Business Entity;

c. To hire and discharge officers and employees, fix their compensation and define their duties; and similarly to employ, compensate and discharge agents, attorneys, consultants, accountants, and such other representatives as the Trustee may deem appropriate; including the right to employ any beneficiary or fiduciary in any of the foregoing capacities;

d. To invest funds in the Business Entities, to pledge other assets of a trust as security for loans made to the Business Entities, and to lend funds from a trust to the Business Entities;

e. To organize one or more Business Entities under the laws of this or any other state or country and to transfer thereto all or any part of the Business Entities or other property of a trust, and to receive in exchange such stocks, bonds, partnership and member interests, and such other securities or interests as the Trustee may deem advisable;

f. To treat Business Entities as separate from a trust. In a Trustee's accounting to any beneficiary, the Trustee shall only be required to report the earnings and condition of the Business Entities in accordance with standard business accounting practice;

g. To retain in Business Entities such net earnings for working capital and other purposes of the Business Entities as the Trustee may deem advisable in conformity with sound business practice;

h. To sell or liquidate all or any part of the Business Entities at such time and price and upon such terms and conditions (including credit) as the Trustee may determine. My Trustee is specifically authorized and empowered to make such sale to any person, including any partner, officer, or employee of the Business Entities, a fiduciary, or to any beneficiary; and

i. To guaranty the obligations of the Business Entities, or pledge assets of a trust to secure such a guaranty.

11. Principal and Income. To allocate items of income or expense between income and principal as permitted or provided by the laws of the State of Florida but without limiting the availability of the estate tax marital deduction, provided that the Trustee shall not be required to provide a rate of return on unproductive property unless otherwise provided in this instrument.

12. Life Insurance. With respect to any life insurance policies constituting an asset of a trust, to pay premiums; to apply dividends in reduction of such premiums; to borrow against the cash values thereof; to convert such policies into other forms of insurance, including paid-up insurance; to

exercise any settlement options provided in any such policies; to receive the proceeds of any policy upon its maturity and to administer such proceeds as a part of the principal of the Trust; and in general, to exercise all other options, benefits, rights and privileges under such policies.

13. Continuing Power. To continue to have or exercise, after the termination of a trust, in whole or in part, and until final distribution thereof, all title, power, discretions, rights and duties conferred or imposed upon the Trustee by law or by this Agreement or during the existence of the trust.

14. Exoneration. To provide for the exoneration of the Trustee from any personal liability on account of any arrangement or contract entered into in a fiduciary capacity.

15. Agreements. To comply with, amend, modify or rescind any agreement made during my lifetime, including those regarding the disposition, management or continuation of any closely held unincorporated business, corporation, partnership or joint venture, and including the power to complete contracts to purchase and sell real estate.

16. Voting. To vote and give proxies, with power of substitution to vote, stocks, bonds and other securities, or not to vote a security.

17. Combination of Shares. To hold the several shares of a trust or several Trusts as a common fund, dividing the income proportionately among them, to assign undivided interests to the several shares or Trusts, and to make joint investments of the funds belonging to them. For such purposes and insofar as may be practicable, the Trustee, to the extent that division of the trust estate is directed hereby, may administer the trust estate physically undivided until actual division thereof becomes necessary to make distributions. The Trustee may hold, manage, invest and account for whole or fractional trust shares as a single estate, making the division thereof by appropriate entries in the books of account only, and may allocate to each whole or fractional trust share its proportionate part of all receipts and expenses; provided, however, this carrying of several Trusts as a single estate shall not defer the vesting in possession of any whole or fractional share of a trust for the beneficiaries thereof at the times specified herein.

18. Reimbursement. To reimburse itself from a trust for reasonable expenses incurred in the administration thereof.

19. Reliance Upon Communication. To rely, in acting under a trust, upon any letter, notice, certificate, report, statement, document or other paper, or upon any telephone, telegraph, cable, wireless or radio message, if believed by the Trustee to be genuine, and to be signed, sealed, acknowledged, presented, sent, delivered or given by or on behalf of the proper person, firm or corporation, without incurring liability for any action or inaction based thereon.

20. Assumptions. To assume, in the absence of written notice to the contrary from the person or persons concerned, that a fact or an event, by reason of which an interest or estate under



a trust shall commence or terminate, does not exist or has not occurred, without incurring liability for any action or inaction based upon such assumption.

21. Service as Custodian. To serve as successor custodian for any beneficiary of any gifts that I may have made under any Transfer to Minors Act, if at the time of my death no custodian is named in the instrument creating the gift.

22. Removal of Assets. The Trustee may remove from the domiciliary state during the entire duration of a trust or for such lesser period as it may deem advisable, any cash, securities or other property at any time in its hands whether principal or not, and to take and keep the same outside the domiciliary state and at such place or places within or outside the borders of the United States as it may determine, without in any event being chargeable for any loss or depreciation to the trust which may result therefrom.

23. Change of Situs. The situs and/or applicable law of any trust created hereunder may be transferred to such other place as the Trustee may deem to be for the best interests of the trust estate. In so doing, the Trustee may resign and appoint a successor Trustee, but may remove such successor Trustee so appointed and appoint others. Each successor Trustee may delegate any and all fiduciary powers, discretionary and ministerial, to the appointing Trustee as its agent.

24. Fiduciary Outside Domiciliary State. In the event the Trustee shall not be able and willing to act as Trustee with respect to any property located outside the domiciliary state, the Trustee, without order of court, may appoint another individual or corporation (including any employee or agent of any appointing Trustee) to act as Trustee with respect to such property. Such appointed Trustee shall have all of the powers and discretions with respect to such property as are herein given to the appointing Trustee with respect to the remaining trust assets. The appointing Trustee may remove such appointed Trustee and appoint another upon ten (10) days notice in writing. All income from such property, and if such property is sold, exchanged or otherwise disposed of, the proceeds thereof, shall be remitted to the appointing Trustee, to be held and administered by it as Trustee hereunder. Such appointed Trustee may employ the appointing Trustee as agent in the administration of such property. No surety shall be required on the bond of the Trustee or agent acting under the provisions of this paragraph. No periodic court accounting shall be required of such appointed Trustee, it being my intention to excuse any statutory accounting which may ordinarily be required.

25. Selection of Assets for Marital Trust. The Trustee shall have sole discretion to determine which assets shall be allocated to the Marital Trust; provided, if possible no assets or the proceeds of any assets which do not qualify for the federal estate tax marital deduction shall be allocated to the Marital Trust. To the extent that other assets qualifying for the marital deduction are available, the Trustee shall not allocate to the Marital Trust any assets with respect to which a credit for foreign taxes paid is allowable under the Code, nor any policy of insurance on the life of my spouse. Any allocation of assets among the Family Trust and the Marital Trust shall, with respect to each such trust, be comprised of assets having an aggregate market value at the time of such allocation fairly representative of the net appreciation or depreciation in the value of the property available for such



allocation between the date of valuation for federal estate tax purposes and the date or dates of said allocation and selection.

26. Additions. To receive and accept additions to the Trusts in cash or in kind from donors, executors, administrators, Trustee or attorneys in fact, including additions of my property by the Trustee or others as my attorneys in fact.

27. Title and Possession. To have title to and possession of all real or personal property held in the Trusts, and to register or hold title to such property in its own name or in the name of its nominee, without disclosing its fiduciary capacity, or in bearer form.

28. Dealing with Estates. To use principal of the Trusts to make loans to my estate, with or without interest, and to make purchases from my estate or my spouse's estate.

29. Agents. To employ persons, including attorneys, auditors, investment advisers, and agents, even if they are the Trustee or associated with the Trustee, to advise or assist the Trustee in the performance of its administrative duties and to pay compensation and costs incurred in connection with such employment from the assets of the Trust; to act without independent investigation upon their recommendations; and, instead of acting personally, to employ one or more agents to perform any act of administration, whether or not discretionary.

30. Tax Elections. To file tax returns, and to exercise all tax-related elections and options at its discretion, without compensating adjustments or reimbursements between any of the Trusts or any of the trust accounts or any beneficiaries.

B. Resignation. A Trustee may resign with or without cause, by giving no less than 30 days advance written notice, specifying the effective date of such resignation, to its successor Trustee and to the persons required and in the manner provided under Fla.Stats. §§736.0705(1)(a) and 736.0109. As to any required recipient, deficiencies in fulfilling the foregoing resignation requirements may be waived in a writing signed by such recipient. Upon the resignation of a Trustee, such Trustee shall be entitled to reimbursement from the trust for all reasonable expenses incurred in the settlement of accounts and in the transfer of assets to his or her successor.

C. Appointment of Successor Trustee

1. Appointment. Upon a Trustee's resignation, or if a Trustee becomes Disabled or for any reason ceases to serve as Trustee, I may appoint any person or persons as successor Trustee, and in default of such appointment by me, SHIRLEY and WILLIAM E. STANSBURY ("**BILL**"), or either of them alone if the other is unable to serve, shall serve as successor co-Trustees or Trustee as the case may be. Notwithstanding the foregoing, if a named Trustee is not a U.S. citizen or resident at the time of commencement of his term as Trustee, such Trustee should give due consideration to declining to serve to avoid potential adverse U.S. income tax consequences by reason of the characterization of a



trust hereunder as a foreign trust under the Code, but shall not be construed to have any duty to so decline if such Trustee desires to serve.

2. Specific Trusts. Notwithstanding the preceding provisions of this Subparagraph IV.C, subsequent to my death I specifically appoint the following person or persons as Trustee of the following Trusts under the following described circumstances:

a. Trustee of the Marital Trust. SHIRLEY shall serve as Trustee of the Marital Trust. While serving as Trustee, my spouse may designate a co-Trustee to serve with my spouse and my spouse may remove and/or replace such co-Trustee from time to time.

b. Trustee of the Family Trust. SHIRLEY shall serve as Trustee of the Family Trust. While serving as Trustee, my spouse may designate a co-Trustee that is not a Related or Subordinate Party to serve with my spouse and my spouse may remove and/or replace such co-Trustee with another that is not a Related or Subordinate Party from time to time.

c. Trustee of Separate Trusts for My Children. Each child of mine shall serve as sole Trustee of his or her separate trust. While serving alone as Trustee, a child of mine may designate a co-Trustee that is not a Related or Subordinate Party to serve with such child and such child may remove and/or replace such co-Trustee with another that is not a Related or Subordinate Party from time to time.

d. Trustee of Separate Trusts for My Lineal Descendants Other Than My Children. In regard to a separate trust held for a lineal descendant of mine other than a child of mine which lineal descendant is the sole current mandatory or discretionary income beneficiary, each such lineal descendant shall serve as co-Trustee, or sole Trustee if the preceding described Trustees cease or are unable to serve or to continue to serve, of his or her separate trust upon reaching age twenty-five (25).

3. Successor Trustees Not Provided For. Whenever a successor Trustee or co-Trustee is required and no successor or other functioning mechanism for succession is provided for under the terms of this Trust Agreement, the last serving Trustee or the last person or entity designated to serve as Trustee of the applicable trust may appoint his or her successor, and if none is so appointed, the following persons shall appoint a successor Trustee (who may be one of the persons making the appointment):

- a. The remaining Trustees, if any; otherwise,
- b. A majority of the permissible current mandatory or discretionary income beneficiaries, including the natural or legal guardians of any beneficiaries who are Disabled.

A successor Trustee appointed under this subparagraph shall not be a Related or Subordinate Party of the trust. The appointment will be by a written document executed by such person in the presence of two



witnesses and acknowledged before a notary public delivered to the appointed Trustee and to me if I am living and not Disabled or in a valid last Will. Notwithstanding the foregoing, a designation under this Subparagraph of a successor trustee to a corporate or entity trustee shall be limited to a corporate or entity trustee authorized to serve as such under Florida law with assets under trust management of no less than one billion dollars.

4. Power to Remove Trustee. Subsequent to my death, the age 25 or older permissible current mandatory or discretionary income beneficiaries from time to time of any trust established hereunder shall have the power to unanimously remove a Trustee of such trust at any time with or without cause, with the successor Trustee to be determined in accordance with the foregoing provisions.

D. Method of Appointment of Trustee. Any such appointment of a successor Trustee by a person shall be made in a written instrument executed by such person in the presence of two witnesses and acknowledged before a notary public which is delivered to such appointed Trustee during the lifetime of the person making such appointment, or any such appointment of a successor Trustee by a person may be made under the last Will of such person.

E. Limitations on Removal and Replacement Power. Any power to remove and/or replace a trustee hereunder that is granted to an individual (including such power when reserved to me) is personal to that individual and may not be exercised by a guardian, power of attorney holder, or other legal representative or agent.

F. Successor Fiduciaries. No Trustee is responsible for, nor has any duty to inquire into, the administration, acts or omissions of any executor, administrator, Personal Representative, or trustee or attorney-in-fact adding property to these Trusts, or of any predecessor Trustee. Each successor Trustee has all the powers, privileges, immunities, rights and title (without the execution of any instrument of transfer or any other act by any retiring Trustee) and all the duties of all predecessors.

G. Liability and Indemnification of Trustee.

1. Liability in General. No individual Trustee (that is, a Trustee that is not a corporation or other entity) shall be liable for any of his or her actions or failures to act as Trustee, even if the individual Trustee is found by a court to have been negligent or in breach of fiduciary duty, except for liability caused by his or her actions or failures to act done in bad faith or with reckless indifference to the purposes of the trust or the interests of the beneficiaries. Each Trustee that is a corporation or other entity will be liable for its actions or failures to act that are negligent or that breach its fiduciary duty, without contribution by any individual Trustee.

2. Indemnification of Trustee. Except in regard to liabilities imposed on a Trustee under Subparagraph IV.C.1, each Trustee shall be held harmless and indemnified from the assets of the trust for any liability, damages, attorney's fees, expenses, and costs incurred as a result of its service as Trustee. A Trustee who ceases to serve for any reason will be entitled to receive reasonable security from



the assets of the trust to protect it from liability, and may enforce these provisions for indemnification against the current Trustee or against any assets held in the trust, or if the former Trustee is an individual and not a corporation or other entity, against any beneficiary to the extent of distributions received by that beneficiary. This indemnification right extends to the estate, personal representatives, legal successors and assigns of a Trustee.

3. Indemnification of Trustee - Additional Provisions. I recognize that if a beneficiary accuses a Trustee of wrongdoing or breach of fiduciary duty, the Trustee may have a conflict of interest that ordinarily would prevent it from paying legal fees and costs from the trust estate to defend itself. I do not want to put a financial burden on any individual named to serve as a Trustee. Just as important, I do not want an individual who has been selected to serve as a Trustee to be reluctant to accept the position, or while serving to be intimidated in the performance of the Trustee's duties because of the threats of lawsuits that might force the Trustee to pay fees and costs from the Trustee's personal resources. For this reason, I deliberately and intentionally waive any such conflict of interest with respect to any individual serving as Trustee so that he or she can hire counsel to defend himself or herself against allegations of wrongdoing or if sued for any reason (whether by a beneficiary or by someone else) and pay all fees and costs for his or her defense from the trust estate until the dispute is resolved. I understand and agree that a court may award, disallow or allocate fees and costs in whole or in part after the dispute is resolved, as provided by law. The Trustee will account for all such fees and costs paid by it as provided by law. This provision shall not apply to any Trustee that is a corporation or other entity.

H. Compensation, Bond. Each Trustee is entitled to be paid reasonable compensation for services rendered in the administration of the trust. Reasonable compensation for a non-individual Trustee will be its published fee schedule in effect when its services are rendered unless otherwise agreed in writing, and except as follows. Any fees paid to a non-individual Trustee for making principal distributions, for termination of the trust, and upon termination of its services must be based solely on the value of its services rendered, not on the value of the trust principal. During my lifetime the Trustee's fees are to be charged wholly against income (to the extent sufficient), unless directed otherwise by me in writing. Each Trustee shall serve without bond.

I. Maintenance of Records. The Trustee shall maintain accurate accounts and records. It shall render annual statements of the receipts and disbursements of income and principal of a trust upon the written request of any adult vested beneficiary of such trust or the guardian of the person of any vested beneficiary and the approval of such beneficiary shall be binding upon all persons then or thereafter interested in such trust as to the matters and transactions shown on such statement. The Trustee may at any time apply for a judicial settlement of any account. No Trustee shall be required to file any statutory or other periodic accountings of the administration of a trust.

J. Interested Trustee. The Trustee may act under this Agreement even if interested in these Trusts in an individual capacity, as a fiduciary of another trust or estate (including my estate) or in any other capacity. The Trustee may in good faith enter into a sale, encumbrance, or other transaction involving the investment or management of trust property for the Trustee's own personal account or which is otherwise affected by a conflict between the Trustee's fiduciary and personal interests, without



liability and without being voidable by a beneficiary. The Trustee is specifically authorized to make loans to, to receive loans from, or to sell, purchase or exchange assets in a transaction with (i) the Trustee's spouse, (ii) the Trustee's children or grandchildren, siblings, parents, or spouses of such persons, (iii) an officer, director, employee, agent, or attorney of the Trustee, or (iv) a corporation, partnership, limited liability company, or other business entity in which the Trustee has a financial interest, provided that in any transaction the trusts hereunder receive fair and adequate consideration in money or money's worth. The Trustee may renounce any interest or expectancy of a trust in, or an opportunity to participate in, specified business opportunities or specified classes or categories of business opportunities that are presented to the Trustee. Such renunciation shall not prohibit the Trustee from participating in the Trustee's individual capacity in such opportunity or expectancy.

K. Third Parties. No one dealing with the Trustee need inquire into its authority or its application of property.

L. Merger of Trusts. If the Trustee is also trustee of a trust established by myself or another person by will or trust agreement, the beneficiaries to whom income and principal may then be paid and then operative terms of which are substantially the same as those of a trust held under this Agreement, the Trustee in its discretion may merge either such trust into the other trust. The Trustee, in exercising its discretion, shall consider economy of administration, convenience to the beneficiaries, tax consequences and any other factor it considers important. If it is later necessary to reestablish the merged trust as separate trusts, it shall be divided proportionately to the value of each trust at the time of merger.

M. Multiple Trustees. If two Trustees are serving at any time, any power or discretion of the Trustees may be exercised only by their joint agreement. Either Trustee may delegate to the other Trustee the authority to act on behalf of both Trustees and to exercise any power held by the Trustees. If more than two Trustees are serving at any time, and unless unanimous agreement is specifically required by the terms of this Trust Agreement, any power or discretion of the Trustees may be exercised only by a majority. The Trustees may delegate to any one or more of themselves the authority to act on behalf of all the Trustees and to exercise any power held by the Trustees. Trustees who consent to the delegation of authority to other Trustees will be liable for the consequences of the actions of those other Trustees as if the consenting Trustees had joined the other Trustees in performing those actions. A dissenting Trustee who did not consent to the delegation of authority to another Trustee and who has not joined in the exercise of a power or discretion cannot be held liable for the consequences of the exercise. A dissenting Trustee who joins only at the direction of the majority will not be liable for the consequences of the exercise if the dissent is expressed in writing delivered to any of the other Trustees before the exercise of that power or discretion.

ARTICLE V. ADDITIONAL TAX AND RELATED MATTERS

A. GST Trusts.

1. **Family Trust.** I direct (a) that the Trustee shall divide any trust other than the Marital Trust to which there is allocated any GST exemption into two separate Trusts (each subject to the provisions of this Trust Agreement relating to the trust that is being divided) so that the generation-skipping tax inclusion ratio of one such trust is zero.

2. **Marital Trust.** I direct that, if possible, (a) the Trustee shall divide the Marital Trust into two separate Marital Trusts (each subject to the provisions hereof concerning the Marital Trust) so that through allocation of my GST exemption remaining unallocated at my death and not otherwise allocated to transfers occurring at or by reason of my death (including allocations to the Family Trust), if any, the generation-skipping tax inclusion ratio of one such Marital Trust is zero (the GST Marital Trust), (b) my Personal Representative to exercise the election provided by Code Section 2652(a)(3) as to the GST Marital Trust, and (c) that upon the death of my spouse the total amount recoverable by my spouse's estate from the property of the Marital Trusts under Code Section 2207A shall first be recoverable in full from the non-GST Marital Trust to the extent thereof.

3. **Misc.** I direct that (a) upon the death of the survivor of me and my spouse, any property then directed to be paid or distributed which constitutes a direct skip shall be paid first from property then exempt from generation-skipping taxation (by reason of the allocation of any GST exemption) to the extent thereof, (b) property exempt from generation-skipping taxation (by reason of the allocation of any GST exemption) and not directed to be paid or distributed in a manner which constitutes a direct skip shall be divided and distributed as otherwise provided herein and held for the same persons designated in Trusts separate from any property then also so divided which is not exempt from generation-skipping taxation, and (c) if upon the death of a beneficiary a taxable termination would otherwise occur with respect to any property held in trust for him or her with an inclusion ratio greater than zero, such beneficiary shall have with respect only to such property a power to appoint such fractional share thereof which if included in such beneficiary's gross estate for federal estate tax purposes (without allowing any deduction with respect to such share) would not be taxed at the highest federal estate tax rate and such fractional share of such property shall be distributed to such persons including only such beneficiary's estate, spouse, and lineal descendants, in such estates, interests, and proportions as such beneficiary may, by a will specifically referring to this general power appoint, and any part of a trust such beneficiary does not effectively appoint shall be treated as otherwise provided for disposition upon his or her death, provided, if upon his or her death two or more Trusts for his or her benefit are directed to be divided among and held or distributed for the same persons and the generation-skipping tax inclusion ratio of any such trust is zero, the amount of any other such trust to which there is allocated any of such beneficiary's GST exemption shall be added to the Trusts with generation-skipping tax inclusion ratios of zero in equal shares. I request (but do not require) that if two or more Trusts are held hereunder for any person, no principal be paid to such person from the Trusts with the lower inclusion ratios for generation-skipping tax purposes unless the trust with the highest inclusion ratio has been exhausted by use, consumption, distribution, or otherwise or is not reasonably available. Notwithstanding any other provision of this Trust Agreement, for purposes of funding any pecuniary payment or trust division to which there is allocated any GST exemption, such payment or trust division allocation shall be satisfied with cash or property which fairly represents appreciation and depreciation (occurring between the valuation date and the date of distribution) in all of the assets from which such



distribution or allocation could be made, and any pecuniary payment made before a residual transfer of property to which any GST exemption is allocated shall be satisfied with cash or property which fairly represents appreciation and depreciation (occurring between the valuation date and the date of distribution) in all of the assets from which such pecuniary payment could be satisfied and shall be allocated a pro rata share of income earned by all such assets between the valuation date and the date of payment. In regard to the division or severance of a trust hereunder, including the Marital Trust, such division or severance shall be made in a manner that all resulting trusts are recognized for purposes of Chapter 13 of the Code, including without limitation complying with the requirements of Treas.Reg. §26.2654-1(b). Except as otherwise expressly provided herein, the valuation date with respect to any property shall be the date as of which its value is determined for federal estate tax purposes with respect to the transferor thereof, and subject to the foregoing, property distributed in kind in satisfaction of any pecuniary payment shall be selected on the basis of the value of such property on the valuation date. All terms used in this Article which are defined or explained in Chapter 13 of the Code or the regulations thereunder shall have the same meaning when used herein. The Trustee is authorized and directed to comply with the provisions of the Treasury Regulations interpreting the generation skipping tax provisions of the Code in severing or combining any trust, creating or combining separate trust shares, allocating GST exemption, or otherwise, as necessary to best accomplish the foregoing allocations, inclusion ratios, combinations, and divisions, including, without limitation, the payment of "appropriate interest" as determined by the Trustee as that term is applied and used in said Regulations.

B. Individual Retirement Accounts. In the event that this trust or any trust created under this Agreement is the beneficiary of an Individual retirement account established and maintained under Code Section 408 or a qualified pension, profit sharing or stock bonus plan established and maintained under Code Section 401 (referred to in this paragraph as "IRA"), the following provisions shall apply to such trust:

1. I intend that the beneficiaries of such trust shall be beneficiaries within the meaning of Code Section 401(a)(9) and the Treasury Regulations thereunder. All provisions of such trust shall be construed consistent with such intent. Accordingly, the following provisions shall apply to such trust:

a. No benefits from any IRA may be used or applied for the payment of any debts, taxes or other claims against my estate as set forth in the later paragraph captioned "Taxes", unless other assets of this trust are not available for such payment.

b. In the event that a beneficiary of any trust created under this Agreement has a testamentary general power of appointment or a limited power of appointment over all or any portion of any trust established under this Agreement, and if such trust is the beneficiary of any benefits from any IRA, the beneficiary shall not appoint any part of such trust to a charitable organization or to a lineal descendant of mine (or a spouse of a lineal descendant of mine) who is older than the beneficiary whose life expectancy is being used to calculate distributions from such IRA.

2. The Trustee shall deliver a copy of this Agreement to the custodian of any IRA of which this trust or any trust created under this Agreement is the named beneficiary within the time period prescribed Code Section 401(a)(9) and the Treasury Regulations thereunder, along with such additional items required thereunder. If the custodian of the IRA changes after a copy of this Agreement has been provided pursuant to the preceding sentence, the Trustee shall immediately provide a copy of this Agreement to the new custodian. The Trustee shall request each custodian to complete a receipt of the Agreement and shall attach such receipt to this Agreement. The Trustee shall provide a copy of each amendment of this Agreement to the custodian and shall obtain a receipt of such amendment.

C. Gift Transfers Made From Trust During My Lifetime. I direct that all gift transfers made from the trust during my lifetime be treated for all purposes as if the gift property had been first withdrawn by (or distributed to) me and then transferred by me to the donees involved. Thus, in each instance, even where title to the gift property is transferred directly from the name of the trust (or its nominee) into the name of the donee, such transfer shall be treated for all purposes as first a withdrawal by (or distribution of the property to) me followed by a gift transfer of the property to the donee by me as donor, the Trustee making the actual transfer in my behalf acting as my attorney in fact, this paragraph being, to that extent, a power of attorney from me to the Trustee to make such transfer, which power of attorney shall not be affected by my Disability, incompetence, or incapacity.

D. Death Costs. If upon my death the Trustee hold any United States bonds which may be redeemed at par in payment of federal estate tax, the Trustee shall pay the federal estate tax due because of my death up to the amount of the par value of such bonds and interest accrued thereon at the time of payment. The Trustee shall also pay from the trust all of my following death costs, but if there is an acting executor, administrator or Personal Representative of my estate my Trustee shall pay only such amounts of such costs as such executor, administrator or Personal Representative directs:

1. my debts which are allowed as claims against my estate,
2. my funeral expenses without regard to legal limitations,
3. the expenses of administering my estate,
4. the balance of the estate, inheritance and other death taxes (excluding generation-skipping transfer taxes unless arising from direct skips), and interest and penalties thereon, due because of my death with respect to all property whether or not passing under my Will or this Agreement (other than property over which I have a power of appointment granted to me by another person, and qualified terminable interest property which is not held in a trust that was subject to an election under Code Section 2652(a)(3) at or about the time of its funding) and life insurance proceeds on policies insuring my life which proceeds are not held under this trust or my probate estate at or by reason of my death), and
5. any gifts made in my Will or any Codicil thereto.



The Trustee may make any such payment either to my executor, administrator or Personal Representative or directly to the proper party. The Trustee shall not be reimbursed for any such payment, and is not responsible for the correctness or application of the amounts so paid at the direction of my executor, administrator, or Personal Representative. The Trustee shall not pay any of such death costs with any asset which would not otherwise be included in my gross estate for federal or state estate or inheritance tax purposes, or with any asset which otherwise cannot be so used, such as property received under a limited power of appointment which prohibits such use. Further, no payment of any such death costs shall be charged against or paid from the tangible personal property disposed of pursuant to the prior paragraph captioned "Disposition of Tangible Personal Property" nor from the Marital Trust.

E. Marital Trust. I intend the maximum obtainable reduction of federal estate tax due by reason of my death by use of the federal estate tax marital deduction, and qualification of all property of the Marital Trust for the marital deduction. This Agreement shall be construed and all powers shall be exercised consistent with such intent. For example, the Trustee shall not allocate any receipt to principal or any disbursement to income if such allocation understates the net income of the Marital Trust under Florida law; and upon the written demand of my spouse, the Trustee shall convert unproductive or underproductive property of said trust into productive property within a reasonable time notwithstanding any other provision hereunder. The foregoing notwithstanding, if my spouse survives me but dies within six months after my death, the Marital Trust provided in Subparagraph II.B will be reduced to that amount, if any, required to obtain for my estate an estate tax marital deduction resulting in the lowest combined estate taxes in my estate and my spouse's estate, on the assumption that my spouse died after me on the date of my death, that my spouse's estate is valued on the same date and in the same manner as my estate is valued for federal estate tax purposes, and that elections in my spouse's estate were made that would be consistent with minimizing taxes. The purpose of this provision is to equalize, insofar as possible, our estates for federal estate tax purposes, based on the above assumptions.

F. Subchapter S Stock. Regardless of anything herein to the contrary, in the event that after my death the principal of a trust includes stock in a corporation for which there is a valid election to be treated under the provisions of Subchapter S of the Code, the income beneficiary of such a trust is a U.S. citizen or U.S. resident for federal income tax purposes, and such trust is not an "electing small business trust" under Code Section 1361(e)(1) in regard to that corporation, the Trustee shall (a) hold such stock as a substantially separate and independent share of such trust within the meaning of Code Section 663(c), which share shall otherwise be subject to all of the terms of this Agreement, (b) distribute all of the income of such share to the one income beneficiary thereof in annual or more frequent installments, (c) upon such beneficiary's death, pay all accrued or undistributed income of such share to the beneficiary's estate, (d) distribute principal from such share during the lifetime of the income beneficiary only to such beneficiary, notwithstanding any powers of appointment granted to any person including the income beneficiary, and (e) otherwise administer such share in a manner that qualifies it as a "qualified Subchapter S trust" as that term is defined in Code Section 1361(d)(3), and shall otherwise manage and administer such share as provided under this Agreement to the extent not inconsistent with the foregoing provisions of this paragraph.



G. **Residence as Homestead.** Regardless of anything herein to the contrary, while any residential real property located in Florida is owned by a trust, I, or my spouse if I am not then living and such trust is the Marital Trust, shall have the right to use, possess and occupy such residence as a personal residence so that such right shall constitute a possessory right in such real property within the meaning of Florida Statute Section 196.041.

[remainder of page intentionally left blank]



IN WITNESS WHEREOF, the parties hereto have executed this Trust Agreement on the date first above written.

SETTLOR and TRUSTEE:

[Signature]
SIMON L. BERNSTEIN

This instrument was signed by SIMON L. BERNSTEIN in our presence, and at the request of and in the presence of SIMON L. BERNSTEIN and each other, we subscribe our names as witnesses on this 20 day of May, 2008:

[Signature]
Print Name: ROBERT L. SPALLINA
Address: 7387 WISTERIA AVENUE
PARKLAND, FL 33076

[Signature]
Print Name: TRACI KRATISH
Address: 16068 GLENCREST AVE
DEERBERRY BEACH, FL 33446

STATE OF FLORIDA

SS.

COUNTY OF PALM BEACH

The foregoing instrument was acknowledged before me this 20 day of May, 2008, by SIMON L. BERNSTEIN.

NOTARY PUBLIC STATE OF FLORIDA
Kimberly Moran
Commission #DD766470
Expires: APR. 28, 2012
BONDED THRU ATLANTIC BONDING CO., INC.

[Signature]
Signature - Notary Public-State of Florida

[Seal with Commission Expiration Date]

Print, type or stamp name of Notary Public

Personally Known ✓ or Produced Identification _____
Type of Identification Produced _____

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SIMON L. BERNSTEIN
TRUST AGREEMENT

ATTACHMENT

The following property has been delivered in trust under this Agreement:

One Dollar (\$1.00) Cash

During my life, the Trustee has no duty to maintain, invest, review, insure, account for, or any other responsibility with respect to trust property other than income producing property, or any duty to pay premiums on life insurance payable to the trust, and shall receive no fee for its services as Trustee based on any trust property other than income producing property.



SIMON L. BERNSTEIN, Settlor and Trustee

SIMON L. BERNSTEIN
AMENDED AND RESTATED TRUST AGREEMENT

Prepared by:

Tescher & Spallina, P.A.
4855 Technology Way, Suite 720, Boca Raton, Florida 33431
(561) 997-7008
www.tescherspallina.com

LAW OFFICES
TESCHER & SPALLINA, P.A.



PS
12-15-15

SIMON L. BERNSTEIN

AMENDED AND RESTATED TRUST AGREEMENT

This Amended and Restated Trust Agreement is dated this 26 day of July, 2012, and is between SIMON L. BERNSTEIN, of Palm Beach County, Florida referred to in the first person, as settlor, and SIMON L. BERNSTEIN, of Palm Beach County, Florida and SIMON L. BERNSTEIN's successors, as trustee (referred to as the "Trustee," which term more particularly refers to all individuals and entities serving as trustee of a trust created hereunder during the time of such service, whether alone or as co-trustees, and whether originally serving or as a successor trustee).

WHEREAS, on May 20, 2008, I created and funded the SIMON L. BERNSTEIN TRUST AGREEMENT (the "Trust Agreement," which reference includes any subsequent amendments of said trust agreement);

WHEREAS, Paragraph A. of Article I. of said Trust Agreement provides, inter alia, that during my lifetime I shall have the right at any time and from time to time by an instrument, in writing, delivered to the Trustee to amend or revoke said Trust Agreement, in whole or in part.

NOW, THEREFORE, I hereby amend and restate the Trust Agreement in its entirety and the Trustee accepts and agrees to perform its duties and obligations in accordance with the following amended provisions. Notwithstanding any deficiencies in execution or other issues in regard to whether any prior version of this Trust Agreement was a valid and binding agreement or otherwise created an effective trust, this amended and restated agreement shall constitute a valid, binding and effective trust agreement and shall amend and succeed all prior versions described above or otherwise predating this amended and restated Trust Agreement.

ARTICLE I. DURING MY LIFE AND UPON MY DEATH

A. Rights Reserved. I reserve the right (a) to add property to this trust during my life or on my death, by my Will or otherwise; (b) to withdraw property held hereunder; and (c) by separate written instrument delivered to the Trustee, to revoke this Agreement in whole or in part and otherwise modify or amend this Agreement.

B. Payments During My Life. If income producing property is held in the trust during my life, the Trustee shall pay the net income of the trust to me or as I may direct. However, during any periods while I am Disabled, the Trustee shall pay to me or on my behalf such amounts of the net income and principal of the trust as is proper for my Welfare. Any income not so paid shall be added to principal.

SIMON L. BERNSTEIN
AMENDED AND RESTATED TRUST AGREEMENT

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C. Upon My Death. Upon my death the Trustee shall collect and add to the trust all amounts due to the trust under any insurance policy on my life or under any death benefit plan and all property added to the trust by my Will or otherwise. After paying or providing for the payment from the augmented trust of all current charges and any amounts payable under the later paragraph captioned "Death Costs," the Trustee shall hold the trust according to the following provisions.

ARTICLE II. AFTER MY DEATH

A. Disposition of Tangible Personal Property. If any non-business tangible personal property other than cash (including, but not limited to, my personal effects, jewelry, collections, household furnishings, and equipment, and automobiles) is held in the trust at the time of my death, such items shall be promptly distributed by the Trustee of the trust to such person or persons, including my estate, as to the item or items or proportion specified, as I may appoint, and to the extent that any such items are not disposed of by such appointment, such items shall be disposed of by the Trustee of the trust in exactly the same manner as such items would have been disposed of under the terms and provisions of my Will (including any Codicil thereto, or what the Trustee in good faith believes to be such Will and Codicil) had such items been included in my probate estate. Any such items which are not effectively disposed of pursuant to the preceding sentence shall pass with the other trust assets.

B. Disposition of Trust Upon My Death. Upon my death, the remaining assets in this trust shall be divided among and held in separate Trusts for my then living grandchildren. Each of my grandchildren for whom a separate trust is held hereunder shall hereinafter be referred to as a "beneficiary" with the separate Trusts to be administered as provided in Subparagraph II.C.

C. Trusts for Beneficiaries. The Trustee shall pay to the beneficiary and the beneficiary's children, such amounts of the net income and principal of such beneficiary's trust as is proper for the Welfare of such individuals. Any income not so paid shall be added to principal each year. After a beneficiary has reached any one or more of the following birthdays, the beneficiary may withdraw the principal of his or her separate trust at any time or times, not to exceed in the aggregate 1/3 in value after the beneficiary's 25th birthday, 1/2 in value (after deducting any amount previously subject to withdrawal but not actually withdrawn) after the beneficiary's 30th birthday, and the balance after the beneficiary's 35th birthday, provided that the withdrawal powers described in this sentence shall not apply to any grandchild of mine as beneficiary of a separate trust. The value of each trust shall be its value as of the first exercise of each withdrawal right, plus the value of any subsequent addition as of the date of addition. The right of withdrawal shall be a privilege which may be exercised only voluntarily and shall not include an involuntary exercise. If a beneficiary dies with assets remaining in his or her separate trust, upon the beneficiary's death the beneficiary may appoint his or her trust to or for the benefit of one or more of any of my lineal descendants (excluding from said class, however, such beneficiary and such beneficiary's creditors, estate, and creditors of such beneficiary's estate). Any part of his or her trust such beneficiary does not effectively appoint shall upon his or her death be divided among and held in separate Trusts for the following persons:

SIMON L. BERNSTEIN
AMENDED AND RESTATED TRUST AGREEMENT

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1. for his or her lineal descendants then living, *per stirpes*; or
2. if he or she leaves no lineal descendant then living, *per stirpes* for the lineal descendants then living of his or her nearest ancestor (among me and my lineal descendants) with a lineal descendant then living.

A trust for a lineal descendant of mine shall be held under this paragraph, or if a trust is then so held, shall be added to such trust.

D. Termination of Small Trust. If at any time after my death in the opinion of the Trustee a separate trust holds assets of a value of less than \$50,000.00 and is too small to justify the expense of its retention, and termination of such trust is in the best interests of its current income beneficiary, the Trustee in its discretion may terminate such trust and pay it to said beneficiary.

E. Contingent Gift. If at any time property of these Trusts is not disposed of under the other provisions of this Agreement, it shall be paid, as a gift made hereunder, to such persons and in such shares as such property would be distributed if I had then owned such property and had then died solvent, unmarried and intestate domiciled in the State of Florida, according to the laws of inheritance of the State of Florida then in effect.

F. Protective Provision. No beneficiary of any trust herein created shall have any right or power to anticipate, transfer, pledge, sell, alienate, assign or encumber in any way his or her interest in the income or principal of such trust. Furthermore, no creditor shall have the right to attach, lien, seize or levy upon the interest of a beneficiary in this trust (other than myself) and such interest shall not be liable for or subject to the debts, liabilities or obligations of any such beneficiary or any claims against such beneficiary (whether voluntarily or involuntarily created), and the Trustee shall pay directly to or for the use or benefit of such beneficiary all income and principal to which such beneficiary is entitled, notwithstanding that such beneficiary has executed a pledge, assignment, encumbrance or in any other manner alienated or transferred his or her beneficial interest in the trust to another. This paragraph shall not preclude the effective exercise of any power of appointment granted herein or the exercise of any disclaimer.

G. Maximum Duration. Regardless of anything in this Agreement to the contrary, no trust interest herein created shall continue beyond three hundred sixty (360) years after the date of creation of this Agreement, nor shall any power of appointment be exercised in such manner so as to delay vesting of any trust beyond such period. Immediately prior to the expiration of such period, all such trusts then in existence shall terminate, and the assets thereof shall be distributed outright and in fee to then beneficiaries of the current income and in the proportions in which such persons are the beneficiaries, and if such proportions cannot be ascertained, then equally among such beneficiaries.

ARTICLE III. GENERAL

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AMENDED AND RESTATED TRUST AGREEMENT

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A. Disability. Subject to the following Subparagraph captioned "Subchapter S Stock," while any beneficiary is Disabled, the Trustee shall pay to him or her only such portion of the income to which he or she is otherwise entitled as is proper for his or her Welfare, and any income not so paid shall be added to the principal from which derived. While any beneficiary is Disabled, income or principal payable to him or her may, in the discretion of the Trustee, be paid directly to him or her, without the intervention of a guardian, directly to his or her creditors or others for his or her sole benefit or to an adult person or an eligible institution (including the Trustee) selected by the Trustee as custodian for a minor beneficiary under the Uniform Transfers to Minors Act or similar law. The receipt of such payee is a complete release to the Trustee.

B. Timing of Income Distributions. The Trustee shall make required payments of income at least quarterly.

C. Substance Abuse.

1. In General. If the Trustee reasonably believes that a beneficiary (other than myself) of any trust:

a. routinely or frequently uses or consumes any illegal substance so as to be physically or psychologically dependent upon that substance, or

b. is clinically dependent upon the use or consumption of alcohol or any other legal drug or chemical substance that is not prescribed by a board certified medical doctor or psychiatrist in a current program of treatment supervised by such doctor or psychiatrist,

and if the Trustee reasonably believes that as a result the beneficiary is unable to care for himself or herself, or is unable to manage his or her financial affairs, all mandatory distributions (including distributions upon termination of the trust) to the beneficiary, all of the beneficiary's withdrawal rights, and all of the beneficiary's rights to participate in decisions concerning the removal and appointment of Trustees will be suspended. In that event, the following provisions of this Subparagraph III.C will apply.

2. Testing. The Trustee may request the beneficiary to submit to one or more examinations (including laboratory tests of bodily fluids) determined to be appropriate by a board certified medical doctor and to consent to full disclosure to the Trustee of the results of all such examinations. The Trustee shall maintain strict confidentiality of those results and shall not disclose those results to any person other than the beneficiary without the prior written permission of the beneficiary. The Trustee may totally or partially suspend all distributions otherwise required or permitted to be made to that beneficiary until the beneficiary consents to the examination and disclosure to the Trustee.

3. Treatment. If, in the opinion of the examining doctor, the examination indicates current or recent use of a drug or substance as described above, the examining doctor will determine an appropriate method of treatment for the beneficiary (for example, counseling or treatment on an

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AMENDED AND RESTATED TRUST AGREEMENT

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in-patient basis in a rehabilitation facility) that is acceptable to the Trustee. If the beneficiary consents to the treatment, the Trustee shall pay the costs of treatment directly to the provider of those services from the distributions suspended under this Subparagraph III.C.

4. Resumption of Distributions. The Trustee may resume other distributions to the beneficiary (and the beneficiary's other suspended rights will be restored) when, in the case of use or consumption of an illegal substance, examinations indicate no such use for 12 months and, in all cases, when the Trustee in its discretion determines that the beneficiary is able to care for himself or herself and is able to manage his or her financial affairs.

5. Disposition of Suspended Amounts. When other distributions to the beneficiary are resumed, the remaining balance, if any, of distributions that were suspended may be distributed to the beneficiary at that time. If the beneficiary dies before distribution of those suspended amounts, the Trustee shall distribute the balance of the suspended amounts to the persons who would be the alternate takers of that beneficiary's share (or takers through the exercise of a power of appointment) as otherwise provided in this Trust Agreement.

6. Exoneration. No Trustee (or any doctor retained by the Trustee) will be responsible or liable to anyone for a beneficiary's actions or welfare. The Trustee has no duty to inquire whether a beneficiary uses drugs or other substances as described in this Subparagraph III.C. The Trustee (and any doctor retained by the Trustee) is to be indemnified from the trust estate and held harmless from any liability of any nature in exercising its judgment and authority under this Subparagraph III.C, including any failure to request a beneficiary to submit to medical examination, and including a decision to distribute suspended amounts to a beneficiary.

7. Tax Savings Provision. Despite the provisions of this Subparagraph III.C, the Trustee cannot suspend any mandatory distributions or withdrawal rights that are required for that trust to become or remain a Qualified Subchapter S Trust (unless the Trustee elects for the trust to be an Electing Small Business Trust), or to qualify for any federal transfer tax exemption, deduction, or exclusion allowable with respect to that trust.

D. Income on Death of Beneficiary. Subject to the later paragraph captioned "Subchapter S Stock," and except as otherwise explicitly provided herein, upon the death of any beneficiary, all accrued or undistributed income of such deceased beneficiary's trust shall pass with the principal of his or her trust but shall remain income for trust accounting purposes.

E. Definitions. In this Agreement,

1. Children, Lineal Descendants. The terms "*child*," "*children*," "*grandchild*," "*grandchildren*" and "*lineal descendant*" mean only persons whose relationship to the ancestor designated is created entirely by or through (a) legitimate births occurring during the marriage of the joint biological parents to each other, (b) children born of female lineal descendants, and (c) children and their lineal descendants arising from surrogate births and/or third party donors when (i) the child is

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raised from or near the time of birth by a married couple (other than a same sex married couple) through the pendency of such marriage, (ii) one of such couple is the designated ancestor, and (iii) to the best knowledge of the Trustee both members of such couple participated in the decision to have such child. No such child or lineal descendant loses his or her status as such through adoption by another person. Notwithstanding the foregoing, for all purposes of this Trust and the dispositions made hereunder, my children, TED S. BERNSTEIN, PAMELA B. SIMON, ELIOT BERNSTEIN, JILL IANTONI and LISA S. FRIEDSTEIN, shall be deemed to have predeceased me as I have adequately provided for them during my lifetime.

2. Code. "Code" means the Internal Revenue Code of 1986, as amended, and in referring to any particular provision of the Code, includes a reference to any equivalent or successor provision of a successor federal tax law.

3. Disabled. "Disabled" or being under "Disability" means, as to any applicable individual: (1) being under the age of 21 years, (2) having been adjudicated by a court of competent jurisdiction as mentally or physically incompetent or unable to manage his or her own property or personal affairs (or a substantially similar finding under applicable state or national law), or (3) being unable to properly manage his or her personal or financial affairs, or a trust estate hereunder as to a Trustee hereunder, because of a mental or physical impairment (whether temporary or permanent in nature). A written certificate executed by an individual's attending physician or attending psychiatrist confirming that person's impairment will be sufficient evidence of Disability under item (3) above, and all persons may rely conclusively on such a certificate.

4. Education. The term "education" herein means vocational, primary, secondary, preparatory, theological, college and professional education, including post-graduate courses of study, at educational institutions or elsewhere, and expenses relating directly thereto, including tuition, books and supplies, room and board, and travel from and to home during school vacations. It is intended that the Trustee liberally construe and interpret references to "education," so that the beneficiaries entitled to distributions hereunder for education obtain the best possible education commensurate with their abilities and desires.

5. Needs and Welfare Distributions. Payments to be made for a person's "Needs" means payments necessary for such person's health (including lifetime residential or nursing home care), education, maintenance and support. Payments to be made for a person's "Welfare" means discretionary payments by the Trustee, from time to time, for such person's Needs and also for such person's advancement in life (including assistance in the purchase of a home or establishment or development of any business or professional enterprise which the Trustee believes to be reasonably sound), happiness and general well-being. However, the Trustee, based upon information reasonably available to it, shall make such payments for a person's Needs or Welfare only to the extent such person's income, and funds available from others obligated to supply funds for such purposes (including, without limitation, pursuant to child support orders and agreements), are insufficient in its opinion for such purposes, and shall take into account such person's accustomed manner of living, age, health, marital status and any other factor it considers important. Income or principal to be paid for a person's Needs or Welfare may be paid to

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such individual or applied by the Trustee directly for the benefit of such person. The Trustee may make a distribution or application authorized for a person's Needs or Welfare even if such distribution or application substantially depletes or exhausts such person's trust, without any duty upon the Trustee to retain it for future use or for other persons who might otherwise benefit from such trust.

6. Per Stirpes. In a division "*per stirpes*" each generation shall be represented and counted whether or not it has a living member.

7. Related or Subordinate Party. A "*Related or Subordinate Party*" to a trust describes a beneficiary of the subject trust or a related or subordinate party to a beneficiary of the trust as the terms "related or subordinate party" are defined under Code Section 672(c).

8. Spouse. A person's "*spouse*" includes only a spouse then married to and living as husband and wife with him or her, or a spouse who was married to and living as husband and wife with him or her at his or her death. The following rules apply to each person who is a beneficiary or a permissible appointee under this Trust Agreement and who is married to a descendant of mine. Such a person will cease to be a beneficiary and will be excluded from the class of permissible appointees upon:

a. the legal termination of the marriage to my descendant (whether before or after my death), or

b. the death of my descendant if a dissolution of marriage proceeding was pending when he or she died.

The trust will be administered as if that person had died upon the happening of the terminating event described above.

9. Gender, Number. Where appropriate, words of any gender include all genders and the singular and plural are interchangeable.

F. Powers of Appointment. Property subject to a power of appointment shall be paid to, or retained by the Trustee or paid to any trustee under any will or trust agreement for the benefit of, such one or more permissible appointees, in such amounts and proportions, granting such interests, powers and powers of appointment, and upon such conditions including spendthrift provisions as the holder of such power (i) in the case of a power exercisable upon the death of such holder, appoints in his or her will or in a trust agreement revocable by him or her until his or her death, or (ii) in the case of a power exercisable during the life of such holder, appoints in a written instrument signed by such holder, two witnesses and a notary public, but in either case only if such will, trust agreement, or instrument specifically refers to such power.

G. Limitations on Powers of Trustee. Regardless of anything herein to the contrary, no Trustee shall make or participate in making any distribution of income or principal of a trust to or for the benefit of a beneficiary which would directly or indirectly discharge any legal obligation of such

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Trustee or a donor of such trust (as an individual, and other than myself as donor) to support such beneficiary; and no Trustee (other than myself) shall make or participate in making any discretionary distribution of income or principal to or for the benefit of himself or herself other than for his or her Needs, including by reason of a determination to terminate a trust described herein. For example, if a Trustee (other than myself) has the power to distribute income or principal to himself or herself for his or her own Welfare, such Trustee (the "restricted Trustee") shall only have the power to make or participate in making a distribution of income or principal to the restricted Trustee for the restricted Trustee's Needs, although any co-Trustee who is not also a restricted Trustee may make or participate in making a distribution of income or principal to the restricted Trustee for such restricted Trustee's Welfare without the participation or consent of said restricted Trustee.

H. Presumption of Survivorship. If any person shall be required to survive another person in order to take any interest under this Agreement, the former person shall be deemed to have predeceased the latter person, if such persons die under circumstances which make it difficult or impracticable to determine which one died first.

I. Governing Law. This Agreement is governed by the law of the State of Florida.

J. Other Beneficiary Designations. Except as otherwise explicitly and with particularity provided herein, (a) no provision of this trust shall revoke or modify any beneficiary designation of mine made by me and not revoked by me prior to my death under any individual retirement account, other retirement plan or account, or annuity or insurance contract, (b) I hereby reaffirm any such beneficiary designation such that any assets held in such account, plan, or contract shall pass in accordance with such designation, and (c) regardless of anything herein to the contrary, any of such assets which would otherwise pass pursuant to this trust due to the beneficiary designation not having met the requirements for a valid testamentary disposition under applicable law or otherwise shall be paid as a gift made hereunder to the persons and in the manner provided in such designation which is incorporated herein by this reference.

K. Release of Medical Information.

1. **Disability of Beneficiary.** Upon the written request of a Trustee (with or without the concurrence of co-Trustees) issued to any current income or principal beneficiary (including discretionary beneficiaries and myself if a beneficiary) for whom a determination of Disability is relevant to the administration of a trust hereunder and for whom a Trustee (with or without the concurrence of co-Trustees) desires to make such a determination, such beneficiary shall issue to all Trustees (who shall be identified thereon both by name to the extent known and by class description) a valid authorization under the Health Insurance Portability and Accountability Act of 1996 and any other applicable or successor law authorizing all health care providers and all medical sources of such requested beneficiary to release protected health information of the requested beneficiary to all Trustees that is relevant to the determination of the Disability of the requested beneficiary as Disability is defined hereunder. The period of each such valid authorization shall be for six months (or the earlier death of the requested



beneficiary). If such beneficiary (or his or her legal representative if such beneficiary is a minor or legally disabled) refuses within thirty days of receipt of the request to provide a valid authorization, or at any time revokes an authorization within its term, the Trustee shall treat such beneficiary as Disabled hereunder until such valid authorization is delivered.

2. Disability of Trustee. Upon the request to a Trustee that is an individual by (a) a co-Trustee, or if none, (b) the person or entity next designated to serve as a successor Trustee not under legal incapacity, or if none, (c) any adult current income or principal beneficiary not under legal incapacity, or in any event and at any time (d) a court of competent jurisdiction, such Trustee shall issue to such person and all persons, courts of competent jurisdiction, and entities (who shall be identified thereon both by name to the extent known and by class description), with authority hereunder to determine such requested Trustee's Disability, a valid authorization under the Health Insurance Portability and Accountability Act of 1996 and any other applicable or successor law authorizing all health care providers and all medical sources of such requested Trustee to release protected health information of the requested Trustee to such persons, courts and entities, that is relevant to the determination of the Disability of the requested Trustee as Disability is defined hereunder. The period of each such valid authorization shall be for six months (or the earlier death or resignation of the requested Trustee). If such requested Trustee refuses within thirty days of receipt of the request to deliver a valid authorization, or at any time revokes an authorization within its term, such requested Trustee shall thereupon be treated as having resigned as Trustee hereunder.

3. Ability to Amend or Revoke. The foregoing provisions of this paragraph shall not constitute a restriction on myself to amend or revoke the terms of this trust instrument under paragraph 1A hereof, provided I otherwise have legal capacity to do so.

4. Authorization to Issue Certificate. All required authorizations under this paragraph shall include the power of a physician or psychiatrist to issue a written certificate to the appropriate persons or entities as provided in Subparagraph III.E.3 hereof.

ARTICLE IV. FIDUCIARIES

A. Powers of the Trustee: During my life except while I am Disabled, the Trustee shall exercise all powers provided by law and the following powers, other than the power to retain assets, only with my written approval. While I am Disabled and after my death, the Trustee shall exercise said powers without approval, provided that the Trustee shall exercise all powers in a fiduciary capacity.

1. Investments. To sell or exchange at public or private sale and on credit or otherwise, with or without security, and to lease for any term or perpetually, any property, real and personal, at any time forming a part of the trust estate (the "estate"); to grant and exercise options to buy or sell; to invest or reinvest in real or personal property of every kind, description and location; and to receive and retain any such property whether originally a part of any trust herein created or subsequently acquired, even if the Trustee is personally interested in such property, and without liability for any

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decline in the value thereof; all without limitation by any statutes or judicial decisions whenever enacted or announced, regulating investments or requiring diversification of investments, it being my intention to give the broadest investment powers and discretion to the Trustee. Any bank, trust company, or other corporate trustee serving hereunder as Trustee is authorized to invest in its own common trust funds.

2. Special Investments. The Trustee is expressly authorized (but not directed) to retain, make, hold, and dispose of investments not regarded as traditional for trusts, including interests or investments in privately held business and investment entities and enterprises, including without limitation stock in closely held corporations, limited partnership interests, joint venture interests, mutual funds, business trust interests, and limited liability company membership interests, notwithstanding (a) any applicable prudent investor rule or variation thereof, (b) common law or statutory diversification requirements (it being my intent that no such duty to diversify shall exist) (c) a lack of current cash flow therefrom, (d) the presence of any risk or speculative elements as compared to other available investments (it being my intent that the Trustee have sole and absolute discretion in determining what constitutes acceptable risk and what constitutes proper investment strategy), (e) lack of a reasonable rate of return, (f) risks to the preservation of principal, (g) violation of a Trustee's duty of impartiality as to different beneficiaries (it being my intent that no such duty exists for this purpose), and (h) similar limitations on investment under this Agreement or under law pertaining to investments that may or should be made by a Trustee (including without limitation the provisions of Fla.Stats. §518.11 and successor provisions thereto that would characterize such investments as forbidden, imprudent, improper or unlawful). The Trustee shall not be responsible to any trust created hereunder or the beneficiaries thereof for any loss resulting from any such authorized investment, including without limitation loss engendered by the higher risk element of that particular entity, investment, or enterprise, the failure to invest in more conservative investments, the failure to diversify trust assets, the prudent investor rule or variant thereof. Notwithstanding any provisions for distributions to beneficiaries hereunder, if the Trustee determines that the future potential investment return from any illiquid or closely held investment asset warrants the retention of that investment asset or that sufficient value could not be obtained from the sale or other disposition of an illiquid or closely held investment asset, the Trustee is authorized to retain that asset and if necessary reduce the distributions to beneficiaries due to lack of sufficient liquid or marketable assets. However, the preceding provisions of this Subparagraph shall not be exercised in a manner as to jeopardize the availability of the estate tax marital deduction for assets passing to or held in the a trust for my surviving spouse or that would otherwise qualify for the estate tax marital deduction but for such provisions, shall not override any express powers hereunder of my surviving spouse to demand conversion of unproductive property to productive property, or reduce any income distributions otherwise required hereunder for a trust held for the benefit of my surviving spouse or a "qualified subchapter S trust" as that term is defined in Code Section 1361(d)(3).

3. Distributions. To make any division or distribution pro rata or non-pro rata, in cash or in kind, and to allocate undivided interests in property and dissimilar property (without regard to its tax basis) to different shares.

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4. Management. To manage, develop, improve, partition or change the character of an asset or interest in property at any time; and to make ordinary and extraordinary repairs, replacements, alterations and improvements, structural or otherwise.

5. Borrowing. To borrow money from anyone on commercially reasonable terms, including entities owned in whole or in part by the trust, a Trustee, beneficiaries and other persons who may have a direct or indirect interest in a Trust; and to mortgage, margin, encumber and pledge real and personal property of a trust as security for the payment thereof, without incurring any personal liability thereon and to do so for a term within or extending beyond the terms of the trust and to renew, modify or extend existing borrowing on similar or different terms and with the same or different security without incurring any personal liability; and such borrowing from a Trustee may be with or without interest, and may be secured with a lien on trust assets.

6. Lending. To extend, modify or waive the terms of any obligation, bond or mortgage at any time forming a part of a trust and to foreclose any such mortgage; accept a conveyance of encumbered property, and take title to the property securing it by deed in lieu of foreclosure or otherwise and to satisfy or not satisfy the indebtedness securing said property; to protect or redeem any such property from forfeiture for nonpayment of taxes or other lien; generally, to exercise as to such bond, obligation or mortgage all powers that an absolute owner might exercise; and to loan funds to beneficiaries at commercially reasonable rates, terms and conditions.

7. Abandonment of Property. To abandon any property or asset when it is valueless or so encumbered or in such condition that it is of no benefit to a trust. To abstain from the payment of taxes, liens, rents, assessments, or repairs on such property and/or permit such property to be lost by tax sale, foreclosure or other proceeding or by conveyance for nominal or no consideration to anyone including a charity or by escheat to a state; all without personal liability incurred therefor.

8. Real Property Matters. To subdivide, develop or partition real estate; to purchase or sell real property and to enter into contracts to do the same; to dedicate the same to public use; to make or obtain the location of any plats; to adjust boundaries; to adjust differences in valuations on exchange or partition by giving or receiving consideration; and, to grant easements with or without consideration as the fiduciaries may determine; and to demolish any building, structures, walls and improvements, or to erect new buildings, structures, walls and improvements and to insure against fire and other risks; and to protect and conserve, or to lease, or to encumber, or otherwise to manage and dispose of real property to the extent such power is not otherwise granted herein or otherwise restricted herein.

9. Claims. To enforce, compromise, adjust, arbitrate, release or otherwise settle or pay any claims or demands by or against a trust.

10. Business Entities. To deal with any business entity or enterprise even if a Trustee is or may be a fiduciary of or own interests in said business entity or enterprise, whether operated in the form of a corporation, partnership, business trust, limited liability company, joint venture, sole

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proprietorship, or other form (all of which business entities and enterprises are referred to herein as "*Business Entities*"). I vest the Trustee with the following powers and authority in regard to Business Entities:

- a. To retain and continue to operate a Business Entity for such period as the Trustee deems advisable;
- b. To control, direct and manage the Business Entities. In this connection, the Trustee, in its sole discretion, shall determine the manner and extent of its active participation in the operation and may delegate all or any part of its power to supervise and operate to such person or persons as the Trustee may select, including any associate, partner, officer or employee of the Business Entity;
- c. To hire and discharge officers and employees, fix their compensation and define their duties; and similarly to employ, compensate and discharge agents, attorneys, consultants, accountants, and such other representatives as the Trustee may deem appropriate; including the right to employ any beneficiary or fiduciary in any of the foregoing capacities;
- d. To invest funds in the Business Entities, to pledge other assets of a trust as security for loans made to the Business Entities, and to lend funds from a trust to the Business Entities;
- e. To organize one or more Business Entities under the laws of this or any other state or country and to transfer thereto all or any part of the Business Entities or other property of a trust, and to receive in exchange such stocks, bonds, partnership and member interests, and such other securities or interests as the Trustee may deem advisable;
- f. To treat Business Entities as separate from a trust. In a Trustee's accounting to any beneficiary, the Trustee shall only be required to report the earnings and condition of the Business Entities in accordance with standard business accounting practice;
- g. To retain in Business Entities such net earnings for working capital and other purposes of the Business Entities as the Trustee may deem advisable in conformity with sound business practice;
- h. To sell or liquidate all or any part of the Business Entities at such time and price and upon such terms and conditions (including credit) as the Trustee may determine. My Trustee is specifically authorized and empowered to make such sale to any person, including any partner, officer, or employee of the Business Entities, a fiduciary, or to any beneficiary; and
- i. To guaranty the obligations of the Business Entities, or pledge assets of a trust to secure such a guaranty.

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11. Principal and Income. To allocate items of income or expense between income and principal as permitted or provided by the laws of the State of Florida but without limiting the availability of the estate tax marital deduction, provided, unless otherwise provided in this instrument, the Trustee shall establish out of income and credit to principal reasonable reserves for depreciation, obsolescence and depletion, determined to be equitable and fair in accordance with some recognized reasonable and preferably uncomplicated trust accounting principle and; provided, further that the Trustee shall not be required to provide a rate of return on unproductive property unless otherwise provided in this instrument.

12. Life Insurance. With respect to any life insurance policies constituting an asset of a trust, to pay premiums; to apply dividends in reduction of such premiums; to borrow against the cash values thereof; to convert such policies into other forms of insurance, including paid-up insurance; to exercise any settlement options provided in any such policies; to receive the proceeds of any policy upon its maturity and to administer such proceeds as a part of the principal of the Trust; and in general, to exercise all other options, benefits, rights and privileges under such policies.

13. Continuing Power. To continue to have or exercise, after the termination of a trust, in whole or in part, and until final distribution thereof, all title, power, discretions, rights and duties conferred or imposed upon the Trustee by law or by this Agreement or during the existence of the trust.

14. Exoneration. To provide for the exoneration of the Trustee from any personal liability on account of any arrangement or contract entered into in a fiduciary capacity.

15. Agreements. To comply with, amend, modify or rescind any agreement made during my lifetime, including those regarding the disposition, management or continuation of any closely held unincorporated business, corporation, partnership or joint venture, and including the power to complete contracts to purchase and sell real estate.

16. Voting. To vote and give proxies, with power of substitution to vote, stocks, bonds and other securities, or not to vote a security.

17. Combination of Shares. To hold the several shares of a trust or several Trusts as a common fund, dividing the income proportionately among them, to assign undivided interests to the several shares or Trusts, and to make joint investments of the funds belonging to them. For such purposes and insofar as may be practicable, the Trustee, to the extent that division of the trust estate is directed hereby, may administer the trust estate physically undivided until actual division thereof becomes necessary to make distributions. The Trustee may hold, manage, invest and account for whole or fractional trust shares as a single estate, making the division thereof by appropriate entries in the books of account only, and may allocate to each whole or fractional trust share its proportionate part of all receipts and expenses; provided, however, this carrying of several Trusts as a single estate shall not defer the vesting in possession of any whole or fractional share of a trust for the beneficiaries thereof at the times specified herein.

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18. Reimbursement. To reimburse itself from a trust for reasonable expenses incurred in the administration thereof.

19. Reliance Upon Communication. To rely, in acting under a trust, upon any letter, notice, certificate, report, statement, document or other paper, or upon any telephone, telegraph, cable, wireless or radio message, if believed by the Trustee to be genuine, and to be signed, sealed, acknowledged, presented, sent, delivered or given by or on behalf of the proper person, firm or corporation, without incurring liability for any action or inaction based thereon.

20. Assumptions. To assume, in the absence of written notice to the contrary from the person or persons concerned, that a fact or an event, by reason of which an interest or estate under a trust shall commence or terminate, does not exist or has not occurred, without incurring liability for any action or inaction based upon such assumption.

21. Service as Custodian. To serve as successor custodian for any beneficiary of any gifts that I may have made under any Transfer to Minors Act, if at the time of my death, no custodian is named in the instrument creating the gift.

22. Removal of Assets. The Trustee may remove from the domiciliary state during the entire duration of a trust or for such lesser period as it may deem advisable, any cash, securities or other property at any time in its hands whether principal or not, and to take and keep the same outside the domiciliary state and at such place or places within or outside the borders of the United States as it may determine, without in any event being chargeable for any loss or depreciation to the trust which may result therefrom.

23. Change of Situs. The situs and/or applicable law of any trust created hereunder may be transferred to such other place as the Trustee may deem to be for the best interests of the trust estate. In so doing, the Trustee may resign and appoint a successor Trustee, but may remove such successor Trustee so appointed and appoint others. Each successor Trustee may delegate any and all fiduciary powers, discretionary and ministerial, to the appointing Trustee as its agent.

24. Fiduciary Outside Domiciliary State. In the event the Trustee shall not be able and willing to act as Trustee with respect to any property located outside the domiciliary state, the Trustee, without order of court, may appoint another individual or corporation (including any employee or agent of any appointing Trustee) to act as Trustee with respect to such property. Such appointed Trustee shall have all of the powers and discretions with respect to such property as are herein given to the appointing Trustee with respect to the remaining trust assets. The appointing Trustee may remove such appointed Trustee and appoint another upon ten (10) days notice in writing. All income from such property, and if such property is sold, exchanged or otherwise disposed of, the proceeds thereof, shall be remitted to the appointing Trustee, to be held and administered by it as Trustee hereunder. Such appointed Trustee may employ the appointing Trustee as agent in the administration of such property. No surety shall be required on the bond of the Trustee or agent acting under the provisions of this

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paragraph. No periodic court accounting shall be required of such appointed Trustee, it being my intention to excuse any statutory accounting which may ordinarily be required.

25. Additions. To receive and accept additions to the Trusts in cash or in kind from donors, executors, administrators, Trustee or attorneys in fact, including additions of my property by the Trustee or others as my attorneys in fact.

26. Title and Possession. To have title to and possession of all real or personal property held in the Trusts, and to register or hold title to such property in its own name or in the name of its nominee, without disclosing its fiduciary capacity, or in bearer form.

27. Dealing with Estates. To use principal of the Trusts to make loans to my estate, with or without interest, and to make purchases from my estate.

28. Agents. To employ persons, including attorneys, auditors, investment advisers, and agents, even if they are the Trustee or associated with the Trustee, to advise or assist the Trustee in the performance of its administrative duties and to pay compensation and costs incurred in connection with such employment from the assets of the Trust; to act without independent investigation upon their recommendations; and, instead of acting personally, to employ one or more agents to perform any act of administration, whether or not discretionary.

29. Tax Elections. To file tax returns, and to exercise all tax-related elections and options at its discretion, without compensating adjustments or reimbursements between any of the Trusts or any of the trust accounts or any beneficiaries.

B. Resignation. A Trustee may resign with or without cause, by giving no less than 30 days advance written notice, specifying the effective date of such resignation, to its successor Trustee and to the persons required and in the manner provided under Fla.Stats. §§736.0705(1)(a) and 736.0109. As to any required recipient, deficiencies in fulfilling the foregoing resignation requirements may be waived in a writing signed by such recipient. Upon the resignation of a Trustee, such Trustee shall be entitled to reimbursement from the trust for all reasonable expenses incurred in the settlement of accounts and in the transfer of assets to his or her successor.

C. Appointment of Successor Trustee.

1. Appointment. Upon a Trustee's resignation, or if a Trustee becomes Disabled or for any reason ceases to serve as Trustee, I may appoint any person or persons as successor Trustee, and in default of such appointment by me, ROBERT L. SPALLINA and DONALD R. TESCHER shall serve together as successor co-Trustees, or either of them alone as Trustee if either of them is unable to serve. Notwithstanding the foregoing, if a named Trustee is not a U.S. citizen or resident at the time of commencement of his term as Trustee, such Trustee should give due consideration to declining to serve to avoid potential adverse U.S. income tax consequences by reason of the characterization of a trust

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hereunder as a foreign trust under the Code, but shall not be construed to have any duty to so decline if such Trustee desires to serve.

2. Specific Trusts. Notwithstanding the preceding provisions of this Subparagraph IV.C, subsequent to my death I specifically appoint the following person or persons as Trustee of the following Trusts under the following described circumstances provided that the foregoing appointments shall apply when and to the extent that no effective appointment is made below:

a. Trustee of Separate Trusts for My Grandchildren. Each grandchild of mine shall serve as co-Trustee with the immediate parent of such grandchild which parent is also a child of mine as to all separate trusts under which such grandchild is the sole current mandatory or discretionary income beneficiary upon attaining the age of twenty-five (25) years, and shall serve as sole Trustee of such trusts upon attaining the age of thirty-five (35) years. While serving alone as Trustee, a grandchild of mine may designate a co-Trustee that is not a Related or Subordinate Party to serve with such grandchild and such grandchild may remove and/or replace such co-Trustee with another that is not a Related or Subordinate Party from time to time.

b. Trustee of Separate Trusts for My Lineal Descendants Other Than My Grandchildren. In regard to a separate trust held for a lineal descendant of mine other than a grandchild of mine which lineal descendant is the sole current mandatory or discretionary income beneficiary, each such lineal descendant shall serve as co-Trustee, or sole Trustee if the preceding described Trustees cease or are unable to serve or to continue to serve, of his or her separate trust upon attaining age twenty-five (25) years. While serving alone as Trustee, a lineal descendant of mine other than a grandchild of mine may designate a co-Trustee to serve with such lineal descendant and such lineal descendant may remove and/or replace such co-Trustee with another from time to time.

3. Successor Trustees Not Provided For. Whenever a successor Trustee or co-Trustee is required and no successor or other functioning mechanism for succession is provided for under the terms of this Trust Agreement, the last serving Trustee or the last person or entity designated to serve as Trustee of the applicable trust may appoint his or her successor, and if none is so appointed, the following persons shall appoint a successor Trustee (who may be one of the persons making the appointment):

- a. The remaining Trustees, if any; otherwise,
- b. A majority of the permissible current mandatory or discretionary income beneficiaries, including the natural or legal guardians of any beneficiaries who are Disabled.

A successor Trustee appointed under this subparagraph shall not be a Related or Subordinate Party of the trust. The appointment will be by a written document executed by such person in the presence of two witnesses and acknowledged before a notary public delivered to the appointed Trustee and to me if I am living and not Disabled or in a valid last Will. Notwithstanding the foregoing, a designation under this Subparagraph of a successor trustee to a corporate or entity trustee shall be limited to a corporate or

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entity trustee authorized to serve as such under Florida law with assets under trust management of no less than one billion dollars.

4. Power to Remove Trustee. Subsequent to my death, the age 35 or older permissible current mandatory or discretionary income beneficiaries from time to time of any trust established hereunder shall have the power to unanimously remove a Trustee of such trust at any time with or without cause, other than a named Trustee or successor Trustee designated hereunder, or a Trustee appointed by me during my lifetime or under my Will or otherwise at the time of my death, with the successor Trustee to be determined in accordance with the foregoing provisions.

D. Method of Appointment of Trustee. Any such appointment of a successor Trustee by a person shall be made in a written instrument executed by such person in the presence of two witnesses and acknowledged before a notary public which is delivered to such appointed Trustee during the lifetime of the person making such appointment, or any such appointment of a successor Trustee by a person may be made under the last Will of such person.

E. Limitations on Removal and Replacement Power. Any power to remove and/or replace a trustee hereunder that is granted to an individual (including such power when reserved to me) is personal to that individual and may not be exercised by a guardian, power of attorney holder, or other legal representative or agent.

F. Successor Fiduciaries. No Trustee is responsible for, nor has any duty to inquire into, the administration, acts or omissions of any executor, administrator, Personal Representative, or trustee or attorney-in-fact adding property to these Trusts, or of any predecessor Trustee. Each successor Trustee has all the powers, privileges, immunities, rights and title (without the execution of any instrument of transfer or any other act by any retiring Trustee) and all the duties of all predecessors.

G. Liability and Indemnification of Trustee.

1. Liability in General. No individual Trustee (that is, a Trustee that is not a corporation or other entity) shall be liable for any of his or her actions or failures to act as Trustee, even if the individual Trustee is found by a court to have been negligent or in breach of fiduciary duty, except for liability caused by his or her actions or failures to act done in bad faith or with reckless indifference to the purposes of the trust or the interests of the beneficiaries. Each Trustee that is a corporation or other entity will be liable for its actions or failures to act that are negligent or that breach its fiduciary duty, without contribution by any individual Trustee.

2. Indemnification of Trustee. Except in regard to liabilities imposed on a Trustee under Subparagraph IV.G.1, each Trustee shall be held harmless and indemnified from the assets of the trust for any liability, damages, attorney's fees, expenses, and costs incurred as a result of its service as Trustee. A Trustee who ceases to serve for any reason will be entitled to receive reasonable security from the assets of the trust to protect it from liability, and may enforce these provisions for indemnification against the current Trustee or against any assets held in the trust, or if the former Trustee is an individual

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and not a corporation or other entity, against any beneficiary to the extent of distributions received by that beneficiary. This indemnification right extends to the estate, personal representatives, legal successors and assigns of a Trustee.

3. Indemnification of Trustee - Additional Provisions. I recognize that if a beneficiary accuses a Trustee of wrongdoing or breach of fiduciary duty, the Trustee may have a conflict of interest that ordinarily would prevent it from paying legal fees and costs from the trust estate to defend itself. I do not want to put a financial burden on any individual named to serve as a Trustee. Just as important, I do not want an individual who has been selected to serve as a Trustee to be reluctant to accept the position, or while serving to be intimidated in the performance of the Trustee's duties because of the threats of lawsuits that might force the Trustee to pay fees and costs from the Trustee's personal resources. For this reason, I deliberately and intentionally waive any such conflict of interest with respect to any individual serving as Trustee so that he or she can hire counsel to defend himself or herself against allegations of wrongdoing or if sued for any reason (whether by a beneficiary or by someone else) and pay all fees and costs for his or her defense from the trust estate until the dispute is resolved. I understand and agree that a court may award, disallow or allocate fees and costs in whole or in part after the dispute is resolved, as provided by law. The Trustee will account for all such fees and costs paid by it as provided by law. This provision shall not apply to any Trustee that is a corporation or other entity.

H. Compensation, Bond. Each Trustee is entitled to be paid reasonable compensation for services rendered in the administration of the trust. Reasonable compensation for a non-individual Trustee will be its published fee schedule in effect when its services are rendered unless otherwise agreed in writing, and except as follows. Any fees paid to a non-individual Trustee for making principal distributions, for termination of the trust, and upon termination of its services must be based solely on the value of its services rendered, not on the value of the trust principal. During my lifetime the Trustee's fees are to be charged wholly against income (to the extent sufficient), unless directed otherwise by me in writing. Each Trustee shall serve without bond.

I. Maintenance of Records. The Trustee shall maintain accurate accounts and records. It shall render annual statements of the receipts and disbursements of income and principal of a trust upon the written request of any adult vested beneficiary of such trust or the guardian of the person of any vested beneficiary and the approval of such beneficiary shall be binding upon all persons then or thereafter interested in such trust as to the matters and transactions shown on such statement. The Trustee may at any time apply for a judicial settlement of any account. No Trustee shall be required to file any statutory or other periodic accountings of the administration of a trust.

J. Interested Trustee. The Trustee may act under this Agreement even if interested in these Trusts in an individual capacity, as a fiduciary of another trust or estate (including my estate) or in any other capacity. The Trustee may in good faith enter into a sale, encumbrance, or other transaction involving the investment or management of trust property for the Trustee's own personal account or which is otherwise affected by a conflict between the Trustee's fiduciary and personal interests, without liability and without being voidable by a beneficiary. The Trustee is specifically authorized to make loans to, to receive loans from, or to sell, purchase or exchange assets in a transaction with (i) the

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Trustee's spouse, (ii) the Trustee's children or grandchildren, siblings, parents, or spouses of such persons, (iii) an officer, director, employee, agent, or attorney of the Trustee, or (iv) a corporation, partnership, limited liability company, or other business entity in which the Trustee has a financial interest, provided that in any transaction the trusts hereunder receive fair and adequate consideration in money or money's worth. The Trustee may renounce any interest or expectancy of a trust in, or an opportunity to participate in, specified business opportunities or specified classes or categories of business opportunities that are presented to the Trustee. Such renunciation shall not prohibit the Trustee from participating in the Trustee's individual capacity in such opportunity or expectancy.

K. Third Parties. No one dealing with the Trustee need inquire into its authority or its application of property.

L. Merger of Trusts. If the Trustee is also trustee of a trust established by myself or another person by will or trust agreement, the beneficiaries to whom income and principal may then be paid and then operative terms of which are substantially the same as those of a trust held under this Agreement, the Trustee in its discretion may merge either such trust into the other trust. The Trustee, in exercising its discretion, shall consider economy of administration, convenience to the beneficiaries, tax consequences and any other factor it considers important. If it is later necessary to reestablish the merged trust as separate trusts, it shall be divided proportionately to the value of each trust at the time of merger.

M. Multiple Trustees. If two Trustees are serving at any time, any power or discretion of the Trustees may be exercised only by their joint agreement. Either Trustee may delegate to the other Trustee the authority to act on behalf of both Trustees and to exercise any power held by the Trustees. If more than two Trustees are serving at any time, and unless unanimous agreement is specifically required by the terms of this Trust Agreement, any power or discretion of the Trustees may be exercised only by a majority. The Trustees may delegate to any one or more of themselves the authority to act on behalf of all the Trustees and to exercise any power held by the Trustees. Trustees who consent to the delegation of authority to other Trustees will be liable for the consequences of the actions of those other Trustees as if the consenting Trustees had joined the other Trustees in performing those actions. A dissenting Trustee who did not consent to the delegation of authority to another Trustee and who has not joined in the exercise of a power or discretion cannot be held liable for the consequences of the exercise. A dissenting Trustee who joins only at the direction of the majority will not be liable for the consequences of the exercise if the dissent is expressed in writing delivered to any of the other Trustees before the exercise of that power or discretion.

ARTICLE V. ADDITIONAL TAX AND RELATED MATTERS

A. GST Trusts. I direct (a) that the Trustee shall divide any trust to which there is allocated any GST exemption into two separate Trusts (each subject to the provisions hereof) so that the generation-skipping tax inclusion ratio of one such trust is zero, (b) any property exempt from generation-skipping taxation shall be divided as otherwise provided herein and held for the same persons

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designated in Trusts separate from any property then also so divided which is not exempt from generation-skipping taxation, and (c) if upon the death of a beneficiary a taxable termination would otherwise occur with respect to any property held in trust for him or her with an inclusion ratio greater than zero, such beneficiary shall have with respect only to such property a power to appoint such fractional share thereof which if included in such beneficiary's gross estate for federal estate tax purposes (without allowing any deduction with respect to such share) would not be taxed at the highest federal estate tax rate and such fractional share of such property shall be distributed to such persons including only such beneficiary's estate, spouse, and issue, as such beneficiary may appoint, and any part of a trust such beneficiary does not effectively appoint shall be treated as otherwise provided for disposition upon his or her death, provided, if upon his or her death two or more Trusts for his or her benefit are directed to be divided among and held or distributed for the same persons and the generation-skipping tax inclusion ratio of any such trust is zero, the amount of any other such Trust to which there is allocated any of such beneficiary's GST exemption shall be added to the Trusts with generation-skipping tax inclusion ratios of zero in equal shares. For purposes of funding any pecuniary payment to which there is allocated any GST exemption, such payment shall be satisfied with cash or property which fairly represents appreciation and depreciation (occurring between the valuation date and the date of distribution) in all of the assets from which such distribution could be made, and any pecuniary payment made before a residual transfer of property to which any GST exemption is allocated shall be satisfied with cash or property which fairly represents appreciation and depreciation (occurring between the valuation date and the date of distribution) in all of the assets from which such pecuniary payment could be satisfied and shall be allocated a pro rata share of income earned by all such assets between the valuation date and the date of payment. Except as otherwise expressly provided herein, the valuation date with respect to any property shall be the date as of which its value is determined for federal estate tax purposes with respect to the transferor thereof, and subject to the foregoing, property distributed in kind in satisfaction of any pecuniary payment shall be selected on the basis of the value of such property on the valuation date. All terms used in this paragraph which are defined or explained in Chapter 13 of the Code or the regulations thereunder shall have the same meaning when used herein. I request (but do not require) that if two or more Trusts are held hereunder for any person, no principal be paid to such person from the Trusts with the lower inclusion ratios for generation-skipping tax purposes unless the trust with the highest inclusion ratio has been exhausted by use, consumption, distribution or otherwise or is not reasonably available. The Trustee is authorized and directed to comply with the provisions of the Treasury Regulations interpreting the generation skipping tax provisions of the Code in severing or combining any trust, creating or combining separate trust shares, allocating GST exemption, or otherwise, as necessary to best accomplish the foregoing allocations, inclusion ratios, combinations, and divisions, including, without limitation, the payment of "appropriate interest" as determined by the Trustee as that term is applied and used in said Regulations.

B. Individual Retirement Accounts. In the event that this trust or any trust created under this Agreement is the beneficiary of an Individual retirement account established and maintained under Code Section 408 or a qualified pension, profit sharing or stock bonus plan established and maintained under Code Section 401 (referred to in this paragraph as "IRA"), the following provisions shall apply to such trust:

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1. I intend that the beneficiaries of such trust shall be beneficiaries within the meaning of Code Section 401(a)(9) and the Treasury Regulations thereunder. All provisions of such trust shall be construed consistent with such intent. Accordingly, the following provisions shall apply to such trust:

a. No benefits from any IRA may be used or applied for the payment of any debts, taxes or other claims against my estate as set forth in the later paragraph captioned "Taxes", unless other assets of this trust are not available for such payment.

b. In the event that a beneficiary of any trust created under this Agreement has a testamentary general power of appointment or a limited power of appointment over all or any portion of any trust established under this Agreement, and if such trust is the beneficiary of any benefits from any IRA, the beneficiary shall not appoint any part of such trust to a charitable organization or to a lineal descendant of mine (or a spouse of a lineal descendant of mine) who is older than the beneficiary whose life expectancy is being used to calculate distributions from such IRA.

2. The Trustee shall deliver a copy of this Agreement to the custodian of any IRA of which this trust or any trust created under this Agreement is the named beneficiary within the time period prescribed Code Section 401(a)(9) and the Treasury Regulations thereunder, along with such additional items required thereunder. If the custodian of the IRA changes after a copy of this Agreement has been provided pursuant to the preceding sentence, the Trustee shall immediately provide a copy of this Agreement to the new custodian. The Trustee shall request each custodian to complete a receipt of the Agreement and shall attach such receipt to this Agreement. The Trustee shall provide a copy of each amendment of this Agreement to the custodian and shall obtain a receipt of such amendment.

C. Gift Transfers Made From Trust During My Lifetime. I direct that all gift transfers made from the trust during my lifetime be treated for all purposes as if the gift property had been first withdrawn by (or distributed to) me and then transferred by me to the donees involved. Thus, in each instance, even where title to the gift property is transferred directly from the name of the trust (or its nominee) into the name of the donee, such transfer shall be treated for all purposes as first a withdrawal by (or distribution of the property to) me followed by a gift transfer of the property to the donee by me as donor, the Trustee making the actual transfer in my behalf acting as my attorney in fact, this paragraph being, to that extent, a power of attorney from me to the Trustee to make such transfer, which power of attorney shall not be affected by my Disability, incompetence, or incapacity.

D. Gifts. If I am Disabled, I authorize the Trustee to make gifts from trust property during my lifetime for estate planning purposes, or to distribute amounts to my legally appointed guardian or to my attorney-in-fact for those purposes, subject to the following limitations:

1. Recipients. The gifts may be made only to my lineal descendants or to trusts primarily for their benefit, and in aggregate annual amounts to any one such recipient that do not exceed the exclusion amount provided for under Code Section 2503(b).

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2. Trustee Limited. When a person eligible to receive gifts is serving as Trustee, the aggregate of all gifts to that person during the calendar year allowable under the preceding subparagraph 1. shall thereafter not exceed the greater of Five Thousand Dollars (\$5,000), or five percent (5%) of the aggregate value of the trust estate. However, gifts completed prior to a recipient's commencing to serve as Trustee shall not be affected by this limitation.

3. Charitable Pledges. The Trustee may pay any charitable pledges I made while I was not Disabled (even if not yet due).

E. Death Costs. If upon my death the Trustee hold any United States bonds which may be redeemed at par in payment of federal estate tax, the Trustee shall pay the federal estate tax due because of my death up to the amount of the par value of such bonds and interest accrued thereon at the time of payment. The Trustee shall also pay from the trust all of my following death costs, but if there is an acting executor, administrator or Personal Representative of my estate my Trustee shall pay only such amounts of such costs as such executor, administrator or Personal Representative directs:

1. my debts which are allowed as claims against my estate,
2. my funeral expenses without regard to legal limitations,
3. the expenses of administering my estate,
4. the balance of the estate, inheritance and other death taxes (excluding generation-skipping transfer taxes unless arising from direct skips), and interest and penalties thereon, due because of my death with respect to all property whether or not passing under my Will or this Agreement (other than property over which I have a power of appointment granted to me by another person, and qualified terminable interest property which is not held in a trust that was subject to an election under Code Section 2652(a)(3) at or about the time of its funding) and life insurance proceeds on policies insuring my life which proceeds are not held under this trust or my probate estate at or by reason of my death), and
5. any gifts made in my Will or any Codicil thereto.

The Trustee may make any such payment either to my executor, administrator or Personal Representative or directly to the proper party. The Trustee shall not be reimbursed for any such payment, and is not responsible for the correctness or application of the amounts so paid at the direction of my executor, administrator, or Personal Representative. The Trustee shall not pay any of such death costs with any asset which would not otherwise be included in my gross estate for federal or state estate or inheritance tax purposes, or with any asset which otherwise cannot be so used, such as property received under a limited power of appointment which prohibits such use. Further, no payment of any such death costs shall be charged against or paid from the tangible personal property disposed of pursuant to the prior paragraph captioned "Disposition of Tangible Personal Property."

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F. Subchapter S Stock. Regardless of anything herein to the contrary, in the event that after my death the principal of a trust includes stock in a corporation for which there is a valid election to be treated under the provisions of Subchapter S of the Code, the income beneficiary of such a trust is a U.S. citizen or U.S. resident for federal income tax purposes, and such trust is not an "electing small business trust" under Code Section 1361(e)(1) in regard to that corporation, the Trustee shall (a) hold such stock as a substantially separate and independent share of such trust within the meaning of Code Section 663(c), which share shall otherwise be subject to all of the terms of this Agreement, (b) distribute all of the income of such share to the one income beneficiary thereof in annual or more frequent installments, (c) upon such beneficiary's death, pay all accrued or undistributed income of such share to the beneficiary's estate, (d) distribute principal from such share during the lifetime of the income beneficiary only to such beneficiary, notwithstanding any powers of appointment granted to any person including the income beneficiary, and (e) otherwise administer such share in a manner that qualifies it as a "qualified Subchapter S trust" as that term is defined in Code Section 1361(d)(3), and shall otherwise manage and administer such share as provided under this Agreement to the extent not inconsistent with the foregoing provisions of this paragraph.

G. Residence as Homestead. I reserve the right to reside upon any real property placed in this trust as my permanent residence during my life, it being the intent of this provision to retain for myself the requisite beneficial interest and possessory right in and to such real property to comply with Section 196.041 of the Florida Statutes such that said beneficial interest and possessory right constitute in all respects "equitable title to real estate" as that term is used in Section 6, Article VII of the Constitution of the State of Florida. Notwithstanding anything contained in this trust to the contrary, for purposes of the homestead exemption under the laws of the State of Florida, my interest in any real property in which I reside pursuant to the provisions of this trust shall be deemed to be an interest in real property and not personalty and shall be deemed my homestead.

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IN WITNESS WHEREOF, the parties hereto have executed this Amended and Restated Trust Agreement on the date first above written.

SETTLOR and TRUSTEE:

[Handwritten Signature]

SIMON L. BERNSTEIN

This instrument was signed by SIMON L. BERNSTEIN in our presence, and at the request of and in the presence of SIMON L. BERNSTEIN and each other, we subscribe our names as witnesses on this 25 day of July, 2012:

[Handwritten Signature]

Print Name: **ROBERT L. SPALLINA**
Address: **7387 WISTERIA AVENUE**
PARKLAND, FL 33076

[Handwritten Signature]

Print Name: **Kimberly Moran**
Address: **6362 Las Flores Drive**
Boca Raton, FL 33433

STATE OF FLORIDA
SS.
COUNTY OF PALM BEACH

The foregoing instrument was acknowledged before me this 25 day of July, 2012, by SIMON L. BERNSTEIN.

[Handwritten Signature]

Signature - Notary Public - State of Florida
Lindsay Baxley

Print, type or stamp name of Notary Public

[Seal with Commission Expiration Date]
NOTARY PUBLIC STATE OF FLORIDA
Lindsay Baxley
Commission # **EE092282**
Expires: **MAY 10, 2015**
BONDED THRU ATLANTIC BONDING CO., INC.

Personally Known _____ or Produced Identification _____
Type of Identification Produced _____

SIMON L. BERNSTEIN
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IN THE CIRCUIT COURT OF THE
FIFTEENTH JUDICIAL CIRCUIT, IN AND
FOR PALM BEACH COUNTY, FLORIDA.

CASE NO. 502012CP004391XXXXNB-IH
Probate – Judge John L. Phillips

IN RE:

ESTATE OF SIMON L. BERNSTEIN.

_____ /

**TRUSTEE'S OMNIBUS STATUS REPORT AND REQUEST
FOR CASE MANAGEMENT CONFERENCE**

Ted S. Bernstein, as Successor Personal Representative of the Estate of Shirley Bernstein, as Successor Trustee of the Shirley Bernstein Trust, and as Successor Trustee of the Simon Bernstein Trust which is the residuary beneficiary of the Estate, files this Omnibus Case Status Report and Requests a Case Management Conference in all pending matters, in advance of the one-hour Status Conference set for Tuesday, September 15, 2015, at 9:30a.m.

Introduction

The overarching issue in these cases is Eliot Bernstein. He is not named as a beneficiary of anything; yet he alone has derailed these proceedings for more than two years and has harassed and attacked the prior judges, fiduciaries and their counsel. (See, by way of example only, Exhibit A) His demands have caused the former curator and now the PR to incur far in excess of \$100,000 in unnecessary fees, pursuing his agenda not their own. With regard to Judge Colin's final action before recusing himself, Eliot's delay of the Trust's sale of real estate is going on six months, and already his objections and "appeal" to the Florida Supreme Court have cost the Trust more than \$125,000. These sums are not insignificant in this case – these are relatively small trusts and estates which likely will have between \$1 million to \$2 million left to distribute in the end. Even less with every billable hour incurred, especially if things continue on their current path.

For reasons which will become apparent to the Court, although these matters should be fully concluded by now – Shirley died first, nearly five years ago, and Simon followed nearly three years ago – it feels like we still are closer to the starting line than the finish line. The sole reason for the lack of progress is their disinherited son, Eliot Bernstein.

If the Court were to appoint a guardian ad litem ("Guardian") for Eliot's three kids, who are beneficiaries of both trusts, everything else could be resolved quickly and easily between the remaining parties. Instead, while Eliot continues to turn the courtroom into his private circus and continues his online attacks, the limited assets in these estates and trusts continue to dwindle. This has been going on far too long, and now that this Court is overseeing these matters,¹ Eliot must be stopped before it is too late to salvage anything for the beneficiaries.

By way of brief background, in 2008, Simon and Shirley created their estate plan and executed mirror image documents. Their plan was simple and typical of a long-term marriage – the surviving spouse would receive everything for life, and the limited right to decide who to benefit when he or she died. The residuary of each Estate passed to a Revocable Trust. The surviving spouse was the sole successor trustee and beneficiary for life, and was granted a limited power of appointment. Simon, as the survivor, had the sole and absolute right to do whatever he pleased with his own assets, and also possessed a limited power to appoint the assets remaining in the Shirley Trust to any of Shirley's lineal descendant or their spouse.

¹ *In Re: Estate of Simon L. Bernstein, Case #502012CP004391XXXXNB;*
In Re: Estate of Shirley Bernstein, Case #502011CP000653XXXXNB;
Eliot Bernstein, etc., et al. v. Theodore Stuart Bernstein, etc., et al.,
Case #502015CP001162XXXXNB;
Ted Bernstein, etc., et al. v. Alexandra Bernstein, et al.,
Case #502014CP003698XXXXNB;
Oppenheimer Trust Co. v. Eliot Bernstein, et al., Case #502014CP002815XXXXNB.

When Shirley died, Simon was PR, successor Trustee, and sole beneficiary of her estate and trust. He apparently did as he pleased with her estate and her assets, and shared virtually no information about Shirley's assets or finances with any of his children. The Shirley Estate was opened in early 2011, and by early 2012 Simon wanted to close it. He had taken all of her assets, as was his right, and he requested that each of his children sign a waiver of accounting etc. to close the estate. It is undisputed that each child signed a Waiver – Eliot was the first to sign. Shirley's estate would have been closed long ago except Judge Colin required Waivers to be notarized and the six Waivers in this case (one by Simon and one by each of the five children) were not notarized. So the Waivers were rejected by the Court, and Simon had died before the last Waiver was signed. Rather than move the Court to overlook the notary requirement, someone in the office of Simon's counsel falsely traced the original signatures onto a new Waiver document and falsely put a notary stamp. The irony here is that while the Court had rejected all six of the original, *authentic* Waivers; the Court accepted the false ones and closed the Estate.²

Shirley had appointed her eldest child, Ted, to succeed Simon after his death. Soon thereafter, Eliot learned that his parents left behind only a small fortune – then estimated at less than \$4 million, to be split among ten grandchildren. Eliot had been expecting for himself a sizeable share of what he believed would be \$100 million; instead he got nothing and his children stood to inherit a tiny fraction of what Eliot expected and hoped for. After learning of his poor fortune, Eliot embarked on a mission to destroy everyone involved with this, starting with his father's lawyers and his older brother Ted, acting as a fiduciary appointed by his mother, and anyone else who stands in his way.

² The only persons to benefit from closing Shirley's estate were the beneficiaries. The lawyers whose employee falsely notarized the document stood to *gain nothing*, and stood only to lose legal fees to be earned administering and closing the estate. But they clearly and inexcusably erred.

The starting point for Eliot, beyond simply complaining that someone must have stolen the rest of his parents' \$100 million, was the notary of the Waiver form. Although Eliot signed the Waiver, he knew it had not been notarized, so he complained about this issue. The Shirley Estate was reopened; the Will specified that Ted Bernstein³ be the successor PR; and Ted has been trying to re-close the estate ever since; so far with no luck.

Eliot now is the self-proclaimed detector of fraud and fabricated documents, and is crusading against what he perceives to be corruption in the court system. His circus will continue until either (i) the money runs out and all the professionals go home; or (ii) the Court stops him by appointing a guardian ad litem and requiring him to cease, desist, and remove the harassing internet nonsense about judges, PRs, Trustees and their lawyers.

Ted has tried to sell the Trust's real estate and distribute monies to the intended beneficiaries. He has been thwarted at every turn, and viciously attacked on the internet as well, solely by Eliot. Every aspect of this case is on display at <http://tedbernsteinreport.blogspot.com/> or <http://tedbernsteininsurance.blogspot.com/>, with Ted being accused of "massive fraud, forgery and alleged murder." Eliot leaves no one out of his trashing internet harassment, including Judge Colin. It is difficult to find any professional (lawyer or accountant) willing to submit to such abuse by agreeing to work on these matters. That appears to be Eliot's plan, which must be stopped.

³ Ted is the oldest of Simon's and Shirley's five children; lives in Palm Beach County; worked essentially as equal partner with Simon in businesses from the early 2000s through Simon's death. The other family members are three daughters who live in Chicago. Since the death of his father in September, 2012, Ted has faithfully carried out his duties as Trustee. Ted is not a beneficiary of any of these trusts and estates, and stands to gain nothing personally. Indeed, none of the five children are beneficiaries, as all of their parents' wealth was left to ten grandchildren.

The Court may be wondering "Who is Eliot Bernstein?" and "Why is he doing this?" It is an important question, as Eliot is the proverbial elephant in this room. Eliot appears to be disillusioned and disappointed due to his apparent belief that he would inherit tens of millions when his parent's died, but in the end their fortune was modest and they left none of it to him: "[Eliot] . . . shall be deemed to have predeceased me as I have adequately provided for [him] during my lifetime."⁴ Eliot now apparently is without income or assets, or at least claims to be in numerous indigency filings he makes with courts to avoid paying filing fees. But while his parents were alive he lived the life of Riley⁵ – he lived and continues to live expense free in a home his parents bought and renovated for him; his parents paid him over \$100,000 annually in health insurance and living expenses⁶; and his parents while alive apparently paid more than \$75,000 per year to send Eliot's three boys to a Boca Raton private school.

Eliot, now flat-broke with no visible means of supporting himself, has decided to avenge the loss of his inheritance by punishing everyone associated with these trusts and estates, even suing his father's estate for Eliot's living expenses after his father died. He has been prolific in filing motions, complaints, responses and objections in these proceedings. The net result of his legal filings has

⁴ Simon L. Bernstein Amended and Restated Trust Agreement dated 7/25/2012 at 6.

⁵ "The expression, 'Living the life of Riley' suggests an ideal contented life, possibly living on someone else's money, time or work. Rather than a negative freeloading or golddigging aspect, it implies that someone is kept or advantaged." en.wikipedia.org/wiki/The_Life_of_Riley

⁶ Pursuant to a written contract entered on or about August 15, 2007, Simon and Shirley agreed to make advances to Eliot of a portion of his inheritance, in the amount of \$100,000 per year. As preconditions for this arrangement, Eliot could not "harass or threaten to sue or initiate litigation with anyone in the family at any time" and had to allow his parents the opportunity to visit their grandchildren at least four times a year. In June 2008, the parents also purchased a home for him in Boca Raton, titled in the name of an LLC, and encumbered by a \$365,000 second mortgage which is one of the largest assets in the estate.

been nothing but a loss for the grandchildren – after three years of him searching, there are no additional assets to be found. All of his considerable efforts simply have delayed the progress of the case and *dramatically* increased the expense in these modest trusts and estates.

For the past three years, Eliot has questioned and viciously challenged virtually every action taken by the fiduciaries, has continued to harass and threaten (including repeatedly threatening persons involved in this estate or end up in prison), and when none of that worked, has taken to the internet blogosphere to trash and tarnish the reputations of everyone involved. This is a tragedy of significant proportion to the ten grandchildren of Simon and Shirley Bernstein, the sole beneficiaries of their wealth. The fiduciaries and beneficiaries of Simon and Shirley Bernstein are trapped in Eliot's game, being played at no cost to him but at a very high price to the beneficiaries. Three of these ten grandchildren are Eliot's kids, but he acts as if he rather burn all of the remaining money than let his kids settle for 30% of what remains.

Status of Significant Current and Pending Motions:

SHIRLEY ESTATE:

Motion to Re-Close Estate
Eliot's Objections to Estate Inventory and Accounting

SHIRLEY TRUST

Count II of Complaint to Determine Validity/Authenticity of Trusts and Wills
Count I of Complaint for Construction of Trust
Petition to Remove Ted S. Bernstein as Trustee
Eliot's Counterclaim against numerous lawyers and others (currently stayed)
Professional/Fiduciary Fees and Potential Claims vs. Former Counsel
Distribute Assets to Beneficiaries of Trust
Motion to Compel Trust Accounting

SIMON ESTATE

Resolve claim of claimant, William Stansbury
Resolve claim of claimant, Eliot Bernstein
Resolve interpleader litigation in Illinois relating to Life Insurance
Objections to Accounting and Potential Claims vs. Former PR/Counsel
Discharge PR and Distribute Assets to Trust

SIMON TRUST

Petition to Remove Ted S. Bernstein as Trustee
Professional/Fiduciary Fees
Distribute Assets to 10 Grandchildren as Beneficiaries of Trust

Matters to be Filed if Needed

The above is a short list of items that could be accomplished quickly and easily if Eliot were not involved. Now is the time to appoint a Guardian. And, once there is a Guardian in place and up to speed, the Court can decide what else needs to be done to close the administration, while some funds still remain available. Left to Eliot's devices, the pursuit of his agenda and conspiracy theories will end only when the money runs out. The choice is very clear: Is Eliot or the court-appointed fiduciaries going to run this estate?⁷ If there is a Guardian appointed, almost all of the above-listed "pending issues" can be avoided because a Guardian likely would be willing to mediate and likely settle the controversies given the amounts in dispute. Eliot has no interest in letting anything go or in negotiating, advising on several occasions that he does not negotiate with "terrorists."

Importantly, in addition to considering whether to appoint a Guardian as a suitable representative for Eliot's children, the Trustee believes the Court immediately should impose a

⁷ In a related case, Oppenheimer moved for appointment of a Guardian. It is a compelling Motion. Judge Colin deferred. It is anticipated that some of the beneficiaries here will be filing a similar motion, as will the Trustee. Now, or at some point in near future, this Court needs to consider such an appointment, before it is too late.

confidentiality order on these proceedings to prevent further internet bombardment and harassment of professionals, fiduciaries, and this Court. This case involves minor grandchildren and young adult grandchildren *who are the sole beneficiaries of Simon and Shirley Bernstein* – there should be nothing on the internet about this private civil matter. And, if it is not stopped, a Guardian no doubt will become the next victim, as might this Court in the event it should ever rule against Eliot on a significant matter. Also, the beneficiaries believe that Eliot's threats are causing the successor PR, Brian O'Connell, to take steps which cause unnecessary expense, solely to appease Eliot.

For example, Eliot, who claims he cannot afford a lawyer, has engaged a systematic effort to make it difficult for Ted to retain professionals. Eliot somehow got the Clerk of the Court to add onto the docket sheet the word "Respondent" after the names of all lawyers in these cases. After doing that, Eliot advised that the undersigned is a party to the case and should hire his own lawyer and withdraw due to the conflict of interest. When the harassment did not work, he moved to disqualify counsel, which was heard and denied at an evidentiary hearing on July 11, 2014. Next, he filed a Counterclaim against the undersigned personally and professionally, and against my law firm for legal malpractice, even though he is not our client and has no standing to do so.⁸ This was done not to assert a legitimate claim, but solely in an attempt to force our withdrawal. It seems that when a lawyer appears to take adverse positions to Eliot, Eliot demands that the lawyer cease representing the party and withdraw due to serious conflicts of interest:

[I] "remind you again that you and your client Ted are defendants who have been formally served process in related matters to these and your continued representation

⁸ Judge Colin stayed Eliot's counterclaims and, eventually, entered an Order prohibiting Eliot from filing any paper without first sending it to the Court for review. For the sake of apparent fairness, the Court imposed the same requirement on all parties, that no new motions or claims be filed without first being submitted to Judge Colin for review.

without counsel appears to be conflicted and more"; "in your capacity as defendant . . . do you have counsel yet that I may contact"; "will you be representing yourself pro se"; "I have you served formally already as a partner in your firm and wondered as the firm is also sued if you have their counsel's name and yet will the partners, et al. be representing themselves or have individual counsel"; "please take a lesson from all of Ted's former counsel . . . and resign as his counsel in these continued frauds and frauds on the Courts (state and federal) for irreconcilable differences as they did, as it appears you are only compounding problems for yourself, the beneficiaries, the Courts and others."

In an e-mail Mr. Bernstein further advised the undersigned: "you were involved ground floor in the schemes and advancing me taking fraudulent distributions and more since . . . I will notify the Florida Bar in your ongoing complaint with their offices . . . and other state and federal authorities."

The attacks are most vicious against Ted Bernstein, who was left behind in charge of the business he and Simon started together, and who became the fiduciary under the terms of Shirley's will and trust. Anyone who "googles" Ted Bernstein hits blogs run by Eliot and his colleague. Insurance is a trust business; many of Ted's clients are law firms representing clients in estate and wealth planning. All one need do is Google the name Ted Bernstein and on the front page is the Ted Bernstein report (<http://tedbernsteinreport.blogspot.com/>), accusing Ted of "massive fraud and forgery."

Ted has tried to ignore the onslaught of Eliot's cyber attacks. Judge Colin was aware of them, but did not fully appreciate the magnitude or effectiveness of this information in harming Ted. Although Judge Colin too was a target of the attacks, as a sitting jurist not running a business built on trust relationships, he may not have appreciated the severity of these issues. Indeed, at a recent hearing, Judge Colin wondered who in the world would see any of this nonsense on the internet. What this Court needs to understand as we move forward is that, in this day and age, everyone about

to engage in a significant transaction "googles" the other side, and regardless of the fact that no one might randomly stumble on this false information, everyone who googles Ted Bernstein finds this nonsense almost instantly. It is having a very harmful and negative effect on Ted Bernstein's ability to conduct his business affairs, and destroyed any chance of trying to sustain the companies Ted and Simon started.

Before agreeing to serve in this case, there was no negative press on Ted or internet "blogs" tarnishing his reputation. No one who agrees to serve as a fiduciary should be forced to put up with any such attacks, nor to be pressured to deviate from the decedent's wishes by either giving in to Eliot's demands or resigning from this important duty. And, the only family member who opposes Ted serving is Eliot – the others simply want this administration process to conclude.

These attacks branch out to each new person who steps in Eliot's way, and are expected to shortly include Brian O'Connell, PR, once he too is forced to take action adverse to Eliot. Ted has had difficulty retaining an accountant to help in these estates, because no amount of fee is worth being attacked online or sued simply for performing professional services. Ted already has attempted to curtail these attacks, but now will be filing formal motions to appoint a guardian ad litem and to stop the internet harassment of professionals. The Court needs to be aware of this critical issue as the case moves forward, and we believe should address these issues first.

As a final point on the Shirley Bernstein Trust, this Court needs to be aware of what is occurring right now. When Ted became successor trustee after his father's death, there were two primary assets in the Trusts: (i) an oceanfront condo; and (ii) a single family residence which was his parents' homestead. The condo was sold in an arm's length sale, through a highly-reputable real estate broker. Eliot continues to threaten some litigation to clawback the property, and refused to

accept for his children the partial interim distribution the Trustee elected to make to each of the ten beneficiaries. In mid-March 2015, the Trustee finally obtained a contract to sell the remaining property, a single family home in a country club community. The house was on the market for over 1,000 days. The offer accepted was the first in excess of a million dollars and was by far the highest and best offer ever received for the property. The buyer wanted to pay \$1.1 million, all cash, and close quickly, because the country club equity membership fee was increasing by \$30,000. Because it is a large home in a country club, the monthly carrying costs are very high. Eliot objected to the sale, and Judge Colin agreed to delay the sale so Eliot could obtain an independent appraisal or provide competent evidence to support his claim that the house was being sold in a fire sale fashion. At the evidentiary hearing in May, Eliot produced no witnesses and no admissible evidence. Judge Colin entered a final order approving the sale on May 6, 2015, and the closing was set for June 10th. The delay between March 31st and June 10th cost the Trust at least \$75,000.

Eliot did not timely appeal the sale order, but on June 10, 2015, the date of the projected closing, filed a Petition for All Writs with the Florida Supreme Court. The transaction still cannot close until that Petition is resolved. To date, and despite the fact that he produced no evidence to support his assertion that the property was being sold too cheaply, and despite the fact that he is not a beneficiary of the trust, Eliot's obstinance and disregard has cost the Trust far more than \$125,000 and counting in actual cash lost due to extra sale expenses, carrying costs, repair costs, and the legal fees incurred solely to get a simple real estate transaction closed. And there remains no end in sight.

Despite the best efforts of the Trustee and counsel, the need to react to Eliot has been driving this case, dictating its pace and dictating which issues get heard, to the exclusion of all of the other beneficiaries and their best interests. There are two simple but significant issues which must be

addressed before we can make any progress in the Shirley Bernstein side of the equation. First, the Court must consider how to re-close Shirley's Estate which has no assets. (There are prior Waivers signed by all potential beneficiaries, including Eliot Bernstein, and in the past five-plus years, nothing new has been found.) In particular, because Simon outlived Shirley and was thus alive at the time of her bequests to him, Eliot is not a beneficiary of Shirley's estate. The belts and suspenders of getting a waiver from him, which he admittedly signed, should not overshadow the fact that the empty estate simply should be closed.

Second, because Eliot alone contests Simon's exercise of his power of appointment over the funds in the Shirley Bernstein Trust, and unless the matter can be resolved with a rational Guardian for Eliot's kids, some Trust Construction Action is needed. That action has been filed, as a one-count Complaint, and names as defendants all 14 potential beneficiaries. Eliot Bernstein is named solely because he is a potential beneficiary and is the parent and natural guardian of three of the other potential beneficiaries. This is not a personal attack on him; it simply is a legal issue which needs to be resolved by the Court through a trial. The trial affects everyone, not simply Eliot Bernstein. Those two issues must be resolved, and once they are, the Shirley Bernstein Trust can begin the process of final wind down and distribution once the remaining assets are liquidated. Those two things must happen and without them we will go nowhere, other than continuing to burn money fulfilling the visions, delusions and fantasies of Eliot Bernstein.

Conclusion

There is not enough room in this filing nor would one expect this Court to have the patience to learn the entire tragedy. The purpose of this summary is to focus the Court on where we started, and where we have been for the past three years. The Court must decide where we need to go to from here to close the administration of these estates and trusts, and distribute what little wealth will remain to Simon and Shirley's grandchildren. There is documentary evidence and testimony of witnesses with competent and relevant evidence to support the assertions set forth herein. In stark contrast, almost four years after Simon's death there are no documents, evidence or credible testimony to support the assertions of Eliot Bernstein. Eliot might be smart and clever, and skilled in maneuvering through the court systems. One would have to at least have some experience litigating to file papers as lengthy and often as he does. It is unclear if this is real or a game to him,⁹ but what is absolutely clear is: **Eliot will not inherit any money, and his kids will not inherit enough to sustain his lifestyle.**

Although very sad, what is important here is that the Court put an end to Eliot's involvement in this case and order him to remove all of the blogs he and Crystal Cox have created that refer to these matters or the judiciary, fiduciaries or professionals involved. Eliot lacks standing because he is not a beneficiary of either Simon's or Shirley's trusts. He has demonstrated no desire to serve the best interest of his children. Now is the time for the Court to take back control from Eliot.

⁹ Through nearly three years of litigation here, Eliot has been given the benefit of the doubt many times, and it remains unclear how much of what he files he actually believes. For example, Eliot has asserted in recent court filings: his minivan was car bombed; his father was murdered; and he needs to be placed into the federal witness protection program as a whistle blower who has been exposing judicial corruption throughout the land. He has demanded emergency loans, despite the fact that he has turned down several distributions the Trustee tried to make for his kids' benefit.

CERTIFICATE OF SERVICE

I CERTIFY that a copy of the foregoing has been furnished to parties listed on attached Service List by: Facsimile **and** U.S. Mail; U.S. Mail; E-mail Electronic Transmission; FedEx; Hand Delivery this 14th day of September, 2015.

MRACHEK, FITZGERALD, ROSE, KONOPKA,
THOMAS & WEISS, P.A.
505 South Flagler Drive, Suite 600
West Palm Beach, FL 33401
(561) 655-2250 Telephone / (561) 655-5537 Facsimile
Email: arose@mrachek-law.com
Secondary: mchandler@mrachek-law.com
Attorneys for Ted S. Bernstein, as Successor Personal
Representative

By: /s/ Alan B. Rose
Alan B. Rose (Fla. Bar No. 961825)

SERVICE LIST - Case No. 502011CP000653XXXXNBIJ

Eliot Bernstein, individually
and Eliot and Candice Bernstein,
as Parents and Natural Guardians of
D.B., Ja. B. and Jo. B, Minors
2753 NW 34th Street
Boca Raton, FL 33434
(561) 245-8588 - Telephone
(561) 886-7628 - Cell
(561) 245-8644 - Facsimile
Email: Eliot I. Bernstein (iviewit@iviewit.tv)

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Counsel for Molly Simon, Alexandra Bernstein,
Eric Bernstein, Michael Bernstein

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Pam Simon
Pam Simon <psimon@stpcorp.com>

Exhibit A

Florida Probate Fraud, Forgery and Corruption; Simon Berns Estate Case

Florida Estate and Probate Case, Forgery, and Alleged Murder, blog written upon information, knowledge and belief of Crystal L. Co Blogger.

Alan Rose	7020 Lions Head Lane Boca Raton	Docket Northern Illinois Case	Simon Bernstein Trust Heritage Jackson National District Court
Shirley Bernstein Estate Docket	Simon Bernstein Estate Docket	7020 Lions Head Lane Boca Raton	Shirley Bernstein Simon Bernstein
Tescher, Spallina, Ted Bernstein, Proskauer Rose MAJOR Technology Theft Case	Judge David E. French	Robert Spallina	Mark Manceri Donald Tescher
Tescher and Spallina Law Firm	Mark Manceri	Petition to Freeze Estate Assets	Estate Fraud Docket Insurance Proceed Scheme Donald Tescher
Robert Spallina	Ted and Deborah Bernstein	Life Insurance Concepts Boca	Ted Bernstein Fraud

Sunday, August 2, 2015

Why is Judge Martin Colin Still on the Bench with as much as the Department of Justice and the FBI clearly knows about him?

YEARS and YEARS of Corruption and Judge Martin Colin continues to Dish it out, WHY?

"Anonymous said...

The JQC does nothing! We have a corrupt sick Judge in Palm Beach County MARTIN COLIN. He abused his step son, had attys rep his now Betsy Savitt and did NOT disclose any conflicts. ROOT, HANDLER, KARTAGENA appear before him. READ THE BAEZ DECISION 4th DCA. JQC WAKE UP!!

August 3, 2008 at 11:26 AM

Anonymous said...

I agree Judge Martin Colin must be REMOVED. He is corrupt! Colin is a case fixer! Ignores the 4th DCA in BAEZ....

THE JQC SHOULD REMOVE COLIN NOW!!!

October 7, 2008 at 6:40 PM

Anonymous said...

CORRUPTION IS RAMPANT IN PALM BEACH COUNTY.... WINNET AND COLIN ARE SICK EVIL CORRUPT JUDGES AND SHOULD BE JAILED.. MARTIN COLIN IS A CRIMINAL....

THE FEDS ARE HOT ON THE ROBES OF COLIN..... AND HIS BOCA RATON BUDDIE HENRY HANDLER AND THE BOYS.. SCHUTZ, ROOT, JETTE...

CMON FEDS -- DO YOUR JOB!!!

October 16, 2008 at 8:54 AM

Anonymous said...

THE JQC is a "JOKE" The protect these corrupt Judges... Brooke Kennerly should be removed... Gov. Crist does NOT a clue and looks the other way.... Just Look at Palm Beach County judge Martin Colin, a corrupt judge....

October 25, 2008 at 10:32 AM

Anonymous said...

Serial CORRUPT JUDGE MARTIN COLIN has been sent to the CIVIL Court - Judge Kroll removed Colin from the FAMILY COURT.

JUST THE START - HENRY HANDLER & CAROL A. KARTAGENER soon to be charged by the Florida Bar for many ETHICAL VIOLATIONS and other crimes.

Its about time, KARTAGENER was CAUGHT making perjurious statements to Judges Burton, Colin & Crow. One lie after another. KARTAGENER IS A HABITUAL & PATHOLOGICAL LIAR!!!!!! A sick a demented evil lady ---- Lacking Skills....

December 30, 2008 at 1:46 PM

To Read this WHOLE BLOG posts on the bottom right page. Don't let this Florida Insurance FRAUD and Forgery YOU.

Posts

Alan B. Rose of Page Mr Fitzgerald & Rose LL...

Eliot Bernstein Interview Dick Wo...

Alexandra aka Monica in Bernstein

Alan B. Rose is MADD as he ain't goin...

Hey Lindsay, you may w the ol' digital...

Alan B. Rose of Page Mr Fitzgerald & Rose Ge...

UNITED STATES DISTRICT SOUTHERN DISTRICT OF

You know that Mark Tw: "Truth is stranger...

John Pankauski, Pankau Alan B. Rose, ...

Who does Alan B. Rose (Mrachek, Fitzgerald ...

Don Sanders, Jackson N seems to have m...

Oh and you Spineless, C Lawless, Free Spee...

Burke, Warren, Mackay i Taking a Look

Alan B. Rose of Page Mr Fitzgerald & Rose se...

Folks, Alan Rose is a MA Hypocrite. ...

Alan B. Rose, Esq. seem suppressing speech...

Eliot Bernstein and iVie

Isn't Armonk, New York Lamont's neck of th...

Don Sanders, assistant \ National Life ...

Life Reassurance Corp. Bankers Life Insu...

Judge Amy J. St. Eve is Davis Polk & W...


Anonymous said...
THE "FEDS" WERE AT THE OFFICES OF WEISS & HANDLER....

JUSTICE SOON!!!!

Source
<http://fraudonthecourt.blogspot.com/2008/07/july-11-2008-certified-mail-return.html>

More on Judge Martin Colin's Reign of Corruptin
<http://judgemartincolin.blogspot.com/>

Posted by Crystal L. Cox at 11:31 AM No comments:

 Recommend this on Google

Judge Martin Colin Gets CAUGHT over and over protecting Florida Corruption and Florida Probate Attorneys. Why are those attorneys still licensed and why is Judge Martin Colin still on the Bench BREAKING THE LAW and Violating Constitutional Rights?

SERIOUS Abuse of Power, Violations of Ethics, Aiding and Abetting Corruption, Protecting Attorneys and Violating the rights of Florida Citizens.

Judge Martin Colin has been CAUGHT and yet is still ruining lives with BOGUS, Lawless, Fraud on the Court Rulings.

Hey remember when Judge Martin Colin wanted the Millions in Heritage Life / Jackson National insurance money moved from Illinois Courts to his tiny lawless court. MILLIONS in life insurance in regard to a man that the Palm County Sheriff Office is SUPPOSED to be investigating the Murder of???

Corruption in FLORIDA is very Bad. And Judge Martin Colin seems to be in charge of protecting the most lawless schemes in Florida and aiding HUGE RICH law firms such as Tescher and Spallina and Alan Rose / Alan B. Rose of Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A. in West Palm Beach, Fla.

Judge Martin Colin has no issue with the deceased signing documents, nor attorneys forging documents, and has no respect for the law, rights or children, judicial cannons or well, anyone that is not possibly bribing him or giving him some other motive to BREAK THE LAW and Ruin Lives.

So why is the Palm County Sheriff NOT looking into murder allegations, forgery, fraud and more in the Simon Bernstein Estate Case? Well I suppose its because they are seriously CORRUPT. And Judge Colin seems to be their buddy.

The PBSO has NO Respect for CIVIL Rights or the Law PERIOD.

Check this Out:

"FBI Raid on PBSO: Deputies Routinely Violate Civil Rights of Minorities!

WEST PALM BEACH — This week's FBI activity at the Palm Beach County Sheriff's Office came after a push by Guatemalan-Maya Center lawyer Jack Scarola for the U. S. Department of Justice to investigate what he claims is the unfair treatment of minorities by sheriff's deputies.

Jack Scarola
Guatemalan-Maya Center lawyer Jack Scarola (via Facebook)

It's another Gossip Extra exclusive: Last month, Scarola wrote a lengthy letter to U. S. Attorney General Eric Holder that outlined a series of PBSO shootings and incidents of brutality against minorities, mostly Hispanics.

The letter also blasted Sheriff Ric Bradshaw's handling of such incidents, including the agency's "growing militarization" and the sheriff's message in television appearances that minority neighborhoods are akin to "war zones."

And to make sure that Holder got the message that PBSO's handling of such incidents didn't pass muster, Scarola forwarded his missive to members of the local delegation to the U.S. Congress, including U.S. Reps. Patrick Murphy, Alcee Hastings and Lois Frankel.

When asked if his effort caused Monday's arrival of the feds at PBSO headquarters on Gun Club Road, Scarola said: "There have been stranger coincidences."

"I'm not surprised," the high-profile lawyer said. "And I am pleased they're acting as requested. I contacted various government officials about this problem and I'm just pleased someone's taking action."

Cedarhurst, New York
WOW, a full days wages
National Empl...
Pam and Ted CUT out of
they seem to be...
Whatch all worried abou
Fines, Judgement...
Not Getting Much Work
ya? I sure ho...
303 East Wacker Drive S
Chicago Illinois
STP Enterprises, Inc. - f
Jackson National Life Di
Registere...
So Where Does Christop
Ex Proskauer...
Carol Ann Kindred at He
Life Insurance...
Heritage Union Life Inst
is well awar...
So, who at Jackson Nati
palms, all ...
So is Pamela Simon the
in all this?...
Jackson National Life In
Company has HUGE L...
oh and Don't Forget the
CONDO and how...
More on Michael A. Well
National Life Co...
Looks to me like Jackso
Little SPOO...
So Funny, that Heritage
Insurance Compa...
Heritage Union Life Inst
is well awar...

Ted Bernstein



Life Insurance Concepts

Blog Posts

Is Google Really the Best
Conduct a Fraud, ...
Welcome Back, How is t
Investigation Goi...
Order for Discharge and
Counsel Tesc...
Morgan Stanley Group N
Tescher & Spalli...
Judge Martin Colin seen
the Right Thi...
Why is Ted Bernstein NC
to this Story? ...
Motion to Halt Hat Trick
Believe this is ...
Hmmm.. Friend or Foe?

Scarola said the riots in Ferguson, Missouri, that followed the shooting death of a black man by a white police officer have placed a renewed emphasis on the use of lethal force by police on minorities.

But, Scarola says, the FBI's apparent investigation into PBSO is independent of what's happening near St. Louis.

"I believe that I wrote a persuasive letter," Scarola said.

Gossip Extra broke the story last night: FBI agents were spotted at PBSO Monday to seize files pertaining to deputy-involved shootings and complaints.

Among the documents taken by the G Men were files about the public's complaints against Lake Worth deputy Russell Brinson.

Minority leaders in Lake Worth have been asking that Brinson be fired after they found out he had a long string of use-of-force incidents, and most of them involving minorities.

Instead, the 40-year-old Brinson was re-assigned to Palm Beach International Airport security.

In his letter, Scarola mentioned one Brinson incident in which a Hispanic immigrant who tried to report a crime to Brinson was allegedly beaten down.

Scarola also reminded Holder of the principles of modern policing, including that the cooperation of the public with police is inversely proportional to police's use of physical force.

There is, Scarola's letter reads, a growing perception in Palm Beach County that (deputies) "are too quick to resort to the use of force — even deadly force — particularly when confronting members of the civilian population whose racial and ethnic appearance differs from their own."

Source

<http://www.gossipextra.com/2014/11/26/fbi-raid-palm-beach-county-sheriff-civil-rights-violations-4196/>

The Florida / Palm Beach County Sheriff DOES NOTHING to help solve murder cases, jewelry and real estate theft, massive attorney fraud, corruption and collusion in the Simon Bernstein Case. And Judge Martin Colin seems to be assisted by Palm County to violate the rights of the poor, minorities or anyone that Judge Colin does not WANT to be on top of the PILE. Maybe it's about who pays him the most. As I allege that Judge Martin Colin has taken bribes from Tescher and Spallina and possible Ted Bernstein's legal team including Alan B. Rose of Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A. in West Palm Beach, Florida.

"What will the FEDS do — They should start with SA Dave Aronberg & Alan Johnson — i.e., there relationship with the crooks at Weiss Handler & Cornwell, P.A. Fraud case fixing fraudulent documents Civil theft and legal Malpractice.

Legal Assistants sleeping with certain wealthy clients and be billed as well.. Handler is operating a brothel for his clients.


Handler creates fraudulent and back dates legal DOCS. Does Handler BILL his client for his legal assistant to sleep with clients.... Mostly, yes, before Judge Martin Colin in South County.

Colin is on the handler "PAYROLL" FBI SAC Piro you have your work cut out for you.. Henry Handler and Howard Weiss should be indicted and jailed.... BTW Jack Scarola is well aware of Weiss Handler... Jack, perhaps you should write a letter to DOJ regarding WEISS HANDLER. This is CORRUPTION COUNTY!!!! As Judge Kastranakes!!!! He indicted most of em..."

Source

<http://www.gossipextra.com/2014/11/26/fbi-raid-palm-beach-county-sheriff-civil-rights-violations-4196/>

Posted by Crystal L. Cox at 11:23 AM No comments:

 Recommend this on Google

Saturday, August 1, 2015

WOW Judge Martin Colin protecting Corruption?? no say it ain't so... - and WOW the Palm County Sheriff Office involved?? Hmmm

"November 27, 2014 at 9:24 am

What will the FEDS do — They should start with SA Dave Aronberg & Alan Johnson — i.e., there relationship with the crooks at Weiss Handler & Cornwell, P.A. Fraud case fixing fraudulent documents Civil theft and legal Malpractice. Legal Assistants sleeping with certain wealthy clients and be billed as well.. Handler is operating a brothel for his clients.

Alan B. Rose, Mrachek, I Rose, Konopka &...

Hello Marc Randazza, W PARTY, Hope yo...

Alan Rose Wants the Fir to Be Set Asid...

Hey Liars, Thugs, Thieve Murdering, Gre...

Hey Alan B. Rose, Mrach Rose, Konop...

Judge Martin Colin has a protecting the...

I keep waiting for Judge punish, o...

Whatch hiding FROM Bo

Hey Flushing New York . Raymond or possib...

Objection to Motion to Personal Repres...

Objection to Motion to Personal Repres...

I am getting me some "E that somethin...

Why is Heritage Union L Company Filin...

"Criminal Action through Simulated Legal Pr...

Letter to Judge Martin Opposition to Ted...

What is Going on with J about not ...

Motion for Appointment Administrator...

Ted Petition for Appoin Successor Personal...

Alan Rose Esq., John J. Pankauski Law F...

Chicago Insurance and C Litigation Law Fi...

Morgan Stanley Group, J and Tescher & ...

Wow, the Fraud Sure Se Piling Up. Is Ted ...

Full Docket Of Heritage Insurance Case ...

Heritage Lawsuit Illinois Response Regar...

Reported as a Murder, y checked is medic...

"The Document in Ques the Inheritance ...

Looks like the Tescher & Bemstein F...

Ted Bernstein, Tescher and Spi

- Florida Estate Forgery, F DOCKET

Donald Tescher on Left



Ted Bernstein, Tescher and Spi

- Florida Estate Forgery, F DOCKET

Handler creates fraudulent and back dates legal DOCS. Does Handler BILL his client for his legal assistant to sleep with clients.... Mostly, yes, before Judge Martin Colin in South County.

Colin is on the handler "PAYROLL" FBI SAC Piro you have your work cut out for you.. Henry Handler and Howard Weiss should be indicted and jailed.... BTW Jack Scarola is well aware of Weiss Handler... Jack, perhaps you should write a letter to DOJ regarding WEISS HANDLER. This is CORRUPTION COUNTY!!!! As Judge Kastanakes!!!! He indicted most of em..."

Source

<http://www.gossipextra.com/2014/11/26/fbi-raid-palm-beach-county-sheriff-civil-rights-violations-4196/>

Posted by Crystal L. Cox at 8:04 PM No comments:

 Recommend this on Google

Monday, July 20, 2015

Is Detective Andrew Panzer Investigating this fraud, forgery, theft and possible murder case or NOT?

Detective Andrew Panzer Letter from Eliot Bernstein January 2015


<https://drive.google.com/file/d/0Bzn2NurXrSkiMHNpa29Zc2VmbEU/view>

Why has Detect Andrew Panzer not arrested anyone? The FACTS sure look pretty clear. Why are these lawyers still creating victims and even still in business? The Palm County Sheriff has known for years and yet all these same players / attorneys are still in business and harming more people.

Below is lot's of details, in this Florida Supreme Court Filing

<https://drive.google.com/file/d/0Bzn2NurXrSkiZFdpU3F3WjZQWnM/view?usp=sharing>

Posted by Crystal L. Cox at 5:09 PM No comments:

 Recommend this on Google

Monday, June 29, 2015

Why has the Palm County Sheriff office NOT arrested Robert Spallina and Donald Tescher? Why is Palm Beach County Sheriff's Office protecting Robert Spallina and Donald Tescher even in the face of admitted crimes. Has the PBSO Office been paid off or threatened? Has Andrew Panzer personally been paid off or threatened? Are these admitted crimes legal in Palm Beach County ? With detectives like Andrew Panzer it is easy to see where there is so much probate crime in Palm Beach County Florida.

The Simon Bernstein Estate Case and the Shirley Bernstein Estate Case out of Boca Raton Florida is still going on, three years later. Judges are not ruling per law, Detectives are looking the other way and high finance crimes are RAMPANT.

Below is an email yet AGAIN from Eliot Bernstein to Palm Beach County Sheriff's Office, Detective Andrew Panzer, who seems to have no interest in protecting the victims of crimes in Palm Beach county Florida.

"From: Eliot Ivan Bernstein [mailto:iviewit@gmail.com]

Sent: Monday, June 29, 2015 5:58 AM

To: Detective Andrew Panzer @ Palm Beach County Sheriff (PanzerA@pbso.org); Captain Carol Gregg @ Palm Beach County Sheriff (greggc@pbso.org)

Subject: Bernstein Cases - RE: CASES NO: 13097087 MORAN FORGERY AND FRAUDULENT NOTARIZATION; 13159967 JEWELRY THEFT, 14029489 TESCHER AND SPALLINA ET AL. SUPPLEMENTAL, 12121312 ALLEGED MURDER OF SIMON BERNSTEIN

Detective Panzer,

After our last several calls it is apparent that the PBSO investigations into the Bernstein case matters has been derailed, stymied and delayed and that instead of investigating these ongoing crimes you have begun doing research on my federal RICO filed and who I copy on emails to you as if this were more important than the crimes reported to your agency.

I am not sure why it matters to you at all why I copied Judge Scheindlin on these matters, especially where there are growing correlations between these new crimes committed to my prior RICO filed and those defendants.

Blog Archive

▼ 2015 (116)

▼ August (3)

Why is Judge Martin the Bench with ...

Judge Martin Colin G over and over prot

WOW Judge Martin C Corruption?? no ...

► July (1)

► June (4)

► May (22)

► April (63)

► March (8)

► February (7)

► January (8)

► 2014 (248)

► 2013 (31)

Ted Bernstein Insurance

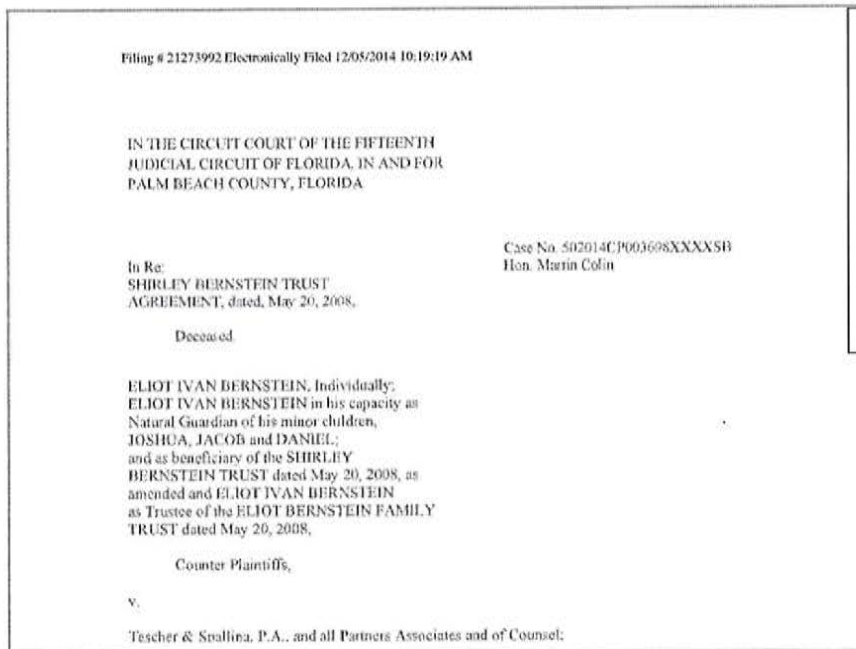
written upon knowledge and belief of Crystal L. Cox

Friday, December 5, 2014

Petition to Remove Ted Bernstein and attorney Alan Rose along with him in Florida Estate Case riddled with fraud, alleged murder, forgery, bullying, abuse of court documents, dead guys signing legal documents and more.

Blog Archive

- ▼ 2014 (4)
 - ▼ December (1)
 - Petition to Remove Ted Bernste attorney Alan...
 - May (2)
 - January (1)
- 2013 (5)



Click Below to Read the Petition to Remove Ted Bernstein
<https://docs.google.com/file/d/0Bzn2NurXr5kiSEd2OGVqRmRxeUU/edit>

More documents and information at
<http://tedbernsteinreport.blogspot.com/>

Posted by Crystal L. Cox at 12:13 PM No comments: Recommend this on Google

Friday, May 23, 2014

Ted Bernstein, Alan B. Rose of Mrachek, Fitzgerald & Rose and John Pankauski and the case of Florida estate fraud, forgery and fraudulent documents. Ted Bernstein is HOPPING mad and wants access to EVERYTHING, Everywhere or ELSE you all are FIRED. See, if you will not aid and abet Ted Bernstein of Life Insurance Concepts, well then what's the use in him paying ya???

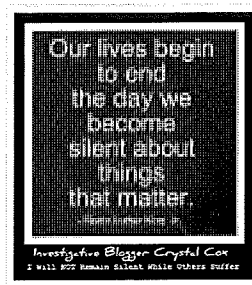
Ted Bernstein, Alan B. Rose of Mrachek, Fitzgerald & Rose and John Pankauski - John J. Pankauski - Pankauski Law Firm PLLC sure seem to be up to NO GOOD.

Ted Bernstein, Life Insurance Concepts, Alan B. Rose of Mrachek, Fitzgerald & Rose and John Pankauski - John J. Pankauski - Pankauski Law Firm PLLC sure seem to be up to NO GOOD.

Ted Bernstein, Alan B. Rose of Mrachek, Fitzgerald & Rose and John Pankauski like to operate in the dark. The thing is God / Goddess, the Great Spirit has a way of bringing the dark to the light, in support of the "good guy" and of doing the right thing.

Poor Baby TEDDY does not want to spend another dime on attorneys who will not cover up his corruption, aid and abet him or defend his rights to break the law.

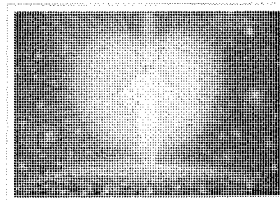
Below is an eMails that seems to suggest "Foul Play" and lawless, over the top aggressive, you be the judge. And also read this entire blog, and I would say that FLORIDA is not the best place to actually have your wishes carried out when you die. Especially not with this gang of seemingly corrupt THUGS.



oH and Ted Bernstein accusing Crystal Cox, me, of Extortion, but no BALLS to file a police report, what? If I have extorted your whiny, corrupt ASS then file a police report, ya spineless coward.

God / Goddess works in mysterious ways and this eMail is one of those ways in which the LIGHT is coming in and God is working for the Greater Good.

Thank You God <takes a bow> <hands firmly pressed>



*

"Alan - I want Eliot's deposition scheduled as soon as you can notice him. We can discuss the strategy once he is served. I want to go through each claim with you and/or John to determine the legal necessity to respond. If any reply is necessary, the record must be straight with respect to each.

This is a rambling, filled with contradictions that need to be exposed for what they are. If John does not want to tangle with Eliot, remove John immediately. I am sorry to be this blunt, but I do not want to address the John issue again.

If he is not 100% in support of me as trustee, including how I have protected myself with trust assets and will continue doing so as necessary, and being aggressive and forceful, if need be, with eliot, remove him as counsel.

I do not want to spend another unnecessary dollar with counsel that is not going to zealously defend me as trustee and protect trust assets.

I cannot be more decisive re this and I say this with no animosity - simply for efficiency sake and my best interest.

Eliot is in default of production. Let's serve notice on him that he is in default.

I want Eliot to produce everything he has with respect to these cases, including:

Documents he refers to having that provide trusts for him and/or his children.

Agreements he has signed with my father and mother, together or separately.

All correspondence between him and my parents, together or separately concerning anything he has referenced in his ramblings through this one.

Anything and everything pertaining to iviewit, including his harassment of Jerry Lewin, Al Gortz of Proskauer and their firms.

I want court proceedings, lawsuits, all correspondence to him and from him including paper and electronic, including video tapes and electronic interviews.

History of incidents at st. Andrews school.

All correspondence with bill Stansbury. Everything related to Feaman / Stansbury

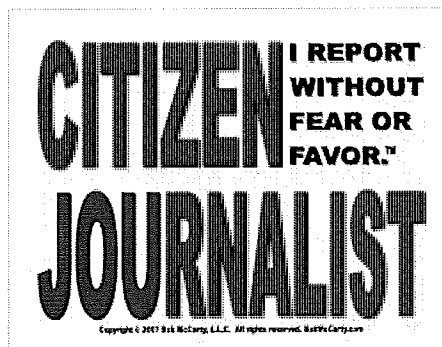
All bank accounts, credit cards, sources of income, loans and gifts.

All correspondence with anyone he has shared estate details.

All correspondence of every type with: walker, puzzio, SAHM, Diana banks, Scott banks, NACLERIO, Dietz, Gefen and every person on his email distribution list. If he doesn't comply, I want all of them deposed.

Everything in which he has mentioned my name including emails, phone calls, letters, complaints to whatever agencies he has made complaints including police, federal, state, regulatory.

Everything and anything he is doing that we are not yet aware of such as online web site attacks.



IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA

TED BERNSTEIN, as Trustee
of the Shirley Bernstein Trust Agreement
dated May 20, 2008, as amended,

Probate Division
Case No.: 502014CP003698XXXXSB

Plaintiff,

v.

ALEXANDRA BERNSTEIN; ERIC BERNSTEIN;
MICHAEL BERNSTEIN; MOLLY SIMON;
PAMELA B. SIMON, Individually and as Trustee
f/b/o Molly Simon under the Simon L. Bernstein
Trust Dtd 9/13/12; ELIOT BERNSTEIN, individually,
as Trustee f/b/o D.B., Ja. B. and Jo. B. under the
Simon L. Bernstein Trust Dtd 9/13/12, and on
behalf of his minor children D.B., Ja. B. and Jo. B.;
JILL IANTONI, Individually, as Trustee f/b/o J.I.
under the Simon L. Bernstein Trust Dtd 9/13/12, and
on behalf of her Minor child J.I.; MAX FRIEDSTEIN;
LISA FRIEDSTEIN, Individually, as Trustee f/b/o
Max Friedstein and C.F., under the Simon L.
Bernstein Trust Dtd 9/13/12, and on behalf of her
minor child, C.F.,

Defendants.

**SUCCESSOR TRUSTEE'S MOTION FOR APPOINTMENT OF A
GUARDIAN AD LITEM TO REPRESENT THE INTERESTS OF
ELIOT BERNSTEIN'S CHILDREN; FOR A GAG ORDER TO PROTECT
GUARDIAN AND OTHERS; AND TO STRIKE ELIOT'S FILINGS**

Successor Trustee, Ted S. Bernstein (the "Trustee"), moves the Court (i) to appoint a guardian ad litem to represent the interest of the children of Eliot Bernstein,, D.B., Ja.B. and Jo.B,; (ii) to impose a gag order preventing Eliot from harassing and intimidating the retained or appointed fiduciaries (including any newly-appointed Guardian ad Litem), as well as all professionals and the Court; and (iii) for an order striking all of Eliot's filings in this case for lack of standing, and states:

1. Plaintiff, Ted S. Bernstein, as Successor Trustee of the Shirley Bernstein Trust Agreement dated May 20, 2008, seeks the appointment of a guardian ad litem to protect the interests of Eliot Bernstein's three children. By its ruling at the trial held on December 15th, the Court upheld the 2012 Will and Trust of Simon L. Bernstein and the 2008 Will and Trust of Shirley Bernstein. As a result of upholding these documents, the Court has determined that Eliot Bernstein, individually, is not a beneficiary of either Simon's or Shirley's Trusts or Estates. Instead, his three sons are among the beneficiaries of both Simon's and Shirley's Trusts, in amounts to be determined by further proceedings. Eliot lacks standing to continue his individual involvement in this case.

2. Based upon the events which have transpired and the pleadings and other papers filed by Eliot in this case, including statements in his Omnibus Petition to the Florida Supreme Court and his latest Motion to Disqualify this Court, the Trustee does not believe that Eliot is capable of adequately representing the interests of his children or willing to enable the Trustee to carry out Simon's and Shirley's wishes to benefit their grandchildren. Indeed, since the trial and the resulting Final Judgment, Eliot has increased his attacks on this Court and these proceedings.

3. Eliot shows no interest in seeing his parents' trusts and estates administered in an economic and efficient process to maximize the distribution among their grandchildren. Instead, he is on a never-ending crusade against injustice and corruption among judges, lawyers, fiduciaries, and others, including the Florida Supreme Court and the Florida Bar. In a recent filing, a *Motion for Rehearing En Banc* (Ex. A) of the dismissal of his "Petition for All Writs,"¹ he wrote:

¹ The Petition for All Writs sought prohibition against Judge Colin (who already recused himself in May) and an extraordinary writ to stop a routine, court-approved sale of Trust property. The sale would have closed March 31, 2015 but for Eliot's interference, and these delays will have cost the Trust far in excess of \$150,000 by the time of the eventual closing.

That the Florida judicial system has not only failed Bernstein twice in protecting his properties, life and liberty but it has played a significant role in the alleged criminal acts committed against Petitioner, his family and now perhaps has led to the death of his father . . . The recent criminal acts committed by Florida Bar attorneys and fiduciaries of the estates and trusts of Simon and Shirley Bernstein. These estate and trust crimes part of a fraudulent scheme and an attempt to rob and preclude Petitioner from inheritance, through Post Mortem crimes committed after the passing of his mother and father Shirley and Simon Bernstein through sophisticated complex legal frauds, *including multiple Frauds on the Court and Fraud by the Court itself* . . .

. . . many of the Florida Supreme Court Justices are named in all ongoing actions, including the instant matters involving the fraud on the court of Judge Martin Colin and Judge David French, where yet again we find members of the Florida Bar, two Florida judges and several more Florida attorneys at law involved in the criminal acts described herein and again using the Florida Courts to directly deprive Petitioner and his family of their rights and further retaliate against Petitioner to directly attempt to stop his pursuit of his Intellectual Property rights, his inheritancy and more.²

4. Further, because of Eliot's penchant to attack and try to exert pressure on fiduciaries, counsel and others who oppose his wishes, the Trustee believes it is necessary to enter an Order prohibiting Eliot and anyone acting in concert with Eliot from harassing the fiduciaries, counsel, and others, including any newly appointed Guardian ad Litem, and from disseminating or publishing by any manner or on any website any information about these matters. This internet cyber-bullying or cyber-terrorism has been ongoing for more than two years. (Composite Ex. C)

² These thought are similar to thoughts he expressed on an internet website, praising a "heroic" lawyer who is crusading "to whistle blow on the corruption of the Florida Courts and its members that she has witnessed firsthand committed by attorneys at law, guardians and the judges involved in her mother's guardianship in what can only be called an elder eugenics program designed to at once kill the victims entrapped and simultaneously deplete virtually their entire net worth from the family and covert it to the court appointed guardians and attorneys at law, while providing the courts with funding as well." (Ex. B)

Eliot ties that to his parents' trusts: "I have witnessed firsthand this same racket in the Florida Probate Courts as my family's estate and inheritance have been desecrated and robbed by Florida Attorneys at Law, . . . with the help of two Florida Probate Judges, David French and Martin Colin."

5. Eliot appears more interested in ruining lives and reputations by cyber-warfare than in seeing these proceedings come to a conclusion. Eliot has exhibited a pattern of irrational behavior, demonstrated by threats of criminal prosecution and slanderous statements made in an attempt to exert pressure on the fiduciaries. Eliot's behavior has reached such deplorable levels that he continues to malign and disparage all of the fiduciaries – counsel, the independent Successor PR of Simon's Estate, and everyone else who stands in his way – personally and professionally. Eliot disseminates inflammatory and defamatory information over the internet without any regard for the negative impact such information may have.

6. Two recent examples of Eliot's wasteful conduct already have costs the beneficiaries significant real dollars. Eliot opposed the sale of his parents' primary residence, which was on the market nearly four years before a serious offer was made. The all-cash, "as-is" offer was set to close on March 31st. Eliot persuaded Judge Colin to delay the sale – at significant expense to the Trust – so he could challenge the sale price as inadequate. After a six-week delay, Eliot presented no witnesses and no evidence, and the sale was approved in a final order. Eliot did not appeal the order, but filed his All Writs Petition to the Florida Supreme Court. The sale has yet to close due to Eliot's filings – including a Motion for Rehearing En Banc and a Notice of Appeal to the Florida Supreme Court. This already has cost the Trust far more than \$100,000 of the value it would have realized in March. Similarly, after claiming his father's 2012 testamentary documents were the product of mental incapacity, undue influence or fraud, at trial Eliot produced no witnesses or testimony to corroborate those baseless accusations. He did not even testify himself on any of the issues he raised. The Trust incurred substantial legal fees and costs addressing Eliot's fantastical claims.

7. Eliot will never stop until a court stops him. Now is the time for such drastic measures, while there are still some assets left for his children and the other grandchildren to receive as distributions. In light of the Final Judgment dated December 16, 2015, upholding Simon's 2012 documents, Eliot is not a beneficiary of the Shirley Trust or the Simon Trust. As such, he lacks standing to participate as an individual. All of his individual filings should be stricken with prejudice. His filings in his capacity as guardian of his children should be conditionally stricken, without prejudice to the Guardian ad Litem seeking leave of court to pursue such claims and issues as the Guardian deems to be in the best interests of Eliot's children.

8. Finally, the Court should order Eliot Bernstein and others acting in concert with him to remove all internet postings about the judges, lawyers, fiduciaries and others involved in these matter, and preclude any further public or widespread dissemination of information about these proceedings. The Court should be aware that Simon's grandchildren are all starting their lives, and the "garbage" Eliot puts on the internet will be following along with these innocent grandchildren for the rest of their lives. As the fiduciary responsible to act in the best interests of the grandchildren, the Trustee requests that the Court enter a confidentiality or "gag" order to protect their interest.

WHEREFORE, the Trustee respectfully suggests that this Court: (i) appoint a Guardian Ad Litem for Eliot's three children;(ii) enter a confidentiality or "gag order" to protect the integrity of these proceedings and to safeguard the ability of fiduciaries, including a Guardian Ad Litem, to act independently and in the best interests of the beneficiaries; (iii) strike and/or dismiss all of Eliot's filings in this case as described above for lack of standing; and (iv) grant such other relief as the Court deems appropriate.

CERTIFICATE OF SERVICE

I CERTIFY that a copy of the foregoing has been furnished to parties listed on attached Service List by: Facsimile **and** U.S. Mail; U.S. Mail; Email Electronic Transmission; FedEx; Hand Delivery this 4th day of January, 2016.

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Attorneys for Ted S. Bernstein

By: /s/ Alan B. Rose
Alan B. Rose (Fla. Bar No. 961825)

SERVICE LIST

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slobdell@ciklinlubitz.com

IN THE DISTRICT COURT OF APPEAL OF THE STATE OF FLORIDA
FOURTH DISTRICT, 1525 PALM BEACH LAKES BLVD., WEST PALM BEACH, FL 33401

CASE NO.: 4D15-3849
L.T. No.: 502011CP00653XXXXSB
502014CA014637XXXXMB
502014CP002815XXXXSB
502014CP003698XXXXSB
502015CP001162XXXXNB
502015CP002717XXXXNB

ELIOT BERNSTEIN

v. ESTATE OF SIMON BERNSTEIN

Appellant / Petitioner(s)

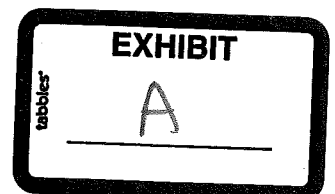
Appellee / Respondent(s)

Motion for Rehearing En Banc

Eliot I. Bernstein, Petitioner-Appellant herein, respectfully shows this Court as follows:

1. I am the Petitioner Pro Se and file this Motion for a Rehearing En Banc of this Court's determination and dismissal of a prior petition for All Writs further seeking a Stay and Injunctive relief originally filed at the Florida Supreme Court on June 10, 2015, and re-filed on June 30, 2015 to conform with page requirements.
2. While acting pro se, I nonetheless express a belief that this case and issues are of exceptional importance under Florida Rules of Appellate Procedure 9.331.
3. The motion is further timely within Florida Rules of Appellate Procedure 9.330.
4. This Court originally issued a Decision on Nov. 30, 2015 Dismissing the All Writs Petition as "Moot" but in the caption of the Decision it only referenced the Writ of Prohibition making it unclear if the other portions of Petitioner's All Writs were Dismissed as well as

RECEIVED, 12/15/2015 9:54 PM, Clerk, Fourth District Court of Appeal



“moot” as Petitioner was seeking Mandamus relief against Florida Judge Martin Colin and other relief such as a Stay and Injunctive relief.

5. Petitioner initiated a procedural phone call to the 4th DCA on or about Nov. 30, 2015 the same day of the Decision to determine the procedure for such a Clarification and originally was told by the Clerk Staff from the 4th DCA the Dismissal applied to the entire petition.
6. Very shortly thereafter, in order to be clear on this Court’s ruling, Petitioner made a subsequent call on that same day of Nov. 30, 2015 speaking to the same 4th DCA Clerk Staff to again seek procedural guidance on how to clarify this ruling and the 4th DCA Clerk stated “they told me” the Dismissal applied to all parts of the Petition referring to the original Decision of Nov. 30, 2015 which Denied the Writ of Prohibition as “Moot” and referenced Oct. 15, 2015 as the filing date of the Petition which was filed June 30, 2015 at the Florida Supreme Court.
7. The 4th DCA Clerk clarified that this filing date was the date the All Writs Petition was Transferred by the Florida Supreme Court.
8. Within 15 minutes to a half hour or less on Nov. 30, 2015, I received an Amended copy of this Decision which now referenced the filing date of July 1, 2015 and Denying the entire Petition as “moot”.

This is a Case of Exceptional Importance

9. The Petition for All Writs brought up for the Florida Supreme Court the appropriateness of even ruling on the Petition for All Writs based upon a warned Conflict of Interest stemming from the following Petitioned in the All Writs:

“Eliot Ivan Bernstein has pursued in investigations since early 2000 to present, including a Petition to the White House¹, the White House Counsel’s Office, the US Attorney General’s Office, investigations to the SEC², FBI, and various State Attorney Generals, and actions with the USPTO, and other legal actions, including RICO and ANTITRUST civil litigation and criminal complaints several Florida Supreme Court Justices, The Florida Bar, several New York Supreme Court Justices, the New York Supreme Court Disciplinary Agencies 1st & 2nd, several large law firms and lawyers, political figures at the highest levels in both Florida and New York and others and this may cause any review of the following matters by any member of The Florida Bar, a subsidiary of the Florida Supreme Court, with any title in the organization, to prejudice the rights of Eliot Bernstein and his family and will be construed as a denial of due process that obstructs justice.”

10. This Conflicts of Interest section went on to further expressly name the following:

Defendants in the RICO and other actions include:

- “STATE OF FLORIDA,
- OFFICE OF THE STATE COURTS ADMINISTRATOR, FLORIDA,
- **FLORIDA SUPREME COURT,**

1

<http://iviewit.tv/CompanyDocs/United%20States%20District%20Court%20Southern%20District%20NY/20090213%20FINAL%20SIGNED%20LETTER%20OBAMA%20TO%20ENJOIN%20US%20ATTORNEY%20FINGERED%20ORIGINAL%20MAIL%20l.pdf>

2

<http://www.iviewit.tv/CompanyDocs/United%20States%20District%20Court%20Southern%20District%20NY/20090325%20FINAL%20Intel%20SEC%20Complaint%20SIGNED2073.pdf>

- o Jorge Labarga, in his official and individual capacities, [this lawsuit prior to his unbelievable rise to Chief Justice of the Florida Supreme Court after the Bush v. Gore election where he aided in the failure to recount the People's vote when he was a civil circuit judge and for his effort to derail Eliot's legal rights in the first lawsuit involving Eliot and others stolen Intellectual Properties that has led to this mess filed before his court. Proskauer v. Iviewit, Case #CASE NO. CA 01-04671 AB.]
- o Charles T. Wells, in his official and individual capacities,
- o Harry Lee Anstead, in his official and individual capacities,
 - R. Fred Lewis, in his official and individual capacities,
 - Peggy A. Quince, in his official and individual capacities,
 - Kenneth B. Bell, in his official and individual capacities,
 - THOMAS HALL, ESQ. in his official and individual capacities,
- o **THE FLORIDA BAR,**
 - JOHN ANTHONY BOGGS, ESQ. in his official and individual capacities,
 - KELLY OVERSTREET JOHNSON, ESQ. in her official and individual capacities,
 - LORRAINE CHRISTINE HOFFMAN, ESQ. in her official and individual capacities,
 - ERIC TURNER, ESQ. in his official and individual capacities,
 - KENNETH MARVIN, ESQ. in his official and individual capacities,

- JOY A. BARTMON, ESQ. in her official and individual capacities,
 - JERALD BEER, ESQ. in his official and individual capacities,
 - BROAD & CASSEL, and, all of its Partners, Associates and Of Counsel, in their professional and individual capacities,
 - JAMES J. WHEELER, ESQ. in his professional and individual capacities,
- DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION – FLORIDA,”

11. A simple review of the cited resource locator in the All Writs Petition at

[http://www.iviewit.tv/CompanyDocs/United%20States%20District%20Court%20Southern%20District%20NY/20090325%20FINAL%20Intel%20SEC%20Complaint%20SIGNED2073.](http://www.iviewit.tv/CompanyDocs/United%20States%20District%20Court%20Southern%20District%20NY/20090325%20FINAL%20Intel%20SEC%20Complaint%20SIGNED2073.pdf)

[pdf](http://www.iviewit.tv/CompanyDocs/United%20States%20District%20Court%20Southern%20District%20NY/20090325%20FINAL%20Intel%20SEC%20Complaint%20SIGNED2073.pdf) would show any reviewing body or jurist that the underlying frauds at issue having been reported to the White House, White House Counsel’s Office, US Attorney General’s Office and various Federal Agencies such as the FBI implicate an ongoing Fraud upon the United States itself being fraud at the USPTO.

12. Even without reviewing the information at this resource locator, the plain text at the All Writs Petition further showed that the frauds had now elevated into Estate and Trust frauds within the State of Florida and the possible murder of Simon Bernstein and further implicating members of the Florida Supreme Court and Florida Bar as follows:

“That the Florida judicial system has not only failed Bernstein twice in protecting his properties, life and liberty but it has played a significant role in the alleged criminal acts committed against Petitioner, his family and now perhaps has led to the death of his father, as alleged by Petitioner’s brother Ted as a possible “murder.” The recent criminal acts committed by Florida Bar attorneys and fiduciaries of the estates and trusts of Simon and Shirley Bernstein. These estate and trust crimes part of a fraudulent scheme and an attempt to rob and preclude Petitioner from inheritance, through Post Mortem crimes committed

after the passing of his mother and father Shirley and Simon Bernstein through sophisticated complex legal frauds, including multiple Frauds on the Court and Fraud by the Court itself, with irrefutable evidence of criminal acts by lawyers and law firms and now new allegations that Judges are involved on the attempt to fix and silence the crimes of other members of the Florida Bar and others.

That in the original instance of fraud that occurred against Petitioner and his family in the Courts, many of the Florida Supreme Court Justices named herein may recall that Bernstein in early 2000 began pursuing members of the Florida Bar from a case that began with Jorge Labarga and the international law firm Proskauer Rose intimately involved in thefts of technologies valued as “The Holy Grail” and “Priceless” by leading engineers and when Judge LaBarga was a circuit court judge in Palm Beach County and the complaints against the lawyers and judges involved made their way all the way up to the Supreme Court and why many of the Florida Supreme Court Justices are named in all ongoing actions, including the instant matters involving the fraud on the court of Judge Martin Colin and Judge David French, where yet again we find members of the Florida Bar, two Florida judges and several more Florida attorneys at law involved in the criminal acts described herein and again using the Florida Courts to directly deprive Petitioner and his family of their rights and further retaliate against Petitioner to directly attempt to stop his pursuit of his Intellectual Property rights, his inheritancy and more.

These matters are brought expressly to the forefront of this case so matters of conflicts of interest may be properly adjudicated even in the hearing of the instant petitions for writs and other relief and for consideration as to whether the entirety of these matters should be transferred to a jurisdiction outside the State of Florida and other proper relief. “ See, Petition for All Writs.

13. The case is thus of not only exceptional importance but statewide importance as not only implicating related ongoing frauds upon the United States but the fundamental Due Process issue of whether the Florida Courts themselves can be an appropriate forum for the Petitioner given the current Florida Supreme Court Judge Jorge Labarga’s involvement in the underlying frauds along with substantial members of the Florida Bar including Jerald Beer of the Ciklin, O’Connell law firm now in a case where possible murder has been alleged.
14. Thus the case should be heard En Banc as exceptional importance is shown.
15. Clearly neither the Florida Supreme Court nor this Court of the 4th DCA addressed the exceptional important issue of whether the fundamental due process can be served with the

Conflicts of Interest referenced in the petition and thus this part of the Petition was clearly overlooked and / or misapprehended.

16. Clearly the exceptional importance of the statewide due process conflict of interest issues are not moot and these matters were overlooked or misapprehended.

Other Issues Overlooked, Misapprehended and Not Moot

17. The Petition for All Writs further brought up mandamus against Judge Martin Colin to issue a Disqualification as a necessary and material fact witness and void all of his orders therein where clear fraud upon the Court has occurred and Judge Colin himself may be part of the machinery of the Court involved in the fraud.

18. While not stated in this Court's Decision, it was argued by Alan M. Rose on behalf of Ted Bernstein to this Court that due to Judge Colin's "recusal" which came within 24 hours of denying the mandatory Disqualification as a necessary and material fact witness rendered the Writ "moot".

19. However, this again must have been overlooked and misapprehended.

20. Judge Colin's sudden "recusal" does not change and did not change his status as a material fact witness in underlying fraud in his court which, quite interestingly, expressly involves Ted Bernstein who Alan Rose is representing.

21. Judge Colin remains a material fact witness and thus, this part of the Petition for All Writs was clearly not moot and mandamus should issue immediately so proper Disqualification Orders can be issued.

22. Further, the Petition brought up for review Judge Colin's "steering" and "poisoning" of the "Transfer" of the Case to the North Branch acts which were and are alleged to have been

beyond and outside his jurisdiction as one who mandatorily had to be disqualified under Florida law.

23. Once it is properly determined that Judge Colin should be mandatorily disqualified and subject to mandamus, all of these wrongful acts of Transfer without jurisdiction are clearly presently relevant to the case and must have been overlooked and or misapprehended by this Court and clearly were not and are not moot.
24. Further, the Petition for All Writs brought up for review under mandamus that Judge Colin's Orders issued as having been what should have been a Disqualified material fact witness at least as of Jan. 3, 2013 or by latest May of 2013 based upon when clear indisputable fraud had to have been discovered in his Court, that such Orders must be Voided.
25. In fact, the property referenced by Alan Rose to be sold was part of an illegal Order of Judge Colin grounded in fraud as a material fact witness and prohibiting this sale clearly is not moot and must have been overlooked and misapprehended.
26. This Court must have overlooked or misapprehended this part of the Petition as all of these Orders since either Jan. 3, 2013 or at least May 6, 2013 forward must now be Voided and clearly these Orders are presently impacting the Case and thus are not moot.
27. Still further, the Petitions sought specified Stay and injunctive relief none of which was or is moot and thus this Court must have overlooked and or misapprehended this part of the Petition as well.
28. Clearly the Petition for All Writs has substantial case law authority which should have been relied upon if the Petition had not been overlooked and / or misapprehended and Petitioner simply refers this Court back to the All Writs Petition for said authorities to stay in conformity of the rules preventing re-argument.

WHEREFORE, Petitioner respectfully prays for an Order granting En Banc rehearing relief and further issuing mandamus against Judge Colin as a material and fact witness, voiding of all Orders of Judge Colin since at least Jan. 3, 2013 or May 2013 to the present and further determination as to the impropriety of the interference in the orderly Transfer of this case to the North Branch thus transferring the case to a randomly selected independent neutral Judge unless the conflict of interest issues raised by any hearing within the State of Florida determine transfer outside the State and further that this Court should provide affirmative review of said Conflicts of interest in any further Order or Decision herein or alternatively certify the conflicts of interest and due process issue to the Florida Supreme Court as an exceptional issue of statewide and novel importance.

Dated: December 15, 2015

/s/Eliot Ivan Bernstein

CERTIFICATE OF SERVICE

I CERTIFY that a copy of the foregoing has been furnished to parties listed on attached Service List by E-mail Electronic Transmission; Court ECF; this 15th day of December, 2015.

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By: /s/ Eliot Ivan Bernstein
Eliot Ivan Bernstein

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For the adults in the room!

Eliot Ivan Bernstein: I have witnessed firsthand the injustice of Judge Michael Genden in his court: Retaliation against Barbara Stone!

Posted on [August 8, 2015](#)

On Aug 8, 2015, at 7:24 AM, Eliot Ivan Bernstein <iviewit@iviewit.tv> wrote:

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Michael L. Schneider, Executive Director

Michael L. Schneider, General Counsel

Alexander J. Williams, Assistant General Counsel

Post Office Box 14106

Tallahassee, FL 32317

(850) 488-1581

contact@floridajqc.com

Dear Hon. Kerry I. Evander, VICE-CH

My name is Eliot Ivan Bernstein and I

Michael Genden and witnessed firstha

which danger is confirmed by medical

who has taken the heroic path as an att

committed by attorneys at law, guardi

designed to at once kill the victims ent

guardians and attorneys at law, while |

was transformed from a vibrant health

who has been taken in for emergency lifesaving procedures due to the neglect she has suffered since imprisonment in the guardianship.

In attempting to expose this corrupt guardianship and those involved Barbara has done everything required under law and in response and retaliation her

due process rights were removed and she was portrayed as a criminal and in fact criminally arrested by Judge Genden's bizarre orders for her efforts to

protect her mother. She and her mother have been denied due process and the right to counsel and I witnessed in Federal Court before Magistrate Judge

Hunt in Florida an attorney, Deborah Rochlin, Esq. state on the stand under oath that Judge Michael Genden had issued threats against her in Ex Parte

communications that if she continued to represent the Stone family she would targeted for disciplinary actions and more, which caused her in fear of losing

her livelihood to immediately withdraw from representing the Stones. However, in heroic fashion and duty bound to report the misconduct of attorneys at

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who has reviewed the Barbara Stone complaint attached herein against Judge on against Barbara who is desperately trying to save her mother's life and in attorney at law with an unblemished career through her eventual retirement n of the Florida Courts and its members that she has witnessed firsthand her's guardianship in what can only be called an elder eugenics program tually their entire net worth from the family and covert it to the court appointed ell. Helen Stone went into a guardianship for financial protection and quickly wheelchair with a feeding tube in now an induced medical guardianship and



law and judges under Florida Rules of Professional Conduct, Ms. Rochlin did just that by signing a sworn affidavit stating that she was contacted by Judge Genden and a one Roy Lustig, Esq. and extorted and threatened. For this heroic act and following her duty to report misconduct, Judge Genden filed a bar complaint against Ms. Rochlin.

In Federal Court, it was exposed that Roy Lustig, Esq. has a pattern and practice of Fraud on the Court that was exposed by the Third DCA in a case involving Leo's Liquor and yet despite the courts recommendation for State Bar Sanctions and more, it appears Mr. Lustig walked protected by the State Bar of Florida and no action was taken despite his fraud on the court being proven by the court. In the hearing before Magistrate Judge Hunt however, Lustig made statements that appeared to claim that Barbara Stone, Esq. was not a lawyer and that she was some kind of fraud and this swayed and biased the opinion of Magistrate Judge Hunt so much so that he made his own efforts to discover if she were a lawyer and then in an opinion to Judge Zolch stated Barbara was a liar and not forthright with the Court. However, due to lack of diligence it appears that no one had checked her married name and thus both Judge Hunt and Lustig then had mud on the face. To resolve this problem it appears that despite Barbara having been a retired attorney at law with a blemish free career, the Florida Supreme Court through the aegis of its subsidiary The Florida Bar instantly moved to DISBAR Barbara Stone, Esq. on trumped up charges relating to her efforts to free her mother from the concentration camp she is in.

I have witnessed firsthand this same racket in the Florida Probate Courts as my family's estate and inheritance have been desecrated and robbed by Florida Attorneys at Law, including but not limited to, Robert L. Spallina, Esq., Donald R. Tescher, Esq. and Alan B. Rose, Esq. all with the help of two Florida Probate Judges, David French and Martin Colin. Attorneys Tescher and Spallina through their law firm Tescher & Spallina, PA have admitted to fraud on the court and fraud on the beneficiaries and have also admitted to submitting to the courts fraudulently notarized and forged signatures for six parties, including for my deceased father POST MORTEM and yet they have been allowed to continue to practice before the courts and the Florida Bar despite being fully aware of these crimes has done NOTHING to any of the attorneys involved, in fact, allowing Spallina to merely surrender his license without discipline despite his admitted felony criminal acts against my family, including three minor children who have been harmed by their actions. My brother on the day my father died alleged that he was murdered by poisoning and started a criminal complaint and autopsy that have also been mishandled once it was apparent that the person accused, his girlfriend was most likely innocent if he were murdered and now due to the financial crimes and fraud committed by the Attorneys at Law the potential accomplices to any murder may in fact be members of the Florida Bar who may have had a hand in any foul play due to the fact that they are the ones who have committed felony criminal acts and financial crimes against the estates and trusts beneficiaries for their own pecuniary gains. After a year a heavy metal test was finally performed and the results came back with 3 heavy metals elevated to reportable levels, including but not limited to, Arsenic and Cadmium. The attorney and judge self-regulating system of the Florida Bar and Judicial Qualifications Commission (which have no authority or jurisdiction to interfere in criminal complaints against their members) have become a joke and actually appear to be attorney protection agencies to protect the crimes being committed through the use of the courts by their members and steering and interfering with criminal charges made against members to Police and Sheriff agencies to derail the criminal investigations. Ms. Stone has also filed criminal charges against Michael Genden for his threats and extortion made upon her counsel and yet not a single law enforcement agency will investigate her criminal complaint filed in accordance with Florida Statutes. For Shame on our corrupted legal system that appears to start at the top and perverse the entire body of law in Florida and any lawyer standing up to this is instantly Witch hunted and Disbarred, effectively destroying their lives or forcing them to complicity in fear of their extorters.

My case is currently before the Supreme Court of Florida and can be found @

<http://www.iviewit.tv/Simon%20and%20Shirley%20Estate/20150630%20FINAL%20REDO%20All%20Writs%20Mandamus%20Prohibition%20and%20Restraining%20Order%20>
<http://www.iviewit.tv/Simon%20and%20Shirley%20Estate/20150609%20FINAL%20All%20Writs%20Mandamus%20Prohibition%20and%20Restraining%20Order%20>

The crimes in these Probate cases have also caused multiple other actions to be filed both state and federally at great expense to the victims all due to criminal misconduct by Attorneys at Law and Judges. In fact, arrest has now been made of the Legal Assistant and Notary Public for Tescher & Spallina PA and similar arrest and investigation of the Attorneys at Law and Judges are underway but it appears that these may have been stymied, delayed and potentially derailed by interference in the criminal investigation by Judge Colin, which is currently under investigation as well.

The cases are as follows:

Florida Supreme Court CASE NUMBER: SC15-1077

Judge Coates Cases

[if !supportLists]1. [endif]Case ID: 502015CP002717XXXXNB

Judge Martin Colin Cases

Estate and Trust Cases, Simon, Shirley and Children

[if !supportLists]1. [endif]Case # 502012CP004391XXXXSB – Simon Bernstein Estate

[if !supportLists]2. [endif]Case # 502011CP000653XXXXSB – Shirley Bernstein Estate

[if !supportLists]3. [endif]Case # 502014CP002815XXXXSB – Oppenheimer v. Bernstein Minor Children

[if !supportLists]4. [endif]Case # 502014CP003698XXXXSB – Shirley Trust Construction

[if !supportLists]5. [endif]Case # 502015CP001162XXXXSB – Eliot Bernstein v. Trustee Simon Trust Case OLD CASE #

502014CA014637XXXXMB

[if !supportLists]6. [endif]Case # TBD -- Creditor Claim – Eliot v. Estate of Simon

[if !supportLists]7. [endif]Case # 13-cv-03643 – Federal Lawsuit in the US District Court of Eastern Illinois, before the Hon. Judge Amy St. Eve., now before Honorable Judge Robert Blakey.

Judge David E. French Cases

[if !supportLists]1. [endif]Case # 2012CP004391 IX – Simon Bernstein Estate

All of the family estate problems may also be linked to another series of crimes still being pursued committed against both my father and myself involving Intellectual Property Thefts committed by our attorneys at law from Proskauer Rose, LLP and Foley & Lardner LLP for IP valued in the billions to trillions and which led my filing a RICO and ANTITRUST civil lawsuit that was subsequently related a New York Supreme Court Attorney Disciplinary Department Whistleblower Lawsuit of Christine C. Anderson, Esq. This lawsuit will shortly be petitioned to be reopened due to the alleged new RICO violations in the Florida Probate Courts, including new predicate acts of, Alleged Murder of Simon Bernstein, Fraud, Forgery, theft of estate and trust assets and more, all crimes again primarily committed by attorneys at law. My car has had a bomb put in it and for visual graphics of the car bombing that blew up three cars next to it in Del Ray Beach, FL see www.iviewit.tv homepage. My RICO case and the cases legally related by Hon Federal Judge Shira Scheindlin are as follows:

Cases @ New York Second Circuit

[if !supportLists]1. [endif]File USCA Case Number 10-5303 = P. Stephen Lamont Appeal Docket No.

Case 08-4873-cv United States Court of Appeals for the Second Circuit Docket – Bernstein, et al. v Appellate Division First Department Disciplinary Committee, et al. – TRILLION DOLLAR LAWSUIT

[if !supportLists]2. [endif]Capogrosso v New York State Commission on Judicial Conduct, et al.

[if !supportLists]3. [endif]Esposito v The State of New York, et al.

[if !supportLists]4. [endif]McKeown v The State of New York, et al.

Related Cases @ US District Court – Southern District NY

[if !supportLists]5. [endif]07cv09599 Anderson v The State of New York, et al. – WHISTLEBLOWER LAWSUIT which other cases have been marked legally “related” to by Fed. Judge Shira A. Scheindlin

[if !supportLists]6. [endif]07cv11196 Bernstein, et al. v Appellate Division First Department Disciplinary Committee, et al.

[if !supportLists]7. [endif]07cv11612 Esposito v The State of New York, et al.,

[if !supportLists]8. [endif]08cv00526 Capogrosso v New York State Commission on Judicial Conduct, et al.,

[if !supportLists]9. [endif]08cv02391 McKeown v The State of New York, et al.,

[if !supportLists]10. [endif]08cv03305 Carvel v The State of New York, et al., and,

[if !supportLists]11. [endif]08cv4438 Suzanne McCormick v The State of New York, et al.

[if !supportLists]12. [endif]08 cv 6368 John L. Petrec-Tolino v. The State of New York

Sought Relation but not

[if !supportLists]13. [endif]08cv02852 Galison v The State of New York, et al.,

[if !supportLists]14. [endif]08cv4053 Gizella Weissshaus v The State of New York, et al.

[if !supportLists]15. [endif]06cv05169 McNamara v The State of New York, et al

RICO AND ANTITRUST LAWSUIT

<http://iviewit.tv/CompanyDocs/United%20States%20District%20Court%20Southern%20District%20NY/20080509%20FINAL%20AMENDED%20COMPLAINT%20A>

The Florida Courts have been infected by criminals and it appears a top down takeover. Since you are at the top of the regulatory agency that is responsible for protecting the citizens of Florida from rogue and dangerous criminals disguised as Attorneys at Law and Judges I anticipate your immediate response to both Barbara and my own cases, seeking full investigation by the State Bar and JQC and joining the necessary State and Federal Criminal authorities as you are duty bound to do when members of your cartel are alleged by citizens to have committed felony acts and used the Courts as their vehicle to commit crimes. Recently, large amounts of Press have exposed the guardianship abuses running rampant in Florida and similar to the home foreclosure fraud in Florida, the crimes are being committed by “attorneys at law” and further aided and abetted by “judges” all members of an organization headed by you and where nothing is being done by your agency to take any action other than to protect the accused and aid and abet in the evasion of their criminal prosecutions.

Thank you,

Eliot I. Bernstein

Inventor

Iviewit Holdings, Inc. – DL

Iviewit Holdings, Inc. – DL (yes, two identically named)

Iviewit Holdings, Inc. – FL

Iviewit Technologies, Inc. – DL

Uviewit Holdings, Inc. – DL

Uview.com, Inc. – DL

Iviewit.com, Inc. – FL

Iviewit.com, Inc. – DL

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Iviewit LLC – DL

Iviewit Corporation – FL

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<http://www.youtube.com/user/eliotbernstein?feature=nhum>

in loving memory and sad post mortem attorney corruption story

<http://iviewit.tv/ShirleyBernstein>

<http://iviewit.tv/SimonBernstein>

<http://iviewit.tv/ThisisBullshit>

Also, check out

Eliot's Testimony at the NY Senate Judiciary Committee Hearings Professional Video courtesy of NY Senate, my fav part at end

http://www.youtube.com/watch?v=7oHKs_crYIs

Eliot's Testimony at the NY Senate Judiciary Committee Hearings Professional Video Handheld Camera View, my favorite version at the very end

<http://youtu.be/3Q9MzqZv4lw>

and

Christine Anderson New York Supreme Court Attorney Ethics Expert Whistleblower Testimony, FOX IN THE HENHOUSE and LAW WHOLLY VIOLATED TOP DOWN EXPOSING JUST HOW WALL STREET / GREED STREET / FRAUD STREET MELTED DOWN AND WHY NO PROSECUTIONS OR RECOVERY OF STOLEN FUNDS HAS BEEN MADE. Anderson in US Fed Court Fingers, US Attorneys, DA's, ADA's, the New York Attorney General and "Favored Lawyers and Law Firms" @

<http://www.youtube.com/watch?v=6BIK73p4Ueo>

and finally latest blog

<http://iviewit.tv/iviewit2/?p=187>

Iviewit Inventor Eliot Bernstein Guest on Les Winston DisBar the Florida Bar Show #1

<http://youtu.be/i1Ao1BYvvoQ>

Iviewit Inventor Eliot Bernstein Guest on Les Winston DisBar the Florida Bar Show #2

<http://youtu.be/OaXys6bImFI>

Ivewit Inventor Eliot Bernstein Guest on Les Winston DisBar the Florida Bar Show #3

<http://youtu.be/9R1PNnJVVGU>

Ivewit Inventor Eliot Bernstein Guest on Les Winston DisBar the Florida Bar Show #4

<http://youtu.be/rUHCZFkro08>

Eliot Bernstein Ivewit Inventor Television Interview Dick Woelfle Network 125

<http://youtu.be/WEgSXJFqrhQ>

Other Websites I like:

<http://proskauersucks.com>

<http://exposecorruptcourts.blogspot.com>

<http://deniedpatent.blogspot.com>

<http://www.judgewatch.org/index.html>

<http://www.parentadvocates.org>

<http://www.newyorkcourtcorruption.blogspot.com>

<http://cuomotarp.blogspot.com>

<http://www.disbarthefloridabar.com>

<http://www.constitutionalguardian.com>

<http://www.americans4legalreform.com>

<http://www.attorneysabovethelaw.com>

<http://www.VoteForGreg.us> Greg Fischer

<http://www.facebook.com/pages/Vote-For-Greg/111952178833067>

www.justice4every1.com

www.schwagerfirm.com

www.eldermurderabuseandexploitation.blogspot.com

<https://mccormickestatefraud.wordpress.com>

<http://www.nationallibertyalliance.org>

www.AAAPG.net

www.corruptny.com

www.corruptWA.com

www.killingseniors.com

www.guardianpredators.com

www.guardianshipexposed.com

<http://www.hangthebankers.com>

www.ddaweb.org

<http://tedbernsteinreport.blogspot.com>

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“We the people are the rightful master of both congress and the courts – not to overthrow the Constitution, but to overthrow the men who pervert the Constitution.” – Abraham Lincoln

“Whensoever the General Government assumes undelegated powers, its acts are unauthoritative, void, and of no force.” — Thomas Jefferson, The Kentucky Resolutions of 1798

“If a law is unjust, a man is not only right to disobey it, he is obligated to do so.” Thomas Jefferson

“Each time a person stands up for an ideal, or acts to improve the lot of others, or strikes out against injustice, he sends forth a tiny ripple of hope, and crossing each other from a million different centers of energy and daring, these ripples build a current that can sweep down the mightiest walls of oppression and resistance.” – Robert F. Kennedy

“Is life so dear or peace so sweet as to be purchased at the price of chains and slavery? Forbid it, Almighty God! I know not what course others may take, but as for me, give me liberty, or give me death!” – Patrick Henry

“Dick: The first thing we do, let’s kill all the lawyers.” The Shakespearean Solution, Sam The Butcher, Henry The Sixth, Part 2 Act 4, scene 2, 71–78

“Gatthew 5:5 Blessed are the Geek, for they will inherit the earth.” Eliot Bernstein

I live by the saying from Ellen G. White:

“The greatest want of the world is the want of men, –men who will not be bought or sold; men who in their inmost souls are

true and honest, men who do not fear to call sin by its right name; men whose conscience is as true to duty as the needle to the pole, men who will stand for the right though the heavens fall.” -Education, p. 57(1903)

If you are one of these people, nice to be your friend ~ Eliot

<image001.jpg>

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From: barbara stone [mailto:bstone575@gmail.com]

Sent: Friday, August 7, 2015 11:16 AM

To: evanderk@flcourts.org

Cc: Adam Walsler; Michael Miller; Joan Chrissos; cfrank@miamiherald.com; cmarbin@miamiherald.com; helpmehoward@wsvn.com; mmarques@miamiherald.com; clue@wsvn.co; leonardgreene@nypost.com; martin.baron@washpost.com; John CMG-WestPalm Pacenti; swestwood@washingtosexaminer.com; tips@nationalenquirer.com; john.emshwiller@wsj.com; gary.fields@wsj.com; ashby.jones@wsj.com; Bob Norman; scoop@huffingtonpost.com; chamby@publicintegrity.org; wkroustan@sunsentinel.com; raolmeda@tribune.com; mediarelations@publicintegrity.org; investigations@icij.org; ediarelations@icij.org; drphil@drphil.com; Scott Powers; Today@nbc.com; WT@nbc.com; Dateline@nbc.com; dan noyes; paige.kreegel@myfloridahouse.gov; mike.larosa@myfloridahouse.gov; chris.latvala@myfloridahouse.gov; larry.lee@myfloridahouse.gov; debbie.mayfield@myfloridahouse.gov; charles.mcburney@myfloridahouse.gov; klonne.mcgree@myfloridahouse.gov; larry.metz@myfloridahouse.gov; george.moraitis@myfloridahouse.gov; jared.moskowitz@myfloridahouse.gov; mike.miller@myfloridahouse.gov; Amanda.murphy@myfloridahouse.gov; Edwin.narain@myfloridahouse.gov; jeanette.nunez@myfloridahouse.gov; jose.oliva@myfloridahouse.gov; marlene.otoole@myfloridahouse.gov; mark.pafford@myfloridahouse.gov; kathleen.passidomo@myfloridahouse.gov; keith.perry@myfloridahouse.gov; Kathleen.peters@myfloridahouse.gov; Cary.pigman@myfloridahouse.gov; Ray.pilon@myfloridahouse.gov; scott.plakon@myfloridahouse.gov; rene.placencia@myfloridahouse.gov; elizabeth.porter@myfloridahouse.gov; bobby.powell@myfloridahouse.gov; Sharon.pritchett@myfloridahouse.gov; Jake.raburn@myfloridahouse.gov; Kevin.rader@myfloridahouse.gov; Danile.raulerson@myfloridahouse.gov; lake.ray@myfloridahouse.gov; michelle.rehwinkel@myfloridahouse.gov; ronald.renuart@myfloridahouse.gov; david.richardson@myfloridahouse.gov; Kenneth.roberston@myfloridahouse.gov; hazelle.rogers@myfloridahouse.gov; ray.rodrigues@myfloridahouse.gov; Patrick.rooney@myfloridahouse.gov; darryl.rouson@myfloridahouse.gov; david.santiago@myfloridahouse.gov; irving.slosberg@myfloridahouse.gov; jimmie.smith@myfloridahouse.gov; ross.spano@myfloridahouse.gov; chris.sprolws@myfloridahouse.gov; cynthia.stafford@myfloridahouse.gov; Richard.stark@myfloridahouse.gov; greg.steube@myfloridahouse.gov; Charlie.stone@myfloridahouse.gov; jennifer.sullivan@myfloridahouse.gov; dwayne.taylor@myfloridahouse.gov; carlos.trujillo@myfloridahouse.gov; victor.torres@myfloridahouse.gov; jay.trumbull@myfloridahouse.gov; john.tobia@myfloridahouse.gov; charles.vanzant@myfloridahouse.gov; Barbara.watson@myfloridahouse.gov; Clovis.watson@myfloridahouse.gov; alan.williams@myfloridahouse.gov; john.wood@myfloridahouse.gov; ritch.workman@myfloridahouse.gov; dana.young@myfloridahouse.gov; budmail@mail.house.gov; write2joecrowley@mail.house.gov; degette@mail.house.gov; William.Delahunt@mail.house.gov; lloyd.doggett@mail.house.gov; doolittle@mail.house.gov; rep.doyle@mail.house.gov; annagram@mail.house.gov; samfarr@mail.house.gov; TalkToBobFilner@mail.house.gov; vito.fossella@mail.house.gov; Gingrey.GA@mail.house.gov; texas.granger@mail.house.gov; sam.graves@mail.house.gov; jane.harman@mail.house.gov; alicee.pubhastings@mail.house.gov; mhinchey@mail.house.gov; tellhoek@mail.house.gov; mike.honda@mail.house.gov; Jay.Inslee@mail.house.gov; congressman.issa@mail.house.gov; rep.johnson@mail.house.gov; webpage@feingold.senate.gov; stephanie.tubbs.jones@mail.house.gov; REP.KAPTUR@mail.house.gov; dkildee@mail.house.gov; jack.kingston@mail.house.gov; tom.la05@mail.house.gov; barbara.stone; marioaj01; alfredo; Eliot Bernstein; Robert Sarhan; ginny johnson; Alyece Russell; Todd Krauthaim; Teresa Lyles; Conrad 315RC; Antoinette; Lily Echarte's victim; hiestanl@flcourts.org; 13869471562@faxorama.com

Subject: Re: Response and Additional Emergency Notice of alleged crimes and corruption

Please see the attached and below in follow up

TO: JUDGE EVANDER

RE: RETALIATION AGAINST BARBARA STONE FOR WHISTLEBLOWING ON GUARDIANSHIP ABUSE IN THE STATE OF FLORIDA AND REPORTING THE CRIMES OF MICHAEL GENDEN AND THE FLORIDA BAR FROM: BARBARA STONE

DATE: AUGUST 7, 2012

CC: MEDIA, LEGISLATORS AND OTHER INTERESTED PARTIES

Dear Judge Evander:

I am in receipt of the retaliatory disbarment against me for my reporting alleged criminal misconduct and wrongdoing of attorneys and judges as mandated by the Florida Bar rules. I am also in receipt of the retaliatory notice of disbarment that was filed of record in my Federal lawsuit against Michael Genden which was improper, an abuse of power and for apparently for the purpose of further prejudicing my case.

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I brought my mother to "guardianship court" to protect her and Michael Genden and Roy Lustig and predator "guardians" masterminded a Machiavellian scam to steal my mother, strip her rights, drug and abuse her until she is incoherent and extort her assets. These predators then rabidly escalate, retaliate and attack me to silence me from exposing this diabolical racket and **to divert, avoid, evade, dodge, obstruct, block, impede, thwart, cover up the criminal response to their alleged crimes by attacking their accuser.**

I should be lauded for exposing the vile practice of terrorizing vulnerable adults in predator guardianships instead of attacked by vicious retaliation by judges no less.

It is hardly "judicial" for the State of Florida to maintain a practice through its "probate/guardian" courts of slowly murdering vulnerable elderly adults by isolating them, depriving them of food, drugging them mercilessly until they are incoherent, removing them from their family so these crimes can be committed in secret, warehousing them in deplorable nursing homes and then retaliating against anyone trying to expose the crimes, including outstanding and long serving respectable members of the Florida Bar such as myself.

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The 3rd DCA exposed Roy Lustig's fraud and corruption in the case of Leo's Gulf Liquors v. Lakhani No. 3D00-130, 802 So. 2d 337 (2001) where he was found guilty of fraud on the court, repeatedly lying under oath and perjury. Yet due to the failure of that Court to refer him to the State Attorney and the Florida Bar as reported by the Sun Sentinel, the Florida Bar did not take disciplinary action against him, in fact they have colluded with him in retaliation against me yet Lustig who engaged in misconduct so brazen that although courts rarely issue such rulings against an attorney, they found Lustig's conduct so abhorrent they issued a scathing opinion against him.

Due to the lack of prosecution by the Florida Bar or criminal authorities, he is unafraid of retribution and has now perfected his fraud on and in the court to terrorize and extort elderly adults. Lustig deliberately perjured a guardian report and fabricated pleadings in my case denying the life threatening condition of my mother thereby repeatedly placing my mother in grave danger all while Judge Genden aided and abetted and refused to take judicial action, instead retaliating against me and destroying my life to shut down my efforts to expose what is now fast becoming public knowledge.

Thus my mother, a once vital, healthy self-sufficient woman in need of only a short term financial guardianship was corralled into this vicious medically induced guardianship and now languishes in a disgusting nursing home, diapered, drugged, isolated, her stomach cut open to shove a feeding tube (a criminal battery) into her without need and she has almost died repeatedly. My mother has not eaten food or water in almost 2 years. These are hate crimes, crimes of ISIS terrorists posing as attorneys and judges and guardians. To remove and isolate an elderly woman from her daughter in the remaining years of her life is an act of malice and cruelty by someone devoid of morals. It is certainly not the act of a judge.

Jacqueline Hertz, now recently deceased was a master of this depraved human trafficking racket orchestrated in conjunction with Florida Bar members acting as Judges and Lawyers who are all involved in the feeding frenzy to rob family estates statewide.

When I reported and exposed alleged criminal acts to the Florida State Bar, the Judicial Conduct Commission and state and federal authorities, Genden in an monumental abuse of power, removed not only my access to the Court, but revamped the filing system to secret away the court documents from the public in violation of the Constitution to attempt to cover up the crimes of the probate Court and the Court appointed Guardians and Attorneys at Law.

My whistleblower complaint filed with the Supreme Court exposes this charade of justice and the incompetent system of having lawyers oversee the discipline of other lawyers and judges instead of having them independently investigated and criminally prosecuted. This self-regulating system is inherently conflicted and unconscionable and must be reformed by the use of independent investigatory agencies.

The cover up and whitewashing of violations of canons, ethics and crimes has exploded because there is no accountability and discipline. Christine Anderson, Esq. another whistleblower attorney in New York who was an insider in the disciplinary system attempted to expose the widespread corruption of the failed and criminal disciplinary system and she like myself was grossly retaliated against. Joanne Denison, Ken Ditkowsky and Lanre Amu exposed the corruption in Illinois and were suspended or disbarred. Other attorney whistleblowers across the country including Teddy Moore and Cole Stuart have been retaliatory disbarred or suspended for exposing the crimes of other lawyers and judges, their only crimes.

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1. Stripping an elderly adult of their Constitutional and civil rights, denying their due process, forcing them into medically induced guardianship, terrorizing and abusing them and extorting their assets and property while family members futilely try to free them, off their rights and stealing and extorting their family assets?
2. Protecting and covering up alleged crimes by so called "attorneys" and "judges" who operate a racketeering enterprise rife with corruption and fraud?. My mother confided to me on a recorded line that she was in fear of her life –that strange "aides" and other unknown persons from barely legitimate "care agencies" hired by Hertz and Lapidés were coming into her home every day, stealing her food, her money and drugging her until she was incoherent. These substandard agencies were used by these predators, Hertz and Lapidés to deprive my mother of quality care so her assets could be diverted to Hertz, Lapidés and Lustig.
3. Retaliating against many witnesses who saw and reported my mother's drugging, abuse, the deprivation of food and other heinous crimes? Michael Genden denied my mother the right to counsel to keep the racket under wraps and further denied me the right to independent counsel and to file Pro Se virtually stripping my rights to due process in his racketeering enterprise. Another Attorney at Law, Debra Rochlin exposed in an affidavit that Michael Genden threatened her to force her to cease representing my mother and myself or he would file a bar complaint against her. She was so fearful that she withdraw as counsel in fear of her livelihood, leaving her exposed to vicious retaliation and leaving my mother defenseless. Despite her withdrawal, Genden then viciously filed a Florida Bar Complaint against her in efforts to destroy her life as he has done mine and my mothers' and any party exposing his malice.
4. Enabling and covering up for a judge who is engaged in terrorist crimes against a vulnerable elderly woman and her daughter in a court that has descended into a terrorist enterprise?
5. Abetting a judge who isolates an elderly woman from her daughter and keeps her caged in a lock down unit where she is denied all visitors so she can be abused and extorted in secrecy? Please refer to the fraudulent invoices of these predators set forth in link on the enclosures.
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and shield Michael Genden issuing brazenly irrational and retaliatory rulings that have no semblance of legitimacy. This is obvious in the “ruling” by Judge Kathleen Williams (apparently a “hand-picked” judge as she is familiarly referred to as “Kathy” by Genden and Lustig) wherein she stated in an order issued June 2, 2014 that even if Ms. Stone raised sufficient concerns about the danger to her mother, **she did not know how removing the guardians would alleviate that concern.**

There is not even a semblance of due process in any of my matters where emergency petitions are ignored, and judges are hand selected. The lack of due process is so open that an order was issued by one judge “hand-selecting” another conspiratorial judge to “preside” over the retaliatory “disbarment” of me, a retired attorney in direct violation of the rules that mandate blind assignment.

It should be obvious that in none of my lawsuits, appeals or other legal actions, THERE HAS NEVER BEEN AN EVIDENCIARY HEARING NOR HAS MICHAEL GENDEN EVER RESPONDED TO ANY OF THE ALLEGATIONS. Instead, there is a rush to deny my due process, obstruct my justice, and aid and abet Michael Genden to enable him to avoid accountability for his alleged criminal acts and violation of judicial canons and ethics.

What is apparent is that the Courts, the Prosecutors, the Judges, the Attorneys at Law involved are all working together to protect and cover up alleged crimes by so called “attorneys” and “judges” that operate a racketeering enterprise rife with corruption and fraud. Judges who commit crimes should be investigated and removed from the bench, not protected by the judicial community and the courts who fail to provide accountability and are tainting the legal process.

Judicial misconduct is running unchecked in all courts across the State of Florida, particularly in the very courts where families require the most protection—probate/guardian, family court, bankruptcy and foreclosure. Families are being destroyed by the very courts who should serve justice. Judges as in the case of my retaliatory disbarment are hand selected to silence reports of crime and misconduct. Lawyers and judges have fiduciary duties to insure the integrity of the judiciary and the legal system. A task force is urgently needed to address this tsunami of corruption/human trafficking.

Judges who commit crimes should be investigated and removed from the bench, not protected by the judicial community. The Federal and appellate courts who fail to provide accountability are subverting and perverting the legal process.

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244 Fifth Avenue - B 296

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articles about predatory guardians, judges and attorneys and their criminal activities

Cc: Miami Herald, Wall Street Journal, Miami New Times, New York Times, NY Post, Washington Post and other Media, legislators and other interested parties

On Fri, Aug 7, 2015 at 9:23 AM, barbara stone <bstone575@gmail.com> wrote:

Please see attached in response to further retaliation.

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Cc: Miami Herald, Wall Street Journal, Miami New Times, New York Times, NY Post, Washington Post and other Media, legislators and other interested parties

Barbara Stone

[305 684 2547](tel:3056842547)

bstone575@gmail.com

On Thu, Aug 6, 2015 at 2:37 PM, barbara stone <bstone575@gmail.com> wrote:

Attached is an emergency notice of extortion, life endangering crimes and other misuse of office by a "judge" under color of law. The life of an elderly adult is in grave danger. This seeks his urgent removal and response

Barbara Stone

[305 684 2547](tel:3056842547)

bstone575@gmail.com

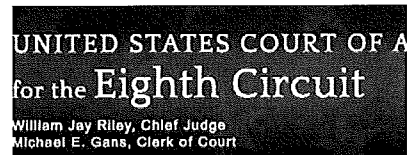


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Judicial Corruption - No known limits
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Date: Fri, Feb 22, 2013 at 1:43 AM Subject: IVIEWIT BREAKING NEWS!!! "Judges Were Illegally Wiretapped, Says Insider " & "Governor Andrew Cuomo Asked to Shut Down
With 2 comments

Law Firm Admits Violated Law - Regarding - Murder of Chairman of Iviewit Simon Bernstein?
Begin forwarded message: From: "Elliot Ivan Bernstein" <iviewit7@gmail.com> To: "Undisclosed List" <iviewit@gmail.com>
Subject: Murder of Chairman of Iviewit Simon Bernstein? Attorneys Robert Spallina & Donald In "Constitution"



PUBLIC NOTICE: CRIMINAL CHARGES/COMMERICAL LIENS - Corrupt Judges



About arnierosner

As an American I advocate a republic form of government, self-reliance, and adherence to the basic philosophy of the founding fathers and the founding documents, I ONLY respect those who respect and "HONOR" their honor. No exceptions!

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Scanned Retina – A Resource for the People!

The Twenty Ten Theme. [Blog at WordPress.com.](#)

Florida Probate Fraud, Forgery and Corruption; Simon Bernstein Estate Case

Florida Estate and Probate Case, Forgery, and Alleged Murder, blog written upon information, knowledge and belief of Crystal L. Cox, Investigative Blogger.

Florida Probate Court	Florida Estate Case	Alan Rose	7020 Lions Head Lane Boca Raton
Docket Northern Illinois Case	Simon Bernstein Trust Heritage Jackson National District Court		
Shirley Bernstein Estate Docket	Simon Bernstein Estate Docket	7020 Lions Head Lane Boca Raton	Shirley Bernstein
Simon Bernstein	Tescher, Spallina, Ted Bernstein, Proskauer Rose MAJOR Technology Theft Case		Judge David E. French
Robert Spallina	Mark Manceri	Donald Tescher	Tescher and Spallina Law Firm
Petition to Freeze Estate Assets	Estate Fraud Docket	Insurance Proceed Scheme	Donald Tescher
Robert Spallina	Ted and Deborah Bernstein	Life Insurance Concepts Boca	Ted Bernstein Fraud

Tuesday, December 8, 2015

Florida Judge, Judge L. Phillips RULES to not disqualify himself? WOW, is that Lawful? Ethical? What is Judge Phillips up to, I mean its been many years right and Ted Bernstein and his Cronies have run off with the money, forged documents and yet all are NOT in Jail and NOTHING happens in the Case.

Yet Judge JOHN L PHILLIPS wants to continue being the Judge in all these cases? Why? He is not doing anything to move them forward and sure seems to be aiding and abetting criminals. Umm and the OBVIOUS is, it is NOT legal for Judge Philips to rule on his disqualification. A higher Judge has to do that, been there many times. So what is the not so honorable Judge John Philips up to? Hmmm..

Here is Eliot Bernstein's motion to Disqualify Florida Circuit Judge, Click Below to Read <https://drive.google.com/file/d/0Bzn2NurXrSkiTVMyMmlwSFpzS1U/view?usp=sharing>

Here is Florida Judge, Judge John Philips ruling on his own disqualification. Gee YEP he ruled to keep himself as judge of a case that has been deliberately, maliciously, unethically, unconstitutionally and illegally stalled for years. All the while the Bad Guys sell off assets and move on with their life, and the Bad Guy attorneys continue to violate the constitutional rights of other clients in Florida. All while Bad Judges, such as Judge Colin and Judge Philips look the other way to aid and abet them.

Click below for this short QUICK, corrupt, SMACKDOWN Denial <https://drive.google.com/file/d/0Bzn2NurXrSkiT191S2cybUJuVmM/view?usp=sharing>



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the Ted Bernstein Report by Investigative Blogger Crystal L.

written upon information, knowledge and belief of Crystal L. Cox, Investigative Blogger

Docket Northern Illinois Case	Simon Bernstein Trust Heritage Jackson National District Court	Shirley Bernstein Estate Docket	Simon Bernstein Estate D
Shirley Bernstein	Simon Bernstein	Tescher, Spallina, Ted Bernstein, Proskauer Rose MAJOR Technology Theft Case	Judge David E. French Robert S
Mark Manceri	Donald Tescher	Tescher and Spallina Law Firm Mark Manceri	Petition to Freeze Estate Assets Estate Fraud Docket Insurance
Donald Tescher	Robert Spallina	Ted and Deborah Bernstein	Life Insurance Concepts Boca Ted Bernstein Fraud

Saturday, January 11, 2014

Investigative Blogger Crystal Cox THINKS it is time to File a Lien against ALL the Judges involved in the massive fraud on the courts, property theft, forgery, and constitutional rights violating case of the Shirley Bernstein Estate and Simon Bernstein Estate going on now in the Florida Probate Courts and involving Judge Martin Colin, Judge David French, Judge Charles E. Burton, Judge David Crow and possible the Sheriff of Palm County Florida.

Let's take a look at your rights to PUT a lien on a Judge or Sheriff.

Information on filing a lien against a bond of a Judge.

I believe state officers are required by statute or by the head of any state department to secure and give a fidelity bond, a bond that ensures their actions.

It is my understanding that if you feel a Judge is corrupt or is not upholding the law or your constitutional rights, you Can You File A Lien Against His Bond On File In Order To Force Him To Do His JOB.

If a judge wants to play "god" in the courtroom, and totally ignore the rules of law and your constitutional rights. I believe you can file a lien upon his bond in order to force him into complying with the law. As it sure seems the Judge and Sheriff are neglecting their duties and neglecting their court.

If a Judge is "railroading" you, clearly acting outside of the laws of the United States and the State of Florida, then it is my understanding that you certainly can file a lien against this judge for his total ignoring of law and violating your rights of due process and constitutional rights.

It is my understand that a judge and a Sheriff cannot work in their job if they cant get bonded.

So why NOT file your Proof of Corruption AND the UnEthical, UnConstitutional, and UnLawful actions of the Judges in this case as a LIEN against their BOND?

Tips, Information and Laws on How to File a Lien against a Judges Surety Bond in Florida

It is my understanding that when you file a lien against a public servant the lien holder/ins company does an investigation, thus they must see proof as to the validity of the lien. In the Simon Bernstein and Shirley Bernstein Estate we see clear evidence of fraud, forgery and attorneys seem to be conspiring with Judges, or so it looks that way from what I have read.

We see clearly that these attorneys and Ted Bernstein conspired with or are connected to Kimberley Moran of Tescher and Spallina law firm to have it look as if Simon Bernstein signed documents, and had them notarized at Tescher & Spallina Law Firm with Kimberley Moran AFTER HE DIED.

This evidence is clear, it is on court dockets, in hearing transcripts, on Governor complaints and rulings, on sheriff reports and yet Judge Martin Colin and other Judges in this case seem to be protecting estate and probate law firm Tescher & Spallina and Boca Raton Insurance Company Life Insurance Concepts, Ted Bernstein.

Ted Bernstein



Life Insurance Concep

Ted Bernstein, Tescher at

- Florida Estate Forge DOCKET

Donald Tescher on Left

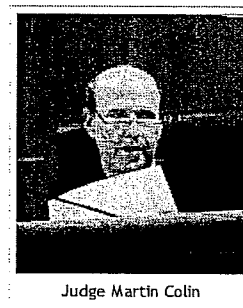


Ted Bernstein, Tescher at

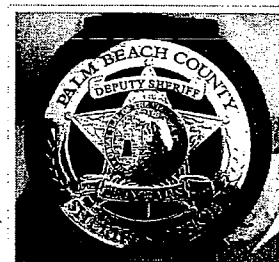
- Florida Estate Forge DOCKET

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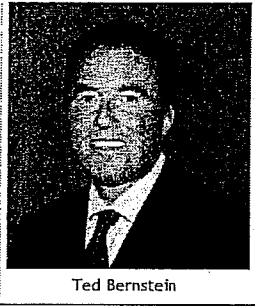


Judge Martin Colin



Palm County Florida Sheriff





Ted Bernstein

This is all connected to the multi-Billion dollar legal action of the iViewit technology case and I myself believe that these judges are favoring what looks to be corrupt lawyers and they may possibly be getting a kick back as there is plenty of money to be had in this case, as we have previously seen from the iViewit case naming all of this same parties and worth 100's of BILLIONS. I believe the Judges involved in the Simon Bernstein and Shirley Bernstein Estate forgery and fraud case have violated title 42 USC code. I also believe they have ALL violated 28 U.S.C. § 455, the Due Process Clause of the Fourteenth Amendment to the Constitution, The Code of Conduct for United States Judges, and have violated human and civil rights of the victims of this case.

A GUIDE TO CIVIL RIGHTS LIABILITY UNDER 42 U.S.C. § 1983: AN OVERVIEW OF SUPREME COURT AND ELEVENTH CIRCUIT PRECEDENT

http://www.constitution.org/brief/forsythe_42-1983.htm

Section 1983 Litigation to help you understand the laws regarding this issue. http://www.fjc.gov/public/pdf.nsf/lookup/Sect1983.pdf/\$file/Sect1983.pdf

If Judge Martin Colin appointed Ted Bernstein executor of the Simon Bernstein state after he knew of clear forgery and fraud on the courts and crimes against the true heirs of the Simon Bernstein, and Shirley Bernstein Estate, is Judge Martin Colin liable for the financial damage and hardship that his rulings outside of law and the constitutional rights of those in his court?

Did Judge Martin Colin require a probate bond in this case where millions are at stake and there is massive fraud, estate assets sold off and stolen?

Do laws in the State of Florida require the executor of an estate to provide a probate bond to the courts? Probate bonds will guarantee that executors of the estate will not alter or damage the estate. Did Judge Martin Collin require a probate bonds in this case?

Did Tescher & Spallina provide a probate bond? This is a rather large estate and assets over a million dollars each have already been SOLD off, by what looks like the fraudulent activity of Ted Bernstein conspiring with Donald Tescher and Rober Spallina of Tescher & Spallina.

Are there any laws or ways to uphold the estate and probate law in Florida when someone dies and their own attorneys and estranged offspring loot their estate?

Also in this there was a condo already sold for over a million that may have undersold for a million, this involved a buyer named Wesley Voorheis, who I believe made a deal of some kind to get the property for at least a million less then it was worth, by way of some shady dealings with Life Insurance Concepts and Ted Bernstein, just how I see it.

Note: I am a REAL ESTATE Forensics EXPERT, I do not claim to know fully Florida Law. However, this property SOLD via Old Republic National Title Insurance Company, and had Title Insurance insuring that it was SOLD by the property own and there sure seems to be some fraudulent actions here in my opinion, here is my report and opinion on the Shirley Bernstein Condo Sale.

https://docs.google.com/document/d/1hjawnPI4EXpNOL8oZ33Pmpirngh3073da5_i0iVlQtw/edit

It appears to me that Gregory Gefen of Signature ALL REGENCY TITLE COMPANY, Signature Title Group knowingly allowed Ted Bernstein to steal a 1.6 million dollar property, just how I see it.

So Is Wesley Voorheis a Proxy for Ted Bernstein? http://tedbernsteinreport.blogspot.com/2013/08/do-we-have-banking-and-mortgage-fraud.html

Did Wesley Voorheis of move this asset out of the country for Tescher and Spallina, Ted Bernstein or ?

G. Wesley Veorheis seems to be the same Wesley Veorheis Chairman of Hubbay Minerals Inc., Director at Granite Real Estate Inc., Managing Director of VC & Co. Incorporated and a Partner of Voorheis & Co. LLP, which act as strategic advisors to institutional and other shareholders. Prior to the establishment of Voorheis & Co. LLP in 1995, Wesley Voorheis was a partner in a major Toronto law firm. Wesley Public Company Directorships (Past 5 years): MI Developments Inc. (June 2011 to present), Coventree Inc. (2008 to 2012), easyhome Ltd. (2010 to 2011), Hollinger Inc. (2006 to 2008), Sun Times Media Group, Inc. (2007 to 2008).

http://www.forbes.com/profile/g-voorheis/

http://www.concernedeconomical.com/about_VC_Co.html

How is G. Wesley Voorheis connected to Tescher and Spallina, Ted Bernstein, Greg Gefen or any other players of the Shirley Bernstein, Simon Bernstein fraud and forgery estate and probate case out of Palm County Florida?

http://tedbernsteinreport.blogspot.com/2014/01/investigative-blogger-crystal-cox.html



Judge David French



Ted Bernstein, Bernstein Family Foundation

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I fully believe, in my opinion that the above sale involved mortgage fraud, title insurance fraud and banking fraud at least. It also seems that the Bank of Montreal is somehow connected to all this, in what sure seems to me to be white collar crime.

How in the world did what seems to be a Canadian Resident, Wesley Voorheis, get a single Family Fannie Mae loan on a condo of this value in FLORIDA? Is this a primary resident? Is this Fannie Mae FRAUD? Surely Fannie Mae, Wesley Voorheis, BMO Harris Bank N.A., and Steve Paraggua know about the fraud and forgery in connection with all of this.

Here is the Mortgage Document I am commenting on;
<https://docs.google.com/file/d/0Bzn2NurXrSkiQjlmSmRoXJBdHc/edit>

I personally believe that Ted Bernstein of Life Insurance Concepts did some deal to hide this asset from the rightful heirs, either with Wesley Voorhei knowing or not knowingly conspiring, just my opinion.

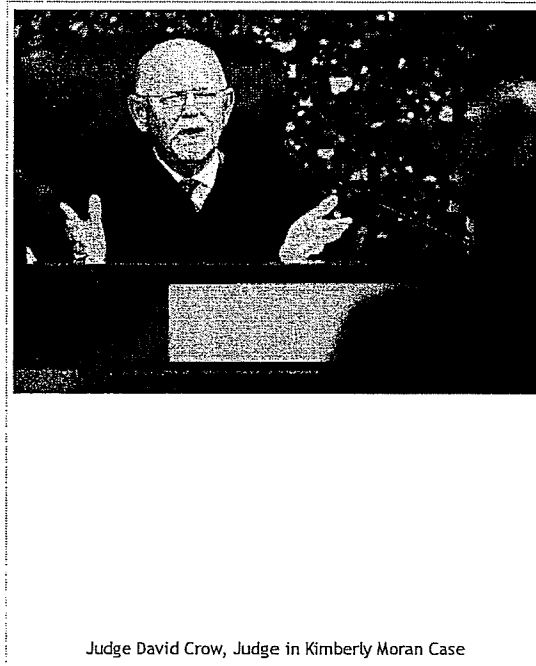


A bit more on this Condo Sale

<http://tedbernsteinreport.blogspot.com/2013/08/ted-bernstein-life-insurance-concepts.html>

Note: Look at this Insurance company also questioning issues of these Estates, yet the Judges involved are "playing dumb". There is so many layers to all this, meanwhile the victims of all this, in this moment are children and are the heirs of the estate, in which Tescher and Spallina seem to have VIOLATED the wishes of their now deceased clients.

the Heritage Union Life Insurance case
<https://docs.google.com/file/d/0Bzn2NurXrSkiWnBNVUtJUEFJRms/edit>



So can the True and Correct lawful heirs of the Simon Bernstein, and Shirley Bernstein Estate file a lien against the Judges and Sheriff involved, as there are some pretty hefty price tags on these assets adn I believe these judges now have some liability.

Folks, pay attention to this case as many of your parents, grandparents move to Florida, many of you in Florida over a lifetime; you work your whole life, pay your attorneys to carry out your wishes and the commit forgery having documents signed by you AFTER YOU DIE, and Florida Judges such as Judge Charles E. Burton, Judge David Crow and estate and probate Judges such as Judge Martin Colin and Judge David French, as well as the Sheriff of Palm County Florida should be liable for any action that violated the constitutional rights of the victims of this case or did not uphold the oath of their office.

It looks to me, in my Opinion, as if these Judges and the Sheriff violated 28 U.S.C. § 455, the Due Process Clause of the Fourteenth Amendment to the Constitution, The Code of Conduct for United States Judges, and 28 U.S.C. 455.

And I think that Victims of these Judges should file a Lien against their Bond.

No comments:

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Shirley Bernstein	Simon Bernstein Tescher, Spallina, Ted Bernstein, Proskauer Rose MAJOR Technology Theft Case	Judge David E. French	Robert S
Mark Manceri	Donald Tescher Tescher and Spallina Law Firm Mark Manceri	Petition to Freeze Estate Assets	Estate Fraud Docket Insurance
Donald Tescher	Robert Spallina Ted and Deborah Bernstein	Life Insurance Concepts Boca	Ted Bernstein Fraud

Friday, February 21, 2014

Judge Martin Colin has a history of protecting the bad guys protecting attorneys that he favors and having conflicts of interest. Judge Martin Colin should have walked away from the Simon Bernstein Estate Case and the Shirley Bernstein Estate Case long Ago.

"The self-proclaimed adopted son of the late mob boss John Gotti, Kasman didn't like the way the Gambino crime family treated him after the Dapper Don died in prison in 2002. So the 51-year-old Boca Raton man strapped on an FBI wire and spilled information that in February helped the feds build criminal cases against 62 reputed New York mobsters.

Now Kasman has his sights set on a much less notorious target: Palm Beach County Family Court Judge Martin Colin.

Using court decisions that grew out of a long-running legal battle among Colin and his wife and her ex-husband, Kasman is on a tear to have the judge thrown out of office and get longtime Democratic power broker and attorney Henry Handler disbarred...

When the Judicial Qualifications Commission, which disciplines judges, meets in mid-July, it will consider claims from Kasman and at least two other men that the judge dished out favors to attorneys who represented his wife in her divorce. Similar allegations have been raised in a strange and tortuous legal battle that went all the way to the Florida Supreme Court. The Florida Department of Law Enforcement has investigated as well.

Colin and attorneys embroiled in the quagmire dismiss allegations that a conspiracy was afoot to tip the scales of justice against Kasman or anyone else."

Source and Full Article

<http://jaablog.jaablaw.com/2008/07/01/things-getting-rougher-for-judge-colin.aspx>

"During the protracted divorce that chewed through at least five judges, Lewis Kasman accused one of them - Palm Beach County Circuit Judge Martin Colin - of failing to reveal his ties to the firm. Weiss Handler briefly represented Colin's wife in her divorce from a previous husband. While Colin had been ordered by the Fourth District Court of Appeal to tell litigants who came before him about his wife's connection to the firm, he didn't tell Lewis Kasman."

Source and Full Document

<http://joebroononthemob.wordpress.com/tag/palm-beach-county-circuit-judge-martin-colin/>

Why is Judge Martin Colin of Boca Raton Florida still presiding over the Simon Bernstein Estate Case and the Shirley Bernstein Estate Case?

Judge Martin Colin knows of fraud, forgery, possible murder and claimed he "should" read the attorneys involved their Miranda Rights but still no one has a criminal investigation and on top of that Judge Martin Colin is letting these attorneys still have a say in these estates knowing full well that have committed crimes.

Judge Martin Colin knows that officers of his court, attorneys, and law firms have committed crimes yet he lets them have a say in who gets to be the personal representative in these estates. And seems to be planning to use some "Hat Trick Method", a law it seems he made up, in order to pick this powerful position over these estate matters.

Judge Martin Colin knows full well that these guys have acted illegally, so why would he still give them power in this case.

Judge Martin Colin knows that John J. Pankauski has massive conflicts of interest yet lets this lawyer have a say in these matters, knowing full well that John J. Pankauski is violating attorney client privilege, has misled Eliot Bernstein to get personal information, strategy in the case, and proprietary information in this case to then use against Eliot Bernstein acting as counsel

Ted Bernstein



Life Insurance Concep

Ted Bernstein, Tescher at

- Florida Estate Forg DOCKET

Donald Tescher on Left



Ted Bernstein, Tescher at

- Florida Estate Forg DOCKET

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6/4/2014 the Ted Bernstein Report by Investigative Blogger Crystal L. Cox Judge Martin Colin has a history of protecting the bad guys protecting attorneys that he fa... to the opposition.

Judge Martin Colin knows that John J. Pankauski SHOULD not even be allowed in the court room on this, so why is Judge Martin Colin letting all this still go on?

Why is Judge Martin Colin letting a clearly conflicted John J. Pankauski of Pankauski Law Firm get to pick a possible PR in this matter? Is this Legal? I say it is not LEGAL, as far as I see it and certainly there is no law that conflicts checks, due diligence and judicial duty in estate cases is about putting NAMES IN A HAT. This is ludicrous at best.

All of these crimes CLEARLY happened in Judge Martin Colin's court, yet he does not report the crimes, seems to do nothing to bring justice to these rogue and lawless lawyers and now Judge Martin Colin is a material witness to all this, and still does nothing and refuses to remove himself from these proceedings, WHY?

Who PROTECTS Judge Martin Colin to act completely outside of the Law?

The above articles seems to be saying that Judge Martin Colin is connected to the mob, abuses his judicial power to favor attorneys he likes, and blatantly ignores conflicts of interest.

Its the Law that Judge Martin Colin must reclude himself if he has a conflict, yet Judge Martin Colin refuses to remove himself and also rules on this matter himself, which is not lawful I have seen this in many courts, and the superior Judge rules on this motion, NOT the Judge who the litigant is asking to be removed. This is not LAWFUL.

HERE is a Bit on Judicial Laws and Judicial Disqualification

"According to, Judicial Disqualification: An Analysis of Federal Law, Second Edition, Charles Gardner Geyh, Associate Dean of Research, John F. Kimberling Professor of Law, Indiana University Maurer School of Law, a Federal Judicial Center Publication;

"For centuries, impartiality has been a defining feature of the Anglo-American judge's role in the administration of justice.

The reason is clear: in a constitutional order grounded in the rule of law, it is imperative that judges make decisions according to law, unclouded by personal bias or conflicts of interest.

Accordingly, upon ascending the bench, every federal judge takes an oath to "faithfully and impartially discharge and perform all the duties" of judicial office; and the Due Process Clause of the Fourteenth Amendment to the United States Constitution has been construed to guarantee litigants the right to a "neutral and detached," or impartial, judge.

Moreover, in a democratic republic in which the legitimacy of government depends on the consent and approval of the governed, public confidence in the administration of justice is indispensable.

It is not enough that judges be impartial; the public must perceive them to be so.

The Code of Conduct for United States Judges therefore admonishes judges to "act at all times in a manner that promotes public confidence in the integrity and impartiality of the judiciary" and to "avoid impropriety and the appearance of impropriety in all activities"

"When the impartiality of a judge is in doubt, the appropriate remedy is to disqualify that judge from hearing further proceedings in the matter.

In Caperton v. A.T. Massey Coal Co., a case concerning disqualification of a state supreme court justice, the U.S. Supreme Court reaffirmed that litigants have a due process right to an impartial judge, and that under circumstances in which judicial bias was probable, due process required disqualification. The Court noted, however, that disqualification rules may be and often are more rigorous than the Due Process Clause requires.

So it is with disqualification requirements for federal judges, which require disqualification when a judge's impartiality "might reasonably be questioned."

Disqualification Under 28 U.S.C. § 455

A. Overview

1. The text of § 455 The primary source of disqualification law in the federal judicial system is 28 U.S.C. § 455. It provides, in its entirety, as follows:

§ 455. Disqualification of justice, judge or magistrate judge

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- ▶ 2013 (31)

(a) Any justice, judge, or magistrate judge of the United States shall disqualify himself in any proceeding in which his impartiality might reasonably be questioned.

(b) He shall also disqualify himself in the following circumstances:

(1) Where he has a personal bias or prejudice concerning a party, or personal knowledge of disputed evidentiary facts concerning the proceeding;

(2) Where in private practice he served as lawyer in the matter in controversy, or a lawyer with whom he previously practiced law served during such association as a lawyer concerning the matter, or the judge or such lawyer has been a material witness concerning it;

(3) Where he has served in governmental employment and in such capacity participated as counsel, adviser or material witness concerning the proceeding or expressed an opinion concerning the merits of the particular case in controversy;

(4) He knows that he, individually or as a fiduciary, or his spouse or minor child residing in his household, has a financial interest in the subject matter in controversy or in a party to the proceeding, or any other interest that could be substantially affected by the outcome of the proceeding;

(5) He or his spouse, or a person within the third degree of relationship to either of them, or the spouse of such a person:

(i) Is a party to the proceeding, or an officer, director, or trustee of a party;

(ii) Is acting as a lawyer in the proceeding;

(iii) Is known by the judge to have an interest that could be substantially affected by the outcome of the proceeding;

(iv) Is to the judge's knowledge likely to be a material witness in the proceeding.

(c) A judge should inform himself about his personal and fiduciary financial interests, and make a reasonable effort to inform himself about the personal financial interests of his spouse and minor children residing in his household. 10 Judicial Disqualification: An Analysis of Federal Law

(d) For the purposes of this section the following words or phrases shall have the meaning indicated:

(1) "proceeding" includes pretrial, trial, appellate review, or other stages of litigation;

(2) the degree of relationship is calculated according to the civil law system;

(3) "fiduciary" includes such relationships as executor, administrator, trustee, and guardian;

(4) "financial interest" means ownership of a legal or equitable interest, however small, or a relationship as director, adviser, or other active participant in the affairs of a party, except that:

(i) Ownership in a mutual or common investment fund that holds securities is not a "financial interest" in such securities unless the judge participates in the management of the fund;

(ii) An office in an educational, religious, charitable, fraternal, or civic organization is not a "financial interest" in securities held by the organization;

(iii) The proprietary interest of a policyholder in a mutual insurance company, of a depositor in a mutual savings association, or a similar proprietary interest, is a "financial interest" in the organization only if the outcome of the proceeding could substantially affect the value of the interest;

(iv) Ownership of government securities is a "financial interest" in the issuer only if the outcome of the proceeding could substantially affect the value of the

6/4/2014 the Ted Bernstein Report by Investigative Blogger Crystal L. Cox Judge Martin Colin has a history of protecting the bad guys protecting attorneys that he fa... securities.

(e) No justice, judge, or magistrate judge shall accept from the parties to the proceeding a waiver of any ground for disqualification enumerated in subsection (b). Where the ground for disqualification arises only under subsection (a), waiver may be accepted provided it is preceded by a full disclosure on the record of the basis for disqualification.

(f) Notwithstanding the preceding provisions of this section, if any justice, judge, magistrate judge, or bankruptcy judge to whom a matter has been assigned would be disqualified, after substantial judicial time has been devoted to the matter, because of the appearance or discovery, after the matter was assigned to him or her, that he or she individually or as a fiduciary, or his or her spouse or minor child residing in his or her household, has a financial interest in a party (other than an interest that could be substantially affected by the outcome), disqualification is not required if the justice, judge, magistrate judge, bankruptcy judge, spouse or minor child, as the case may be, divests himself or herself of the interest that provides the grounds for the disqualification.

Sections (a) and (b) occupy the core of § 455 and should be read together. The two sections divide the universe of disqualification into two halves: the general, catch-all category of § 455(a), which requires disqualification from any proceeding in which a judge's "impartiality might reasonably be questioned"; and a list of more specific grounds for disqualification in § (b).

The remainder of § 455 is directed at implementing §§ (a) and (b):

- Section (c) admonishes judges to keep abreast of their financial interests to ensure that they know when to disqualify themselves under § 455(b)(4).
- Section (d) defines terms employed in §§ (a) and (b).
- Section (e) provides parties with a limited opportunity to waive disqualification otherwise required by the catch-all § (a)—typically where the judge is poised to disqualify himself or herself sua sponte—but does not permit the parties to waive disqualification required by the more specific provisions of § (b).
- Section (f) provides a limited opportunity for judges to avoid the need to disqualify themselves for financial interest under § (b)(4) through divestiture.

2. Interpretive ground rules

a. Interpreting § 455(a) in relation to § 455(b)

As embodied in § 455, §§ (a) and (b) are conceptually separate.

Section (a) compels disqualification for the appearance of partiality, while § (b) "also" compels disqualification for bias, financial interest, and other specific grounds. In contrast, the Model Code of Judicial Conduct—after which § 455 was originally modeled—and the current Code of Conduct for United States Judges unify the two halves conceptually by characterizing the specific grounds for disqualification as a nonexclusive subset of circumstances in which a judge's impartiality might reasonably be questioned.

For the most part, this may be a distinction without a difference—disqualification is required if the specific or general provisions are triggered, regardless of whether the specific provisions are characterized as a subset of or separate from the general.

On the other hand, by conceptualizing them separately, § 455 can require disqualification under specific circumstances enumerated in § (b) that might not reasonably be characterized as calling a judge's impartiality into question under § (a). For example, § (b)(4) requires judges to disqualify themselves for financial interest "however small," which necessarily includes an interest so small that it could not reasonably call the judge's impartiality into question.

Any circumstance in which a judge's impartiality might reasonably be questioned under § (a) requires disqualification, even if the circumstance is not enumerated in § 455(b).

At the same time, when § 455(b) identifies a particular situation requiring disqualification, it will tend to control any § 455

(a) analysis with respect to that specific situation. For example, §455(b)(5) requires disqualification when one of the parties is within the third degree of relationship to the judge. Consequently, a fourth-degree relationship to a party does not by itself create an appearance of partiality requiring disqualification under § 455(a)—although disqualification under § 455(a) might still be appropriate if, for example, the judge's personal relationship with the fourth-degree relative was so close as to call the judge's impartiality into question. As the Supreme Court explained, "[s]ection 455(b)(5), which addresses the matter of relationship specifically, ends the disability at the thirddegree of relationship, and that should obviously govern for purposes of § 455(a) as well."

The 1974 amendments to § 455, however, shifted the balance by requiring disqualification whenever a judge's impartiality "might" reasonably be questioned, and the legislative history made clear that in revising the statute, Congress sought to end the "duty to sit".

6/4/2014 the Ted Bernstein Report by Investigative Blogger Crystal L. Cox Judge Martin Colin has a history of protecting the bad guys protecting attorneys that he fa...

“When Congress amended § 455(a), it made clear that judges should apply an objective standard in determining whether to disqualify. A judge contemplating disqualification under § 455(a), then, should not ask whether he or she believes he or she is capable of impartially presiding over the case.

Rather, the question is whether a judge’s impartiality might be questioned from the perspective of a reasonable person, and every circuit has adopted some version of the “reasonable person” standard to answer this question.

In the context of denying a motion for his disqualification from Cheney v. United States District Court for the District of Columbia, Justice Scalia noted that this reasonable person is aware “of all the surrounding facts and circumstances.” The Second Circuit has characterized the reasonable person as an “objective, disinterested observer” who is privy to full knowledge of the surrounding circumstances.”

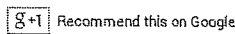
...
“The question has sometimes arisen as to whether the standard for disqualification differs in a bench trial where the judge’s role is even more pivotal than in a jury trial. In Alexander v. Primerica Holdings, Inc., the court of appeals said: “We cannot overlook the fact that this is a non-jury case, and that [the judge] will be deciding each and every substantive issue at trial When the judge is the actual trier of fact, the need to preserve the appearance of impartiality is especially pronounced”

Pursuant to 28 U.S.C. 455, and upon examination of the record, I, Personally believe that Judge Martin Colin is NOT impartial and is violating the constitutional and lawful rights of the victims in this case.”

<http://www.law.cornell.edu/uscode/text/28/455>

Judge Martin Colin SHOULD NOT, as a matter of law and the duties of his Judicial Office, be RULING on a Motion to NOT exclude HIMSELF. This is unethical, unconstitutional and sure seems to me to be illegal.

Posted by Crystal L. Cox at 8:45 AM



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the Ted Bernstein Report by Investigative Blogger Crystal L.

written upon information, knowledge and belief of Crystal L. Cox, Investigative Blogger

Docket Northern Illinois Case	Simon Bernstein Trust Heritage Jackson National District Court	Shirley Bernstein Estate Docket	Simon Bernstein Estate B
Shirley Bernstein	Simon Bernstein	Tescher, Spallina, Ted Bernstein, Proskauer Rose MAJOR Technology Theft Case	Judge David E. French
Mark Manceri	Donald Tescher	Tescher and Spallina Law Firm	Mark Manceri
Donald Tescher	Robert Spallina	Ted and Deborah Bernstein	Life Insurance Concepts Boca
			Ted Bernstein Fraud
			Petition to Freeze Estate Assets
			Estate Fraud Docket
			Insurance

Wednesday, May 14, 2014

John Pankauski ~ John J. Pankauski - Pankauski Law Firm PLLC

WOW are you KIDDING. Undo Influence Expert? Really?

Invalid or Void.. ? Hmm.. Why is Johnny Boy Protecting Ted Bernstein to commit Estate Fraud? or is He.. Hmmm.. Undo Influence is SERIOUSLY Abundant in the Simon and Shirley Bernstein Estate's..

Read this WHOLE Blog and WOW, then will you hire this GUY?

Undue Influence | Pankauski Law Firm | Undue L...



0:00 / 1:17

Posted by Crystal L. Cox at 11:11 PM

+1 Recommend this on Google

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Ted Bernstein



Life Insurance Concep

Ted Bernstein, Tescher at

- Florida Estate Forge DOCKET

Donald Tescher on Left



Ted Bernstein, Tescher at

- Florida Estate Forge DOCKET

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- Will Judge Amy J Right Thing an
- Does Jackson Na ALLOW People
- Why can't Ted Br that his paren
- The Lasalle Natic Robert Spallin:



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Create E

Ted Bernstein Insurance Scam

Written Upon Knowledge and Belief of Crystal L. Cox

Monday, March 30, 2015

7020 Lions Head Lane Boca Raton. Real Estate Buyers have a Legal Right to have FULL DISCLOSURE. Buyer Be AWARE.

Why Does Alan Rose Want to HIDE the TRUTH from the Buyers of this Property?

Why Does Judge Martin Colin Think it is ok to HIDE the Truth From Real Estate Consumers?

It is NOT ok for a Real Estate Broker, a Seller or a JUDGE to HIDE Known Facts about a Real Estate Transaction from a Real Estate Consumer. PERIOD.

Assets seem to have been stolen long ago. The property has been left to be run down. The courts simply do nothing to protect this asset and now a buyer is to get in the middle of a mess? I have been a real estate broker, owner of my own company for 15 year and a Real Estate Advocate for Real Estate Buyers. I would not go anywhere near this property until the estate is REALLY Legally Settled. Check out the transcript below as attorney Alan Rose whines and cries to PREVENT disclosure to the Real Estate Consumer.

Judge Martin Colin has no LEGAL Reason, as a matter of LAW to withhold to a real estate buyer that the property is in litigation, this is a violation of the BUYERS Rights, and again the LAW PERIOD.

John Poletto, a real estate broker in Florida seems to have no issue with hiding know facts from buyers. The law is that latent defects, lawsuits, and anything that can harm a buye MUST be disclosed so why is the Florida Courts allowing this cover up that will cause BUYERS massive headache, stress and legal liability.

<https://drive.google.com/file/d/0Bzn2NurXrSkiVUFCVZKb1YtWnM/view?usp=sharing>

I am a Broker, a Real Estate Advocate, a Real Estate Whistleblower and I have owned my own real estate company for over 15 years. Check out the Transcript below as you see a JUDGE, and several attorneys seem to conspire to aid and abet a real estate sale and NOT disclose to BUYERS that they may spend years in litigation down the road after they have fixed up a place that Ted Bernstein let run down as a BAD PR for the property.

It is NOT ok for a JUDGE to want to hide this litigation from BUYERS. It is not lawful nor morally ethically for Judge Martin Colin to NOT want BUYERS to know when this affects their VERY life, the life of their children and their quality of life in EVERY WAY.

SHAME SHAME on this JUDGE.

Really, Look Below, this document clearly shows this JUDGE ranting about YOU, the Real Estate Consumer NOT having a right to know what the Real Estate Seller and the Real Estate Broker KNOW and by law have to disclose. WOW.

Thursday, March 26, 2015
 South County Courthouse
 Courtroom 8
 Delray Beach, Florida 33444
 1:03 p.m. - 2:10 p.m.

Stenographically Reported By:
 April Y. Segui, RPR, FPR
 Registered Professional Reporter
 Florida Professional Reporter

WWW.USLEGALSUPPORT.COM
561-835-0220

2

1 APPEARANCES:

2 On behalf of the Plaintiff:

3



E-mail: Arose@mrachek-law.com 6
ALAN B. ROSE, ESQUIRE

Other Research Links


<http://www.iviewit.tv/Simon%20and%20Shirley%20Estate/20121002%20PETITION%20FOR%20ADMINISTRATION%20SIMON.pdf>

<http://tedbernsteinreport.blogspot.com/>

Read the Entire Blog, Go to the bottom of the page and click older posts OR use search in upper left to search the Blog for what you want.

If you need information to protect you as a Real Estate Buyer of 7020 Lions Head Lane Boca Raton, email me at SavvyBroker@yahoo.com

Posted by Crystal L. Cox at 5:20 PM No comments:

 Recommend this on Google

Saturday, January 4, 2014

Ted Bernstein of Life Insurance Concepts, Tescher and Spallina Law Firm, Robert Spallina, Donald Tescher and Florida Notary Kimberly Moran Florida Insurance Scam and Estate Fraud, Forgery Case, overseeing Judge is Judge Martin H. Colin.

"Kimberly Moran Florida Notary Public, Tescher and Spallina Law Firm involved in Forgery and Estate Fraud

Kimberly Moran Florida Notary Public, Tescher and Spallina Law Firm (Robert Spallina and Donald Tescher), Ted Bernstein of Life Insurance Concepts and the Bernstein Family Foundation are involved in Estate Fraud, Insurance Schemes, Fraud on the Courts, Forgery, Possible Murder and other illegal and unethical behavior. The Judge in the Case is Judge Martin H. Colin.

Kimberly Moran Florida Notary Public of Tescher and Spallina Law Firm, Robert Spallina, Donald Tescher, Ted Bernstein of Life Insurance Concepts sure seems to have a lot of explaining to do, check out the forgery, fraud on the courts, flat out lies and for some reason none of these folks are in jail. The Judge in the Case is Judge Martin H. Colin, we will be watching to see if he follows through with those Miranda Rights and to see if Kimberly Moran, who is already confirmed to have committed forgery, sees any jail time and how this Notary at a law firm got such a high priced criminal attorney?

Take a look at the details of this Florida Estate Fraud, Forgery, Real Estate Fraud, Child Endangerment, Fraud on the Courts and Possible Murder Case is playing out.

Kimberly Moran, Florida Notary Public involved in Fraud, Forgery, Estate Fraud ..
Motion to Freeze Assets in Shirley Bernstein Estate

<https://docs.google.com/file/d/0Bzn2NurXrSkia3dyOGs4MnowODg/edit>



ARREST has been made in the Estate of Shirley for FRAUDULENT NOTARIZATIONS and admitted FORGERIES of five documents in our names and one in our father's name, which was FORGED POST MORTEM for him by Donald and Roberts Legal Assistant and Notary Public, Kimberly Moran.

<http://tedbernsteinreport.blogspot.com/2013/12/response-to-ted-and-donald-letters-re.html>

Kimberly Moran State of Florida Notary Suspension

http://www.flgov.com/wp-content/uploads/orders/2013/13_291_moran.pdf

Court Petition Naming Kimberly Moran, Florida Notary Public <http://www.docstoc.com/docs/160162877/Ted-Bernstein-Petition>

RESPONSE TO TED and DONALD LETTERS RE EMERGENCY DISTRIBUTIONS FOR THREE MINOR CHILDREN AND MORE

<http://tedbernsteinreport.blogspot.com/2013/12/response-to-ted-and-donald-letters-re.html>

Additional Respondents Added

<https://docs.google.com/file/d/0Bzn2NurXrSkicnFEtI5Zktlc00/edit>

More information on this Estate Fraud, Forgery, Fraud on the Courts Case

<http://tedbernsteinreport.blogspot.com/>

<http://tedbernsteinreport.blogspot.com/2013/12/does-ted-bernstein-not-understand-truth.html>

Insurance Schemes and Fraud on the Court, Ted Bernstein

http://www.docstoc.com/docs/document-preview.aspx?doc_id=165105099&key=undefined&pass=undefined

"NOTICE OF MOTION TO RE-OPEN BASED ON FRAUD ON THE COURT"

"That Case No. 502012CA013933XXXX, Stansbury v. Ted Bernstein et al. is a lawsuit with a claim against the estate, where RICO Defendant Greenberg Traurig acts as counsel to Plaintiff's brother Theodore. However, after Plaintiff points out to his brother and Spallina that Greenberg Traurig is conflicted with assets of the estates, including but not limited to the approximate 30% interests held in the Iviewit Companies, the Iviewit Intellectual Properties and this RICO lawsuit, Greenberg Traurig suddenly withdraws as counsel in the matter, months after the lawsuit was instituted"

Source and Full Document

<http://www.iviewit.tv/CompanyDocs/United%20States%20District%20Court%20Southern%20District%20NY/20130512%20FINAL%20Motion%20to%20Rehear%20and%20Reopen%20Obstruction%20of%20Justice165555%20WITH%20EXHIBITS.pdf>

Hearing Transcript where Judge Martin H. Colin clearly knows of fraud on the courts, and has yet to actually follow through with the threatened reading of the Miranda rights.

<https://docs.google.com/file/d/0Bzn2NurXrSkia3NzaDd1NG45aUk/edit>

Lawsuit filed against Ted Bernstein

<https://docs.google.com/file/d/0Bzn2NurXrSkiWnBNVUtJUEFJRms/edit>

<http://tedbernsteinreport.blogspot.com/2013/08/ted-bernstein-life-insurance-concepts.html> "

Source of Robert Spallina and Donald Tescher, Tescher and Spallina Law Firm, Ted Bernstein of Life Insurance Concepts, Greg Geffen Attorney Signature Title, Florida Notary Kimbe Moran and Judge Martin H. Colin post.

<http://ireport.cnn.com/docs/DOC-1072355>



Donald Tescher on the Far Right

Check out the Documents in this Florida Estate Case. The overseeing judge is Judge Martin H. Colin who "almost" read the attorneys their Miranda Rights. We have forgery, fraud, dead people signing documents, possible murder, sibling rivalry and all the makings of a Law and Order mini series. Read these document, and decide for yourself who is committir fraud, who is lying, who is telling the truth, who is abiding the law and take a deep look as to whether you want to buy insurance from Ted Bernstein of Life Insurance Concepts, or your Estate "Handled" by what sure looks to me to be crooks who will do as they please after you die, regardless of your wishes.



Donald Tescher in the Middle

More Robert Spallina and Donald Tescher, Tescher and Spallina Law Firm, Ted Bernstein of Life Insurance Concepts, Greg Geffen Attorney Signature Title, and Florida Notary Kimbe Moran seem to be involved in a massive Florida Insurance Scam and Estate Fraud Case, overseeing judge is Judge Martin H. Colin. research links

<http://tedbernsteinreport.blogspot.com/2013/12/response-to-ted-and-donald-letters-re.html>

Petition to Freeze Bernstein Assets

<https://drive.google.com/file/d/0Bzn2NurXrSkiTzBGbkdSTXI4MEU/edit?usp=sharing>

Motion to Remove Personal Representative

<https://drive.google.com/file/d/0Bzn2NurXrSkiNFdEOWo3ZnhHMEU/edit?usp=sharing>

Response to Florida Governor in Kimberly Moran Notary Fraud, Forgery Case

<https://drive.google.com/file/d/0Bzn2NurXrSkiOVFPR0I0YlUQUFU/edit?usp=sharing>

Forgery, Fraud on the Courts, Sanctions

<https://drive.google.com/file/d/0Bzn2NurXrSkiRDZGYjVlVnVoQm8/edit?usp=sharing>

Kimberly Moran Notary Fraud, Forgery Case. Kimberly Moran of Tescher and Spallina Law Firm response on Notary Fraud whereby she forged the signature of a deceased man to enr her bosses Robert Spallina and Donald Tescher and DENY the true, moral and legal wishes of those whose Estate Robert Spallina and Donald Tescher were handling the affairs and as of.

<https://drive.google.com/file/d/0Bzn2NurXrSkiTmd6Q2VnRVpDdWM/edit?usp=sharing>

Notary Public Comparison of Signatures and Dates, Evidence in Kimberly Moran Notary of Tescher and Spallina Law Firm Fraud, Forgery Case

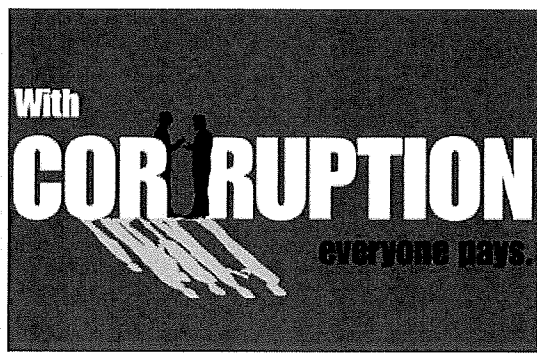
<https://drive.google.com/file/d/0Bzn2NurXrSkiU2FsT0hfVEhocWM/edit?usp=sharing>

TESCHER & SPALLINA, P.A., (AND ALL PARTNERS, ASSOCIATES AND OF COUNSEL); ROBERT L. SPALLINA, (BOTH PERSONALLY & PROFESSIONALLY); DONALD R. TESCHI (BOTH PERSONALLY & PROFESSIONALLY); THEODORE STUART BERNSTEIN (AS ALLEGED PERSONAL REPRESENTATIVE, TRUSTEE, SUCCESSOR TRUSTEE) (BOTH PERSONAL & PROFESSIONALLY); Emergency Hearing Judge Martin Colin Court.

<https://drive.google.com/file/d/0Bzn2NurXrSki3TZWNeczNxaE0/edit?usp=sharing>

Jackson Response to Bernstein Trust Requests

<https://drive.google.com/file/d/0Bzn2NurXrSkibWlpdmNoQ21YcmM/edit?usp=sharing>

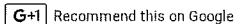


In March of 2012 Donald Tescher was awarded by the " MITZVAH SOCIETY" for allegedly being a "CARING ESTATE PLANNING PROFESSIONALS".

Yet it is clear from the court documents above, that Donald Tescher and TESCHER & SPALLINA, P.A will do as they please after you die, regardless of what your TRUE wishes are and regardless of how much you pay him, or to what lengths, efforts and legal means you go to prepare your ESTATE to be handled per your wishes.

And it sure seems that the JUDGES in Florida will assist Tescher and Spallina, even if they are involved in clear fraud, forgery and acting outside of the law and the wishes their clients estate plans.

Posted by Crystal L. Cox at 7:46 PM No comments:



Friday, September 27, 2013

For More Information On Ted Bernstein Being Sued, and More Court Filings Against Ted Bernstein..

Check out the Ted Bernstein Report by Investigative Blogger Crystal L. Cox

<http://tedbernsteinreport.blogspot.com/>

Posted by Crystal L. Cox at 4:27 PM No comments:



Tuesday, July 30, 2013

Sheri Goldman; Investigative Blogger Crystal Cox Alleges that Ted Bernstein, the man in the news video w the person financing Sheri Goldman to be a building where no other medical professionals were.

Investigative Blogger Crystal Cox Alleges that Ted Bernstein is behind the Botox scheme, used her services and KNEW full well that she was not a nurse. Investigative Blogger Cryst: Cox Alleges that Ted Bernstein this is in connection with other insurance and high finance scams in which Ted Bernstein of Boca Raton Florida may be involved int.

"Boca woman arrested after police say she offered botox, told people she was a nurse

"BOCA RATON, Fla. - The Florida Department of Health's Investigative Services Unit- West Palm Beach, announced that their joint investigation with the City of Boca Raton Police Department and the Florida Department of Corrections has led to the arrest of Sheri Goldman for the unlicensed practice of a health care profession, which is a third degree felony punishable by up to five years in jail.

Goldman was also arrested for violation of probation based on a previous arrest for unlicensed activity in Palm Beach County.

The joint operation was conducted after the City of Boca Raton Police Department received an anonymous complaint that Sheri Goldman was offering Botox injections and claiming be a nurse.

Authorities say Goldman is not licensed to perform any health care profession within the state of Florida.

After a joint undercover operation with the listed agencies at Goldman's place of business, Beauty & Balance, she claimed to be an "OR nurse." In addition, a search of the website www.groupon.com revealed she was posing as a "surgical nurse" according to investigators.

DOH has several resources to combat unlicensed activity: Consumers are encouraged to use DOH's Web site www.flhealthsource.com where they can view the license information o their health care practitioner.

Source of Post and Full Article http://www.wptv.com/dpp/news/region_s_palm_beach_county/boca_raton/boca-woman-arrested-after-police-say-she-offered-botox-and-told-people-she-was-a-nurse#ixzz2aZYFulYt

Research More Regarding the Unethical, possibly ILLEGAL actions of Boca Raton Florida's Life Insurance Concepts owned by Ted Bernstein.

MOTION TO REMOVE PERSONAL REPRESENTATIVES

<https://docs.google.com/file/d/0Bzn2NurXrSkiT0tBZGhKemNzc1E/edit>

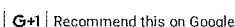
"NOTICE OF MOTION TO RE-OPEN BASED ON FRAUD ON THE COURT"

"That Case No. 502012CA013933XXXX, Stansbury v. Ted Bernstein et al. is a lawsuit with a claim against the estate, where RICO Defendant Greenberg Traurig acts as counsel to Plaintiff's brother Theodore. However, after Plaintiff points out to his brother and Spallina that Greenberg Traurig is conflicted with assets of the estates, including but not limited to the approximate 30% interests held in the Iviewit Companies, the Iviewit Intellectual Properties and this RICO lawsuit, Greenberg Traurig suddenly withdraws as counsel in the matter, months after the lawsuit was instituted"

Source and Full Document

<http://www.iviewit.tv/CompanyDocs/United%20States%20District%20Court%20Southern%20District%20NY/20130512%20FINAL%20Motion%20to%20Rehear%20and%20Reopen%20Obstr%20of%20Justice16555%20WITH%20EXHIBITS.pdf>

Posted by Crystal Cox at 4:08 PM No comments:



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7020 Lions Head Lane Boca Raton. Real Estate Buyer...

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7020 Lions Head Lane Boca Raton Florida - Buyer Do your Diligence

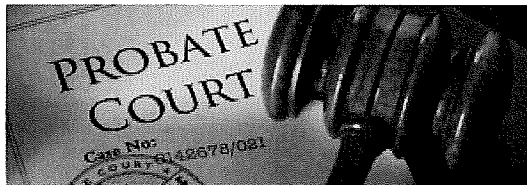
Written by Real Estate Whistleblower and Real Estate Consumer Advocate, Investigative Blogger Crystal Cox.

Monday, April 20, 2015

Simon Bernstein Irrevocable Insurance Trust Dtd 6/21/95 v. Heritage Union Life Insurance Company; Insurance Fraud, Forged Documents, Murder Allegations, No Policy and Millions Paid.

Note: All Cases on this property INVOLVE property located at 7020 Lions Head Lane Boca Raton, Florida, 2494 S Ocean Blvd, Apt C5, Boca Raton, FL 33432, and 880 Berkley St. Boca Raton. These properties are tied up in multi-millions in litigation and they don't want potential buyers to know. Do your homework folks. As a, what seems to be corrupt, lawless or just ignorant Florida Probate Judge is ordering that you, the REAL Estate Buyer NOT be told (DISCLOSED) as to what will inevitably affect your life.

The Ocean Blvd property was SOLD, I believe through a fraudulent residential loan. As it is clearly an investment property and the buyer does not even live in the country. I believe the buyer is friends with Ted Bernstein or associates, and is connected to the lender and others acting in Civil Conspiracy regarding buying this property with little money down, a low interest residential loan out of Illinois and then profiting tax free acting as if it's a primary resident instead of an investment property.



Meanwhile in Judge Colin's Court in Palm County Florida there is massive crimes and cover up and Judge Martin Colin seems to want to sweep it all under the rug and get it out of the Illinois courts where Justice may be served.

Judge Martin Colin seems to be involved in a Probate Attorney Protection racket, and the victims are children and other innocent citizens. Meanwhile years go by and properties are run down, stolen, sold. .. money disappears, jewelry gone, and so much admitted fraud and forgery AND Judge Martin Colin DOES nothing.

Ted Bernstein pays for an attorney with Estate money and seems to pay for his own life, while other heirs have no attorney, no rights and some are minors. Judge Martin Colin has clearly broken the law and violated constitutional rights and seems to believe he is so connected (probably to Labarga and others from his Prosecutor job) that he will never face prison or any kind of justice. I say he is wrong and that one day someone will bring Judge Martin Colin to Justice.

Here is the Illinois Docket
<http://ia601902.us.archive.org/6/items/gov.uscourts.ilnd.283534/gov.uscourts.ilnd.283534.docket.html>

Answer to Complaint
<http://ia601902.us.archive.org/6/items/gov.uscourts.ilnd.283534/gov.uscourts.ilnd.283534.17.0.pdf>

Heritage Union Life Insurance Company, Jackson National will pay YOU millions and all you have to do is say oh ya my dad had a policy for 2 million and the pay with NO Policy, simply to get out of a litigation. WOW?? oh and there is murder allegations and a Heavy Metal Toxin autopsy report. So I guess one can commit murder, then say hey Heritage Union Life Insurance Company, Jackson Life Insurance, I had a policy on that guy, now pay me 2 million and they say ok. Sounds LEGIT.

WOW ... Folks.. WTF comes to mind.

More on the Illinois case

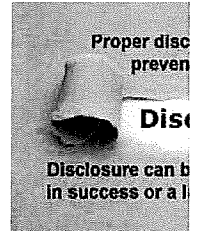
<http://www.iviewit.tv/Simon%20and%20Shirley%20Estate/20131104%20Ted%20Pam%20Lisa%20Jill%20Answer%20to%20Complaint%20Jackson%20Heritage%20Northern%20District%20Illinois%20Simon%20v%20Heritage%20Jackson%20Insurance.pdf>

<http://www.iviewit.tv/20130506%20FINAL%20SIGNED%20Petition%20Freeze%20Estates%20Orginal%20LOW.pdf>

<http://tedbernsteinreport.blogspot.com/search?q=District+of+Illinois>

Why does Judge Martin Colin Protect Tescher and Spallina in CLEAR and Blatant Insurance Fraud, Forgery, and cover ups. And allow them ALL to keep creating victims? There is no policy? Yet millions was paid?? Why is Spallina not investigated by Heritage Life, Jackson National or the the LAW in any Way?

Disclose



DISCLOSURE is LAW



Attorney Robert Spallina, protected by Judge Martin Colin (in my opinion) tried to collect 2 million in life insurance. The alleged policy holder with NO POLICY, looks to have been murdered. So why is Jackson National Insurance Company NOT investigating this matter?

Here is the Letter Robert Spallina, Florida Probate attorney sent to try and collect the millions.

"Dear Sir or Madam: Enclosed is the Claimant's Statement for the above referenced policy. together with an original Death Certificate for the insured, Simon Bernstein, .

We are also enclosing a copy of Internal Revenue Service Form SS-4, Application for Employer Identification Number for the Simon Bernstein Irrevocable Insurance Trust June 1. 1995, which is the trust listed as beneficiary of the above referenced policy.

We will provide wiring instructions for the trust bank account when you have processed the claim, if possible, in lieu of a check. Finally, we are enclosing a copy of the obituary for the decedent which was published in the Palm Beach Post.

We are unable to locate a copy of the original insurance policy.

If you have any questions with regard to the foregoing, please do not hesitate to contact me.

Sincerely

ROBERT L SPALLINA"

Heritage Claim Form, Spallina Alleged Fraud

<https://docs.google.com/file/d/0Bzn2NurXrSkia0RmS3lWaDF65EU/edit>

District of Illinois Federal Case regarding insurance of deceased owner of 7020 Lions Head Lane

Folks do you want to put time, money, blood sweat and tears into making a family home, only to have it taken back by the true heirs once there real is clear and legal title in a way that a dead guy does not sign trust documents.

Buy at your own Risk. Below is a Link to more on the Illinois Case involving this Property (the Simon Bernstein Estate)

<http://tedbernsteinreport.blogspot.com/2015/04/illinois-master.html>



Regency Title dba US Title of Florida and Old Republic National Title Insurance Company seems to be involved in Florida Real Estate probate fraud. As we see that the Shirley Bernstein estate condo was SOLD and they guaranteed a clear title through Greg Gefen Florida attorney who seems to have several title companies. Regency Title dba US Title of Florida and Old Republic National Title Insurance Company is liable for the millions in property that they allowed the wrong owner to sell.

<http://judgemartincolin.blogspot.ie/2015/04/gregory-s-gefen-john-poletto-judge.html>

Simon Bernstein Estate Case; Florida Probate Court; Judge Martin Colin; 7020 Lions Head Lane

Click Below for Linked Docket of Simon Bernstein Estate Case

<https://docs.google.com/file/d/0Bzn2NurXrSkIS0NMblNaNUk2MXc/edit>

7020 Lions Head Lane Boca Raton; Judge Martin Colin has BANNED Real Estate Disclosure.

Judge Martin Colin has banned Eliot Bernstein from DISCLOSING to Real Estate Buyers, as a matter of law, that 7020 Lions Head Lane, Boca Raton Florida is involved in several multi-million dollar legal actions.

When the buyers find out in the future and sue, Eliot Bernstein or his children will be financially liable, he is abiding by the law and blocked by Judge

Martin Colin.

Click the Link Below for More

<http://tedbernsteinreport.blogspot.com/2015/04/florida-lis-pendens-7020-lions-head.html>

Shirley Bernstein Estate Probate Case connected to the Simon Bernstein Estate Case, both will affect what happens to 7020 Lions Head Lane. Don't Believe Me, do your DUE Diligence, Trust NO One. This is YOUR LIFE.

Click Below for More on the Shirley Bernstein Estate Case, Florida Probate Case in the Court of Judge Martin Colin (ya know the JUDGE who is order NON-Disclosure)

<http://tedbernsteinreport.blogspot.com/2015/04/shirley-bernstein-estate-case-master.html>

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LEARN MORE GOT IT

Motion to Remove Ted Bernstein as PR

<https://docs.google.com/file/d/0Bzn2NurXfSkiNFdEOWo3ZnhHMEU/edit>

<https://docs.google.com/file/d/0Bzn2NurXfSkiT0tBZGhKemNzc1E/edit>

**Florida Probate Attorney Donald Tescher
(Protected by Judge Martin Colin),
Excerpt from deposition testimony.**

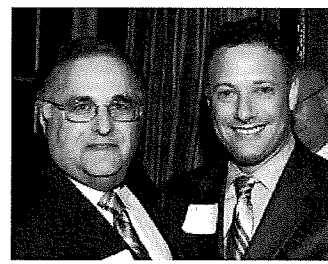
<https://docs.google.com/file/d/0Bzn2NurXfSkiNDFNWi1sTHBPVzA/edit>

The Lasalle National Trust and C/O Robert Spallina Mystery

"Bates #JCK001262, is a letter regarding the filing of a claim dated October 09, 2012, sent from HERITAGE to SPALLINA with SPALLINA addressed as "LASALLE NATIONAL TRUST N.A. TRUSTEE C/O ROBERT SPALLINA, ATTORNEY AT LAW" address "4855 TECHNOLOGY WAY STE 720 BOCA RATON FL 33431" and the Letter starts "Dear Trustee."
<http://www.iviewit.tv/Simon%20and%20Shirley%20Estate/20140112%20FINAL%20SIGNED%20PRINTED%20MOTION%20TO%20TRIKE%20AMENDED%20COMPLAINT%20ECFCOPY.pdf>

"LaSalle National Trust, N.A." seems to basically be a national holding company, via big title companies and banks and simply a way to convey property, assets, holdings and real estate. Thing is what instrument gave Spallina the right to be the Trustee in the Simon Bernstein estate in this regard?

What was Robert Spallina really up to, using this huge company name and having documents sent to him directly? Or wanting to collect on the Heritage Union Life Insurance Company / Jackson National Life Insurance Company Policy, or lack of policy?



Seems to me that "LaSalle National Trust, N.A.", the real one, has a major claim against Tescher and Spallina unless Robert Spallina was acting with their authority???

"SPALLINA acting as both the TRUSTEE of "LaSalle National Trust, N.A." and as Trustee of the Lost or Suppressed Trust, HERITAGE would have to legally pay him as either the Primary or the Contingent Beneficiary in his fraudulent Legal and Fiduciary roles. "

Page 13

<http://www.iviewit.tv/Simon%20and%20Shirley%20Estate/20140112%20FINAL%20SIGNED%20PRINTED%20MOTION%20TO%20TRIKE%20AMENDED%20COMPLAINT%20ECFCOPY.pdf>

To document search the above page, click on Control F, then type in Lasalle, to read all the places it is mentioned in the above document.

Eliot Bernstein Disclosure; Heritage Union Life Insurance; Jackson National Life Insurance

<http://www.iviewit.tv/Simon%20and%20Shirley%20Estate/20131022%20Rule%2026%20Disclosure%20Eliot%20Jackson%20National%20Lawsuit.pdf>

Chicago Title Land Trust Company is successor trustee to the listed bank land trustees, as seen here,

<http://www.ctlandtrust.com/#!/successorships-h-l/ctsk>

If Robert Spallina claimed to speak for LaSalle National Trust then is this connect to Chicago Title Company, and perhaps real estate shady dealings involving Greg Geffen in Florida? Hmmm....

Chicago Title is a pretty big deal in Title Insurance. I have owned my own real estate company for 14 years, and well um.. how is Spallina trying to pull off that he is successor trustee or whatever mumbo jumbo he was trying to pull off?

Did Robert Spallina real say he was speaking for Lasalle? really? Employee fund, real estate, SEC, how in the world is Spallina speaking for Lasalle? call me Confused.

More Research

<http://tedbernsteinreport.blogspot.com/2014/02/why-is-heritage-union-life-insurance.html>

<http://tedbernsteininsurancescam.blogspot.com/2014/01/ted-bernstein-of-life-insurance.html>

<http://www.docstoc.com/docs/160196536/Ted-Bernstein-Life-Insurance-Concepts-Boca-Raton>

<http://tedbernsteinreport.blogspot.com/2014/02/wow-fraud-sure-seems-to-be-piling-up-is.html>

<http://tedbernsteinreport.blogspot.com/2014/01/robert-spallina-consent-and-joinder-to.html>

http://robertspallina.blogspot.com/2014/02/is-adam-simon-liar-liar-pants-on-fire_6.html

Sheriff Report, Spallina

<https://docs.google.com/file/d/0Bzn2NurXrSkiTThFWTg4S2plamM/edit>

Palm Beach County Sheriff Office Supplemental Report

<https://docs.google.com/file/d/0Bzn2NurXrSkiNHFZMmhJWjzdk0/edit>

Heritage Claim Form, Spallina Fraud

<https://docs.google.com/file/d/0Bzn2NurXrSki0RmS3lWADF6SEU/edit>

Fraud on the Courts, Tescher Spallina and Ted Bernstein

<https://docs.google.com/file/d/0Bzn2NurXrSkiRDZGYjVlVnVoQm8/edit>

Judge Martin Collin DENIAL Of Emergency Petition to Freeze ASSETS; Now the assets are stolen,sold cheap or just gone.

Judge Martin Collin SHOULD have froze assets until there was clear title, he did NOT.

Here is the DENIAL TO Freeze assets

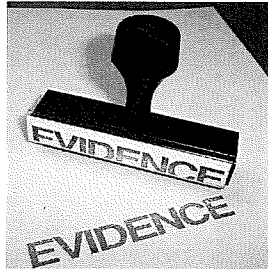
<https://docs.google.com/file/d/0Bzn2NurXrSkiN0RIUWEzM2RWNVU/edit>

One of those assests is 7020 Lions Head Lane Boca Raton

Judge Martin Collin never did Freeze assets and it's been near 2 years now. So the assets have illegally been sold off, stole, moved, damaged and ALL because Florida Probate Judge, Judge Martin Collin is protecting Elite Florida Probate attorneys.

Here is the Petition to Freeze Assets

<https://docs.google.com/file/d/0Bzn2NurXrSkiTzBGbkdSTX4MEU/edit>



2494 S Ocean Blvd, Apt C5, Boca Raton, FL 33432

More on Litigation involving the above property.

"SPALLINA STATED THAT TED BERNSTEIN IS THE TRUSTEE FOR SHIRLEY' S TRUST .

HE SAID THAT A CONDO THAT "WAS SOLD FOR \$1, 400, 000 AND THAT MONEY

WENT INTO THE TRUST. "

And lot's more on the supplemental Sheriff's Report Below

<https://docs.google.com/file/d/0Bzn2NurXrSkiNHFZMmhJWjJzdk0/edit>

Buyer: Wesley G. Voorheis
333 Bay Street #910
Toronto Ontario, M5h 2R2 Canada

Mortgage
<https://docs.google.com/file/d/0Bzn2NurXrSkiQjImSmRoNXJBdHc/edit>

Closer: Steve Paraggua
Rolling Meadows Illinois

BMO Harris Bank N.A.
Rolling Meadows Illinois

Florida Single Family Fannie Mae / Freddie Mac instrument
Lenders Address is Scottsdale, Arizona

Ok so we have a mortgage broker, banker out of Illinois, a lender out of Arizona, a property in Florida and a buyer in Ontario Canada. And we have a single family residential loan?? REALLY ??

I, Real Estate Expert and advocate Crystal L. Cox say that there is mortgage fraud involved in the sale of the above property, as well as no clear titles, SOLD by someone who had no legal right to sell, has title insurance fraud, RESPA violations and much more.

It says second home, so maybe its legit. But hmm now it's a million more? I say that Broker John Poletto and Ted Bernstein are in on a million dollar scam with the lender and the buyer to dupe the real and true, legal heirs.

What if a buyer knew that they were buying a property from someone who did not have the legal right to sell, and they got a loan like this? Hmm.. all kinds of trouble I'd say.

I know Florida law is different, however, I have never seen a title agent sign on a loan document such as this. Did Title Agent, Florida Attorney Greg Gefen get kickback from this mortgage? On the title insurance? Did Ted Bernstein? Hmm..

Shirley Bernstein Estate Case, Florida Probate Case in the Court of Judge Martin Colin
<http://tedbernsteinreport.blogspot.com/2015/04/shirley-bernstein-estate-case-master.html>



[To research more on the Eliot Bernstein, iViewit RICO](#)

<https://www.facebook.com/iviewit/posts/133089426862083>

<http://federalricolawsuit.blogspot.com/2010/01/judiciary-committee-reviews-iviewit.html>

iViewit RICO Crime Chart

<http://iviewit.tv/CompanyDocs/RICO%20CRIME%20CHARTS.pdf>

<http://iviewit.tv/wordpress/>

<http://www.iviewit.tv/>

Full RICO Filing

<http://investigativeblogger.blogspot.com/2014/03/district-of-nevada-rico-and.html>

iViewit Supreme Court Case

<http://www.iviewit.tv/supreme%20court/index.htm>

iViewit SEC Complaint

<http://iviewit.tv/wordpress/?p=288>

iViewit Motion to ReHear

<http://www.iviewit.tv/CompanyDocs/United%20States%20District%20Court%20Southern%20District%20NY/20130512%20FINAL%20Motion%20to%20Rehear%20and%20Reopen%20Obstruction%20of%20Justice165555%20WITHTH%20EXHIBITS.pdf>

Posted by Crystal L. Cox at 11:19 AM No comments:

 Recommend this on Google

Friday, April 17, 2015

Gregory S. Gefen, John Poletto, Judge Martin Colin, Ted Bernstein and more seem to be involved in massive real estate fraud, forgery and cover up.

" **Real Estate Forensics Report / Fraud Analysis:** Shirley Bernstein Condo Sale

Real Estate Warranty Deed Transaction Date: April 18th 2013

Prepared by: Gregory S. Gefen, PA

File Number U13-412

Recorded: 05/06/2013

Palm Beach County, Florida

AMT 1,600,000

Doc Stamp 11,200

Pages 1029 - 1031;

The Following report is my Professional Opinion and advice based on 13 years as a Real Estate Broker Owner, and Currently owning a Real Estate Consulting and Real Estate Forensics Firm. This report is written upon the knowledge and information of Crystal L. Cox, Broker.

Notes on Trustee Affidavit

Regarding Warranty Deed prepared by Gregory S. Geffen, PA, File Number: U13-412

This recorded document now seems to be the only recorded documents regarding Ted Bernstein having Seller or Grantor rights in this matter. Before this closing, there appears to be no legal record, as a matter of law in which proves Ted Bernstein of having legal rights to sell subject property.

It appears to me that this real estate transaction is fraudulent, and that All Regency Title dba US Title of Florida and Old Republic National Title Insurance Company have a liability to the buyer in this transaction and to the true and correct heirs of the Shirley Bernstein Estate.

I would tell the Title Company to show you the legal documentation they have that gave them the legal right to allow Ted Bernstein to sell this Condo, to sign as the Seller, Grantor of said Property?

It is my understanding that the Shirley Bernstein Trust Agreement does not state that Ted Bernstein is the executor. Or at least I see no proof provided in the closing documents in which the title company filed in the closing of this 1.6 Million dollar sale, that would give Ted Bernstein a legal right to be the "Grantor" of said property.

What documentation did the title company have that gave them the legal right to let Ted Bernstein sell this real estate? What documentation did the title company have that justified providing a title insurance policy on a 1.6 Million dollar real estate sale, that insured to the buyer, that Ted Bernstein was the legal Grantor / Seller in this sale?

Ted Bernstein swore that the trust had not been revoked or terminated And that the the vesting Deed was

recorded that provides the trustee with the full power of sale and that the subject transaction will not violate the trust. Yet there is no court documents, no judicial ruling that provides legal proof that Ted Bernstein is the person that gets to speak on behalf of the Shirley Bernstein estate.

There seems to have been no probate nor judicial order appointing Ted Bernstein as executor, successor of the Shirley Bernstein estate. So why would this title company guarantee title with no legal documents proving such and allow a \$1.6 million dollar real estate transaction and provide title policy on said property?

As a real estate broker, I would not let Ted Bernstein sign a listing on this property, as there is no documentation that he has Sellers rights and this would be a violation of law.

Ted Bernstein Had no legal right to act as Seller in this deed transfer. This title company provided title insurance in this matter and is liable to the Shirley Bernstein estate, as well as no liable to the buyer in this matter and the cost of this property being deed back to the rightful owner, as far as I see this case.

This seems to be a matter of property theft. All Regency Title dba US Title of Florida and Old Republic National Title Insurance Company **guaranteed clear title in this real estate transaction via title insurance policy**, and I would demand to see the settlement statement and all related documents, especially any document that was used as legal proof that Ted Bernstein was the SELLER, legally in this transaction. I do not see such a legal document in the recorded documentations for the sale of the subject property.

Ted Bernstein, under penalty and perjury swore the document to be true. The fact is that is simply a "pinky swear", that is one man swearing that he owns a 1.6 million dollar property. There is no court document, no estate documents and no proof, of any kind that Ted Bernstein in fact has a legal right to convey title to this property, as far as I can see.

The Notary ONLY verified that it was indeed Ted Bernstein and that he showed proper ID, a Notary is not a lawyer, nor a court clerk. The title company needs to prove via a court recorded document that Ted Bernstein had a legal right to sell said property.

This is the reason to use a title company to close a LEGAL real estate transaction. The title company insures the title, researches recorded documents and PROVES who has rights to convey property.

Anyone can sign any document and swear that what the document says is true. The Notary swears that it is that person and not that the person is telling the truth, or has a legal document of FACT. Nor does the notary provide any kind of legal recorded proof that this person is entitled to swear to this information as a matter of law. A Notary simply verifies the identity of the person who signs the document.

All Regency Title dba US Title of Florida and Old Republic National Title Insurance Company sold an insurance policy with this real estate transaction insuring that the title was clear to sell to said buyer, when in fact Ted Bernstein did not have a legal right to sell this property, as far as the documentation provide shows.

Ted Bernstein is NOT the true and correct, proper "Grantor" named in the warranty documents.

Ted Bernstein could have just as easily signed an affidavit swearing that he was the seller of the White House, and a Notary would have verified the document, only to the extent that it was indeed a man named Ted Bernstein, whom had the proper identification to prove his identity. And this has NOTHING to do with proof of title rights.

A signed affidavit from someone claiming rights to sell the White House, as in this example, would not give the person the legal right to do so, nor does it in the case of this real estate transaction.

This is a fraudulent real estate transaction, in my expert opinion. The question then becomes did the title company get kick back on this deal? Was the title company promised future deals with these powerful men Ted Bernstein and **George Wesley Thomas Voorheis**?

It is a title companies job, BEFORE issuing a title insurance policy, to make sure that the title is clear and is able to be Sold. Said property seems to be in major legal dispute and is part of an estate in litigation, of which Ted Bernstein is NOT the Trustee, as appointed by a court of law.

This title company took a man's word that he owned a 1.6 Million dollar property, and let said man sell this property, without legal ownership. Upon my knowledge and belief, this title company has massive liability over this issue.

Notes on the Shirley Bernstein Trust Agreement pages provided in the closing documents of said property.

This document has no clear sign of being true and correct. As a real estate Broker, I would not take this as proof that Ted Bernstein had a right to be a SELLER aKa Grantor of this property.

This is simply a document that may or may not have been actually signed by Shirley Bernstein. The correct and lawful procedure in these matters, in my expert opinion is the subject property to have gone through probate, and have a court appointed executor or trustee of the estate.

The legal process is not simply for someone who wants to be an heir to swear they are and then a Title Company allows

this person to sell millions of dollars of real estate they CLEARLY have no proof of owning.

In order for me to prove title and allow a person to act as Seller, I would have to see the probate documents, and the court rulings that granted Ted Bernstein the power to be the executor of the estate, have legal rights to the deed, and execute the sale of the subject property.

There seems to be no court document that gives Ted Bernstein the LEGAL right to sell subject property, there is only a man saying he has the right and a title company issuing title insurance on a multi-million dollar property insuring the title on said property.

In my experience, professional title companies go to the courthouse records for proof of liens, judgements, deed rights, estate issues and they do NOT simply take a sworn statement from a man who swears he is the legal Seller and give this man the absolute rights to millions of dollars of property of which he clearly has no probate, court stamped, judicial documents to prove this is true.

Notes on the warranty deed dated the 18th day of April, 2013

This appears to be fraudulent as there is no court document in which appoints Ted Bernstein as Successor of the Shirley Bernstein Trust. There does not seem to be any LEGAL documents that prove that Ted Bernstein has rights to the subject property as Successor, Executor, Trustee, Seller or Grantor.

Here we see a **Ted Bernstein who himself claims to be a "Successor Trustee", acting as Grantor selling subject property to Grantee G. Wesley Veorheis, a Canadian Resident, and there seems to be something amiss in this transaction.**

There appears to be fraud in this transaction and I advise the true and correct heirs of the Shirley Bernstein estate to file legal action against All Regency Title dba US Title of Florida and Old Republic National Title Insurance Company, against the Corporate Company and the individuals involved in the sale, who may have been involved in kickback schemes or other anti-trust and civil conspiracy violations in this real estate transaction.

From what I can determine, and in my expert opinion, **Ted Bernstein is not the legal "Grantor"** and therefore has no legal right to convey title to said property.

All Regency Title dba US Title of Florida and Old Republic National Title Insurance Company have no legal right to have provide an insurance policy that guaranteed this title.

Just because there is a Notary and witnesses who signed the Warranty Deed, does not in any way legally prove that All Regency Title dba US Title of Florida had a LEGAL right to allow Ted Bernstein to act as Grantor or Seller of Subject Property.

I see no proof, whatsoever that Ted Bernstein is the rightful Grantor, and therefore this transactions appears to be fraudulent.

Just because there is a Notary and witnesses who signed the Warranty Deed, does not in any way legally give this title company right to provide title insurance through their title insurance provider Old Republic National Title Insurance Company, with corporate offices in Minneapolis, MN.

Again, a **Notary stamp is not a COURT STAMP, nor a Judicial Ruling.**

Regarding the "Certificate of Approval"

This document appears to be directly from the Condo Association. I have not read the bylaws of the Aragon Condominium Association, however, in my professional opinion, there may be some fraud between **Ted Bernstein and the Aragon Condominium Association president James McGee.**

The association in most cases can approve membership, and may be able to exclude certain people, however the association seems to be providing certification to further DUPE the title company, by claiming they have the power to **give Ted Bernstein approval to convey title for Wesley George T. Voorheis to acquire.**

Did the association have court documents that proved that Ted Bernstein had a right to convey title? If not then it is my opinion that the Aragon Condominium Association and that James McGee personally are also liable for what looks to be a real estate heist to me.

Regarding Non Identity Affidavit

This documented is suspected to be a fraudulent tax document. As Ted Bernstein has no proof that he is the court appointed Trustee in this matter and has no legal right to certify that he owes no estate tax in this matter.

Ted Bernstein may be committing fraud against the U.S. government in possibly illegally conveying title to a property he has no legal right to and at the same time claiming **himself tax exempt for this millions of dollars in alleged inheritance.**

I recommend that the true and correct heirs notify the Florida and U.S. Tax Authorities on this issue. As there may be a

great deal of estate tax due on this matter, and Ted Bernstein has no legal proof that he can speak on behalf of the Shirley Bernstein estate.

Based on my experience it is a standard of practice in real estate regarding estate issues, for the property to go through probate, have a court appointed trustee and proceed through the courts and **NOT through a sworn affidavit by a dreamy eyed family member wishing to receive millions of tax free dollars.**

If any potential heir or for that matter any person off the street can sign a document to swear they have rights to property, with no recorded deed or court order, well then this is a serious matter that the Department of Justice and Attorney General need to look into, as this title company may have done this before and thereby created many victims in this scheme. It is not lawful to let anyone claiming they have title right to sell other people's property.

It is the PURPOSE of purchasing the Title Insurance Policy, which I assume the Shirley Bernstein Estate paid for, that this policy guarantees to the buyer that Ted Bernstein has the right to sell the property and that all liens, judgments, tax issues on said property have been taken care of and cleared by a court of law.

If not then the Title Insurance company and policy provided has a serious liability not only to the buyer but to the actually true and correct heirs of the Shirley Bernstein estate, whomever the courts deem that to legally be.

As a professional real estate service provider, be it a title company or a real estate brokerage, we are taught to make absolute SURE that a Seller has a legal right to sell a property. This is mostly done by a true and correct, court filed warranty deed in the name of the SELLER aKa Grantor, with the names of the people who will be signing a listing agreement with a real estate brokerage or closing documents with a local title company.

It is not standard of practice, ethical nor lawful to use the closing process and title insurance policy of a local title company in place of a legal, court documented estate and probate proceedings, as a matter of law.

In my experience Sellers aKa Grantors do not sell properties by swearing to an affidavit that they have a right to sell. This would make it so that anyone who wanted to be an heir, or anyone of the street for that matter, could simply go to a notary and sign a document swearing they have a right to sell, and without title in their name sell millions of dollars in property.

It is my professional opinion that this title company is liable to the true heirs of the Shirley Bernstein estate and possibly even interest, punitive damage, and criminal charges.

It is my experience that in an Estate settlement, the Estate is settled in full before property is Sold, another words there would be probate and estate process as a matter of law. And if Ted Bernstein has proper legal documentation then he would have a deed in his name, and from that deed he would then be the Grantor.

See In Barnhart v. Hovde, 490 So.2d 1271 (Fla. 5th DCA) for reference on this matter., review denied 510 So.2d 543 (Fla. 1986), Hovde was named trustee for the beneficiaries, who were her stepchildren. Hovde sold a trust asset (an apartment complex) without obtaining a court order to do so in violation of Fla. Stat. 737.403(2). Hovde had conflicting interests with the beneficiaries of the trust, and the sale of asset resulted in benefit to the trustee and a detriment to the beneficiaries. Id. The Trustee had interests which definitely conflicted with those of the other beneficiaries, which resulted in a benefit to the trustee and a detriment to the other beneficiaries, and the Court found that Hovde violated the terms of the trust and applicable state statutes. Id.

Again an affidavit is not a legal document proving title rights. **This affidavit is a man claiming under penalty and perjury that he has legal rights to be the Grantor of said property**, however this is not a legally binding document in which I, as a Real Estate Broker would accept as proof of entitlement to act as Seller or Grantor.

It is not a Power of Attorney, it is not a legally filed Warranty Deed in Ted Bernstein's name, nor is it a court order ruling that Ted Bernstein is the true and correct, LEGAL Seller, Owner, Grantor of said property.

This document appears to be a Ted Bernstein claiming that he has the rights to be the Seller, Grantor and is the Successor Trustee, Executor of the Shirley Bernstein estate and that with this claim he promises to **NOT hold All Regency Title dba US Title of Florida and Old Republic National Title Insurance Company liable for any legal actions, legal fees in the future, or any other future liability.**

Ted Bernstein does not seem to be the LEGAL Seller / Grantor of said property and therefore cannot be in a legal agreement releasing All Regency Title dba US Title of Florida and Old Republic National Title Insurance Company of liability regarding the matters of the Shirley Bernstein estate.

Conclusion

In my expert opinion, the title company in this case, All Regency Title dba US Title of Florida, has made a grave error in allowing Ted Bernstein to sell the subject property with the documents provided to them.

And it is my professional opinion that All Regency Title dba US Title of Florida and Old Republic National Title Insurance Company, needs to file notice of insurance claim, fraud and massive liability in this matter as soon as possible.

As a Real Estate Broker owning my own firm, I would not let Ted Bernstein have listed this property for sale, nor would I have allowed any agent working for me to do so. As there is no court documented proof that Ted Bernstein has a legal right to sell said property and I would not put my liability insurance provider nor my company at that risk.

A title company has even a greater risk in guaranteeing title, and is therefore liable to the heirs of the Shirley Bernstein Trust.

No reputable real estate company SHOULD take this property as a listing, due to the inability to prove who has property authority and rights of Grantor / Seller.

It is my opinion that Ted Bernstein has used **All Regency Title dba US Title of Florida to circumvent the legal process and thereby gaining title to Shirley Bernstein's property.**

Ted Bernstein went straight for the paycheck, and skipped the step of gaining legal title to the property first. Therefore avoiding estate tax, capital gains, and the process of fighting the legal heirs of the Shirley Bernstein Trust in order to obtain money from the sale of the subject property.

It is my opinion that the true and proper legal heirs of the Shirley Bernstein estate should use all legal means necessary regarding All Regency Title dba US Title of Florida and Old Republic National Title Insurance Company, in order to make this right.

Florida Laws: FL Statutes - Title XLII Estates and Trusts Section 736.0101 Short title. seem to have been violated in this transaction.

As a Real Estate Broker owner experienced in all manner of real estate for over 13 years, including estate, trust tax issues, and all related matters, I strongly advise the true legal heirs of the Shirley Bernstein estate to contact a Florida lawyer and sue All Regency Title dba US Title of Florida and Old Republic National Title Insurance Company of Minneapolis.

**Corporate Headquarters:
Old Republic National Title Insurance Company
400 Second Avenue South
Minneapolis, MN 55401
(612) 371-1111**

I, Crystal L. Cox am fully qualified to give this real estate opinion and forensics analysis. I am not a lawyer. I am a fully qualified real estate advisor and forensics expert. I am FULLY qualified to provide expert witness and expert opinion in the Shirley Bernstein estate on all related matters discussed herein, real estate matters.

Written By

Crystal L. Cox

Real Estate Broker Owner
Real Estate Forensics Expert
Expert Witness Real Estate
Real Estate Fraud Expert
Real Estate Consultant"

Source; **April 18th 2013 Real Estate Forensics Report by Broker Crystal Cox; Original Report**
https://docs.google.com/document/d/1hjawnPI4EXpN0L8oZ33Pmpimgh3073da5_i0iVIQtw/edit

About the Title Company who closed this sale


Gregory S Gefen is president and managing member of **Signature Title Group, LLC** and personally oversees all closings.

Greg has been admitted to the Florida Bar since 1991 and is a member of the Real Property, Probate and Trust Section.

Greg has been a member and agent of several of South Florida's largest title underwriter, since founding his law firm, **Gregory S. Gefen, PA**, in 1995 www.gefenlaw.com.

He resides in Boca Raton

Posted by Crystal L. Cox at 10:05 AM No comments:

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Alan B. Rose of Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss Florida Probate Case

Florida Estate and Probate Case, Forgery, and Alleged Murder, blog written upon information, knowledge and belief of Crystal L. Cox, Blogger.

Alan Rose	7020 Lions Head Lane Boca Raton	Docket Northern Illinois Case	Simon Bernstein Trust Heritage Jackson National District Court
Shirley Bernstein Estate Docket	Simon Bernstein Estate Docket	7020 Lions Head Lane Boca Raton	Shirley Bernstein
Tescher, Spallina, Ted Bernstein, Proskauer Rose MAJOR Technology Theft Case	Judge David E. French	Robert Spallina	Mark Manceri
Tescher and Spallina Law Firm	Mark Manceri	Petition to Freeze Estate Assets	Estate Fraud Docket
Robert Spallina	Ted and Deborah Bernstein	Life Insurance Concepts Boca	Ted Bernstein Fraud
		Insurance Proceed Scheme	Donald Tescher

Thursday, June 4, 2015

I Allege that this Web Stat is the Condo Buyer in the Shirley Bernstein Estate. I also allege that Ted Bernstein, Alan Rose, and other conspired with this buyer to get a rock bottom deal on this condo under illegal and unethical circumstance.

To Read this WHOLE BLOC posts on the bottom right page. Don't let this Florida Insurance FRAUD and Forg YOU.

Visitor Analysis & System Spec


Referring URL:	(No referring link)	Browser:	IE 11.0
Host Name:		Operating System:	Win7
IP Address:	67.71.41.251 — [Label IP Address]	Resolution:	1366x768
Location:	Toronto, Ontario, Canada	Javascript:	Enabled
Returning Visits:	0	ISP:	Bell Canada
Visit Length:	Multiple visits spread over more than one day		

Posts

- Alan B. Rose of Page Mr Fitzgerald & Rose Li...
- Eliot Bernstein iViewit I Interview Dick Wo...
- Alexandra aka Monica in Bernstein
- Alan B. Rose is MADD as he ain't goin...
- Hey Lindsay, you may w the ol' digital...
- Alan B. Rose of Page Mr Fitzgerald & Rose Ge...
- UNITED STATES DISTRICT SOUTHERN DISTRICT OF
- You know that Mark Twi "Truth is stranger...
- John Pankauski, Pankau Alan B. Rose, ...
- Who does Alan B. Rose c Mrachek, Fitzgerald ...
- Don Sanders, Jackson N seems to have m...
- Oh and you Spineless, C Lawless, Free Spee...
- Burke, Warren, Mackay ! Taking a Look
- Alan B. Rose of Page Mr Fitzgerald & Rose se...
- Folks, Alan Rose is a MA Hypocrite. ...
- Alan B. Rose, Esq. seem suppressing speech...
- Eliot Bernstein and iVie
- Isn't Armonk, New York Lamont's neck of th...
- Don Sanders, assistant \ National Life ...
- Life Reassurance Corp. - Bankers Life Insu...
- Judge Amy J. St. Eve is Davis Polk & W...

Navigation Path

Date	Time	WebPage
		(No referring link)
26 May	05:41:05	tedbernsteinreport.blogspot.ca/2014/06/why-is-alan-rose-knowingly-willfully.html
		(No referring link)
31 May	07:37:36	tedbernsteinreport.blogspot.ca/2014/06/why-is-alan-rose-knowingly-willfully.html
		(No referring link)
4 Jun	05:39:42	tedbernsteinreport.blogspot.ca/2014/06/why-is-alan-rose-knowingly-willfully.html
		(No referring link)
4 Jun	05:40:53	tedbernsteinreport.blogspot.ca/2014/06/why-is-alan-rose-knowingly-willfully.html

Posted by Crystal L. Cox at 7:03 AM No comments:  Recommend this on Google

Eye on Alan Rose of Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A. in West Palm Beach, Fla.. in West Palm Beach, Florida.

Alan Rose has a NEW CASE. Well Let's keep an eye on this one too; Transparency

"WEST PALM BEACH, Fla. (Legal Newsline) - A prominent class action law firm is suing two firms with which it partnered on a class action lawsuit in Florida for allegedly failing to pay it a fee.

Cohen, Milstein, Sellers & Toll, PLLC filed the lawsuit in Palm Beach County Circuit Court on May 5 against Anderson + Wanca and Bock & Hatch LLC, claiming it is owed about \$280,000 in fees for joining in on the class action lawsuit. Bock & Hatch removed the case to U.S. District Court for the Southern District of Florida on May 26.

The suit claims Cohen Milstein agreed in March 2012 to to serve as the local counsel for a class action suit in which the firms were involved in Florida. The two sides came to terms on a fee agreement and also agreed that Cohen would receive 20 percent of the attorneys' fees awarded in the class action suit, the complaint says.



In October, the court awarded about \$1.4 million in attorneys fees. Cohen Milstein claims Anderson has not paid the 20 percent contingency fee made in the fee agreement.

In March, Anderson acknowledged the fee agreement, but "demanded" Cohen Milstein reduce the fee, the lawsuit said.

In addition to the \$280,000, the plaintiff is also seeking lost profit damages, plus court costs for filing the suit.

The law firm is represented by Alan B. Rose of Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A. in West Palm Beach, Fla.

U.S. District Court for the Southern District of Florida case 9:15-cv-80662"

Source

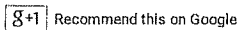
http://www.washingtonexaminer.com/cohen-milstein-suing-fellow-class-action-firm-over-fees-from-fla.-case/article/feed/2176218

Also Check Out

of Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A. in West Palm Beach, Fla.

http://attorneyalanrose.blogspot.ie/

Posted by Crystal L. Cox at 6:23 AM No comments:



Saturday, May 30, 2015

I am Reporting on the Simon Bernstein Estate CASE and will continue to do so. My reporting is NOT controlled by anyone but ME. Alan Rose continues to WHINE.

Alan Rose is acting suspicious, does he WANT Eliot to complain about Judge Coates? It sure looks like it. What is Alan Rose REALLY up to?

Why wine about this DRIBBLE now? There is a new judge, we shall see if the law is obeyed. Alan Rose seems to be pushing to disqualify Judge Coates, why is that?

I mean the Proskauer Rose thing is true, however if Judge Coates were to sign a Conflict of Interest Disclosure then maybe no issue, either way why in the world is Alan Rose so whiny about all this?

Sounds like Alan Rose should file a motion to disqualify himself instead of pushing Eliot Bernstein to do it.

Oh and I love that Florida Attorney Alan Rose is hanging on my every word INSTEAD of doing his JOB. Just obey the law and don't worry about the bloggers dude.

Either Coates will do a lawful, ethical, constitutional job or not?

I have never seen Alan Rose show so much knowledge about iViewit, oh and so much love for me, It kind of makes me blush actually.

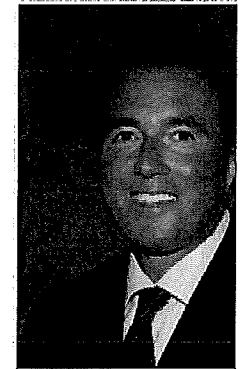
Judge Coates must be a Good Guy for Alan Rose to show such disingenuous concern over possibly conflicts. Things that make you say hmmm...

Check out the eMails below. (Transparency and Accountability)



Cedars-Sinai New York
 WOW, a full days wages
 National Empl...
 Pam and Ted CUT out of
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 Watch all worried about
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 Not Getting Much Work
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 Chicago Illinois
 STP Enterprises, Inc. - F
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 in all this?...
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 National Life Co...
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 Little SPOO...
 So Funny, that Heritage
 Insurance Compa...
 Heritage Union Life Inst
 is well awar...

Ted Bernstein



Life Insurance Concepts

Blog Posts

- Is Google Really the Best Conduct a Fraud, ...
- Welcome Back, How is t Investigation Goi...
- Order for Discharge and Counsel Tesc...
- Morgan Stanley Group N Tescher & Spalli...
- Judge Martin Colin seen the Right Thi...
- Why is Ted Bernstein NC to this Story? ...
- Motion to Halt Hat Trick Believe this is ...
- Hmmm.. Friend or Foe?



"From: Alan Rose
 Sent: Tuesday, May 26, 2015 11:52 AM
 To: 'Eliot Ivan Bernstein'; 'Eliot Ivan Bernstein'; 'Eliot Ivan Bernstein'
 Subject: Judge Coates

Mr. Eliot Bernstein:

The estate/trust cases have been assigned to Judge Coates. One order is attached but he has all of the cases.

You already have started with the internet nonsense as to Judge Coates:

(<http://tedbernsteinreport.blogspot.com>) as of mid-day Friday. Apparently, he worked at Proskauer, and we all know you labor under the belief that someone there stole trillions of dollars of intellectual property from you or your company.

If you object to his continued service, please advise the parties asap, so we can consider simply doing an agreed or joint motion/order requesting his recusal.

Please advise.

Alan B. Rose
 Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A."

From: Alan Rose
Sent: Wednesday, May 27, 2015 11:44 AM
To: 'Eliot Ivan Bernstein'; 'Eliot Ivan Bernstein'; 'Eliot Ivan Bernstein'
Cc: 'John P. Morrissey'; 'O'Connell, Brian M.'; 'Foglietta, Joy A'; 'Peter J. Feaman, Esq.'
Subject: RE: Judge Coates

Now that Brian has set a hearing before Judge Coates, Eliot needs to speak now if he contests the court's ability to hear this case. Silence equals acceptance and waiver of any objections in my view.

Eliot has filed at least two and probably more motions to disqualify Judge Colin, and already has started with nonsense about Judge Coates.

For the record, we have no objection to Judge Coates. But Eliot may and he needs to assert that objection or waive it. There is no point having a hearing and wasting time just to have Eliot complain that day about Judge Coates.

Also for the record, the "journalist" Eliot corresponds and communicates with, Crystal Cox, posted the following highlighted material:

Friday, May 22, 2015

Was Howard Coates REALLY picked Randomly as a Judge in this Case? REALLY? Seriously? A former Proskauer Rose attorney? WOW

Was with Proskauer Rose for 10 years and now on the iViewit SCANDAL in Florida?? Are you Kidding, WOW

Well this should be interesting, hopefully lawful !!

Bio

<http://15thcircuit.co.palm-beach.fl.us/web/judge-coates>

http://ballotpedia.org/Howard_K_Coates

<http://www.avvo.com/attorneys/33401-fl-howard-coates-1273629.html>

News on ..

<http://www.palmbeachpost.com/news/news/crime-law/scott-picks-three-for-palm-beach-county-judgeships/njZNL/>

Alan B. Rose, Mrachek, I
 Rose, Konopka &...

Hello Marc Randazza, W
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Alan Rose Wants the Fir
 to Be Set Asid...

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 Murdering, Gre...

Hey Alan B. Rose, Mrach
 Rose, Konop...

Judge Martin Colin has
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Objection to Motion to
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Objection to Motion to
 Personal Repres...

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Why is Heritage Union L
 Company Filin...

"Criminal Action throug
 Simulated Legal Pr...

Letter to Judge Martin
 Opposition to Ted...

What is Going on with J
 about Not ...

Motion for Appointment
 Administrator...

Ted Petition for Appoin
 Successor Personal...

Alan Rose Esq., John J.
 Pankauski Law F...

Chicago Insurance and C
 Litigation Law Fi...

Morgan Stanley Group, I
 and Tescher & ...

Wow, the Fraud Sure Se
 Piling Up. Is Ted ...

Full Docket Of Heritage
 Insurance Case ...

Heritage Lawsuit Illinois
 Response Regar...

Reported as a Murder, y
 checked is medic...

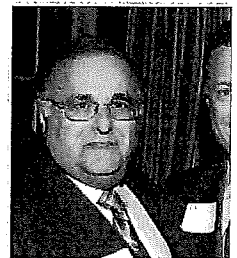
"The Document in Ques
 the Inheritance ...

Looks like the Tescher &
 Bernstein F...

Ted Bernstein, Tescher and Spi

- Florida Estate Forgery, F
 DOCKET

Donald Tescher on Left



Ted Bernstein, Tescher and Spi

Judge Coates was at Proskauer between 1991 and 2000; I believe those are some of the years Proskauer represented iViewIt and possibly during the times that Eliot sued that firm. Eliot has alleged that during these years is when Proskauer stole his patents. It was an unpleasant lawsuit for the firm I'm sure, and no doubt Eliot made it as unpleasant as possible for the partners of "Porksour Rose". Eliot lost his claim in federal court back in 2008 and I believe owes the firm a sanction award imposed by Judge Scheindlin, but he has not given up on that firm and continues to mention it in recent filings.

The point here is that Eliot must advise the parties of his position and the PR needs to get this resolved before there a number of hearings.

Also, you have set too many issues for one 30 minute hearing, particularly when it would be the Judge's first involvement in the case, in my opinion.

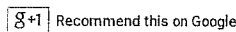
Alan B. Rose, Esq."



Blog Archive

- ▼ 2015 (110)
 - ▼ June (2)
 - I Allege that this Wet Condo Buyer in ...
 - Eye on Alan Rose of Fitzgerald, Rose, K
 - May (22)
 - April (63)
 - March (8)
 - February (7)
 - January (8)
- 2014 (248)
- 2013 (31)

Posted by Crystal L. Cox at 9:09 AM No comments:



Has Alan Rose gone ROUND the BEND? Alan Rose is already whining to a new Judge about my blogs reporting on this case? WOW

Calm Down Alan Rose, the Law Speaks for itself. Obey the Law, tell the TRUTH, the whole Truth and nothing but, and don't worry about those reporting on this high profile never ending Florida Estate Case which is a MASSIVE public concern.

Murder Allegations and millions paid in insurance, heirs waiting years for money while Ted Bernstein sells homes and let's them run down, Ted Bernstein getting an estate paid attorney and no one else, forged documents, documents signed by dead guys to close estates, and much MORE. This case is VERY important as so many go to Florida for their retirement, yet the attorneys in this case did NOT carry out the wishes of the Deceased. So why move to Florida if Probate Court will not carry out your wishes?



NO WHINING

How in the world has all this been allowed to go on for years in Florida Probate court? Meanwhile Eliot Bernstein's family is starved out, kids lose their school and they are constantly harassed by Alan Rose attorney(paid by the estate in which is bullying the other heirs it seems) and others in the case. All this in a simple estate, that should have easily been settled years ago.

Now Alan Rose is WHINING to the New Judge about my blogs, again claiming my blogs are Eliot's and they are MY BLOGS, controlled by me.



Check this whiny Dribbble out; GROW UP ALAN ROSE
<https://docs.google.com/file/d/0Bzn2NurXrSkiNzZxRGtVb01MTzA/edit>

Marie Chandler

Subject: FW: Case Nos. 502011CP000653, 502012CP004391, 502015CP00162, 502014CP003698 XXXXMBJ
Attachments: ABR to Judge Coates 05-29-15 re Bernstein Matters.pdf

From: Marie Chandler
Sent: Friday, May 29, 2015 4:39 PM
To: (Redacted)
Cc: Alan Rose
Subject: Case Nos. 502011CP000653, 502012CP004391, 502015CP00162, 502014CP003698 XXXXMBJ

Dear Ms. Phillips:

Pursuant to your permission given to Mr. Rose, attached please find correspondence from Mr. Rose to Judge Coates.

cc: All parties on all service lists attached to letter.

Marie B. Chandler
Assistant to L. Louis Mrachek and Alan B. Rose
Email: mchandler@mrachek-law.com
Direct: (561) 472-2417



2000 some chrl
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James That

Al Gork

Posted by Crystal L. Cox at 8:59 AM No comments:

Recommend this on Google

Friday, May 22, 2015

Was Howard Coates REALLY picked Randomly as a Judge in this Case? REALLY? Seriously? A former Proskauer Rose attorney? WOW

Was with Proskauer Rose for 10 years and now on the iViewit SCANDAL in Florida?? Are you Kidding, WOW

Well this should be interesting, hopefully lawful !!

Bio

<http://15thcircuit.co.palm-beach.fl.us/web/judge-coates>

http://ballotpedia.org/Howard_K._Coates

<http://www.avvo.com/attorneys/33401-fl-howard-coates-1273629.html>

News on ..

<http://www.palmbeachpost.com/news/news/crime-law/scott-picks-three-for-palm-beach-county-judgeships/njZNL/>

1990s - Proskauer
rep. iViewit +
Elot

Proskauer collective
acted w EB

Posted by Crystal L. Cox at 12:57 PM No comments:

Recommend this on Google

YAY Judge Colin Recused himself. Good Thing, as he has fumbled around for years. We shall HOPE that a New Judge is MORE Lawful and Ethical.

#444K

To Download

<https://drive.google.com/file/d/0Bzn2NurXrSkidVdIWENFTFZoaG8/view?usp=sharing>

Nashy WtjzL

IN THE CIRCUIT COURT OF THE FIFTEENTH
JUDICIAL CIRCUIT, IN AND FOR PALM BEACH
COUNTY, FLORIDA

CASE NO: 502012CP004391XXXXSB
PROBATE DIVISION: IY

THE ESTATE OF
SIMON L. BERNSTEIN,
Deceased.

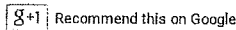
ORDER OF RECUSAL

SUA SPONTE, This Court hereby recuses itself in connection with the above styled case. In that this Court has discussed this case and related cases with the other two Judges in South County, it is requested that the Clerk not reassign this case to a South County Court Judge, but to randomly do so to another Probate Judge in North County.

DONE and ORDERED in chambers, at Delray Beach, Palm Beach County, Florida, this 19th day of May, 2015.



Posted by Crystal L. Cox at 12:03 PM No comments:



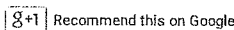
Thursday, May 21, 2015

so Ted Bernstein Never Called the Sheriff and reported a murder? never contacted a corner? and he did not report that Robert Spallina was acting as .. hmmm Check it Out

<https://drive.google.com/file/d/0Bzn2NurXrSkiVgt5bVlwcE9vQ00/view?usp=sharing>

1	IN THE UNITED STATES DISTRICT COURT
2	FOR THE NORTHERN DISTRICT OF ILLINOIS
3	EASTERN DIVISION
4	SIMON BERNSTEIN IRREVOCABLE
5	INSURANCE TRUST DTD 6/21/95,
6	Plaintiff,
7	v. Case No. 13 cv 3643
8	HERITAGE UNION LIFE INSURANCE
9	COMPANY,
10	Defendant,
11	HERITAGE UNION LIFE INSURANCE
12	COMPANY,
13	Counter-Plaintiff
14	v.
15	SIMON BERNSTEIN IRREVOCABLE
16	INSURANCE TRUST DTD 6/21/95
17	Counter-Defendant

Posted by Crystal L. Cox at 10:59 AM No comments:



Tuesday, May 19, 2015

Wells Fargo AGAIN ?


Visitor Analysis & System Info

Search Referral: www.google.com/ (Keywords Unavailable)

Host Name:	bp06aloxdc-out.wellsfargo.com	Browser:	IE 8.0
IP Address:	159.45.71.14 — [Label IP Address]	Operating System:	Win7
Location:	Saint Louis, Missouri, United States	Resolution:	1600x900
Returning Visits:	1	Javascript:	Enabled
Visit Length:	Not Applicable	ISP:	Wells Fargo & Company

Navigation Path

Date	Time	WebPage
		www.google.com/ (Keywords Unavailable)
19 May	06:28:41	tedbernsteinreport.blogspot.com/

Posted by Crystal L. Cox at 6:52 AM No comments:  Recommend this on Google

Friday, May 15, 2015

Petition to Remove Judge Martin Colin from the Simon and Shirley Bernstein Estate Cases in Florida. Judge Martin Colin has let massive crimes occur in his court and has seriously caused irreparable harm to the victims in this case.

Click Below to Read or Download this Court Filing
<https://docs.google.com/file/d/0Bzn2NurXrSkiRlp6bTUyVnZZYmc/edit>

Judge Martin Colin has let this Fraud, Forgery, Alleged Murder Case go on and on for years. He must, as a matter of law be removed. Judge Martin Colin has serious conflicts of interest in this case.

Filing # 27319445 E-Filed 05/14/2015 05:23:02 PM

IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT IN AND FOR PALM BEACH COUNTY, FLORIDA

TED BERNSTEIN, AS TRUSTEE OF THE SHIRLEY BERNSTEIN TRUST AGREEMENT DATED MAY 20, 2008, AS AMENDED,	PROBATE DIVISION CASE NO.: 502014CP003698XXXXSB
--	--

PLAINTIFF,


V.

ALEXANDRA BERNSTEIN; ET AL.

DEFENDANTS.

Other Applicable Related Cases this Disqualification of Judge Martin Colin Should Apply to:

- Case # 502012CP004391XXXXSB - Simon Bernstein Estate
- Case # 502011CP000633XXXXSB - Shirley Bernstein Estate
- Case # 502014CP002815XXXXSB - Oppenheimer v. Bernstein Minor Children
- Case # 502014CP003698XXXXSB - Shirley Trust Construction
- Case# 502015CP001162XXXXSB - Elliot Bernstein v. Trustee Simon Trust Case OLD CASE # 502014CA014637XXXXMD

Posted by Crystal L. Cox at 5:13 AM No comments:  Recommend this on Google

Wednesday, May 13, 2015

Heritage Union Life Insurance Company is well aware of what is going on in the Simon Bernstein Case. So is Heritage Union part of the fraud? If not then why have they, themselves not joined in to SUE Tescher & Spallina and to cry out fraud on the courts, insurance fraud and possible murder?

Letter To Mark Sarlitto ~ Senior Vice President and General Counsel of Heritage Union Life Insurance Company / WiltonRe and Chris Stroup ~ Chairman of the Board of Directors and Chief Executive Officer.

"From: Eliot Ivan Bernstein [mailto:iviewit@gmail.com]

Sent: Wednesday, May 21, 2014 6:19 AM

To: **Mark Sarlitto** ~ Senior Vice President and General Counsel @ Heritage Union Life / WiltonRe (msarlitto@wiltonre.com); **Chris Stroup** ~ Chairman of the Board of Directors and Chief Executive Officer @ Heritage Union Life / WiltonRe (cstroup@wiltonre.com)

Subject: URGENT RE INSURANCE FRAUD -

Policy Number: 1009208 on the life of SIMON L. BERNSTEIN

Dear Mr. Stroup and Mr. Sarlitto @ Heritage Union Life / Wilton RE,

I am writing regarding the Life Insurance Policy on my father, Simon L. Bernstein (deceased), Policy No. 1009208. It has come to my attention through a Federal Court case titled "Simon Bernstein Irrevocable Insurance Trust Dtd 612111995, et. al. v. Heritage Union Life Insurance Company, et. al," Case No.13 cv 3643 in the US District Court Northern District of Illinois that a claim was filed with Heritage by a one **Robert Spallina, Esq. of the law firm Tescher & Spallina PA**, acting as the Trustee for an **alleged lost trust** named "**The Simon Bernstein 1995 Irrevocable Insurance Trust**" claimed to be the Contingent Beneficiary, however **no executed copies of the Trust exist as of this date.**

Further, **Mr. Spallina represented that he has never seen nor been in possession of the lost trust**, yet he filed a claim with Heritage Union acting as the Trustee **of that lost trust he never saw or possessed.**

Further, from production documents in the Federal Case it was also learned that Spallina additionally **represented himself to the carrier as the Trustee of the alleged Primary Beneficiary of the Policy, a one LaSalle National Trust, N.A.**, of which he also is not.

The claim was **DENIED due to the inability to show a proper beneficiary and produce a legal valid trust document as beneficiary.**

Legally, a **valid executed trust instrument must be present at death for a trust to be paid any benefits and in the case of a lost beneficiary at death Florida law is clear that the benefit should be paid to the Estate of the insured.**

Mr. Spallina and his partner Donald Tescher, Esq. have **recently resigned** as Personal Representatives/Executors, Trustees and Counsel to the Estate and Trusts of Simon Bernstein, after **admittedly altering Trust documents** in my parents Estates and Trusts to illegally change beneficiaries and whose **Notary Public and Legal Assistant, a one Kimberly Moran has been arrested and convicted of Fraud** and admitted to six counts of FORGERY of estate documents, including a **POST MORTEM FORGERY** of my deceased father's name in efforts to alter the beneficiaries of my deceased mother's estate.

They also **used my deceased father to act as Personal Representative/Executor** after he was deceased and consummated a fraud **on the Florida Probate Court under Judge Martin Colin.**

After the claim was rightfully denied by Heritage, certain of Simon's children who were **wholly disinherited in the Estate** plan by both Simon and his deceased spouse Shirley, Theodore Stuart Bernstein and Pamela Simon, **filed a Breach of Contract lawsuit against Heritage Union** and in this action **Theodore suddenly now claimed he was the Trustee of the lost trust and not Spallina.**

Theodore Bernstein it has been learned from a Palm Beach County Sheriff investigation report, attached herein, is **alleged to have taken already improper distributions of assets in his alleged fiduciary capacities, AGAINST THE ADVICE OF COUNSEL.**

You will note that in Jackson National's initial opposition to the lawsuit on behalf of Heritage, **Jackson also claimed that Theodore had NO LEGAL STANDING to the file the lawsuit in the first place** and was advised by counsel of such, which appears a **correct legal analysis.**

Due to these alleged **FRAUDULENT ACTIVITIES** that took place in the filing of the life insurance claim, I have contacted the Jacksonville, IL Police department and spoke with **Detective Scott Erthal** who opened **Case No. 2014000865.**

Detective Erthal then contacted me and told me **he had spoken to Carol Ann Kindred at Heritage Union and that they would be conducting the initial FRAUD investigation internally.**

I was surprised when I got the attached letter from C.A. Kindred, which attempts to inform me that **Heritage is not investigating the alleged FRAUDULENT claim** filed with the company, most surprising is **why she did not direct her letter to Detective Erthal** and instead contacted me to inform me that Heritage was **refusing to conduct an investigation.**

C.A. Kindred also stated that the Federal Court would be handling the Fraud issues and obviously Federal Courts do not conduct criminal investigations or insurance investigations.

As you may know, **life insurance carriers are legally required to attempt to find the true and proper beneficiary of an**

insurance contract upon death and in the interim no effort has been made to either contact LaSalle National Trust, N.A. to join the Federal lawsuit by the life insurance carrier or any other party and attempts are being made to pay an alleged contingent beneficiary (the lost trust, which is **not listed with the carrier as the contingent beneficiary** according to their records) without first **paying the Primary Beneficiary, a truly bizarre case.**

The Life Insurance contract has also not been produced and it appears Heritage and their Successors and their reinsurers **have all lost the contract that the Breach of Contract lawsuit was filed on**, making an almost **surreal lawsuit where neither the alleged Plaintiff, the lost trust is legally nonexistent and the contract the breach is based upon also does not exist.**

In efforts to secure the contract I am asking that you check your files for Heritage and see if you can locate one. It also has come to my attention that no one has notified the Primary Beneficiary or made any efforts to this date to make contact with them, LaSalle National Trust, N.A., which is now owned by:

Chicago Title Land Trust Company
10 South LaSalle Street, Suite 2750
Chicago, Illinois 60603
Tel:  312.223.2195

As hearings in the Federal Case are proceeding quickly, your prompt attention to these matters is required and please inform me of your work with the Jacksonville PD so that I may know if this matter has to be investigated **by Federal Authorities at this time for the initial alleged Fraudulent claim made to Heritage Union that Heritage and its successors refuse to investigate internally.**

I have contacted your offices as it appears that the Heritage Union Life Insurance Company website was taken down and refers now **to Wilton RE as the successor.**

Attorney for **Jackson National Life in the Federal case, Alexander Marks, Esq.** has told the Federal Court Judge, Amy St. Eve, that Heritage et al. while being discharged from the Federal lawsuit would be willing to help the parties in any way and this **refusal to investigate is directly opposite this claim** and if further problems stand in the way I will be forced to seek leave to have all parties **reinstated in the Federal action instantly, including now Wilton RE.**

Finally, from reviewing the production materials in the lawsuit, it appears that certain **carrier files may have been tampered with by an insider**, who Plaintiffs have claimed was willing to pay an insurance claim **without any proper beneficiary documentation and we are also looking to find who this party is.**

Thank you for your cooperation in these matters and please feel free to contact me with any questions or further information.
Eliot

Eliot I. Bernstein"

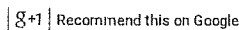
Attached the Letter were These Two Documents

<https://drive.google.com/file/d/0Bzn2NurXrSkialISQ0U1RVpqqdVk/edit?usp=sharing>

<https://drive.google.com/file/d/0Bzn2NurXrSkiNkNTVzV1S1NZTEk/edit?usp=sharing>

So Heritage Union Life Insurance Company is very aware of what is going on in this case. What will they do, if anything, is yet a mystery.

Posted by Crystal L. Cox at 11:54 AM No comments:



Hey did one of you bad guys hide your money at Lloyds Banking Group Plc

Visitor Analysis & System Spec


Search Referral: www.google.co.uk/ (Keywords Unavailable)	
Host Name:	Browser: IE 8.0
IP Address: 141.92.129.44 — [Label IP Address]	Operating System: WinXP
Location: United Kingdom	Resolution: 1680x1050
Returning Visits: 0	Javascript: Enabled
Visit Length: 2 mins 32 secs	ISP: Lloyds Banking Group Plc

Navigation Path

Date	Time	WebPage
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www.google.co.uk/ (Keywords Unavailable)
 13 May 08:05:15 tedbernsternreport.blogspot.co.uk/2014/02/alan-rose-wants-first-amendment-to-be.html
 www.google.co.uk/ (Keywords Unavailable)
 13 May 08:07:51 tedbernsternreport.blogspot.co.uk/2015/04/judge-martin-colin-ordered-alan-rose.html

Posted by Crystal L. Cox at 8:13 AM No comments:

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Florida Probate Fraud, Forgery and Corruption; Simon Bernstein Estate Case

Florida Estate and Probate Case, Forgery, and Alleged Murder, blog written upon information, knowledge and belief of Crystal L. Cox Blogger.

Alan Rose	7020 Lions Head Lane Boca Raton	Docket Northern Illinois Case	Simon Bernstein Trust Heritage Jackson National District Court
Shirley Bernstein Estate Docket	Simon Bernstein Estate Docket	7020 Lions Head Lane Boca Raton	Shirley Bernstein Simon Bernstein
Teschler, Spallina, Ted Bernstein, Proskauer Rose MAJOR Technology Theft Case	Judge David E. French	Robert Spallina	Mark Manceri Donald Tescher
Teschler and Spallina Law Firm	Mark Manceri	Petition to Freeze Estate Assets	Estate Fraud Docket Insurance Proceed Scheme Donald Tescher
Robert Spallina	Ted and Deborah Bernstein	Life Insurance Concepts Boca	Ted Bernstein Fraud

Monday, September 14, 2015

oh and you do know that Blogging is a Constitutionally Protected Activity RIGHT?

Do you need me to list cases to assist you in this? Besides my MAJOR, Landslide one of a kind court of appeals win, there are tons of courts that flat out state that blogging is a constitutionally protected activity.. just a factoid.

So here we go, more whining from the Ted Bernstein camp. Why? The TRUTH really would be easier. Simply DO THE RIGHT THING and OBEY the LAW.

oh and for the 10 millionth time, Eliot Bernstein DOES not control any of my blogs, never has and never will. I have a constitutionally protected right to report on this case.

Posted by Crystal L. Cox at 8:30 PM No comments:

Recommend this on Google

Hey Alan

Don't forget when tattling on me for reporting on this story, there are tons of blogs you missed such as

<http://attorneyalanrose.blogspot.com/>

Updates to ALL coming soon

<http://donaldtescher.blogspot.com/>

<http://robertspallina.blogspot.com/>

<http://judgemartincolin.blogspot.com/>

oh and hundreds of other blogs on this and connected cases exposing corruption in the Florida Probate Courts, Family Court, Police Investigations, Intellectual Property and patent lawyers and lot's more documents of undeniable PROOF. Should an honest court ever actually take a look.

Posted by Crystal L. Cox at 8:24 PM No comments:

Recommend this on Google

Saturday, September 12, 2015

POOR Baby Ted Bernstein is going to Use his Legal Power ?? to STOP the First Amendment? Or is it use legal remedies to huff and puff? So funny Ted Bernstein costs the "estate" millions and is now trying to avoid jail it sure seems and is whining of 100,000? What? Check out this whiny DRIBBLE.

<http://tedbernsteinreport.blogspot.com/>

To Read this WHOLE BLOG, posts on the bottom right, page. Don't let this Florida Insurance FRAUD and Forge YOU.

Posts

Alan B. Rose of Page Mract & Rose Li...

Eliot Bernstein Ivewit Inve Dick Wo...

Alexandra aka Monica inter Bernstein

Alan B. Rose is MADD as a l he ain't goin...

Hey Lindsay, you may want ol' digital...

Alan B. Rose of Page Mract & Rose Ge...

UNITED STATES DISTRICT CC SOUTHERN DISTRICT OF ...

You know that Mark Twain is stranger...

John Pankauski, Pankauski Alan B. Rose, ...

Who does Alan B. Rose of Fitzgerald ...

Don Sanders, Jackson Natik seems to have m...

Oh and you Spineless, Cow Lawless, Free Spee...

Burke, Warren, Mackay & S Taking a Look

Alan B. Rose of Page Mract & Rose se...

Folks, Alan Rose is a MASSI Hypocrite. ...

Alan B. Rose, Esq. seems sr suppressing speech...

Eliot Bernstein and iViewit

Isn't Armonk, New York Ste neck of th...

Don Sanders, assistant VP - National Life ...

Life Reassurance Corp. - C: Life Insu...

Judge Amy J. St. Eve is for Polk & W...

Cedarhurst, New York



9/15/2015

My clattering rambling RANT is in BLUE.

"From: Ted Bernstein [mailto:tbernstein@lifeinsuranceconcepts.com]
Sent: Friday, September 11, 2015 1:12 PM
...

Subject: Online defamation
...

"The 3rd anniversary of Dad's death is approaching and I feel obligated, as his son and your brother, to reach out to you on behalf of myself and the other professionals working for Dad's trusts and estates. "

Yes Sad isn't it Ted, that you have disgraced and dishonored your father so badly, and you have harmed his grand children, seemingly forged his signature and tied up millions of his money and your mom's money and greedily did what ever you pleased while your siblings suffered. And you feel a sense of morbid "OBLIGATION" now? What a Crock of Shit.

There were NO professionals working on your Dad's Trust, It seems to me that there were crooks, forgers, liars, thieves and those who massively dishonored your Dad's wishes, oh and broke the law.

" On numerous occasions and in many different ways, I have requested that you remove all of the blogs and websites in which you are slandering and defaming me, my family, my businesses and the judges and other professionals providing service to the trusts and estates of mom and dad; but so far you have refused. "

Does Eliot have things written online about you? Hmm I can't seem to find them. I can't seem to find any websites or blogs that Eliot has about whiny baby Ted at all. And WOW crying about blogs defaming JUDGES? What? Judges are public officials and COLIN umm he broke the law Ted for you, well not really you but to protect Tescher and Spallina for YOU. So it will all come out in a non-corrupt court one day, just keep on sitting there doing NOTHING to make things right and ENSURE your prison sentence COMING SOON, as far as I see it.

Slandering YOUR Business? Are you Kidding? Anyone who reads my news blogs that have nothing to do with Eliot, oh except he is a party to the case, well if they can read the actual DOCUMENTS then they can see you have broke the law, and looks to me personally that you were involved in your Dad's death or covering it up for whoever was.

So where is this slander of you, your family, your business? Oh and your business really? What business is that? the illegal Botox business? or the insurance business? whatever you actually do with that one?

"I also have asked you to cease publishing information about, and interfering in, the sale of our parents' home; but so far you have refused. "

Where has Eliot published anything about you? You mean documents of his case? or do you mean my blogs, that are published and COMPLETELY controlled by me, Crystal Cox, personally, as is my First Amendment right.

"To date, your actions have cost the Trust more than \$100,000 of net sales proceeds for this property alone. "

Is this a joke? Your actions have cost around a million in attorney fees right? to keep up your LIES, right? And you have sold off condos and personal property with NO money to the actual heirs, right? And you have used up, stolen or somehow hidden millions, or so it seems from the documents I have read over YEARS. And now your whining over \$100,000? WOW Alan Rose or even the Broker John Poletto got more then that right?

"As you know better than anyone, whether or not Dad adequately provided for us during his lifetime, Dad's final wishes were to leave his assets equally to his grandchildren, 3 of whom are your children. Your disappointment about this and your resulting actions are helping to ensure that most of his assets will be squandered in administrative costs and professional fees. Over the past three years, in my role as a fiduciary attempting to carry out Mom and Dad's final wishes, I have tolerated an incredible amount of abuse from you. "

Just so there is no error here to who ever you are spewing this bullshit to. YOU are the one who has hurt the grandkids and you have NOT carried out ANY final wishes. You are the abuser and NOT the VICTIM. You appear to be delusional, must be all that botox and the ???

"We hardly know one another, having virtually no contact over the past 30 years. Since our Dad died, you have made unfounded accusations about me that appear to be part of a playbook repeated by you over the past 20 years to intimidate and bully those who do not agree with you. Your motivation for slandering and defaming innocent people online is malicious and serves no purpose to the efficient administration of the trusts and estates. "

Slandering and Defaming "innocent" people? Really? Have you even read the thousands of documents of proof of the iViewit case, or even this estate case? Your CAUGHT Ted. Who ever you worked for or with at Proskauer, you are ALL caught. It is now only a matter of time before an honest court steps up to indict you ALL.

No Playbook, just Rules of Procedure and the LAW, oh and some pretty good reporting of course.

WOW, a full days wages fo National Empl...

Pam and Ted CUT out of th they seem to be...

Whatch all worried about? Judgement...

Not Getting Much Work Do ya? I sure ho...

303 East Wacker Drive Suit Chicago Illinois

STP Enterprises, Inc. - Pan Jackson National Life Distr Registere...

So Where Does Christopher Ex Proskauer...

Carol Ann Kindred at Herit. Insurance...

Heritage Union Life Insurar is well awar...

So, who at Jackson Nation: palms, all ...

So is Pamela Simon the rea all this?...

Jackson National Life Insur has HUGE L...

oh and Don't Forget the BU CONDO and how...

More on Michael A. Wells, . National Life Co...

Looks to me like Jackson N Little SPOO...

So Funny, that Heritage Ur Insurance Compa...

Heritage Union Life Insurar is well awar...

Ted Bernstein



Life Insurance Concepts

Blog Posts

- Is Google Really the Best W a Fraud, ...
- Welcome Back, How is tha Investigation Goi...
- Order for Discharge and W Counsel Tesc...
- Morgan Stanley Group New Tescher & Spalli...
- Judge Martin Colin seems t the Right Thi...
- Why is Ted Bernstein NOT this Story? ...
- Motion to Halt Hat Trick. C Believe this is ...
- Hmmm.. Friend or Foe?
- Alan B. Rose, Mrachek, Ft: Konopka &...
- Hello Marc Randazza, Welc PARTY, Hope yo...

"You endlessly level accusations against people without providing proof for what you claim. "

WHAT? Have you NOT read THOUSANDS, literally thousands of documents of proof in this and other cases connected in any way to Eliot Bernstein?

"Mom and Dad chose Tescher and Spallina to draft their documents and to act as their trusted fiduciaries upon their deaths."

YEP and Tescher and Spallina chose to go against their wishes, hook up with you and sign their name after they died and try and run off with millions. Can you say Aid and Abet?

"Members of that firm admittedly acted illegally and with poor judgment. I am sure Mom and Dad would not be pleased to learn what occurred. But their wrongdoing, which has been investigated by the proper agencies and will be addressed in a prudent manner, does not justify your continued and disruptive actions."

It doesn't? Well you helped them do those illegal acts Ted? You are the one who had poor judgement and broke the law right? Did you not work with them?

And here you are saying they admitted to the crimes, yet you want blogs talking about the crimes to stop publishing what you allege to be slander and defamation?

"Moreover, none of the people or professional firms who now provide service or act as fiduciaries, including myself, played any role in the creation of these documents. Despite these realities, you have made allegations for 3 years now, about all of us, ranging from murder, explosions and illegal Botox funding. Every allegation you have made remains only that, allegations and accusations made by you and Crystal Cox, your partner. No proof, no charges, no investigations. Nothing but carefully constructed blogs designed to trick unknowing readers."

I am not out to TRICK anyone. If these "unknowing readers" come to my blog, they can read the documents of the case and think for themselves. Read your depositions, read the police report and you claiming there was a murder then changing your story, they can KNOW as they read all of your words, and all of the documented proof that I give them and THINK for themselves. There is no requirement to believe me. I always insist that the reader have a BRAIN.

"Every single matter you are involved in results in you claiming fraud, car bombings, theft, ethical violations, professional misconduct, judicial misconduct, law suits, threats - and ultimately, online defamation of your opponents. None of these matters are related yet you use the same tactics each and every time."

Umm hey DUMBASS, there was a car bombing. Do you even give a shit that your family had someone try and murder them? hmm I guess not, why would you?

opponent?? defamation?? you mean the criminals who robbed him and his family?

"You represent yourself as an attorney, although you are not a lawyer and have no legal background or training whatsoever. Representing yourself, without competent legal counsel, you play without rules and eviscerate the boundaries and rules which counselors and judges must follow. "

Being pro se is a THING, dumb dumb. And Eliot is VERY good at it. Read the legal documents or have someone read them to you, ya um seems to be doing a very good job in the face of MASSIVE EVIL, and not just your face.

"You sued Florida Supreme Court justices, New York Supreme Court justices and dozens of companies and individuals. In New York, Judge Schiendlin has enjoined you from filing additional claims based upon your belief that your trillion-dollar technology has been stolen through a conspiracy involving courts, judges, lawyers and major tech companies, and entered sanctions against you."

"You have also litigated unsuccessfully in other states, including Nevada. Your behavior in the Palm Beach county courts is remarkably similar. You are a vexatious litigant. This appears to be a crucial part of your method."

Ummmm WHAT? Did Eliot litigate in Nevada? I have not seen

You signed a contract with Mom and Dad more than 10 years ago agreeing that you would never sue any member of your family. As a planner dealing in this area for the past 30 years, I have never heard of any other similar agreement between a child and his parents.

All of us understand that the death of Mom and Dad has been emotionally devastating for you and economically destabilizing. Your sisters and I understand the special challenges and circumstances you face and as a result, we have been extremely tolerant."

Tolerant? You have been made to obey the law and you have hated it, you have done all you can to be EVIL and have not been TOLERANT in any way"

- Alan Rose Wants the First / Be Set Asid...
- Hey Liars, Thugs, Thieves, Murdering, Gre...
- Hey Alan B. Rose, Mrachek Rose, Konop...
- Judge Martin Colin has a hi protecting the...
- I keep waiting for Judge M punish, o...
- Whatch hiding FROM Boys?
- Hey Flushing New York .. it or possib...
- Objection to Motion to Wit Personal Repres...
- Objection to Motion to Wit Personal Repres...
- I am getting me some "bad that somethin...
- Why is Heritage Union Life Company Filin...
- "Criminal Action through Simulated Legal Pr...
- Letter to Judge Martin Coli Opposition to Ted...
- What is Going on with Jane about not ...
- Motion for Appointment of Administrator...
- Ted Petition for Appointme Successor Personal...
- Alan Rose Esq., John J. Pa Pankauski Law F...
- Chicago Insurance and Cor Litigation Law Fi...
- Morgan Stanley Group, Tec and Tescher & ...
- Wow, the Fraud Sure Seem Up. Is Ted ...
- Full Docket Of Heritage Un Insurance Case ...
- Heritage Lawsuit Illinois, R Response Regar...
- Reported as a Murder, yet checked is medic...
- "The Document in Questior Inheritance ...
- Looks like the Tescher & S Bernstein F...

Ted Bernstein, Tescher and Spallin

- Florida Estate Forgery, Fri DOCKET

Donald Tescher on Left



Ted Bernstein, Tescher and Spallin

- Florida Estate Forgery, Fri DOCKET

Blog Archive

▼ 2015(124)

"But history is repeating itself and you have turned this into an abusive tirade against your family. After 3 years of baseless accusations about fraud, frauds and fraud on the courts, enough is enough. "

Baseless, Really? You hypocrite, you just admitted above that there was fraud, illegal activity and poor judgement and now it's all baseless? What a Dumb Ass.

"The online defamation and slandering is simply no longer an acceptable way for you to cope."

Not about COPING Teddy, it is about reporting on corruption in the Probate court in FLORIDA. Actually documents and information, REPORTING and not a way to "cope".

"I am not suggesting that you stop your campaign to prove what you believe - that is your prerogative. I am, however, pleading with you to immediately stop the defamation and the slander about me and the other innocent professionals. "

What? Eliot cannot stop something he is not doing and Eliot is not my partner, and has no access or control over my blogs in ANY way. And there are no "innocent professionals", they know who broke the law and have not upheld their oath of honor, ethics and to the constitution. THEY have violated their professional standards and they are being exposed, period.

".. Please remove these sites from the Internet and instruct your partner, Crystal Cox, to do the same. If you do not immediately remove these sites and my name from them, you are leaving me with no other choice but to pursue all available legal remedies. "

Eliot has NOT ever controlled my blogs, nor will he ever. And the TRUTH about you and these not so professional professionals will remain online, eternally, no matter what, so there is that.

Eliot is not MY PARTNER, he is one of thousands of victims of corruption I report on my thousands of blogs. So what is this huff and puff legal remedy you are going to do to Eliot about my blogs? Well I guess we shall wait and see.

Ted

Posted by Crystal L. Cox at 8:40 PM No comments:

Recommend this on Google

Friday, September 11, 2015

John Poletto, YOU are LIABLE for what Ted Bernstein and Alan Rose talked you into doing. YOU know what is LAW and what is NOT. Tell the TRUTH now and maybe avoid going to jail with them.

Visitor Analysis & System Spec			
Search Referral:	https://www.google.com/ (Keywords Unavailable)		
Host Name:	c-98-219-94-233.hsd1.fl.comcast.net	Browser/OS:	Safari iPad/iOS
IP Address:	98.219.94.233 -- [Label IP Address]	Mobile Device:	Apple iPad
Location:	Boca Raton, Florida, United States	Resolution:	768x1024
Returning Visits:	0	Javascript:	Enabled
Visit Length:	Not Applicable	ISP:	Comcast Cable

Navigation Path

Date	Time	WebPage
5 Sep	08:16:42	tedbernsteinreport.blogspot.com/2014/07/john-poletto-and-mark-nestler-ted.html

Posted by Crystal L. Cox at 11:25 PM No comments:

Recommend this on Google

- ▼ September(8)
 - oh and you do know th... a Constitution...
 - Hey Alan
 - POOR Baby Ted Bernste Use his Legal ...
 - John Poletto, YOU are i what Ted Bernstei...
 - Hello Pam, so ya ready TRUTH, the whol...
 - Oppenheimer iViewit - wait to see what h...
 - Mcknight Dallas Real Es this, what's ...
 - Hello John Pankauski, 1 party of all...
- ▶ August(3)
- ▶ July(1)
- ▶ June(4)
- ▶ May(22)
- ▶ April(63)
- ▶ March(8)
- ▶ February(7)
- ▶ January(8)
- ▶ 2014(248)
- ▶ 2013(31)

Hello Pam, so ya ready to tell the TRUTH, the whole Truth and nothing but the TRUTH about Ted and Alan Rose or go to the BIG HOUSE with em?

Visitor Analysis & System Spec			
Search Referral:	https://www.google.com/ (Keywords Unavailable)		
Host Name:	c-73-22-164-177.hsd1.il.comcast.net	Browser:	Chrome 45.0
IP Address:	73.22.164.177 -- [Label IP Address]	Operating System:	Win8 .1
Location:	Northbrook, Illinois, United States	Resolution:	1920 x1080
Returning Visits:	0	Javascript:	Enabled
Visit Length:	41 seconds	ISP:	Comcast Cable

Navigation Path

Date	Time	WebPage
		https://www.google.com/ (Keywords Unavailable)
5 Sep	20:09:52	tedbersteinreport.blogspot.com/2014/06/stp-enterprises-inc-pamela-simon.html
5 Sep	20:10:22	investing.businessweek.com/research/stocks/private/snapshot.asp?privcapId=30771400 (Exit Link)
		https://www.google.com/ (Keywords Unavailable)
5 Sep	20:10:33	tedbersteinreport.blogspot.com/2014/06/stp-enterprises-inc-pamela-simon.html

Posted by Crystal L. Coxat 11:19 PM No comments:

Recommend this on Google

Oppenheimer iViewit - Gee can't wait to see what happens.. oh ya the TRUTH coming soon to a court near you.

Visitor Analysis & System Spec			
Search Referral:	https://www.google.com/ (Keywords Unavailable)		
Host Name:	c-50-186-203-60.hsd1.fl.comcast.net	Browser:	Chrome 44.0
IP Address:	50.186.203.60 -- [Label IP Address]	Operating System:	Win10
Location:	Boca Raton, Florida, United States	Resolution:	1600 x1200
Returning Visits:	0	Javascript:	Enabled
Visit Length:	Multiple visits spread over more than one day	ISP:	Comcast Cable

Navigation Path

Date	Time	WebPage
		https://www.google.com/ (Keywords Unavailable)
25 Aug	12:28:57	tedbersteinreport.blogspot.com/
		https://www.facebook.com/
25 Aug	12:29:38	tedbersteinreport.blogspot.com/
		nortonsafe.search.ask.com -- oppenheimer iviewit
6 Sep	11:48:08	tedbersteinreport.blogspot.com/2014/07/what-really-happened-in-sudden-death-of.html
6 Sep	11:48:11	tedbersteinreport.blogspot.com/2014/07/what-really-happened-in-sudden-death-of.html
		tedbersteinreport.blogspot.com/
		https://www.google.com/ (Keywords Unavailable)
8 Sep	09:04:00	tedbersteinreport.blogspot.com/2014/06/stp-enterprises-inc-pamela-simon.html
8 Sep	09:04:15	investing.businessweek.com/research/stocks/private/snapshot.asp?privcapId=30771400 (Exit Link)
		https://www.google.com/ (Keywords Unavailable)
8 Sep	09:04:26	tedbersteinreport.blogspot.com/2014/06/stp-enterprises-inc-pamela-simon.html

Posted by Crystal L. Coxat 11:14 PM No comments:

Recommend this on Google

Mcknight Dallas Real Estate - who is this, what's up?

Visitor Analysis & System Spec			
Search Referral:	www.google.com/ (#1) (Keywords Unavailable)		
Host Name:	208_86_164_214.marketscout.com	Browser:	IE 11.0
IP Address:	208.86.164.214 -- [Label IP Address]	Operating System:	Win7
Location:	Dallas, Texas, United States	Resolution:	1366 x768
Returning Visits:	0	Javascript:	Enabled
Visit Length:	Not Applicable	ISP:	Mcknight Dallas Real Estate, Lp

Navigation Path

Date	Time	WebPage
		www.google.com/ (#1) (Keywords Unavailable)
11 Sep	15:02:39	tedbernsteinreport.blogspot.com/

Posted by Crystal L. Coxat 11:07 PM No comments:

Recommend this on Google

Hello John Pankauski, YOU are still a party of all this. Maybe it's time to come clean on what you know about Ted Bernstein and Alan Rose. The TRUTH will come out in the right court, an honest court one day.

Visitor Analysis & System Spec			
Search Referral:	https://www.google.com/ (Keywords Unavailable)		
Host Name:	cpe-24-164-135-152.nyc.res.rr.com	Browser:	Safari 8.0
IP Address:	24.164.135.152 -- [Label IP Address]	Operating System:	OS X
Location:	New York, United States	Resolution:	1600 x900
Returning Visits:	0	Javascript:	Enabled
Visit Length:	35 mins 36 secs	ISP:	Time Warner Cable

Navigation Path

Date	Time	WebPage
		https://www.google.com/ (Keywords Unavailable)
11 Sep	22:21:22	tedbernsteinreport.blogspot.com/2014_05_01_archive.html
		https://www.google.com/ (Keywords Unavailable)
11 Sep	22:49:25	tedbernsteinreport.blogspot.com/
		tedbernsteinreport.blogspot.com/
11 Sep	22:50:08	tedbernsteinreport.blogspot.com/2014/06/john-pankauski-pankauski-law-firm-alan.html
		https://www.google.com/ (Keywords Unavailable)
11 Sep	22:50:31	tedbernsteinreport.blogspot.com/
		tedbernsteinreport.blogspot.com/
11 Sep	22:50:57	tedbernsteinreport.blogspot.com/2014/02/alan-rose-esq-john-j-pankauski.html
		https://www.google.com/ (Keywords Unavailable)
11 Sep	22:51:22	tedbernsteinreport.blogspot.com/
		https://www.google.com/ (Keywords Unavailable)
11 Sep	22:56:58	tedbernsteinreport.blogspot.com/2014/06/so-what-perp-do-we-have-at-proskauer.html

Posted by Crystal L. Coxat 11:04 PM No comments:

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IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT,
IN AND FOR PALM BEACH COUNTY, FLORIDA
PROBATE DIVISION "IH"

Case No. 50 2012-CP-4391 XXXX NB

IN RE: THE ESTATE OF:
SIMON BERNSTEIN,
Deceased.

**ORDER SETTING MARCH 16, 2017 HEARING FROM 2:00 TO 4:00 AND
ESTABLISHING PROCEDURE**

THIS MATTER came before the Court February 16, 2017 and March 2, 2017 on the following matters:

1. October 7, 2016, D.E. 496, Stansbury's Motion to Vacate in Part the Court's Ruling on September 7, 2016, and/or Any Subsequent Order, Permitting the Estate of Simon Bernstein to Retain Alan Rose and Page, Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A. as Legal Counsel and Motion for Evidentiary Hearing to Determine Whether Rose and Page, Mrachek are Disqualified from Representing the Estate Due to an Inherent Conflict of Interest.
2. November 28, 2016, D.E. 507, Stansbury's Motion to Disqualify Alan Rose and Page, Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A. as Legal Counsel for the Estate of Simon Bernstein Due to an Inherent Conflict of Interest.

Present before the Court were Peter Feaman, Esquire on behalf of William Stansbury; Alan Rose, Esquire on behalf of Ted Bernstein, Trustee, Brian O'Connell as Personal Representative, Eliot Bernstein as interested party.

At the beginning of the February 16, 2017 the Court advised from this point forward pleadings and filings shall consist only of a Motion / Petition; Response; and, Reply. No additional filings shall be presented without leave of court.

At the conclusion of the hearing March 2, 2017 the Court ordered closing arguments of no more than 10 double spaced pages should be submitted to the Court no later than March 9, 2017 on the above two issues.

The Court is also ordering no further pleadings or filings exceed 10 double spaced pages without requesting leave of Court.

In open Court the Court advised that on March 16, 2017 the Court shall hear the following matters:

1. Trustee's Motion to Approve Retention of Counsel and to Appoint Ted S. Bernstein as Administrator Ad Litem to Defend Claim Against Estate by William Stansbury [D.E. 471]
2. Stansbury's Motion of Creditor for Discharge from Further Responsibility for the Funding of the Estate's Participation in the Chicago Life Insurance Litigation and for Assumption of Responsibility by the Estate and for Reimbursement of Advanced Funds [D.E.448], seeking to vacate, alter or amend Judge Colin's Order [D.E. 133: Order Appointing Administrator Ad Litem to Act on Behalf of Estate of Simon Bernstein etc.]

No other matters shall be heard by this Court on March 16, 2017 without Court approval and a revised order being issued.

The Court has previously given all parties and counsel opportunity to provide materials on the above issues to the Court. Since these matters have been set two other times, and the Court has received no less than one large binder from each party, the Court will receive no further filings / pleadings / case law on these matters prior to March 16, 2017.

DONE AND ORDERED in Palm Beach Gardens, Palm Beach County, Florida this 3rd day of March, 2017.



ROSEMARIE SCHER, Circuit Judge

Copies furnished:

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Diana Lewis, Guardian Ad Litem, 2765 Tecumseh Drive, West Palm Beach, FL 33409; dzlewis@aol.com

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Pamela Beth Simon, 950 North Michigan Avenue, #2603, Chicago. IL 60611; psimon@stpcorp.com

IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT,
IN AND FOR PALM BEACH COUNTY, FLORIDA
PROBATE DIVISION "IH"

Case No. 50 2012-CP-4391 XXXX NB

IN RE: THE ESTATE OF:
SIMON BERNSTEIN,
Deceased.

ORDER DENYING MOTION TO VACATE
AND
DENYING MOTION TO DISQUALIFY FOR INAPPROPRIATE JURISDICTION,
ALTERNATIVELY, DENYING ON ITS MERITS, AND
ORDER DENYING APPOINTMENT OF TED BERNSTEIN AS ADMINISTRATOR AD
LITEM

THIS MATTER came before the Court February 16, 2017, March 2, 2017, and March 16, 2017 on the following matters:

1. October 7, 2016, D.E. 496, Stansbury's Motion to Vacate in Part the Court's Ruling on September 7, 2016, and/or Any Subsequent Order, Permitting the Estate of Simon Bernstein to Retain Alan Rose and Page, Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A. as Legal Counsel and Motion for Evidentiary Hearing to Determine Whether Rose and Page, Mrachek are Disqualified from Representing the Estate Due to an Inherent Conflict of Interest.
2. November 28, 2016, D.E. 507, Stansbury's Motion to Disqualify Alan Rose and Page, Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A.¹ as Legal Counsel for the Estate of Simon Bernstein Due to an Inherent Conflict of Interest.
3. Evidentiary Hearing on Trustee's Motion to Approve Retention of Counsel and to Appoint Ted S. Bernstein as Administrator Ad Litem to Defend Claim Against the Estate by William Stansbury, D.E. 471, Objection to Trustee's Motion to Appoint Ted S. Bernstein as Administrator Ad Litem to Defend Claim Against Estate by William Stansbury, D.E. 475, and Order Granting Retention of Counsel and Deferring on Administrator Ad Litem, D.E. 495

¹ Hereafter, "Mrachek Firm" unless quoted separately from an Order or document.

Present before the Court were Peter Feaman, Esquire on behalf of William Stansbury (hereafter “Stansbury”); Alan Rose, Esquire on behalf of Ted Bernstein, Trustee, Brian O’Connell as Personal Representative of the Estate of Simon Bernstein, Eliot Bernstein as interested party. The parties presented their testimony and evidence. Thereafter, pursuant to the Court’s March 3, 2017 Order, the parties were to submit written closing arguments and proposed orders no later than March 9, 2017².

The Court carefully evaluated and weighed the testimony presented, considering the intelligence, frankness, credibility, plausibility, character, and competence of each witness, all the while being cognizant of the interests of the parties in the outcome of the case. Based on the forgoing, giving the evidence and testimony the weight it deserves, the Court has resolved any conflicts in the evidence. After evaluating the witnesses’ testimony, exhibits, and the applicable law, and being otherwise informed in the premises, the Court makes the following findings of fact:

1. On July 24, 2014, “the parties having agreed to the appointment,” this Court entered an Order Appointing Successor Personal Representative, Brian M. O’Connell, Esquire, D.E. 219. The letters issued on July 24, 2014 give Brian O’Connell, as the Personal Representative of the Estate of Simon Bernstein, the “full power to administer the estate according to law; to ask, demand, sue for, recover”
2. Pursuant to Fl. Stat. 733.612(19), *without court order*, a personal representative acting reasonably for the benefit of the interested persons may properly employ persons, including, but not limited to, attorneys. Moreover, pursuant to 733.612(20) the Personal Representative, *without court order*, has the power to prosecute or defend claims or

² On March 10, 2017 Eliot Bernstein filed a motion to accept a late filing in excess of the given page limit. While the Court acknowledges the late filing and will give it the weight appropriate, this Court will not condone or excuse violations of its Order.

proceedings in any jurisdiction for the protection of the estate and of the personal representative.

3. On September 1, 2016 the parties presented to the Court on Successor Trustee's [Brian O'Connell's] Motion to Approve Retention of Counsel AND, to Appoint Ted S. Bernstein as Administrator Ad Litem to Defend Claim Against Estate by William Stansbury.
4. On September 29, 2016, D.E. 495, this Court entered its Order Approving Retention of Counsel and Deferring Ruling on Appointment of Ted S. Bernstein as Administrator Ad Litem to Defend Claim Against Estate by William Stansbury. This Order states, "The Court, having reviewed the Motion and the record, *having been advised in the Motion that the PR and the beneficiaries of the Estate believe this relief will result in a benefit to the Estate, having been advised that William Stansbury has filed a written objection to Ted S. Bernstein serving as Administrator. . . .*" (emphasis added).
5. Notwithstanding the Personal Representative's statutory right to retain counsel without court approval, the September 29, 2016 Order then grants in part and defers in part, stating as follows:

2. The Court approves the retention of the law firm Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A. ("Mrachek-Law") to serve as counsel for Brian O'Connell, as Personal Representative of the Estate of Simon L. Bernstein, for the purpose of defending the Estate in an independent action brought by William Stansbury. The reasonable costs and attorneys' fees incurred by Mrachek-Law in defending the claim shall be paid by the Estate.

3. Unless Stansbury withdraws his objection, the Court will need to conduct an evidentiary hearing on that portion of the motion which seeks the appointment of an administrator

ad litem. The Court will determine at the evidentiary hearing whether to appoint Ted S. Bernstein as administrator ad litem under Rule 5.120, which provides that when necessity arises, "the court may appoint an administrator ad litem . . . without bond or notice for that particular proceeding."

Until the evidentiary hearing, the Court defers ruling on the administrator ad litem issues.

6. Noteworthy is the fact that in the Court's Order appointing the Mrachek Firm, no objection from Stansbury was noted; the only objection noted is to appointment of Ted as administrator ad litem to which an evidentiary hearing would be required.
7. The 2012 independent action brought by William Stansbury referenced in the Court's Order cited above is a 2012 case pending in the Civil Division, 50-2012-CA-013933, Division AN, wherein Stansbury seeks to recover in excess of \$2.5 million from the Estate of Simon Bernstein based upon alleged misconduct of Simon Bernstein. (After Simon's death the Personal Representative of the Estate was substituted as the real party in interest.)
8. Stansbury's claims arise from Stansbury's part ownership and employment with LIC Holdings, Inc. ("LIC") and Arbitrage International Management, LLC ("AIM"), two companies founded by Simon and Ted Bernstein. Stansbury has asserted claims against the Estate of Simon Bernstein for breach of contract, fraudulent inducement, conspiracy, equitable lien, and constructive trust. Stansbury is a claimant, not a creditor, against the Estate. On June 23, 2014 in the independent civil case, 50-2012-CA-013933, the Court entered an Order of Dismissal with Prejudice of Certain Parties and Claims; specifically, the Court dismissed Defendants, Ted S. Bernstein, individually, LIC Holdings, Inc., Arbitrage International Management, LLC, f/k/a Arbitrage International Holdings, LLC and the Shirley Bernstein Trust Agreement dated May 20, 2008, D.E. 214.
9. Pending ending in Illinois is the case of *Simon Bernstein Irrevocable Insurance Trust Dtd. 6/21/95, Ted Bernstein, et al. v. Heritage Union Life Insurance Company, et al.*, Case No. 13

CV 3643, United States District Court for the Northern District of Illinois (the “Insurance Litigation”). This case commenced after Simon’s death and seeks to have the Court determine the rightful owners of Simon’s 1.7 million dollar life insurance death benefit proceeds. Ted Bernstein, individually, and as an alleged Trustee of a purported lost trust document, and his siblings, Pamela Simon, Jill Iantoni, and Lisa Friedstein, as Plaintiffs, seek to recover the \$1.7 million dollar life insurance proceeds for the ultimate benefit of Simon Bernstein’s adult children.

10. The Simon Trust is the primary beneficiary of the Estate via a pour over will. The beneficiaries of the Trust are Simon’s ten grandchildren. Initially, the Estate was not a party to the Insurance Litigation. The Illinois Court denied Stansbury the right to intervene in the Insurance Litigation. Subsequently, the Estate, at the request of Stansbury in the instant probate litigation, intervened. Stansbury is funding the Estate’s costs and fees in the Illinois litigation based on this Court’s dated May 23, 2014. Clearly, Stansbury, as a claimant of the Estate, seeks to benefit from the Estate’s collection of the insurance proceeds *if* Stansbury prevails in his civil independent action against the Estate.
11. Stansbury argues that Mrachek Firm represented Ted in his deposition in the Insurance Litigation in Illinois. Illinois counsel for Ted as the Plaintiff attended the deposition. Apparently, O’Connell agreed not to attend the trial to save money. Mrachek Firm never filed a notice of appearance in the Illinois Court. It is undisputed that Elliot and Stansbury were present during that deposition. Ted was examined extensively by counsel for the Estate. Mrachek Firm objected approximately four times. The deposition was taken prior to the trial in Palm Beach County to determine the validity of the will and trusts. There is no indication that Mrachek Firm was acting in any capacity other than on behalf of Ted as Trustee in an effort to protect any interests in the validity dispute.

12. On October 7, 2016, D.E. 496, in the instant probate action Stansbury filed his Motion to Vacate in Part the Court's Ruling on September 7, 2016, and/or Any Subsequent Order, Permitting the Estate of Simon Bernstein to Retain Alan Rose and Page, Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A. as Legal Counsel and Motion for Evidentiary Hearing to Determine Whether Rose and Page, Mrachek are Disqualified from Representing the Estate Due to an Inherent Conflict of Interest.

13. In D.E. 496, Stansbury's Motion to Vacate, Stansbury states as follows:

1. Stansbury filed a lawsuit styled *William E. Stansbury v. Ted Bernstein, et al*, Case No. 50 2012 CA 013933 MB AA, Palm Beach County, Florida against Simon Bernstein ("Simon"), Ted Bernstein ("Ted") and several corporate defendants in August of 2012 to collect compensation, and other damages due Stansbury arising out of an insurance business in which Stansbury, SIMON and TED were principals. Stansbury asserted claims against Simon and Ted both as agents of the corporate defendants and in their individual capacities (the claims against TED and the companies have settled). The Shirley Bernstein Trust was dropped as a Party.

14. After Simon died, the Estate was substituted into the lawsuit; Ted Bernstein serves as Trustee of the July 25, 2012 "Simon Trust". It is undisputed that Stansbury has settled the claims against Ted, individually, and as to the corporate defendants. It is undisputed that Mrachek Firm represented some of the dismissed corporate defendants in the civil independent lawsuit set forth above.

15. Mrachek Firm represents Ted Bernstein, as Trustee of the Simon Trust, the sole residuary beneficiary of the Estate with the exception of certain personal property, in the current probate litigation involving the Estate of Simon, 50-2012-CP-4391. The Simon Trust is a pour over trust and Simon's ten grandchildren are the beneficiaries of the Simon Trust.

16. On November 28, 2016, D.E. 507, Stansbury filed his Motion to Disqualify Alan Rose and Page, Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A. as Legal Counsel for the Estate of Simon Bernstein Due to an Inherent Conflict of Interest.
17. Elliot Bernstein joins Stansbury's opposition to the appointment of Mrachek Firm. Elliot is a residuary beneficiary of any tangible property of the Estate. All other beneficiaries (Trust Beneficiaries) approve the retention of the Mrachek Firm.
18. Stansbury's Motion to Vacate, D.E. 496, and Stansbury's Motion to Disqualify, D.E. 507, are *not* based on perceived conflict arising out of the Mrachek Firm and alleged association or representation of William Stansbury, Plaintiff in the civil suit. ***It is undisputed that the Mrachek Firm never represented Stansbury, obtained any confidential information from Stansbury, or attempted to use, obtained, or are in possession of privileged information regarding Stansbury and now must be disqualified.*** In fact, there was no evidence that Mrachek has obtained or used any information that would prejudice a current or former client.
19. Stansbury is objecting to the Personal Representative's choice of counsel for the Estate based on a perceived conflict from Mrachek's Firm's representation of Ted as Trustee of the Simon Trust.
20. With regard to the Motion to Vacate Judge Phillip's Order, the Court finds, without court order, the Personal Representative has the right to retain counsel to defend lawsuits. Independent of the same, after a hearing wherein no objection was raised, Judge Phillips granted the retention of the Personal Representative's choice of counsel. This Court denies the motion to vacate.
21. With regard to the Motion to Disqualify, the parties have all stipulated and agreed that the undersigned judge should decide this matter versus the civil judge in the probate proceeding.

The parties' rationale is that since the prior judge approved the retention of counsel by the Personal Representative, this Court should make the decision on whether to disqualify Mrachek Firm from another judge's case. Stansbury is objecting *as the Plaintiff* in the civil lawsuit to the Defendant's choice of counsel. Specifically, Stansbury, Plaintiff, objects to the Defendant, Estate's choice of counsel via the Personal Representative of the Estate. Elliot believes there has been a continuing fraud being perpetrated by the Court and Ted; Elliot joins Stansbury's objection.

22. Despite the parties' stipulation allowing this Court to decide whether Mrachek Firm should be disqualified from representing the Estate in the civil case, this Court is hard pressed to see how this Court can rule on a matter in a separate case without the other judge's approval / acquiesce of the same. This Court hereby finds this Court is not the proper forum and the matter should be heard in the civil litigation. However, if in fact the other Court chooses to accept this Court's findings in order to conserve judicial resources and the efficiency of justice, since this Court heard in excess of six hours of evidence and testimony, this Court would deny the motion to vacate and to disqualify on the merits.

23. Stansbury has alleged disqualification of Mrachek Firm is appropriate under Florida Rule Regulating the Florida Bar, 4-1.7(a):

Rule 4-1.7. Conflict of Interest; Current Clients

(a) Representing Adverse Interests. Except as provided in subdivision (b), a lawyer must not represent a client if:

- (1) the representation of 1 client will be directly adverse to another client; or
- (2) there is a substantial risk that the representation of 1 or more clients will be materially limited by the lawyer's responsibilities to another client, a former client or a third person or by a personal interest of the lawyer.

(b) Informed Consent. Notwithstanding the existence of a conflict of interest under subdivision (a), a lawyer may represent a client if:

- (1) the lawyer reasonably believes that the lawyer will be able to provide competent and diligent representation to each affected client;
- (2) the representation is not prohibited by law;
- (3) the representation does not involve the assertion of a position adverse to another client when the lawyer represents both clients in the same proceeding before a tribunal; and
- (4) each affected client gives informed consent, confirmed in writing or clearly stated on the record at a hearing.

(c) Explanation to Clients. When representation of multiple clients in a single matter is undertaken, the consultation must include an explanation of the implications of the common representation and the advantages and risks involved.

24. Again, Stansbury is not asserting Mrachek Firm ever represented Stansbury. The Personal Representative of the Estate, Brian O'Connell, executed the PR's Statement of Its Position That There is No Conflict and His Waiver of Any Potential Conflict. Mr. O'Connell also testified that it is his opinion that the Estate would be best served by the Mrachek Firm being retained.
25. The comment Rule 4-1.7 states as follows:

Conflict charged by an opposing party

Resolving questions of conflict of interest is primarily the responsibility of the lawyer undertaking the representation. In litigation, a court may raise the question when there is reason to infer that the lawyer has neglected the responsibility. In a criminal case, inquiry by the court is generally required when a lawyer represents multiple defendants. Where the conflict is such as clearly to call in question the fair or efficient administration of justice, opposing counsel may properly raise the question. Such an objection should be viewed with caution, however, for it can be misused as a technique of harassment. See scope.

26. The Court has reviewed all the testimony, case law, positions of the parties, and considered the position of the Estate as expressed by the Personal Representative, an experienced Estate and Probate Attorney.

27. The Estate's goal in the Stansbury litigation is to defend against Stansbury's claim and minimize Stansbury's recovery. The Mrachek Firm has extensive knowledge of this lawsuit. Given Stansbury is the Plaintiff in that lawsuit, the Court embraces the Comment to Rule 4-1.7 and heeds its warning. The Court finds no conflict in affirming the Personal Representative's choice of counsel, the Mrachek Firm, to defend the Estate in the Stansbury litigation. Additionally, this Court finds that if in fact there is a conflict, it has been waived by the Personal Representative.

28. The Court now turns to the question of whether Ted Bernstein should be appointed by the Court as an Administrator Ad Litem on behalf of the Estate in the Stansbury litigation.

29. Florida Statute 733.308 Administrator ad litem states as follows:

When an estate must be represented and the personal representative is *unable to do so*, the court shall appoint an administrator ad litem without bond to represent the estate in that proceeding. The fact that the personal representative is seeking reimbursement for claims against the decedent does not require appointment of an administrator ad litem.

(emphasis added).

30. Brian O'Connell testified in Court that it is his position that the appointment of Ted would be in the best interest of the Estate for the following reasons: Ted has the most knowledge of the claims; Ted will not charge the estate and Mr. O'Connell would charge for his time; the appointment is limited to the civil litigation and has no overlap with the Insurance Litigation in Illinois; Mr. O'Connell's busy schedule would delay the litigation's progress; and, he would still be intricately involved with any negotiations on behalf of the Estate. There is no indication that Mr. O'Connell is unable to represent the Estate.

31. The parties stipulated to the March 13, 2017 deposition of Brian O'Connell coming into evidence. Stansbury's counsel, Mrachek Firm, and Elliot all had the opportunity to question Mr. O'Connell regarding his positions regarding the Estate being represented by Ted as administrator ad litem. Additionally, all parties questioned Mr. O'Connell regarding his

position on whether the Estate should continue in the Insurance Litigation. It is Mr.

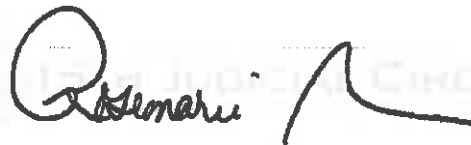
O'Connell's position that the Estate should continue its positions in the Insurance Litigation.

32. The Court finds Mr. O'Connell to be credible. Conserving the Estate's assets by not having to pay the Personal Representative to be involved in the Stansbury litigation is a laudable goal; nonetheless, the Court cannot ignore the fact that the Estate and Ted are adverse in the Illinois lawsuit. Moreover, Mr. O'Connell is capable of representing the Estate. While the Illinois action is still pending, the Court declines to appoint Ted as Administrator Ad Litem.

IT IS ORDERED AS FOLLOWS:

The Court **DENIES** Stansbury's motions seeking to vacate the retention order of September 7, 2016, and to disqualify the Mrachek Firm. The Court **DENIES** appointment of Ted Bernstein as Administrator Ad Litem.

DONE AND ORDERED in Chambers, North County Courthouse on ^{April 27,} ~~3rd~~, 2017.



HONORABLE ROSEMARIE SCHER

cc: All parties on the attached service list

IN RE: Estate of SIMON L. BERNSTEIN
 File No.: 502012CP004391XXXXNB IH
 Notice of Hearing for 3/21/17

SERVICE LIST

<p>Alan B. Rose, Esq. Page, Mrachek, Fitzgerald & Rose, PA. 505 S. Flagler Dr., Suite 600 West Palm Beach, FL 33401 (561) 355-6991 arose@mrachek-law.com mchandler@mrachek-law.com</p>	<p>John P. Morrissey, Esq. 330 Clematis St., Suite 213 West Palm Beach, FL 33401 john@jmorrisseylaw.com</p>	<p>Diana Lewis obo Joshua, Jacob and Daniel Bernstein. ADR & Mediation Services, LLC 2765 Tecumseh Drive West Palm Beach, FL 33409 (561) 758-3017 dzlewis@aol.com</p>
<p>Peter Feaman, Esq. Peter M. Feaman, P.A. 3695 Boynton Beach Blvd., Suite 9 Boynton Beach, FL 33436 pfeaman@feamanlaw.com</p>	<p>Shendell & Pollock, P.L. 2700 N. Military Trail, suite 150 Boca Raton, FL 33431 241-2323 Fax: 241-2330 Gary R. Shendell, Esq. gary@shendellpollock.com estella@shendellpollock.com grs@shendellpollock.com Kenneth S. Pollock, Esq. ken@shendellpollock.com britt@shendellpollock.com grs@shendellpollock.com Matthew A. Tornincasa, Esq. matt@shendellpollock.com robyne@shendellpollock.com grs@shendellpollock.com</p>	<p>Max Friedstein 2142 Churchill Lane Highland Park, IL 60035</p>
<p>Eliot Bernstein 2753 N.W. 34th St. Boca Raton, FL 33434 iviewit@iviewit.tv</p>	<p>Pamela Beth Simon 950 N. Michigan Ave., Apt. 2603 Chicago, IL 60611 psimon@stpcorp.com</p>	<p>Lisa Friedstein and Carley Friedstein, Minor c/o Jeffrey and Lisa Friedstein Parent and Natural Guardian 2142 Churchill Lane Highland Park, IL 60035 Lisa@friedsteins.com Lisa.friedstein@gmail.com</p>
<p>Jill Iantoni and Julia Iantoni, a Minor c/o Guy and Jill Iantoni, her Parents & Natural Guardians 2101 Magnolia Lane Highland Park, IL 60035 jilliantoni@gmail.com</p>	<p>Brian M. O'Connell, Esq. Ashley Crispin Ackal, Esq. Ciklin Lubitz & O'Connell 515 N. Flagler Dr., 20th FL West Palm Beach, FL 33401 service@ciklinlubitz.com probateservice@ciklinlubitz.com</p>	<p>Robert Spallina, Esq. rspallina@comcast.net</p>

IN THE FIFTEENTH JUDICIAL CIRCUIT COURT
IN AND FOR PALM BEACH COUNTY, FLORIDA
PROBATE DIVISION
CASE NO: 502012CP004391XXXXNB(IH)

IN RE:
ESTATE OF SIMON L. BERNSTEIN,
Deceased.

/

Proceedings before the Honorable
ROSEMARIE SCHER
Volume I

Friday, June 2, 2017
3188 PGA Boulevard
North County Courthouse
Palm Beach Gardens, Florida 33410
1:53 - 3:30 p.m.

Reported by:
Lisa Mudrick, RPR, FPR
Notary Public, State of Florida

Mudrick Court Reporting, Inc.
(561) 615-8181

Hon. Rosemarie Scher - 06/02/2017
Estate of Simon Bernstein

Page 2		Page 4	
1	APPEARANCES:	1	6
2	On behalf of William E. Stansbury:	2	Amended Petition for
3	PETER M. FEAMAN, P.A.	3	Authorization to Enter into
4	3695 West Boynton Beach Boulevard	4	Contingency Agreement, Docket
5	Suite 9	5	Entry 405
6	Boynton Beach, Florida 33436	6	Inventory 12-1-14
7	BY: PETER M. FEAMAN, ESQUIRE	7	Payment of Checks
8	(Mkoskey@feamanlaw.com)	8	
9	JEFFREY T. ROYER, ESQUIRE	9	
10	(Jroyer@feamanlaw.com)	10	
11	Also present: William Stansbury	11	
12		12	
13	On behalf of Ted Bernstein:	13	
14	MRACHEK FITZGERALD ROSE KONOPKA	14	
15	THOMAS & WEISS, P.A.	15	
16	505 South Flagler Drive, Suite 600	16	
17	West Palm Beach, Florida 33401	17	
18	BY: ALAN B. ROSE, ESQUIRE	18	
19	(Arose@mrachek-law.com)	19	
20	On behalf of the Personal Representative of the	20	
21	Estate of Simon Bernstein:	21	
22	CIKLIN LUBITZ & O'CONNELL	22	
23	515 North Flagler Drive, 19th Floor	23	
24	West Palm Beach, Florida 33401	24	
25	BY: ASHLEY CRISPIN ACKAL, ESQUIRE	25	
	(Acrispin@ciklinlubitz.com)		
	BRIAN M. O'CONNELL, ESQUIRE		
	(Boconnell@ciklinlubitz.com)		
	On behalf of Eliot Bernstein's minor children:		
	ADR & MEDIATION SERVICES, LLC		
	2765 Tecumseh Drive		
	West Palm Beach, Florida 33409		
	BY: THE HONORABLE DIANA LEWIS		
	(Dzlewis@aol.com)		
	On behalf of himself:		
	ELIOT I. BERNSTEIN, pro se		
	(Iviewit@iviewit.tv)		

Page 3		Page 5	
1	- - -	13:53:29-13:53:45	
2	I N D E X	1	PROCEEDINGS
3	- - -	2	- - -
4	EXAMINATIONS	3	BE IT REMEMBERED that the following
5	Witness:	4	proceedings were had in the above-styled and
6	WILLIAM STANSBURY	5	numbered cause in the North County Courthouse, City
7	BY MR. FEAMAN	6	of Palm Beach Gardens, County of Palm Beach, in the
8	BY MR. ELIOT BERNSTEIN	7	State of Florida, by Lisa Mudrick, RPR, FPR, before
9	BY MS. CRISPIN	8	the Honorable ROSEMARIE SCHER, Judge in the
10		9	above-named Court, on June 2, 2017, to wit:
11		10	- - -
12	EXHIBITS MARKED	11	THE COURT: All right. Let's have a seat
13	No. Stansbury's	12	everyone. And let's do general appearances for
14	1 Order Appointing Administrator Ad	13	the record if we can for the court reporter.
15	Litem, 5/23/14	14	We'll start with Ms. Crispin on the far
16	2 Amended Order Appointing	15	right.
17	Administrator Ad Litem, 6/16/14	16	MS. CRISPIN: Yes, good afternoon, Your
18	3 Motion to Intervene	17	Honor. Ashley Crispin on behalf of Brian
19	4 Verified Copy of Order Granting	18	O'Connell, the personal representative of the
20	Motion to Intervene	19	Estate of Simon Bernstein. And he is in the
21	5 Petition for Authorization to	20	back.
22	Enter into Contingency Agreement,	21	THE COURT: Okay.
23	Docket Entry 403	22	MR. ROSE: Alan Rose, Your Honor. I
24		23	represent Ted S. Bernstein as successor trustee
25		24	of the Simon Bernstein Trust, which is the sole
		25	residuary beneficiary of this estate.

<p>13:53:54-13:54:43 Page 6</p> <p>1 THE COURT: Okay.</p> <p>2 MR. ELIOT BERNSTEIN: Eliot Bernstein,</p> <p>3 pro se.</p> <p>4 MR. FEAMAN: Peter Feaman on behalf of</p> <p>5 Mr. Stansbury. With me in the court today is</p> <p>6 Mr. Stansbury.</p> <p>7 THE COURT: Thank you.</p> <p>8 MR. FEAMAN: Also with me is one of my law</p> <p>9 partners who may be participating today</p> <p>10 depending on what happens, Jeff Royer. Thank</p> <p>11 you.</p> <p>12 THE COURT: Okay. All right. Mr. Feaman,</p> <p>13 this is your client's motion --</p> <p>14 MR. FEAMAN: Thank you.</p> <p>15 THE COURT: -- so you may begin.</p> <p>16 MR. FEAMAN: Thank you. Brief opening</p> <p>17 statement, Your Honor, if I may.</p> <p>18 First, I am gratified that we had the</p> <p>19 previous hearings concerning the conflict</p> <p>20 allegations because Your Honor had a chance to</p> <p>21 become familiar with what's going on in</p> <p>22 Chicago. And so I would request first that</p> <p>23 Your Honor try your best to harken back to some</p> <p>24 of that knowledge and some of those documents</p> <p>25 may be repetitive, but I am glad we have that</p>	<p>13:56:20-13:57:16 Page 8</p> <p>1 hourly or contingency fee basis which has been</p> <p>2 offered by counsel up in Chicago. And that</p> <p>3 they state that it's in the best interests of</p> <p>4 the estate to continue with the litigation up</p> <p>5 in Chicago.</p> <p>6 When we first had the hearing in front of</p> <p>7 Judge Colin back in May 2014, Your Honor, which</p> <p>8 is now three years ago, there was some question</p> <p>9 raised by the parties in that room at that time</p> <p>10 as to whether this was going to be a wild goose</p> <p>11 chase. And so Judge Colin -- and by the way,</p> <p>12 we did a notice of filing the entire</p> <p>13 transcript, Your Honor, which I will give to</p> <p>14 you at today's hearing if there's not a ruling</p> <p>15 for Your Honor to review. Because only parts</p> <p>16 of it have been cited by opposing counsel. It</p> <p>17 can be somewhat misleading to the Court.</p> <p>18 But there the question was and the issue</p> <p>19 was should the judge appoint Mr. Stansbury as</p> <p>20 administrator ad litem to pursue this. The</p> <p>21 Court said, well, I don't want it to be</p> <p>22 Mr. Stansbury because he is a claimant, but I</p> <p>23 can appoint somebody independent. But because</p> <p>24 there were arguments made that this was not in</p> <p>25 the best interests of the estate, Mr. Stansbury</p>
<p>13:54:56-13:56:05 Page 7</p> <p>1 basis to go forward.</p> <p>2 The first part of this motion, Your Honor,</p> <p>3 should be the easiest, and that's to discharge</p> <p>4 Mr. Stansbury from any further responsibility</p> <p>5 of funding the Illinois litigation on behalf of</p> <p>6 the Estate of Simon Bernstein. There's no</p> <p>7 authority that I am aware of nor have I been</p> <p>8 cited to by anyone else that a claimant can be</p> <p>9 forced to fund litigation that benefits the</p> <p>10 estate. That's number one.</p> <p>11 Number two, the previous orders that began</p> <p>12 this train going down this track of</p> <p>13 Mr. Stansbury funding the Chicago litigation,</p> <p>14 both of whom -- both orders said "initially."</p> <p>15 One said initially, the one that Judge Colin</p> <p>16 entered the day of the hearing on May 23rd.</p> <p>17 And then the second order that came out about</p> <p>18 three weeks later Judge Colin actually wrote in</p> <p>19 "initially" in his order.</p> <p>20 And then thirdly, Your Honor, which we'll</p> <p>21 bring to the Court's attention when we put in</p> <p>22 our evidence, the personal representative has</p> <p>23 filed two motions in this estate saying that</p> <p>24 they would like to take over, they can take</p> <p>25 over the funding of the litigation either on an</p>	<p>13:57:31-13:58:35 Page 9</p> <p>1 volunteered to front the costs. And so that's</p> <p>2 how we went forward. And now here we are three</p> <p>3 years later. It's clear that the evidence will</p> <p>4 show that the estate does want to proceed with</p> <p>5 this action and a benefit has been conferred,</p> <p>6 which gets to the second part of the motion,</p> <p>7 which is Mr. Stansbury should be reimbursed now</p> <p>8 for his expenses that he has incurred.</p> <p>9 The third part of the motion, Your Honor,</p> <p>10 is the actual costs and expenses and fees that</p> <p>11 Mr. Stansbury has paid. And Mr. O'Connell and</p> <p>12 Mr. Rose and I have stipulated that if there's</p> <p>13 a ruling that Mr. Stansbury has benefitted the</p> <p>14 estate, then we would have a separate</p> <p>15 evidentiary hearing if we can't otherwise agree</p> <p>16 on the amount of the fees. Because we want to</p> <p>17 at least get done today what we can get done</p> <p>18 with regard to Mr. Stansbury's right to be</p> <p>19 discharged from funding the estate and whether</p> <p>20 Mr. Stansbury has conferred a benefit so that</p> <p>21 he would -- at this time so that he would be</p> <p>22 entitled to reimbursement of his costs.</p> <p>23 MR. ROSE: Just for the record, that's not</p> <p>24 the stipulation. The only thing we stipulated</p> <p>25 was we don't have to do today the amount. I</p>

<p>13:58:48-13:59:33 Page 10</p> <p>1 certainly don't agree that if you discharge him 2 he gets anything until there's been a benefit 3 to the estate. I can argue that. I didn't 4 want the record to be unclear that I by silence 5 stipulated to something that's not true. 6 MR. FEAMAN: I didn't mean to imply that, 7 Your Honor. 8 THE COURT: I honestly did not think that 9 you agreed to -- I understood. 10 MR. ROSE: We'll do the amount at another 11 time if you are going to award something. 12 THE COURT: I understood. Let me let 13 Mr. Feaman when he has completed his opening I 14 am going to ask the parties questions. So 15 continue. 16 MR. FEAMAN: Okay. Now, in regard to the 17 benefit that Mr. Stansbury has conferred upon 18 the estate, the evidence will show that the 19 original personal representatives, 20 Messrs. Tescher and Spallina, the disgraced 21 attorneys, had no intention of trying to 22 recover this money on behalf of the estate, the 23 life insurance proceeds. They were friends 24 with Ted Bernstein. And their loyalty was not 25 first to the estate, it was to Ted Bernstein</p>	<p>14:01:05-14:01:50 Page 12</p> <p>1 in Chicago his own motion on his own behalf as 2 a claimant to the Bernstein estate to 3 intervene. That motion was denied. But then 4 we had the hearing in May -- first we had 5 Mr. Stansbury filed a motion to appoint an 6 administrator ad litem or a curator for the 7 estate -- 8 THE COURT: That was Mr. Brown; am I 9 correct? 10 MR. FEAMAN: And that was Mr. Brown. And 11 then once Mr. Brown was in place, then 12 Mr. Stansbury moved and said, okay, I would 13 like to intervene, because Mr. Brown said, I 14 don't know, I don't really know enough. So 15 Mr. Stansbury said, well, I will move. And 16 then we had the hearing on the 23rd. 17 The hearing on the 23rd then it was 18 interesting because it was opposed by Ted 19 Bernstein. It was opposed by some of the other 20 attorneys. And Mr. Brown really was kind of 21 neutral. It was before Mr. O'Connell got into 22 that -- became the successor personal 23 representative. 24 So Mr. Stansbury at that hearing through 25 me volunteered to front the fees and costs</p>
<p>13:59:46-14:00:50 Page 11</p> <p>1 who is the plaintiff in that action. In fact, 2 they actively tried to keep the money out of 3 the estate, in clear violation of their duties 4 as PR. 5 At first Mr. Spallina, who was the PR 6 representative, said to the insurance company 7 claims department that he was the trustee of 8 the life insurance trust that's the plaintiff 9 up there. And when he could not prove that 10 that was the case, because they've never come 11 up with a copy of the alleged trust, then they 12 went to plan B. 13 And then Mr. Bernstein is now the 14 plaintiff, Ted Bernstein, in that Chicago 15 action saying he is the trustee of the trust 16 that's the plaintiff. So the insurance company 17 just interplead the funds. 18 Now, it wasn't until the PRs had to resign 19 from the estate in January of 2014 that then it 20 became obvious that there's going to be 21 administrator ad litem, a curator, and that's 22 when Mr. Stansbury said, okay, now that we need 23 a new PR, let's appoint somebody to go and get 24 that money, if possible. 25 And so before that Mr. Stansbury had filed</p>	<p>14:02:04-14:03:02 Page 13</p> <p>1 because we wanted to make sure the estate would 2 get in there. And so Judge Colin was gratified 3 that that was happening. So he signed both 4 those orders. 5 He signed the one order that said in 6 paragraph three that Mr. -- I have that here. 7 This was the order signed on the day of the 8 hearing by the judge. It is attached to our 9 submission. 10 And in paragraph three it says that 11 Mr. Stansbury will, quote, initially the costs 12 will initially be borne by William Stansbury, 13 close quote. Then in paragraph three, the 14 Court will consider any subsequent petition for 15 fees and costs by William Stansbury as 16 appropriate under Florida law. 17 It's the second order that was cited by 18 counsel for the trustee which then says that, 19 well, you are not entitled to, A, get out. And 20 I would disagree with that interpretation of 21 the second order. And that certainly you are 22 not entitled to any fees until such time as 23 there's an actual money judgment, or recovery 24 of money, I should say, under paragraph three. 25 Now, we take issue with that. That's not</p>

<p>14:03:21-14:04:11 Page 14</p> <p>1 Florida law. And, most importantly, when it 2 comes time the hearing itself was not about the 3 circumstances under which Mr. Stansbury would 4 eventually be reimbursed. And that was sort of 5 an add-on after the fact, which we'll get into 6 more later, but in the interests of time 7 because we do want to try to finish today. 8 So I have created a timeline, Your Honor, 9 so that you can get familiar. And if I may 10 approach? 11 THE COURT: You may. 12 MR. FEAMAN: Thank you. 13 THE COURT: Thank you. 14 MR. FEAMAN: You are welcome. 15 THE COURT: Does everybody have a copy of 16 the timeline? Thank you. This is just for 17 demonstrative purposes for the Court? 18 MR. FEAMAN: Yes, Your Honor. I am not 19 offering this. 20 THE COURT: Thank you. 21 MR. FEAMAN: And the timeline shows that 22 in February of 2014, now that the personal 23 representatives Spallina and Tescher are out, 24 Mr. Ted Bernstein had moved to be appointed as 25 independent curator or successor PR.</p>	<p>14:05:46-14:06:44 Page 16</p> <p>1 his motion to be discharged arguing in that 2 motion that we did what we were required to do, 3 the estate's in, and it's time to let the 4 estate bear the burden going forward. 5 That was then, as Your Honor can see in 6 those docket entries there, set for hearing 7 seven times. I think Your Honor having 8 observed this case for the short time that you 9 have can understand why we never got to 10 actually hear that, as there's always so much 11 going on in this case for better or for worse. 12 And so then Judge Phillips came on the 13 case, and so in May we re-filed our motion of 14 2016, we re-filed our motion to have 15 Mr. Stansbury discharged and for reimbursement. 16 And as Your Honor is aware, that's been noticed 17 three or four times. And here we are, thank 18 you, Your Honor. 19 THE COURT: Thank you. Can I ask a 20 question before we proceed further? 21 MR. FEAMAN: Yes. 22 THE COURT: I just want to know. I don't 23 want argument on it. I just want yes or no. I 24 will start with Ms. Crispin. Do you oppose the 25 discharge of Mr. Stansbury at this point from</p>
<p>14:04:27-14:05:29 Page 15</p> <p>1 Mr. Stansbury opposed that for the same 2 reasons that we opposed Mr. Ted Bernstein in 3 connection with being administrator ad litem in 4 connection with his action which we were here 5 last month on. And instead, the Court on the 6 25th appointed independent curator Ben Brown. 7 That's item number two. 8 Entry number three -- and the docket 9 entries are there as well, Your Honor, so you 10 can look those up. 11 THE COURT: Thank you. 12 MR. FEAMAN: In March Mr. Stansbury then 13 filed his petition as administrator ad litem to 14 protect the interests of the estate in the 15 Illinois litigation. And then, as I just 16 mentioned, in May the order granting that 17 petition was entered. And then on June 5th in 18 fact the motion to intervene was filed by 19 Mr. Stamos in Chicago. And in about seven 20 weeks, six weeks later, the Court on July 28th, 21 2014, granted the estate's motion to intervene. 22 Having perceived that we had performed 23 what we intended to perform, I then filed on 24 behalf of Mr. Stansbury, Your Honor, as you can 25 see on the timeline, in October of that year</p>	<p>14:06:58-14:07:46 Page 17</p> <p>1 paying fees? 2 MS. CRISPIN: Your Honor, it's complicated 3 for me to answer yes or no because 4 Mr. O'Connell was not present at the hearing. 5 He does read the transcript to interpret that 6 there was an agreement reached where 7 Mr. Stansbury would pay for the costs of this 8 litigation. He has taken that position. He is 9 more primarily worried about if he is 10 discharged then what happens then. So really I 11 think we are not really taking a position per 12 se about whether or not he should or shouldn't 13 be discharged. 14 But if he is called to testify, I want 15 Your Honor to understand what his position 16 would be on the stand. 17 THE COURT: I think I understand. 18 Mr. Rose? 19 MR. ROSE: We oppose the relief they are 20 seeking. 21 THE COURT: So you oppose allowing him not 22 to fund the litigation anymore? 23 MR. ROSE: The short 30 second legal 24 position is we have a valid court order. It 25 was not appealed. There's now an amended order</p>

<p>14:08:00-14:08:34 Page 18</p> <p>1 which superseded the original order. So we 2 have an amended order we are traveling under 3 that's crystal clear, a transcript which backs 4 it up, and we -- and that order has not been 5 complied with. 6 So our first position in our paper was he 7 is seeking relief from an order that he has not 8 complied with, so he should be held in contempt 9 of that order. And if he were not in contempt 10 of it, the order should be enforced as written. 11 It was a deal, a complicated deal worked out 12 over hundreds of pages. 13 And we did put in our motion the entire 14 transcript was already in the record at docket 15 entry 148. I did reference it in my memo I 16 submitted. 17 THE COURT: I know. 18 MR. ROSE: So I wasn't hiding anything. I 19 just gave you the short -- 20 THE COURT: You need not worry about it. 21 Give the Court a little credit that I read 22 everything, okay? 23 MR. ROSE: I was giving you the excerpted 24 pages that were relevant to my argument. 25 THE COURT: Thank you.</p>	<p>14:09:34-14:10:30 Page 20</p> <p>1 benefit. 2 Plus, there is no authority to force a 3 claimant to fund attempts to pursue assets of 4 the estate in accordance with Bookman V 5 Davidson, which we cited. And in the interests 6 of time I won't go through it except to say 7 that that case says a personal representative 8 of an estate is required to pursue, is required 9 by law to pursue assets and claims of the 10 estate. 11 Now, why is Stansbury -- so we think 12 that's pretty clear, that part of the motion, 13 respectfully. 14 The second part of the motion is why is 15 Mr. Stansbury entitled to get reimbursed now as 16 opposed to sometime in the future? And our 17 argument there, Your Honor, is that a benefit 18 has been conferred on the estate and therefore 19 his duty should end and he should be paid. 20 Now, why has he conferred benefit? 21 Because as we cite in our papers in the Estate 22 of Wejanowski, the court held that the trial 23 court could not require an executor to 24 demonstrate a monetary benefit before allowing 25 the expenditure of estate funds. And that the</p>
<p>14:08:41-14:09:20 Page 19</p> <p>1 MR. ROSE: Our position is that the motion 2 should be denied. 3 THE COURT: Okay. Mr. Eliot? 4 MR. ELIOT BERNSTEIN: I am opposing 5 certain acts here. 6 THE COURT: Okay. Thank you. 7 MR. ELIOT BERNSTEIN: And I will get to 8 those, I guess, when I get to speak. Okay. 9 THE COURT: All right. Thank you. 10 Mr. Feaman? 11 MR. FEAMAN: So my next paragraph is why 12 should Mr. Stansbury be discharged at this 13 time? 14 THE COURT: Okay. I am going to need you 15 to shorten up your opening because we don't 16 have a tremendous amount of time. 17 MR. FEAMAN: Thank you. Okay. First, he 18 did his job. He fronted the fees and costs. 19 The estate has been allowed to intervene. And 20 it now stands to reap a financial windfall as a 21 result of Mr. Stansbury's efforts. But for 22 Mr. Stansbury's efforts and Mr. Stansbury's 23 efforts alone, the estate would not be a party 24 and the estate would not be in a position now 25 to reap hundreds of thousands of dollars as a</p>	<p>14:10:44-14:11:42 Page 21</p> <p>1 true benefit to an estate provided by an 2 appellate attorney for purposes of entitlement 3 to payment of appellate fees and costs out of 4 estate assets is the presentation of a good 5 faith appeal and its ultimate resolution. 6 Here, Your Honor, we presented a good 7 faith motion to intervene. The estate is now 8 well positioned. He should get out and he 9 should get paid. 10 Finally, Your Honor, with regard to the 11 trustee's arguments that have been presented to 12 you briefly, and then I will be done, the 13 trustee, first of all, as Your Honor has 14 already found, he is adverse to the estate. So 15 I think Your Honor needs to take into account 16 what weight it will assign to the argument and 17 evidence that the trustee puts in. 18 Secondly, they are arguing that no benefit 19 has arisen to the estate until money is 20 actually recovered. 21 First of all, with regard to that 22 paragraph in Judge Colin's order, that's not -- 23 THE COURT: I don't think I found that he 24 -- I don't think I made a finding that he was 25 adverse to the estate.</p>

<p>14:11:49-14:12:33 Page 22</p> <p>1 MR. FEAMAN: Okay. I am not going to 2 argue with Your Honor. 3 THE COURT: No, no, no. 4 MR. FEAMAN: It's a side argument at this 5 point. 6 THE COURT: Okay. I just wanted -- like 7 if I had put that wording in the order I wanted 8 to go back and look. Okay. Thank you for 9 saying. All right. Move on. 10 MR. FEAMAN: It was a finding in 11 connection with his appointment to be 12 administrator ad litem. 13 THE COURT: Yes, I didn't think it was 14 appropriate. 15 MR. FEAMAN: We have moved past Mr. Rose's 16 argument. That's been argued and done. 17 THE COURT: Okay. 18 MR. FEAMAN: Now, has Mr. Stansbury 19 conferred benefit to the estate? We say at 20 this point absolutely, the Court need go no 21 further and can say, yes, you are entitled to 22 be reimbursed. And we cite two cases which if 23 I have time I will argue at the end. 24 And I mention first the Wejanowski case 25 which I have just mentioned. And then we</p>	<p>14:14:00-14:15:01 Page 24</p> <p>1 because under the Mills V Martinez case, 909 2 So.2d 340, that court held that an order that 3 merely grants or denies a motion does not 4 resolve -- and does not resolve the issue 5 conclusively, a trial court has the authority 6 to modify that order before entering a final 7 judgment. 8 Why is this important? Because in that 9 transcript -- and then I am done, Your Honor, 10 in the interests of time. In that hearing at 11 page 22, line six, the court stated the issue. 12 The court said, quote, So the question is 13 should the claimant be declared here as 14 administrator ad litem for the purposes of 15 being permitted to ask the court to be able to 16 intervene which the court may or may not do? 17 And after he stated the issue thusly, he 18 then repeated it, Judge Colin at page 23, 19 because he started to move away from 20 Mr. Stansbury and moved into appointing Ben 21 Brown to be the one to intervene on behalf of 22 the estate. And the court said at page 23, 23 line 15, quote, I will allow someone else to 24 intervene to appropriately determine whether 25 the estate has an interest in this money or</p>
<p>14:12:47-14:13:43 Page 23</p> <p>1 actually found, Your Honor, and I have to give 2 kudos to one of my law partners, an 1882 case 3 by the Supreme Court. But the language was 4 appropriate, and it says, if under the 5 circumstances the litigation was just and 6 proper and apparently for the benefit of the 7 estate, and brought bona fide, he is entitled 8 to credits for costs and charges and for 9 services rendered in connection with the 10 litigation. 11 And that's the Sherrell versus Shepard 12 case, 19 Florida 300. And that's the first 13 time in my career I have been able to cite a 14 case from the 1800s, so I am kind of actually 15 excited about that, Your Honor, because it 16 seems to be right on point. 17 In a more serious vein, Your Honor, for 18 Judge Colin to have ordered what he did in that 19 last paragraph of what I call the rogue order, 20 the second line, first, he did not revoke his 21 first order, but, secondly, that was not part 22 of the hearing. 23 And we say that Your Honor is free to 24 modify that order and vacate those orders, but 25 especially with regard to reimbursement now,</p>	<p>14:15:16-14:16:12 Page 25</p> <p>1 not. That's the issue, correct? At which 2 point I said yes. 3 And so when we are dealing with that issue 4 the Court, this Court now subsequently is not 5 bound by that last paragraph in that what I 6 call rogue order when we never had a chance to 7 argue when Mr. Stansbury would be entitled to 8 reimbursement. 9 Now, they latched on to that gratuitous 10 language at the end, but that wasn't before the 11 Court. It is before the Court now and we are 12 making that argument. 13 So we respectfully suggest that the Court 14 is not bound by that language if it were to 15 decide that not only can Mr. Stansbury get 16 discharged but that he should be compensated. 17 At the very least he should be discharged, Your 18 Honor. And then to end the litigation 19 concerning his compensation we are respectfully 20 requesting that you also order that he is 21 entitled to compensation and reserve on an 22 amount pending discussions with the parties 23 which we have stipulated to. Thank you. 24 THE COURT: Thank you. I am going to let 25 Mr. Eliot go next, please.</p>

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1 **MR. ELIOT BERNSTEIN:** Don't want Mr. Rose
2 to go?
3 **THE COURT:** No, I am letting you go next.
4 **MR. ELIOT BERNSTEIN:** Okay. Well, just to
5 make clear, Mr. Rose admitted himself today to
6 the Court as representing Ted Bernstein as
7 successor trustee to the Simon trust, correct?
8 **THE COURT:** The record stands for itself.
9 **MR. ELIOT BERNSTEIN:** Okay. And I believe
10 that's what's in there. And I believe we just
11 went through two hearings for Mr. Rose to
12 represent the Stansbury litigation whereby he
13 stated to this Court repeatedly on the record
14 as a witness, et cetera, that he had nothing to
15 do with the Illinois litigation at all, him and
16 his client. They had no involvement in this
17 litigation whatsoever. But yet Mr. Feaman just
18 explained to you three years of this Illinois
19 litigation where Mr. Rose is making opposition
20 in all kinds of things to interfere with the
21 estate's hiring of counsel, et cetera, which is
22 exactly opposite of what he told the Court on
23 the record just in those last hearings, which
24 is further, like Mr. Feaman put in his closing
25 statement for those hearings, that Mr. Rose

14:17:45-14:18:10 Page 27

1 misrepresented the record and was
2 misrepresenting things to the Court. Well,
3 here he just filed a pleading in this case
4 representing Ted Bernstein in the Illinois
5 insurance litigation. And I believe your order
6 says they are conflicted there.
7 **MR. ROSE:** I object.
8 **MR. ELIOT BERNSTEIN:** And this would be --
9 **THE COURT:** Hold on.
10 **MR. ELIOT BERNSTEIN:** And this would be --
11 I thought this was my opening.
12 **THE COURT:** Yes.
13 **MR. ELIOT BERNSTEIN:** Okay.
14 **THE COURT:** But I get to hear a legal
15 objection.
16 **MR. ELIOT BERNSTEIN:** Okay.
17 **MR. ROSE:** I think that, first of all,
18 it's improper argument. It's not really an
19 opening statement. And it's getting to be
20 borderline offensive.
21 **THE COURT:** Overruled. You won't insult
22 Mr. Rose. But other than that, overruled.
23 **MR. ELIOT BERNSTEIN:** Okay. But I will
24 call a fraud a fraud.
25 **THE COURT:** Go ahead.

14:18:27-14:19:23 Page 28

1 **MR. ELIOT BERNSTEIN:** Okay. And as I
2 understand it from the documents filed by
3 Mr. Rose on behalf of Ted Bernstein and from
4 the appearance made on the record today, Alan
5 Rose is appearing for Ted who Your Honor found
6 in conflict of interest with the estate in
7 relation to the Illinois litigation as
8 indicated in your April 27th order. And Rose
9 gave oral testimony and in statements in
10 relation to trying to represent the estate
11 against William Stansbury that he has no
12 involvement with the Illinois insurance
13 litigation. But his precise filing as an
14 attorney for a Ted, filing number 56988413,
15 e-filed 5/26 in this court, is directly about
16 the Illinois insurance litigation. And again,
17 all three years he's been representing the
18 Illinois insurance litigation issues that he
19 told you he had nothing to do with. Clearly
20 repeated, and that's why you allowed him to
21 represent in that other case.
22 So this all contradicts his testimony and
23 your findings, which is the basis to reopen and
24 amend the April 27th order in itself. And I
25 also know that I filed for an extension for

14:19:29-14:20:12 Page 29

1 rehearing of this order.
2 **THE COURT:** No, we are here on today's
3 motion.
4 **MR. ELIOT BERNSTEIN:** What?
5 **THE COURT:** I want you to know, Mr. Eliot,
6 I will allow you to have opening on today's
7 motion which is whether in your position on
8 Mr. Stansbury's motion. That is what we are
9 going to limit this argument to.
10 **MR. ELIOT BERNSTEIN:** That's all I am
11 arguing, meaning --
12 **THE COURT:** Okay. I must have
13 misunderstood.
14 **MR. ELIOT BERNSTEIN:** Okay.
15 **THE COURT:** So please continue, limiting
16 it to that issue.
17 **MR. ELIOT BERNSTEIN:** Okay. What's really
18 going on here is more direct frauds upon the
19 Court, and Ted Bernstein and Alan Rose trying
20 to control the Illinois litigation by
21 controlling the counsel for the estate in
22 efforts to cover up frauds. Not to mention the
23 fact that Alan Rose's papers show further
24 collusion with the former PRs Tescher and
25 Spallina who were central to all the original

<p>14:20:27-14:20:56 Page 30</p> <p>1 frauds in this court and in the Illinois court. 2 And I can say that to my knowledge there's 3 been no filing or docket entry in the Illinois 4 case since the fraud of Rose and O'Connell in 5 denying me for over a year as a beneficiary in 6 Simon's estate, has now been admitted. 7 MR. ROSE: Objection. 8 MR. ELIOT BERNSTEIN: And I have already 9 called upon the court -- 10 MR. ROSE: This is beyond the scope of the 11 motion we are here for. 12 THE COURT: Sustained. 13 MR. ELIOT BERNSTEIN: All related -- 14 THE COURT: Sustained. 15 MR. ELIOT BERNSTEIN: -- to the Illinois 16 insurance. 17 THE COURT: Sustained. Let's stay on 18 point. 19 MR. ELIOT BERNSTEIN: Okay. Called upon 20 this court to confirm -- 21 THE COURT: No, that doesn't mean you keep 22 the sentence going. Sustained. Move on to 23 your point. Stay focused. 24 MR. ELIOT BERNSTEIN: Okay. So nothing 25 should be in my view on this motion should be</p>	<p>14:22:01-14:22:48 Page 32</p> <p>1 THE COURT: No. What you are raising are 2 not issues before the Court today, so please 3 stay focused. 4 MR. ELIOT BERNSTEIN: Okay. Well, 5 everybody else has been able to give a little 6 history, and Mr. Feaman was allowed that 7 latitude. 8 THE COURT: Mr. -- 9 MR. ELIOT BERNSTEIN: So I would like to 10 explain the opening in my view, meaning give 11 the background a little bit of why we are here 12 today and why I believe that Mr. Stansbury 13 should be recuperating his costs for the fraud 14 that's cost him all this money and all of us. 15 Meaning the real victims here are 16 Mr. Stansbury and me who were victims of the 17 original fraud that started this case. 18 The Illinois insurance litigation was 19 started by Robert Spallina filing a fraudulent 20 claim for life insurance benefits, as 21 Mr. Feaman noted. He did that at a time that 22 my brother, who he was representing, had 23 notified the police, the sheriff, and the 24 coroner that my father might have been murdered 25 by poisoning. And they tried to collect that</p>
<p>14:21:06-14:21:50 Page 31</p> <p>1 happening here today other than scheduling 2 hearings to unravel the fraud that are going 3 on. 4 THE COURT: Okay. 5 MR. ELIOT BERNSTEIN: Meaning you just saw 6 an attorney tell you he had nothing to do with 7 this thing, and now we have heard he has been 8 objecting to this litigation, filing opposition 9 papers two or three years. And let me explain 10 why. 11 This whole issue starts really, and you 12 weren't here for it, and why Mr. Stansbury is 13 paying, Mr. Feaman kind of touched on, but I 14 want to explain. 15 THE COURT: I just want your position on 16 whether he should continue to pay or not 17 continue to pay, because that is what the 18 opening is about, and you have got two more 19 minutes. 20 MR. ELIOT BERNSTEIN: Well, it's also 21 about this hearing has been improperly -- 22 THE COURT: No. 23 MR. ELIOT BERNSTEIN: -- conducted. 24 THE COURT: It is -- 25 MR. ELIOT BERNSTEIN: Is he in conflict --</p>	<p>14:23:04-14:23:56 Page 33</p> <p>1 death benefit without telling anybody. And 2 they got denied because they couldn't prove 3 that they had -- that Spallina was trustee of 4 the trust he never had. And that's all in the 5 records here. And I'm sure you've been reading 6 about it. 7 And what we have is then Ted Bernstein 8 suing the life insurance company for failure to 9 pay a claim to Robert Spallina as trustee. 10 What he did was he sued though as trustee of 11 the trust Spallina said he was trustee of. 12 And then he wouldn't represent -- have the 13 estate represented in these matters, because if 14 the estate was represented by competent 15 counsel, they immediately would have identified 16 the fraud going on in the filing of claims by 17 Mr. Spallina. 18 THE COURT: I did make the finding, 19 Mr. Feaman, you are absolutely correct. 20 MR. FEAMAN: Okay. 21 THE COURT: You may continue, Mr. Eliot. 22 MR. ELIOT BERNSTEIN: And I think that 23 goes to why Mr. Rose shouldn't be representing 24 in conflict and that might be some sanctionable 25 actions to take, you know, for him even</p>

14:24:12-14:25:03 Page 34

1 appearing here after telling the Court he has
 2 nothing to do with this stuff.
 3 But Mr. Spallina then failed to represent
 4 the estate's interest in the Illinois insurance
 5 litigation because it would have proven out
 6 that he committed fraud. So when we got rid of
 7 him after he admitted and his law firm admitted
 8 submitting fraudulent forged documents here, he
 9 abdicated from the Illinois litigation
 10 representing my brother in any way. And then
 11 we had to find new counsel. So Mr. Feaman
 12 brought in Mr. Stamos. And the Court was kind
 13 of forced to make a decision here of why isn't
 14 the estate representing --
 15 **MR. ROSE:** Your Honor?
 16 **MR. ELIOT BERNSTEIN:** -- on the interests
 17 in a policy that has different beneficiaries.
 18 **THE COURT:** No, he has got two more
 19 minutes. Hold on one second, please. He has
 20 got two more minutes. I am going to let him
 21 complete his opening, at which point you will
 22 be entitled to your opening.
 23 **MR. ELIOT BERNSTEIN:** Okay.
 24 **THE COURT:** You've got until exactly 20.
 25 **MR. ELIOT BERNSTEIN:** Well, he got like

14:25:07-14:26:02 Page 35

1 25.
 2 **THE COURT:** He has the burden.
 3 **MR. ELIOT BERNSTEIN:** Oh, okay.
 4 **THE COURT:** You do not.
 5 **MR. ELIOT BERNSTEIN:** So I get half the
 6 time? Okay.
 7 **THE COURT:** So you get two more minutes.
 8 **MR. ELIOT BERNSTEIN:** I will let it go.
 9 I was thrown out of the Illinois
 10 litigation, and I have advised the Court. And
 11 I would like to enter into the evidence today a
 12 letter --
 13 **THE COURT:** This is not the appropriate
 14 time. This is opening.
 15 **MR. ELIOT BERNSTEIN:** Oh, okay. So I was
 16 thrown out of the Illinois litigation because
 17 they told that court that I was not a
 18 beneficiary of my father's estate and I had no
 19 standing. And Judge Blakey relied on this
 20 Court's statement that I was not a beneficiary
 21 and had no standing in my father's estate to
 22 throw me out on a summary judgment, saying I
 23 had no standing and therefore in Florida res
 24 judicata and yada yada yada.
 25 The bottom line is that was all

14:26:15-14:27:07 Page 36

1 orchestrated. This whole Florida court is
 2 being manipulated to create another fraud on a
 3 federal court. And everybody who is aware that
 4 I am a beneficiary with standing should have
 5 already notified federal Judge Blakey that
 6 Mr. Rose misled this Court to gain those orders
 7 by Judge Phillips. And that's where I will
 8 close it up.
 9 **THE COURT:** And that's good.
 10 Mr. Rose, you may proceed.
 11 **MR. ROSE:** Thank you. Good afternoon,
 12 Your Honor.
 13 **THE COURT:** Good afternoon.
 14 **MR. ROSE:** I just need to go back on a
 15 couple of points that were raised. Number one,
 16 the trust that exists under which my client is
 17 appointed has a specific provision that says if
 18 you are the trustee of one trust it does not
 19 preclude you from being the trustee of separate
 20 trust.
 21 I do not represent Ted Bernstein in
 22 connection with the Illinois litigation. We
 23 have been down that road. Your Honor ruled
 24 what you ruled and that was that
 25 Mr. Bernstein --

14:27:18-14:28:09 Page 37

1 **MR. ELIOT BERNSTEIN:** Sorry.
 2 **THE COURT:** I will not tolerate that. You
 3 know that. Thank you.
 4 **MR. ROSE:** While the Illinois litigation
 5 is pending you declined to appoint Ted
 6 Bernstein as administrator ad litem. We have
 7 all moved past that.
 8 Eliot Bernstein is, for the umpteenth
 9 time, a beneficiary of tangible personal
 10 property whose value after it's sold by
 11 Mr. O'Connell will probably be worth ten or 15
 12 thousand dollars, his one-fifth share. And for
 13 that \$15,000 we are spending hundreds of
 14 thousands or perhaps eventually a million
 15 dollars giving him his due process.
 16 But let me talk about why we are here
 17 today, and I am going to go a little bit in
 18 reverse order.
 19 And I think you were told, and someone can
 20 correct me if I am wrong, but you were told
 21 that there's a rogue order that has a provision
 22 in it that was never discussed at a hearing and
 23 was never part of an argument such that
 24 Mr. Feaman's clients were -- client was denied
 25 due process.

14:28:21-14:29:16 Page 38

1 Well, if you look at the whole transcript
 2 which again is docket entry 148, which also was
 3 recently re-filed by Mr. Stansbury,
 4 Mr. Stansbury's counsel, on page 35 summarizes
 5 an entire discussion between Mr. Morrissey, who
 6 represents four of the ten grandchildren -- I
 7 am on page 35 of the transcript. Mr. Morrissey
 8 at that time represented four of the
 9 grandchildren. The other six were
 10 unrepresented, although in my view the trustee
 11 was advocating their interests very well and
 12 got us to this point.

13 At the top of 35 the Court says that --
 14 after a lengthy discussion -- I didn't put that
 15 in because I didn't think someone would get up
 16 and tell you that the issue was never raised
 17 during the hearing.

18 But the Court said, it would only be the
 19 case if there was a recovery for the estate to
 20 which then Mr. Stansbury would say under the
 21 statute I performed a benefit for the estate.

22 So we had a lengthy discussion at that
 23 hearing, pages and pages of transcript where
 24 the issue was raised, when do I get paid back.
 25 And to suggest otherwise is being untrue to the

14:29:25-14:30:06 Page 39

1 documents that are before you. And you can
 2 read the transcript yourself and make your own
 3 decision.

4 **MR. ELIOT BERNSTEIN:** Your Honor, can I
 5 object?
 6 **THE COURT:** What's the legal objection,
 7 Mr. Eliot?
 8 **MR. ELIOT BERNSTEIN:** That he is
 9 conflicted and shouldn't be making arguments on
 10 the Illinois insurance litigation.

11 **THE COURT:** Overruled. You may proceed,
 12 Mr. Rose.

13 **MR. ROSE:** The estate in this case is
 14 represented by counsel. No one disputes they
 15 are represented by counsel and that counsel is
 16 a fine lawyer, Mr. Stamos. The only thing we
 17 are here to decide is who should pay that
 18 expense.

19 Now, you've heard, and I wrote it down,
 20 there's a windfall to the estate been created
 21 by Mr. Stansbury. In fact, the evidence will
 22 demonstrate there's a liability created by
 23 Mr. Stansbury's actions. There's a lawyer in
 24 Chicago that's currently owed over \$41,000 and
 25 counting that's not been paid pursuant to a

14:30:21-14:31:12 Page 40

1 valid unappealed order of this Court. And
 2 that's a liability.

3 So not only does Mr. Feaman want to be
 4 ordered repaid the 70,000 that he paid, he
 5 wants the estate to start paying the 40,000 and
 6 all the way through the trial. And guess what?
 7 If they lose -- someone is right and wrong in
 8 Illinois, and we are not here to decide that.
 9 But it's gambling. If the estate is wrong and
 10 Mr. O'Connell has spent a couple hundred
 11 thousand dollars in litigation and he loses,
 12 guess what? It's not a windfall. It's a
 13 liability. It's a detriment.

14 And the whole point of the grand bargain
 15 that was discussed and reached in court that
 16 day was Mr. Stansbury is the only person
 17 outside the, quote, family that can take some
 18 of this money. It's in his best interests to
 19 get that money into the estate because he is
 20 suing us for two and a half million dollars.
 21 And so he is the guy who benefits. If other
 22 than him all the money stays in the family
 23 either through the Illinois trust or through
 24 the estate it would flow into this trust to
 25 benefit the children or the grandchildren.

14:31:23-14:32:16 Page 41

1 So we had this lengthy thing. And what I
 2 think we are here today is decide how important
 3 are orders of this Court?

4 First of all, we know that an amended
 5 order supercedes the original order. So you
 6 can't tell me that the second order is a rogue
 7 order and I am going to ignore it.

8 But they didn't appeal either of those
 9 orders. And, you know, I understand batting 70
 10 percent and he has paid about 70 percent of the
 11 expenses, that might be good enough to get you
 12 into the Hall of Fame in baseball or get you a
 13 lot of things. But 70 percent compliance with
 14 a court order is not acceptable to me, and I
 15 don't think it should be acceptable to this
 16 Court.

17 We have a valid order. And the order was
 18 not willy-nilly. If you read the transcript,
 19 and I gave you pages -- I am sorry, did you
 20 have a question?

21 **THE COURT:** I did. I am just thinking
 22 about whether it does the Court any good to ask
 23 it, so give me a second. Let's set aside at
 24 this moment let's set aside whether
 25 Mr. Stansbury may or may not be entitled to any

14:32:31-14:33:08 Page 42

1 reimbursement if money comes in. Let's just
2 set that aside.
3 Why am I not allowed to let him out and
4 let Mr. O'Connell hire a contingency, put it on
5 contingency basis? Wouldn't that be the PR's
6 decision as to whether or not to go forward
7 with the claim?
8 **MR. ROSE:** Well --
9 **THE COURT:** That is the PR's right.
10 Please address just my question.
11 **MR. ROSE:** I will.
12 **THE COURT:** That's my question.
13 **MR. ROSE:** Okay. Well, the answer to your
14 question is we are here because you have power
15 to make a ruling. No one is denying that you
16 have the power to make a ruling.
17 **THE COURT:** Okay.
18 **MR. ROSE:** You are talking about the
19 propriety of your ruling, the beneficiaries are
20 very much against hiring someone on a
21 contingency fee basis for this reason. The
22 cost to finish the case --
23 **THE COURT:** Wouldn't that be -- okay. Let
24 me listen to you. I am sorry.
25 **MR. ROSE:** Yeah. I understand. We put a

14:33:19-14:34:01 Page 43

1 lot of thought into this that goes on outside
2 of the courtroom. We have spoken to
3 Mr. O'Connell at length.
4 The agreement that you have not approved
5 -- the agreement that you approved from the
6 Shirley trust beneficiaries, that you have not
7 yet considered from the Simon trust
8 beneficiaries, which includes the four
9 grandchildren who are represented by
10 Mr. Morrissey, the three grandchildren who are
11 not represented but whose parents are actively
12 involved, and the three grandchildren who are
13 -- whose interests are being protected by the
14 guardian ad litem, those ten people agreed they
15 wanted Mr. O'Connell to oppose this motion, and
16 that those ten people agreed that if you are
17 going to excuse Mr. Stansbury from the promise
18 that he has made --
19 **MR. ELIOT BERNSTEIN:** I object, Your
20 Honor.
21 **THE COURT:** Legal objection?
22 **MR. ELIOT BERNSTEIN:** He is
23 misrepresenting that he has consent of all of
24 the beneficiaries.
25 **THE COURT:** So noted. Go ahead.

14:34:04-14:34:47 Page 44

1 **MR. ELIOT BERNSTEIN:** And he was supposed
2 to, by the way --
3 **THE COURT:** So noted. Move on. No, no.
4 **MR. ELIOT BERNSTEIN:** (Overspeaking) --
5 court hearing.
6 **THE COURT:** No, no.
7 **MR. ELIOT BERNSTEIN:** Oh, okay.
8 **THE COURT:** So noted.
9 **MR. ELIOT BERNSTEIN:** Okay.
10 **THE COURT:** You may proceed.
11 **MR. ROSE:** So I am not directly in the
12 Illinois litigation, but I know specific facts
13 about the Illinois litigation. One of the
14 facts I asked was if there's a budget to go to
15 trial. So I think the budget for trial is
16 \$50,000. It's going to be a one-day bench
17 trial in Chicago. I think there's -- it's a
18 fairly simple narrow case.
19 The proposed contingency fee would be
20 \$700,000 if they win. It's a light switch
21 case, I call it a light switch case; you flick
22 it up or you flick it down. There's no carving
23 in the middle. You can't say, well, we are
24 going to --
25 **THE COURT:** I understand. Either they get

14:34:54-14:35:30 Page 45

1 the money --
2 **MR. ROSE:** Right.
3 **THE COURT:** The insurance trust gets the
4 money or the estate gets the money. It's A or
5 B.
6 **MR. ROSE:** Right.
7 **THE COURT:** I got it.
8 **MR. ROSE:** At a loss, it's a loss. At a
9 win, it's \$700,000 to the lawyer on a
10 contingency fee when he has told us his hourly
11 rates are going to be 50. And in addition,
12 paying back Mr. Stansbury the 70 he has already
13 put out would mean that the total fee for this
14 litigation would be \$770,000. Everyone has
15 agreed if Your Honor is going to excuse
16 Mr. Stansbury, which we would request you not
17 do, that the estate is going to handle the
18 matter on an hourly rate basis, or that's the
19 preference of the people that will have to make
20 the decision afterwards.
21 One of the decisions -- some of the
22 decisions are going to be, do I pursue the case
23 or not. Another decision is do I settle the
24 case or not. But that's for Mr. O'Connell.
25 **THE COURT:** Okay.

14:35:37-14:36:25 Page 46

1 **MR. ROSE:** The specific answer to your
2 question --
3 **THE COURT:** You did.
4 **MR. ROSE:** -- you clearly have the power
5 to do something. We are here asking you not to
6 change the order. Because if you read what
7 Judge Colin did, it was very, very specific.
8 And it was not a five-minute hearing. It was a
9 lengthy hearing.
10 And, you know, the specific thing he says
11 on paragraph two, for the reasons subject to
12 the conditions stated on the record, all
13 attorney's fees and costs incurred shall be
14 initially borne by Mr. Stansbury. He has not
15 borne the expenses. He is in violation of the
16 order.
17 Florida law is very clear that if you are
18 in violation of an order you should not be
19 heard on that order. I don't know if he should
20 be heard on any matter, but he should at a
21 minimum he should have brought this into
22 compliance and shown up and said I have
23 complied with the order and would like relief
24 from it. So we have cited the case I won't
25 argue. It's in our brief. It's very clear to

14:36:38-14:37:39 Page 47

1 me under the law.
2 The second point, the order could not be
3 any clearer. Mr. Stansbury shall not be
4 reimbursed for any fees or costs incurred from
5 either the decedent's estate or the trust which
6 my client is the trustee of.
7 And as Your Honor knows, under certain
8 circumstances if Mr. O'Connell runs out of
9 money he can certify a need for money to the
10 trust, and a revocable trust can be required
11 under statute to occasionally pay money back.
12 So some day they may come and ask my client to
13 take money out of the trust that's designated
14 for these ten grandchildren to fund this
15 litigation that we -- you know, that right now
16 is being funded perfectly fine.
17 But he is not to be reimbursed unless
18 there is a recovery on behalf of the estate
19 that results in a net benefit to the estate.
20 That's not a rogue -- for someone to come
21 here and -- I am not criticizing the lawyer.
22 But the argument that is being made to you that
23 that's a rogue order when it's an order that
24 was never appealed, I think it is just flat out
25 wrong.

14:37:49-14:38:34 Page 48

1 The other thing is Mr. Stansbury has
2 gotten the benefit of all kinds of wonderful
3 things in the transcript. He has got the right
4 to talk to the lawyer in Chicago. He picks the
5 lawyer. He consults with him. I was standing
6 with Mr. Feaman outside --
7 **THE COURT:** Wrap up.
8 **MR. ROSE:** He gets called by the lawyer.
9 He is in communication. That was the bargain.
10 So in my view it's very important that we
11 follow court orders. It was not appealed.
12 Everybody relied upon it. He has gotten the
13 benefit of it.
14 This delay of years and years, I mean,
15 there was nothing in the order -- at the time
16 of this hearing we were waiting to get a
17 permanent PR. That was on the horizon. I
18 think the PR hearing was a few weeks after. I
19 think, if I recall, and I don't know for sure,
20 it was early July, like the 10th or something
21 of July, when we had a hearing to determine the
22 PR when Mr. O'Connell was going. That was like
23 a week after this order.
24 This isn't like it was a vacuum. We knew
25 that there was going to be a PR. And it still

14:38:49-14:39:37 Page 49

1 is this, that he is going to fund it. And so
2 to suggest that this was a temporary
3 arrangement is not correct.
4 Now, they had time to ask Judge Colin to
5 reconsider the order. They had a year and a
6 half to ask Judge Phillips. And on multiple
7 occasions they just withdrew their motion, they
8 would cancel their hearing. The record will
9 speak for itself. But we are now three years
10 down the line on an order that was never
11 appealed. And I don't think it's appropriate
12 to treat it like it's a worthless piece of
13 paper. It's an order of this Court.
14 Mr. Feaman said he never relied on a case from
15 the 1800s. Well, I am relying on a case from
16 this Court entered by this Court in 2014. And
17 we would ask that you deny the motion.
18 Now, this is what happens if you deny the
19 motion. Mr. Stansbury funds the litigation.
20 Presumably everyone on that side of the table
21 thinks it's a winning case. So he is going to
22 fund the litigation. It's going to get tried.
23 The estate is going to win.
24 There's no question that Mr. Stansbury
25 gets paid back immediately and first from a net

14:39:49-14:40:35 Page 50

1 recovery. We are not going to come in here and
 2 say, well, we didn't really benefit us. And
 3 that was very clear from the beginning. That's
 4 why Judge Colin said what he said.
 5 But if he is right about the case, he is
 6 going to finish funding it, they are going to
 7 try it and they are going to win it, and money
 8 is going to come in. He is going to get paid
 9 back every penny he is entitled to. If they
 10 are wrong and it's a loser, the estate has no
 11 harm whatsoever, no liability to a lawyer in
 12 Chicago, no outlay of funds.
 13 And you and I and Mr. O'Connell and
 14 Mr. Feaman we are not capable of deciding who's
 15 going to get that money. That's the judge in
 16 Illinois. But we arranged -- and I realize
 17 that Mr. O'Connell wasn't here yet, Judge Lewis
 18 wasn't in the case yet. But what the people
 19 that were in that courtroom in May arranged
 20 with the judge, and I could read you the whole
 21 transcript, I have highlighted it, so I think
 22 you've got a flavor. It was hotly contested.
 23 It was compromise. And Mr. Feaman made
 24 representations on the court. And the specific
 25 thing that Judge Colin said at the end, part of

14:41:19-14:41:51 Page 52

1 **THE COURT:** So this will be Stansbury's.
 2 Okay.
 3 **MR. FEAMAN:** And I have the -- and
 4 everybody will get copies.
 5 **THE COURT:** Mr. Eliot, do you have an
 6 objection?
 7 **MR. ELIOT BERNSTEIN:** No. Just
 8 clarification. Your order said this was
 9 confined, limited to one hour. Mr. Feaman sent
 10 out a letter saying that you and him had
 11 arranged that it couldn't go past 2:30. I just
 12 said to whom -- no, that's not correct?
 13 **THE COURT:** A couple of different things.
 14 **MR. ELIOT BERNSTEIN:** Okay.
 15 **THE COURT:** I am proceeding right now on
 16 my hearing.
 17 **MR. ELIOT BERNSTEIN:** Right.
 18 **THE COURT:** Secondly, I have never had a
 19 conversation with Mr. Feaman ever outside of
 20 this courtroom.
 21 **MR. ELIOT BERNSTEIN:** I meant with your
 22 clerk, with your J.A.
 23 **THE COURT:** My J.A.
 24 **MR. ELIOT BERNSTEIN:** Correct, in
 25 scheduling this.

14:40:46-14:41:12 Page 51

1 this is the sincerity of Mr. Feaman's side,
 2 it's a good thing and they made a pledge to do
 3 it, they are not going to go back on their
 4 word.
 5 I would ask you not to let them go back on
 6 their word.
 7 **THE COURT:** Thank you. All right,
 8 Mr. Feaman, call your first witness.
 9 **MR. FEAMAN:** I will move as quickly as
 10 possible.
 11 **MR. ELIOT BERNSTEIN:** Your Honor?
 12 **MR. FEAMAN:** I want to put some documents
 13 in before Your Honor even though they are
 14 already in the record so that you can have with
 15 you --
 16 **THE COURT:** Thank you.
 17 **MR. FEAMAN:** -- documents to refer to.
 18 **THE COURT:** Do you want me to mark?
 19 **MR. FEAMAN:** I have them marked on the
 20 back.
 21 **THE COURT:** No. But tell me if you want
 22 them -- how you want me to handle them,
 23 evidence, they are for me?
 24 **MR. FEAMAN:** I think evidence is the
 25 easiest way to create a record.

14:41:56-14:42:19 Page 53

1 **THE COURT:** So I am going to proceed right
 2 now.
 3 **MR. FEAMAN:** I have never had a
 4 conversation with your J.A., Your Honor.
 5 **THE COURT:** Thank you.
 6 **MR. ELIOT BERNSTEIN:** Or somebody did.
 7 **MR. FEAMAN:** Exhibit 1 --
 8 **THE COURT:** Thank you.
 9 **MR. FEAMAN:** -- is the first order of
 10 May 23rd.
 11 **THE COURT:** Okay. You are asking that
 12 this be placed in evidence or Court take
 13 judicial notice?
 14 **MR. FEAMAN:** Exhibit 1 it's stamped on the
 15 back, Your Honor.
 16 **THE COURT:** Any objection?
 17 **MR. ROSE:** I don't think it needs to be in
 18 evidence, but I don't have any objection.
 19 **THE COURT:** Okay.
 20 **MR. FEAMAN:** Your Honor, it doesn't need
 21 to be in evidence.
 22 **THE COURT:** I will just place it in
 23 evidence.
 24 **MR. FEAMAN:** It's just more orderly.
 25 **THE COURT:** Sure. Sure. Stansbury

14:42:23-14:42:52 Page 54

1 Petitioner's Number 1 admitted into evidence.
 2 Okay.
 3 (Stansbury's Exb. No. 1, Order Appointing
 4 Administrator Ad Litem, 5/23/14.)
 5 **MR. FEAMAN:** Then Number 2, Your Honor, is
 6 the second order --
 7 **MR. ROSE:** No objection.
 8 **MR. FEAMAN:** -- referred to.
 9 **THE COURT:** Thank you.
 10 **MR. FEAMAN:** I have an exhibit list.
 11 **MR. ROSE:** No objection to 2.
 12 **MR. FEAMAN:** Thank you.
 13 **THE COURT:** Thank you.
 14 (Stansbury's Exb. No. 2, Amended Order
 15 Appointing Administrator Ad Litem, 6/16/14.)
 16 **MR. FEAMAN:** Do you need a copy or are you
 17 okay?
 18 **MR. ROSE:** Why don't I have a copy?
 19 **MR. FEAMAN:** I am trying to move quickly,
 20 Your Honor.
 21 **THE COURT:** That's okay.
 22 **MR. ELIOT BERNSTEIN:** Do we know how long
 23 this hearing will go so we can --
 24 **THE COURT:** You know, that's very rude.
 25 **MR. ELIOT BERNSTEIN:** Well, excuse me.

14:42:56-14:43:29 Page 55

1 **THE COURT:** I am just saying you don't
 2 just --
 3 **MR. ELIOT BERNSTEIN:** I've got kids. And
 4 in the order --
 5 **THE COURT:** You need to stop.
 6 **MR. ELIOT BERNSTEIN:** The order said --
 7 **THE COURT:** No, no, no. When I say you
 8 need to stop, you need to stop talking.
 9 **MR. ELIOT BERNSTEIN:** Okay.
 10 **THE COURT:** Whose phone is going off?
 11 **MR. FEAMAN:** Your Honor, I apologize to
 12 the Court.
 13 **THE COURT:** That's okay. That's all
 14 right. Thank you.
 15 I have entered an order in these cases
 16 indicating, while I indicated it would be an
 17 hour, that is no promise that the hearings will
 18 end exactly in an hour.
 19 **MR. ELIOT BERNSTEIN:** In this order you
 20 said limited to one hour.
 21 **THE COURT:** And there was an order entered
 22 after indicating --
 23 **MR. ELIOT BERNSTEIN:** That's what I said
 24 to Mr. Feaman.
 25 **THE COURT:** There was a subsequent order

14:43:36-14:44:12 Page 56

1 that was entered.
 2 **MR. ELIOT BERNSTEIN:** That that would
 3 override this. Okay. I should have brought a
 4 pillow.
 5 **THE COURT:** My court reporter is really
 6 having a hard time. I apologize. I will try
 7 to be more aware. I apologize very much to
 8 you.
 9 Okay. You may proceed.
 10 **MR. FEAMAN:** Exhibit 3 is the motion to
 11 intervene filed by the estate in the United
 12 States District Court for the Northern District
 13 of Illinois.
 14 **MR. ROSE:** No objection.
 15 **THE COURT:** So entered.
 16 (Stansbury's Exb. No. 3, Motion to
 17 Intervene.)
 18 **MR. FEAMAN:** Exhibit 4 is the verified
 19 copy of the order granting the motion to
 20 intervene by the United States District Court
 21 Northern District of Illinois.
 22 **THE COURT:** Thank you.
 23 **MR. ROSE:** No objection to 4.
 24 **THE COURT:** Thank you.
 25 ///

14:44:58-14:45:40 Page 57

1 (Stansbury's Exb. No. 4, Verified Copy of
 2 Order Granting Motion to Intervene.)
 3 **MR. FEAMAN:** Exhibit 5 is the first motion
 4 by successor personal representative Brian
 5 O'Connell, docket entry 403, for authorization
 6 to enter into a contingency agreement with
 7 Illinois counsel in the pending life insurance
 8 litigation.
 9 **THE COURT:** I am happy to take that in
 10 since that's the way we are doing it. I did
 11 notice that you filed a notice for judicial --
 12 **MR. FEAMAN:** Yes.
 13 **THE COURT:** But I will just go ahead and
 14 continue the flow.
 15 (Stansbury's Exb. No. 5, Petition for
 16 Authorization to Enter into Contingency Agreement,
 17 Docket Entry 403.)
 18 **MR. FEAMAN:** And the purpose of the --
 19 don't mean to address the Court with my back to
 20 it.
 21 **THE COURT:** That's okay.
 22 **MR. FEAMAN:** The purpose of this, Your
 23 Honor, is for the Court to note in paragraph
 24 five where it says as of the date of the filing
 25 of this motion, which is December 2015,

14:46:02-14:46:53 Page 58

1 approximately a year and a half after the entry
 2 of the order ordering Mr. Stansbury to pay, it
 3 says, quote, The legal fees to date in the life
 4 insurance litigation have been paid by William
 5 Stansbury.
 6 And then paragraph seven, the successor
 7 personal representative believes that it is in
 8 the best interests of the estate to continue
 9 with the life insurance litigation.
 10 And then paragraph eight, Illinois counsel
 11 has agreed to waive the outstanding balance
 12 currently due and enter into a contingency
 13 agreement.
 14 **MR. ROSE:** Are we here to --
 15 **MR. FEAMAN:** Exhibit 6, Your Honor --
 16 **THE COURT:** Now why are you interrupting?
 17 **MR. ROSE:** No, no. Are we doing argument
 18 on each of these exhibits or just going to have
 19 them come in?
 20 **MR. FEAMAN:** I wasn't arguing.
 21 **THE COURT:** Please have a seat. He is
 22 just handing me the exhibits.
 23 **MR. FEAMAN:** Just reading. Exhibit 6 is
 24 docket entry 405 which is Mr. O'Connell's
 25 amended petition for authorization. And the

14:47:08-14:48:21 Page 59

1 amended petition contains the same language as
 2 Exhibit 5.
 3 (Stansbury's Exb. No. 6, Amended Petition
 4 for Authorization to Enter into Contingency
 5 Agreement, Docket Entry 405.)
 6 **THE COURT:** All right. I don't want you
 7 to annotate the exhibits.
 8 **MR. FEAMAN:** Okay.
 9 **THE COURT:** Just if you want to bring
 10 something to the Court's attention on it, then
 11 I will entertain anything else anyone else
 12 wants to bring to my attention.
 13 **MR. FEAMAN:** Okay. Just the only thing
 14 different is there's a new paragraph nine
 15 saying that there's also an hourly fee
 16 arrangement offered to the personal
 17 representative by Chicago counsel.
 18 And then, finally, Exhibit 7 is the
 19 inventory filed by Mr. O'Connell as successor
 20 personal representative dated December 1st,
 21 2014, showing the claim for the insurance
 22 proceeds in Chicago as an asset of the estate
 23 value unknown.
 24 (Stansbury's Exb. No. 7, Inventory
 25 12-1-14.)

14:48:29-14:48:51 Page 60

1 **MR. FEAMAN:** Now I would call
 2 Mr. Stansbury to the stand.
 3 **THE COURT:** All right.
 4 **MS. CRISPIN:** I just want to interject
 5 quickly. I know you asked the estate's
 6 position on whether or not Mr. Stansbury should
 7 be discharged.
 8 **THE COURT:** Yes.
 9 **MS. CRISPIN:** There was a second component
 10 to that, which was should he be reimbursed for
 11 what he has already paid. And I did want the
 12 Court to know that Mr. O'Connell's position is
 13 similar to that of Mr. Rose's, which is notated
 14 on page 35 of the transcript, is that until
 15 there is a net recovery to the estate it should
 16 not be repaid.
 17 **THE COURT:** Okay. Thank you.
 18 **MS. CRISPIN:** Thank you.
 19 **THE COURT:** Thank you, Ms. Crispin.
 20 All right, go ahead.
 21 As I do in all the hearings, I will keep
 22 the evidence up here for anybody to reference,
 23 my very complicated evidence label.
 24 - - -
 25 Thereupon,

14:49:11-14:49:48 Page 61

1 WILLIAM STANSBURY,
 2 a witness called on behalf of himself, being by the
 3 Court duly sworn, was examined and testified as
 4 follows:
 5 **THE WITNESS:** I do.
 6 **THE COURT:** Thank you. Please have a
 7 seat.
 8 **MR. FEAMAN:** Permission to lead the
 9 witness to go through some background
 10 information, Your Honor?
 11 **THE COURT:** I think that in this case we
 12 better just go with the standard.
 13 **MR. FEAMAN:** Thank you.
 14 DIRECT (WILLIAM STANSBURY)
 15 **BY MR. FEAMAN:**
 16 **Q. Please state your name and address.**
 17 A. William Stansbury. 6920 Caviro Lane,
 18 Boynton Beach, Florida.
 19 **Q. And you are presently a claimant against**
 20 **this Estate of Simon Bernstein, and you have**
 21 **brought an action against the estate seeking the**
 22 **recovery of money; is that correct?**
 23 A. It is, yes.
 24 **Q. What's the approximate value of your**
 25 **claim?**

14:50:06-14:51:05 Page 62

1 A. Approximately 2.5 million.

2 **Q. And when did you first obtain knowledge**

3 **that there was a life insurance policy that was in**

4 **effect at the time of Simon Bernstein's death where**

5 **death benefits of which might rightfully belong to**

6 **the Estate of Simon Bernstein?**

7 A. I first became aware of the life insurance

8 policy in the fall of 2011.

9 **Q. How was that?**

10 A. Inadvertently, I suspect, that the life

11 insurance policy on Mr. Bernstein lapsed. And

12 there was a great deal of panic in the office.

13 There were concerns about his health and the fact

14 that there may not be an opportunity to get the

15 policy benefit back alive. And because of my 40

16 years of experience in the insurance industry, I

17 was consulted with to see if there was anything

18 that I could suggest or recommend that might help

19 to re-establish the benefit for Mr. Bernstein who

20 was the owner of the policy at that time.

21 **Q. Is that the same policy that's at issue in**

22 **the Chicago litigation?**

23 A. It is.

24 **Q. And were you successful in getting the**

25 **policy reinstated?**

14:51:14-14:52:25 Page 63

1 A. I was.

2 **Q. And you were working with Mr. Simon**

3 **Bernstein at that time?**

4 A. I was.

5 **Q. And now Mr. Bernstein passed away in, I**

6 **believe, the fall of 2012; is that correct?**

7 A. September of 2012, yes.

8 **Q. Okay. How did you learn that there had**

9 **become an issue as to who or what the beneficiary**

10 **of that life insurance policy was?**

11 A. There was a lot of e-mailing and things

12 going back and forth that I became aware of. And

13 the fact that the life insurance policy was being

14 submitted to the insurance company with a claim

15 being made by a trustee who wasn't the trustee of

16 the life insurance policy that was described in the

17 benefit as being a beneficiary.

18 **Q. Was that Mr. Spallina?**

19 A. It was.

20 **Q. Did you become aware subsequently that**

21 **then a lawsuit had been filed in Illinois involving**

22 **the death benefits of that policy?**

23 A. Yes.

24 **Q. And how much are those death benefits as**

25 **far as you know?**

14:52:36-14:53:37 Page 64

1 A. It's in the 1.6, 1.7 million dollar range,

2 something in that vicinity.

3 **Q. And did there come a time when you learned**

4 **that there was a disagreement over who the**

5 **beneficiary of that policy is?**

6 A. Yes.

7 **Q. Did you make inquiries as to whether the**

8 **estate was involved at that time in the litigation**

9 **that was pending in Chicago?**

10 A. Yes.

11 **Q. And what did you find out?**

12 A. I found out that they were not being

13 represented at all in that litigation.

14 **Q. Did that concern you?**

15 A. It did.

16 **Q. Why?**

17 A. Well, on a number of levels. First of

18 all, you know, obviously, if I can bring additional

19 liquidity into the estate that tends to help not

20 just the estate but potentially any claim that I

21 might be awarded, so there was an interest there.

22 I am -- I was at that time 40 years in the

23 life insurance profession, and I ran large offices

24 and regions for major life insurance companies.

25 And I understood from time to time that people do

14:53:53-14:54:57 Page 65

1 pass away and the beneficiaries are not always

2 being -- they are not always able to be found.

3 Businesses have been listed as beneficiaries or

4 trusts that are no longer there and can't be proven

5 up.

6 And so I know that there were

7 opportunities for estates of others to make claims,

8 and those estates were subsequently awarded

9 benefits that either were paid based on the will or

10 the intestacy laws of the state that the person

11 resided in.

12 And I took it as a professional

13 responsibility. You know, this was not just

14 something that I was trying out. As I said, I was

15 40 years in the business at that point. And I had

16 leadership positions in the community and county

17 and nationally in the insurance business.

18 And so for me to observe an application

19 for insurance to be submitted by, not the

20 application, but the claim to be submitted by

21 someone who really had no interest in that, and

22 they represent to the insurance company claim

23 department that they are the beneficiary, to me

24 that was offensive, you know, that is somewhat in

25 violation of I am aware of a statute in Florida

14:55:17-14:56:15 Page 66

1 817.234. It seems to violate that statute.
2 So I felt there was a responsibility to at
3 least bring to the attention of the court for the
4 reasons that I stated that there should be given an
5 opportunity for the estate to have a seat at the
6 table to at least argue a case.
7 **Q. So in November of 2013 did you personally**
8 **hire an attorney to attempt to intervene on your**
9 **behalf in that action as a claimant of the**
10 **Bernstein estate?**
11 A. I did.
12 **Q. And what was the result of that?**
13 A. We were denied.
14 **Q. Now, you recall that in January of 2014**
15 **then the personal representatives, Messrs. Tescher**
16 **and Spallina, resigned; is that correct?**
17 A. Yes.
18 **Q. And did you then ask the probate court**
19 **here in Florida to appoint an independent curator**
20 **or administrator ad litem to intervene?**
21 A. I did.
22 **Q. And the court, as you heard in opening**
23 **statement, granted your motion for the appointment**
24 **first of an independent curator; is that correct?**
25 A. Correct, yes.

14:56:26-14:57:15 Page 67

1 **Q. That was Mr. Brown?**
2 A. Correct.
3 **Q. Did you file then a subsequent motion to**
4 **have the estate intervene in the Chicago**
5 **litigation?**
6 A. Yes.
7 **Q. And your motion recited that you would be**
8 **the intervenor; is that correct?**
9 A. Yes.
10 **Q. And then do you recall the hearing on**
11 **May 23rd, were you there in the courtroom at that**
12 **time in 2014 concerning the appointment that**
13 **resulted in the orders that we have discussed this**
14 **morning?**
15 A. Yes.
16 **Q. And the court obviously then granted the**
17 **petition and ordered that you would initially bear**
18 **the costs of the litigation, correct?**
19 **MR. ROSE:** Objection, the order speaks for
20 itself.
21 **THE COURT:** Sustained.
22 **BY MR. FEAMAN:**
23 **Q. Now, do you know how much money at this**
24 **point you have actually paid just to Mr. Stamos's**
25 **law firm?**

14:57:27-14:58:47 Page 68

1 A. It's in the range of \$70,000.
2 **Q. And do you recall over what period of time**
3 **that is?**
4 A. It's from when I received his first
5 invoice through January of this year, 2017.
6 **Q. Let me hand you what's been marked as**
7 **Composite Exhibit 8. Can you first identify what**
8 **Composite Exhibit 8 represents?**
9 A. They represent payments that were made to
10 Ben Brown's firm and Mr. Stamos's firm for fees
11 that were generated as a result of what we'll call
12 the Chicago litigation.
13 **Q. Okay. And so the first check is payable**
14 **to Matwiczuk and Brown. Was that Ben Brown's firm,**
15 **as you mentioned?**
16 A. Yes.
17 **Q. And then there's a check -- and then**
18 **there's, just in the interest of time --**
19 **THE COURT:** Legal objection?
20 **MR. ROSE:** The document is not in evidence
21 yet. I don't have an objection to it coming
22 into evidence, but he shouldn't be reading from
23 a document that's not in evidence.
24 **THE COURT:** Are you moving it in?
25 ///

14:58:59-14:59:40 Page 69

1 **BY MR. FEAMAN:**
2 **Q. Are those checks generated by you --**
3 **THE COURT:** Wait. Did you want to put it
4 in evidence?
5 **MR. FEAMAN:** Yeah, I am going to lay a
6 predicate.
7 **THE COURT:** He just said he didn't object.
8 **MR. FEAMAN:** I would move those in
9 evidence at this time, Your Honor.
10 **THE COURT:** Okay. Let me just mark it.
11 **MR. FEAMAN:** He has the marked one, if I
12 could, I will switch.
13 **THE COURT:** Thank you. I appreciate that.
14 **MR. ROSE:** Is that 8?
15 **THE COURT:** This is 8. This is
16 Stansbury's 8.
17 (Stansbury's Exb. No. 8, Payment of
18 Checks.)
19 **BY MR. FEAMAN:**
20 **Q. And in the interests of time could you**
21 **just briefly list the check number and the amount**
22 **and the date and the payee?**
23 A. Starting with Mr. Brown or going to the
24 first?
25 **Q. Yes, starting with the first page and**

14:59:54-15:00:48 Page 70

1 going through?
 2 A. I am having a difficult time seeing a
 3 check number on a cashier's check. Do you see it?
 4 Q. 1167815311?
 5 A. Oh, okay.
 6 Q. That's \$3,401, correct?
 7 A. Correct.
 8 Q. Okay.
 9 A. The next check number is 1166312927.
 10 Q. Date?
 11 A. December the 18th, 2014.
 12 Q. Amount?
 13 A. \$5,290.49.
 14 Q. Next?
 15 A. It's my check number 129.
 16 Q. Date?
 17 A. February 27th, 2015.
 18 Q. Amount?
 19 A. \$9,551.66.
 20 Q. Next?
 21 A. Check number 134, amount --
 22 Q. Payee?
 23 A. Payee is Stamos and Trucco.
 24 Q. Date?
 25 A. The date of the check is April 24th, 2015.

15:02:42-15:03:28 Page 72

1 Trucco. The date is February the 13th, 2017. The
 2 amount is \$10,000 even.
 3 Q. Okay. At the hearing back in May of 2014
 4 why did you volunteer to pay the -- well, first,
 5 did you volunteer to pay initially the fees and
 6 costs that would be incurred by the estate in
 7 connection with the intervention?
 8 A. Yes.
 9 MS. CRISPIN: Your Honor, objection.
 10 Transcript speaks for itself what the position
 11 was at the time of the hearing.
 12 THE COURT: Overruled.
 13 BY MR. FEAMAN:
 14 Q. Had a personal representative been
 15 appointed by the court yet at that time?
 16 A. No.
 17 Q. And after the motion to intervene was
 18 granted did you then move to be discharged from
 19 further responsibility for funding the estate?
 20 A. I did.
 21 Q. And how long after the court's granting of
 22 the estate's motion to intervene up in Chicago did
 23 you move to be discharged from further
 24 responsibility that you can recall?
 25 A. Seems like it was two or three months,

15:01:05-15:02:04 Page 71

1 Q. Amount?
 2 A. \$4,107.28.
 3 Q. 136?
 4 A. Yeah, check number 136, it's dated June
 5 the 1st of 2015, anniversary date, or yesterday.
 6 Q. The payee?
 7 A. Payee is Stamos and Trucco.
 8 Q. Amount?
 9 A. \$7,805.60.
 10 Q. The next check?
 11 A. Check number 139.
 12 Q. Payable to?
 13 A. Stamos and Trucco.
 14 Q. Date?
 15 A. July the 13th, 2015.
 16 Q. Amount?
 17 A. \$16,936.38.
 18 Q. Next check?
 19 A. Number 154, payable to Stamos and Trucco.
 20 Q. Date?
 21 A. Date is August the 12th, 2016.
 22 Q. Amount?
 23 A. \$16,585.
 24 Q. Next check?
 25 A. Check number 159, payable to Stamos and

15:03:38-15:04:53 Page 73

1 somewhere in that neck of the woods.
 2 Q. Okay.
 3 A. Two, two and a half months.
 4 Q. Why did you believe it appropriate to move
 5 to be discharged at that time?
 6 A. Well, because I did what I promised that I
 7 would do. I generated a benefit for the estate.
 8 And but for that intervention the estate may not
 9 have had a seat at the table and had any claim at
 10 all to the insurance proceeds. We were able to --
 11 not we. The attorney was able to get, I don't know
 12 what the legal words are, but get standing to
 13 represent the estate. Summary judgments that were
 14 presented by the plaintiff were defeated. And so
 15 the estate was represented and that was a benefit.
 16 Q. Why do you think you should be discharged
 17 at this time from any further responsibility from
 18 funding this estate's participation in that
 19 litigation in Chicago?
 20 A. Well, at this time, you know, again, I did
 21 what I said I was going to do. I funded the
 22 litigation. A benefit was provided, in addition to
 23 what I just described, by Mr. Stamos who offered
 24 Mr. O'Connell the opportunity to take either a
 25 contingent or an hourly fee basis.

15:05:12-15:06:18 Page 74

1 So from my perspective if you have any
2 concerns about litigation expense, a contingency
3 fee arrangement sort of takes all of those expenses
4 that you might incur off the table. The only thing
5 that would result would be a benefit or no cost,
6 which to me is benefit.
7 So from my perspective that is a large
8 benefit and one that Mr. Stamos in the pleading or
9 filing or motion, whatever you call it that you
10 read before, has agreed is a benefit. Whether he
11 chooses to pay hourly or not, that's up to him.
12 But I have certainly provided the opportunity for
13 him to reap a benefit where the estate would lose
14 nothing and only gain. To me that's a huge
15 benefit.
16 **Q. Did Ted Bernstein, the successor trustee**
17 **to the trust that's the sole residual beneficiary**
18 **of the Simon Bernstein estate, did he through his**
19 **counsel oppose your attempts to get the estate**
20 **intervened?**
21 A. Yes.
22 **Q. Why is that, do you believe?**
23 A. I can't figure it out because essentially
24 it's the parents or the plaintiffs and their
25 children are the defendants. So it's, you know,

15:06:31-15:07:15 Page 75

1 parents and children trying to figure out who gets
2 the money.
3 But, you know, I can't speak for why they
4 do what they do. But, you know, my understanding
5 from the documents that have been presented in
6 court is that if the money goes to the estate --
7 **MS. CRISPIN:** Your Honor, move to strike,
8 hearsay and speculation.
9 **THE COURT:** Sustained. Give me one
10 second, please. All right. Let me just
11 interrupt.
12 **MR. FEAMAN:** No further questions, Your
13 Honor.
14 **THE COURT:** Oh, I am sorry, I didn't mean
15 to interrupt. But this goes to what question
16 Mr. Eliot was asking earlier. I did not
17 respond because I didn't have an answer.
18 We will need to -- I had this set for an
19 hour. I left it open. But I am signing judge,
20 and I have two emergencies already going. So
21 we can either end here -- or I'd like to
22 complete his testimony, if possible. But I
23 need it to be done by 3:30. So I don't know if
24 that's possible.
25 **MR. FEAMAN:** I am done.

15:07:27-15:08:01 Page 76

1 **THE COURT:** Thank you.
2 Mr. Eliot, why don't you proceed?
3 **MR. ELIOT BERNSTEIN:** Well, first, I
4 wasn't trying to stop the proceeding.
5 **THE COURT:** I know.
6 **MR. ELIOT BERNSTEIN:** I brought a pillow
7 and a tent, because your order says I could be
8 here forever, which I think prejudiced me and
9 everybody else. But because I have kids and I
10 got to take care of them and all those things.
11 And I was just trying --
12 **THE COURT:** You can proceed with the
13 cross-examination.
14 **MR. ELIOT BERNSTEIN:** I know, but --
15 **THE COURT:** Thank you. Now. Now. No,
16 no, no. Thank you. Appreciate it.
17 **MR. ELIOT BERNSTEIN:** Don't think I have
18 enough time in a half hour to again do what I
19 need to do.
20 **THE COURT:** You don't think you have
21 enough time in a half hour?
22 **MR. ELIOT BERNSTEIN:** No. I was going to
23 call some witnesses on my own.
24 **THE COURT:** No. You are just -- we are
25 going to continue the hearing, sir. This is

15:08:08-15:08:39 Page 77

1 just your questions for Mr. Stansbury.
2 **MR. ELIOT BERNSTEIN:** Oh. Will we have
3 enough time for me to call witnesses and
4 everything?
5 **THE COURT:** Today?
6 **MR. ELIOT BERNSTEIN:** Yes.
7 **THE COURT:** Please do your questioning of
8 Mr. Stansbury. And after we are done with
9 Mr. Stansbury we are going to recess for the
10 day.
11 **MR. ELIOT BERNSTEIN:** Okay.
12 **THE COURT:** Okay?
13 **MR. ELIOT BERNSTEIN:** Yeah.
14 **CROSS (WILLIAM STANSBURY)**
15 **BY MR. ELIOT BERNSTEIN:**
16 **Q. You said you worked on the policy**
17 **reinstatement in 2011; is that correct?**
18 A. Correct.
19 **Q. And that's the life insurance policy**
20 **that's the subject of this hearing, correct?**
21 A. Yes, it is.
22 **Q. Okay. Did you see the policy at that**
23 **time?**
24 A. No, I did not.
25 **Q. Did you see the new policy that was**

15:08:46-15:09:51 Page 78

1 issued?

2 A. No, I did not.

3 Q. Did you get any paperwork on that?

4 A. No, I didn't.

5 Q. Okay. Have you notified state authorities

6 that there was possible fraud in this insurance

7 matter before this Court?

8 A. As I mentioned earlier, I am a

9 professional in the insurance industry. And I have

10 a responsibility with my license to advise the

11 Department of Insurance if I see anything that

12 appears to be an irregularity for them to

13 investigate. And it was my professional opinion

14 that there was an irregularity, and I notified the

15 Department of Insurance.

16 Q. What was the irregularity?

17 A. Well, the irregularity that I saw was that

18 -- I guess there were a couple. But number one was

19 the fact that a claim was made on a policy by an

20 individual representing himself as the trustee of a

21 trust where he wasn't the trustee of the trust.

22 Q. Who was that individual?

23 A. Robert Spallina.

24 Q. And he was who?

25 A. He was -- well, he was a number of things.

15:10:08-15:11:02 Page 79

1 He was a friend of Ted Bernstein's. He was a

2 lawyer. And he was the PR. And I think he also

3 wore the hat of trustee of the trust. So he was

4 wearing a lot of hats.

5 Q. Okay. And did you contact or have your

6 attorney contacted the FBI regarding matters

7 involving this insurance?

8 MS. CRISPIN: Objection, relevance.

9 MR. FEAMAN: Objection, calls for

10 attorney/client privileged information.

11 THE COURT: Sustained.

12 MR. ELIOT BERNSTEIN: So don't ask him

13 again? Okay. Okay.

14 THE COURT: Sustained on the

15 attorney/client privilege.

16 MR. ELIOT BERNSTEIN: Okay.

17 BY MR. ELIOT BERNSTEIN:

18 Q. Are you aware that in the Illinois

19 litigation that there was a summary judgment

20 against my rights stating that I wasn't a

21 beneficiary and have standing in Simon Bernstein's

22 estate?

23 MR. ROSE: Objection, relevance,

24 materiality.

25 THE COURT: Sustained.

15:11:16-15:12:16 Page 80

1 BY MR. ELIOT BERNSTEIN:

2 Q. Are you aware that Simon Bernstein has you

3 as the successor trustee of his trust at one point,

4 and you would have been in charge of this insurance

5 litigation?

6 MR. ROSE: Objection.

7 THE WITNESS: Yes, I am aware of that.

8 BY MR. ELIOT BERNSTEIN:

9 Q. Okay. Are you aware that when Robert

10 Spallina filed that fraudulent insurance claim that

11 there was an investigation started at that time

12 into my father's death being from poisoning?

13 MR. ROSE: Objection, relevance.

14 MS. CRISPIN: Join.

15 THE COURT: Sustained.

16 BY MR. ELIOT BERNSTEIN:

17 Q. Well, I know -- well, let me ask you this.

18 Mr. Spallina failed to represent the estate's

19 interest in the Illinois insurance litigation; is

20 that correct?

21 A. Not only failed to represent it; it

22 appeared to me that he was actually working adverse

23 to the estate.

24 Q. Okay. And --

25 MR. ROSE: Objection, move to strike,

15:13:09-15:14:20 Page 81

1 nonresponsive.

2 THE COURT: Can I please have the response

3 read back to me and the question?

4 (The following portion of the record was

5 read back.)

6 "Q. Well, let me ask you this.

7 Mr. Spallina failed to represent the estate's

8 interest in the Illinois insurance litigation;

9 is that correct?

10 "A. Not only failed to represent it; it

11 appeared to me that he was actually working

12 adverse to the estate."

13 THE COURT: Sustained. Next question.

14 BY MR. ELIOT BERNSTEIN:

15 Q. Did you have to pay for this counsel,

16 Mr. Stamos, due to the fact that the estate had not

17 paid -- would not enter the case without your

18 payment? Is that why you are paying this?

19 A. Yes.

20 Q. You said you have some other

21 irregularities in the insurance policy in this

22 litigation that you brought to the attention of the

23 state. What were some of the other irregularities

24 you found in the insurance?

25 A. Well, I am not sure that I would call them

15:14:42-15:15:45 Page 82

1 irregularities with the insurance, Eliot, but
 2 things that I thought needed to be explored. I
 3 mentioned one. The other is that as the claim was
 4 denied from Heritage Life Insurance Company that
 5 Robert Spallina submitted as the trustee of the
 6 trust, that after that time Ted Bernstein submitted
 7 or filed a lawsuit as a plaintiff claiming that he
 8 was the trustee of the trust, all the while knowing
 9 that Robert Spallina had filed a claim saying he
 10 was the trustee of the trust.
 11 And so the irregularity, again from my
 12 perspective understanding insurance, is that a
 13 licensed insurance agent, that being Ted Bernstein,
 14 was aware that another person was making a claim to
 15 be a trustee of a trust on a claim form when he
 16 knew that that couldn't be if he was then
 17 subsequently filing a lawsuit saying that he was
 18 the plaintiff.
 19 **MR. ROSE:** Objection, move -- sorry, I
 20 thought he was finished.
 21 **THE WITNESS:** I am saying that he was a
 22 plaintiff in a lawsuit claiming that he was the
 23 trustee of the trust that Spallina said that he
 24 was the trustee of the trust on.
 25 So again, it was just something that I

15:15:58-15:17:12 Page 83

1 thought as a licensed insurance person should
 2 know that you don't participate in things that
 3 may not be true when you are dealing with
 4 claims to insurance companies.
 5 **MR. ROSE:** Objection, move to strike,
 6 nonresponsive, speculation, conjecture, not
 7 based on any fact in the record or outside of
 8 the record.
 9 **THE COURT:** Can I have the question again,
 10 madam court reporter, please.
 11 (The following portion of the record was
 12 read back.)
 13 "Q. You said you have some other
 14 irregularities in the insurance policy in this
 15 litigation that you brought to the attention of
 16 the state. What were some of the other
 17 irregularities you found in the insurance?"
 18 **THE COURT:** Overruled. Next question.
 19 **BY MR. ELIOT BERNSTEIN:**
 20 **Q. In the Illinois insurance litigation I was**
 21 **the only party prior to you getting the estate to**
 22 **intervene who was representing, to the best of your**
 23 **knowledge, the estate's interest and basically**
 24 **everybody else's interest, my children's interest,**
 25 **et cetera; is that correct?**

15:17:34-15:18:12 Page 84

1 A. As far as I know.
 2 **Q. Okay. And now that you've intervened in**
 3 **the Illinois insurance litigation, you came in**
 4 **amidst the prior personal representative's leaving**
 5 **in fraud and failing to represent the estate in the**
 6 **insurance litigation?**
 7 **MR. ROSE:** Objection, argumentative.
 8 **MS. CRISPIN:** Misstates the facts in
 9 evidence.
 10 **THE COURT:** Sustained.
 11 **MR. ELIOT BERNSTEIN:** Got to think that
 12 one.
 13 **BY MR. ELIOT BERNSTEIN:**
 14 **Q. Are you aware that I am the beneficiary of**
 15 **the Stanley and Simon estates?**
 16 **MR. ROSE:** Objection, calls for legal
 17 conclusion, irrelevant, immaterial.
 18 **THE COURT:** Sustained.
 19 **BY MR. ELIOT BERNSTEIN:**
 20 **Q. Are you aware it was alleged that I was**
 21 **not a beneficiary with standing in the estate of my**
 22 **father?**
 23 **MR. ROSE:** Same objection.
 24 **THE COURT:** Sustained.
 25 ///

15:18:44-15:19:26 Page 85

1 **BY MR. ELIOT BERNSTEIN:**
 2 **Q. Are you aware that my standing as a**
 3 **beneficiary in the Illinois litigation made in part**
 4 **the need for legal counsel that you would possibly**
 5 **depending on the Court's ruling have to continue to**
 6 **pay for?**
 7 **MS. CRISPIN:** Objection, Your Honor, form,
 8 complex, compound.
 9 **THE COURT:** Sustained.
 10 **MR. ELIOT BERNSTEIN:** I will let it go for
 11 now. I am done.
 12 **THE COURT:** Thank you.
 13 **MS. CRISPIN:** Mine will be short.
 14 **MR. ROSE:** Right.
 15 **CROSS (WILLIAM STANSBURY)**
 16 **BY MS. CRISPIN:**
 17 **Q. Mr. Stansbury, I am Ashley Crispin. I**
 18 **represent Mr. O'Connell. Nice to make your**
 19 **acquaintance.**
 20 A. Thank you. Nice to meet you.
 21 **Q. After the May 2014 hearing your lawyer**
 22 **negotiated for you during that hearing some**
 23 **additional terms and things that you were going to**
 24 **be able to get out of the payment towards the fees**
 25 **of Mr. Stamos.**

15:19:39-15:20:46 Page 86

1 For example, isn't it true that you were
2 able to contact Mr. Brown at the time and
3 Mr. O'Connell to discuss strategy that you had with
4 respect to the case?
5 MR. FEAMAN: Objection to the form of the
6 question as to my negotiating at the hearing.
7 The transcript speaks for itself.
8 THE COURT: Overruled.
9 MR. FEAMAN: Objection, relevancy.
10 THE COURT: Overruled.
11 BY MS. CRISPIN:
12 Q. As part of your agreement -- I will
13 rephrase the question. As part of your agreement
14 to make the payment to Mr. Stamos you also had
15 the ability, and this was part of what you received
16 at the hearing, to contact the counsel in Chicago
17 and say, hey, have you considered this, I have
18 information to help your case? Is that true?
19 A. It's not the way I understood it. The
20 arguments that were going back and forth, and again
21 I am going from my recollection, were privy, I
22 think was the word that Mr. Morrissey was using,
23 and what I should and should not be privy to.
24 And I think Judge Colin had suggested that
25 attorneys talk about cases all the time. I am not

15:21:08-15:21:50 Page 87

1 sure that it was discussed or agreed to, although
2 that's just my recollection, that we had any input
3 with regard to direction, strategy or anything
4 along those lines. That Mr. Brown at that time was
5 the client and that Mr. Stamos was the attorney,
6 and that was the relationship.
7 Q. Mr. Feaman represented you at that
8 hearing, correct?
9 A. He did.
10 Q. And his positions that he put before the
11 court were your positions, correct?
12 A. Yes.
13 Q. So is it true that he asked for the
14 ability as pursuant to the agreement that you were
15 going to make to pay for the Illinois litigation,
16 that he asked for you to be able to pick up the
17 phone and call counsel in Chicago and say, hey,
18 have you considered this, I have information that
19 might help your case?
20 MR. FEAMAN: Objection.
21 MS. CRISPIN: That was my question.
22 MR. FEAMAN: A, the transcript speaks for
23 itself. And B, he should be able to read page
24 and line of the transcript if he is being asked
25 to comment on something I said at the hearing.

15:22:02-15:22:45 Page 88

1 THE COURT: I need to hear the question
2 again.
3 MS. CRISPIN: Your Honor, I will rephrase.
4 THE COURT: I was going to say, ask him
5 what you want to know. Yeah, I am just missing
6 it.
7 BY MS. CRISPIN:
8 Q. Did your counsel at the hearing negotiate
9 as part of you paying for the Chicago litigation
10 the ability to contact counsel in Chicago and give
11 your opinions and your strategy?
12 MR. FEAMAN: Same objection, the
13 transcript speaks for itself.
14 MS. CRISPIN: I am asking him, Your Honor.
15 THE COURT: Overruled.
16 THE WITNESS: Can I see the transcript?
17 BY MS. CRISPIN:
18 Q. I am asking you, do you know?
19 A. Again, I do recall there was conversations
20 about the interaction of the attorneys. And my
21 recollection is Judge Colin said, you guys always
22 get together and talk about things anyway, so I am
23 not going to get in the way of that.
24 Q. At that hearing you were willing that day
25 to pay for the Illinois litigation as long as

15:22:58-15:23:46 Page 89

1 somebody would intervene on behalf of the estate;
2 is that true?
3 A. Initially, yes, initially.
4 Q. And when you say initially, what does that
5 mean?
6 A. I would have to refer to a dictionary, but
7 generally speaking initially doesn't mean
8 permanently. It means at the beginning initially.
9 Q. Why is it that there's nothing in the
10 transcript where your counsel on your behalf put
11 forth when it would be that you would stop paying
12 for the litigation?
13 MR. FEAMAN: Objection to the form, asked
14 for a state of mind of other people why
15 something did not happen.
16 THE COURT: Sustained.
17 BY MS. CRISPIN:
18 Q. Now, you said that Mr. Stamos offered to
19 Mr. O'Connell a contingency fee or hourly fee
20 arrangement. And you said you thought that was a
21 benefit that you brought to the estate; is that
22 true?
23 A. It is.
24 Q. Okay. But that's because -- and that was
25 brought to Mr. O'Connell, that was because you

15:24:02-15:25:02 Page 90

1 weren't paying; isn't that true?
 2 A. No, that's not true at all.
 3 **Q. So the reason that there would be a waiver**
 4 **of outstanding fees so that a contingency fee**
 5 **arrangement could be pursued had nothing to do with**
 6 **the fact that you had failed to make payment to**
 7 **Mr. Stamos?**
 8 A. I would have to go back and look at the
 9 record in terms of what was billed and what was
 10 paid through December-ish of 2015 when Mr. Stamos
 11 offered Mr. O'Connell, I believe that's when it
 12 was, the opportunity to go on a contingency. But
 13 my recollection is that the fees were paid
 14 currently.
 15 The other input is that if I confer a
 16 benefit to the estate and the estate has to pay me
 17 back the money, or Mr. Stamos is willing to waive
 18 that and just roll it into the contingency fee, why
 19 would I create an extra expense for the estate when
 20 I didn't have to? So it seemed silly for me to pay
 21 something to a lawyer that I would have to get paid
 22 back from the estate when he already agreed to
 23 waive it, and it would only be a cost item if he
 24 was able to get a benefit for the estate.
 25 **Q. But you haven't moved here today for you**

15:25:15-15:26:00 Page 91

1 **to change your fee arrangement that you have with**
 2 **the estate which currently you are paying or you**
 3 **are supposed to be paying, you haven't moved to**
 4 **convert that into a contingency; is that true?**
 5 A. I don't know that I have the right or
 6 opportunity to do that. I think that's again the
 7 client is the estate, not Bill Stansbury. I'm just
 8 the bank.
 9 **Q. Did you believe currently that you are**
 10 **obligated to pay Mr. Stamos's fees?**
 11 **MR. FEAMAN:** Madam reporter, did you get
 12 his last statement in answer to the question,
 13 "I am just the banker"?
 14 **THE REPORTER:** I heard "I'm just the
 15 bank."
 16 **MR. FEAMAN:** Okay.
 17 **THE COURT:** That's what he said.
 18 **MR. FEAMAN:** Okay. Thank you.
 19 **THE WITNESS:** Say it again.
 20 **MS. CRISPIN:** Madam court reporter,
 21 please.
 22 (The following portion of the record was
 23 read back.)
 24 "Q. Did you believe currently that you
 25 are obligated to pay Mr. Stamos's fees?"

15:26:12-15:26:53 Page 92

1 **THE WITNESS:** I have an agreement with
 2 Mr. Stamos that I would initially fund the
 3 litigation. Mr. Stamos has agreed that he will
 4 take a contingency fee. Mr. Stamos's fee will
 5 be waived, all hourly fees will be waived. If
 6 the estate chooses not to take a contingency
 7 fee, they don't have to; they can do an hourly
 8 fee. So it's up to the estate to figure out
 9 whether they want to have the -- it's a win-win
 10 for them. Either they win because he is able
 11 to collect money for the estate, or he doesn't
 12 win in which case the estate doesn't spend a
 13 nickle.
 14 **BY MS. CRISPIN:**
 15 **Q. Okay. But right now the estate hasn't**
 16 **entered into a contingency fee arrangement with**
 17 **Mr. Stamos, correct?**
 18 A. Yeah. That's beyond my comprehension why
 19 they haven't, but that's another delay that seems
 20 to go on forever.
 21 **MS. CRISPIN:** Your Honor, move to strike,
 22 nonresponsive.
 23 **THE COURT:** Sustained.
 24 **BY MS. CRISPIN:**
 25 **Q. The answer is, no, they haven't, right?**

15:27:03-15:27:43 Page 93

1 **They have not entered into -- Mr. O'Connell has not**
 2 **entered into a contingency fee arrangement with**
 3 **Mr. Stamos?**
 4 A. Well, I am not privy to Mr. Stamos's and
 5 Mr. O'Connell's conversations. But if you say they
 6 haven't, then I have to believe that they haven't.
 7 **Q. And you understand that there's an**
 8 **outstanding balance in excess of \$30,000?**
 9 A. There's a balance due, yes.
 10 **Q. And do you owe it? Do you believe that**
 11 **you are required to pay it?**
 12 **MR. FEAMAN:** Calls for a legal conclusion,
 13 objection.
 14 **THE COURT:** Overruled.
 15 **THE WITNESS:** I think when the estate has
 16 the opportunity to roll that fee into a
 17 contingency agreement, then for me to pay it
 18 would be irresponsible on my part.
 19 **BY MS. CRISPIN:**
 20 **Q. That's not what I am asking you. My**
 21 **question is they are currently owed over --**
 22 **Mr. Stamos is currently owed over \$30,000. Are you**
 23 **obligated to pay it?**
 24 **MR. FEAMAN:** Objection, asked and
 25 answered.

15:27:50-15:28:47 Page 94

1 **MS. CRISPIN:** He has not answered it.
 2 **THE COURT:** Overruled.
 3 **THE WITNESS:** Do I believe I owe the
 4 money?
 5 **BY MS. CRISPIN:**
 6 **Q. Yes.**
 7 A. I believe that I agreed to initially fund
 8 it. Initially was several years ago. We are long
 9 beyond initially.
 10 **Q. Do you believe you need a court order that**
 11 **would permit you to stop funding it?**
 12 **MR. FEAMAN:** Objection, legal conclusion.
 13 **THE COURT:** Overruled. It's what he
 14 thinks.
 15 **THE WITNESS:** If I evaporated on my way
 16 home from this court, I believe that the estate
 17 would continue to argue that they have a right
 18 to that insurance benefit. I don't believe
 19 that there is any obligation for me to continue
 20 to pay for something when the attorney has
 21 agreed to waive the fee in consideration for a
 22 contingency agreement.
 23 **MS. CRISPIN:** Your Honor, I would ask that
 24 the witness answer the question.
 25 **THE COURT:** He has answered. Overruled.

15:30:02-15:30:37 Page 96

1 scheduled for an hour, whatever, we get some
 2 semblance so we can notify our families, just
 3 notify? I will sleep here. I don't care if
 4 this goes on two years straight. I am ready to
 5 put him in prison. So I am just trying to
 6 figure out how I tell my family I am imprisoned
 7 in court until the judge lets me go according
 8 to this order.
 9 **THE COURT:** All right. What I said is the
 10 Court has the discretion to extend the various
 11 hearings. And what I mean is exactly what I
 12 said. Certainly my deputies go home by --
 13 usually I end by 5:00. I have to. If not,
 14 it's overtime. So the matters will always be
 15 concluded by 5:00.
 16 **MR. ELIOT BERNSTEIN:** All right. That
 17 will help.
 18 **THE COURT:** Thank you so much. All right.
 19 Court is in recess everyone. Thank you very
 20 much. Is it Friday? Yes. Have a good weekend
 21 everyone. Thank you.
 22
 23 (The proceeding adjourned at 3:30 p.m.)
 24
 25

15:29:01-15:29:48 Page 95

1 He has given his answer.
 2 **BY MS. CRISPIN:**
 3 **Q. Do you have any intention to make the**
 4 **payment for the \$30,000 plus that's owed to**
 5 **Mr. Stamos if the Court does not relieve you of**
 6 **your obligation to pay?**
 7 **MR. FEAMAN:** Objection, calls for
 8 speculation, and could involve the --
 9 **THE COURT:** Sustained.
 10 **MS. CRISPIN:** Nothing further.
 11 **THE COURT:** All right. We are going to
 12 stop here. I made a note. We are going to --
 13 you can get off the stand, sir.
 14 **THE WITNESS:** Thank you.
 15 **THE COURT:** We are going to come back on
 16 the date we had already set, that June 28th.
 17 Everybody was free. Everybody was available.
 18 We already have. We will start with this
 19 motion first. And we will conclude that motion
 20 before we begin the next motion. All right?
 21 **MR. ELIOT BERNSTEIN:** One thing, Your
 22 Honor, because I am confused about your order.
 23 I do have kids, and I can't tell them I am
 24 going to be in court forever. Is there a way
 25 we can say that at the point that it was

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1 C E R T I F I C A T E
 2 - - -
 3
 4 The State of Florida
 5 County of Palm Beach
 6
 7 I, Lisa Mudrick, RPR, FPR, certify that I
 8 was authorized to and did stenographically report
 9 the foregoing proceedings, pages 1 through 96, and
 10 that the transcript is a true record.
 11
 12 Dated June 9, 2017.
 13
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 22
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under (11) 13:16,24;14:3;18:2; 23:4;24:1;36:16;38:20; 47:1,7,11	violate (1) 66:1	willing (2) 88:24;90:17	wrote (2) 7:18;39:19	1st (2) 59:20;71:5
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<p style="text-align: center;">3</p>	<p>70,000 (1) 40:4</p>			
<p>3 (2) 56:10,16 3:30 (1) 75:23 3:30 pm (1) 96:23 30 (1) 17:23 300 (1) 23:12 340 (1) 24:2 35 (4) 38:4,7,13;60:14</p>	<p style="text-align: center;">8</p> <p>8 (6) 68:7,8;69:14,15,16, 17 817.234 (1) 66:1</p> <p style="text-align: center;">9</p> <p>909 (1) 24:1</p>			
<p style="text-align: center;">4</p>				
<p>4 (3) 56:18,23;57:1 40 (3) 62:15;64:22;65:15 40,000 (1) 40:5 403 (2) 57:5,17 405 (2) 58:24;59:5</p>				
<p style="text-align: center;">5</p>				
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<p style="text-align: center;">6</p>				
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<p style="text-align: center;">7</p>				
<p>7 (2) 59:18,24 70 (4) 41:9,10,13;45:12</p>				

THE SIMON LAW FIRM

303 EAST WACKER DRIVE

SUITE 2725

CHICAGO, IL 60601-5210

PHONE: (312) 819-0730 • FAX: (312) 819-0773

DAVID B. SIMON*

E-Mail: dsimon@chicago-law.com

ADAM M. SIMON

E-Mail: asimon@chicago-law.com

*ALSO ADMITTED IN CA

VIA CERTIFIED MAIL AND E-MAIL

Mr. Eliot Bernstein
2753 NW 34TH Street
Boca Raton, FL 33434

Re: Simon Bernstein Irrevocable Trust dtd 6/21/95 v. Heritage Union Insurance

Dear Eliot,

Enclosed is an executed Settlement Agreement entered into by the remaining parties to the above-captioned litigation. Though you have opposed and harassed your siblings and their counsel at every turn, they still have consented to offer you a one-fifth (20%) share of the net Policy Proceeds that will be distributed to the 1995 Bernstein Trust.

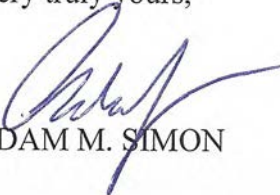
If you review the Settlement Agreement, you will see that your siblings have provided a mechanism for you to sign on and consent to the Settlement Agreement and accept your share. In the event you consent, once the funds are distributed I will distribute the funds to you, after all rights to appeal have expired. You will be required to sign a receipt or provide proof of receipt of the funds.

In the event you fail to respond with 15 days or reject the Settlement Agreement, then your siblings intend to fulfill your fathers' wishes as expressed in the drafts of the 1995 Trust and your share will be distributed to the separate trusts that have been established for each of your three children.

The Settlement Agreement provides that your share shall not be distributed unless and until all rights of appeal have expired. In the event you pursue an appeal, all costs and fees incurred by the responding parties will first be deducted from your share before distribution of the remaining proceeds, if any.

Your reply to me is required in writing by the close of business on July 27, 2017. If you choose to accept, then sign on the signature line with your name under it and return to me by the close of business on July 27, 2017. Your failure to respond by that time will be treated as a rejection of the Settlement Agreement.

Very truly yours,



ADAM M. SIMON

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

SIMON BERNSTEIN IRREVOCABLE)
INSURANCE TRUST DTD 6/21/95,)
by Ted S. Bernstein, its Trustee, Ted S.)
Bernstein, an individual,)
Pamela B. Simon, an individual,)
Jill Iantoni, an individual and Lisa S.)
Friedstein, an individual.)

Plaintiff,)

v.)

HERITAGE UNION LIFE INSURANCE)
COMPANY,)
Defendant,)

-----)
HERITAGE UNION LIFE INSURANCE)
COMPANY,)

Counter-Plaintiff)

v.)

SIMON BERNSTEIN IRREVOCABLE)
TRUST DTD 6/21/95,)

Counter-Defendant)

and,)

FIRST ARLINGTON NATIONAL BANK)
as Trustee of S.B. Lexington, Inc. Employee)
Death Benefit Trust, et al.,)

Third-Party Defendants.)
_____)

Case No. 13 cv 3643
Honorable John Robert Blakey

SETTLEMENT AGREEMENT

The remaining parties to this action, Brian O'Connell, solely in his capacity as successor
Personal Representative ("PR") of the Estate of Simon L. Bernstein (the "Estate"); Ted S. Bernstein,

in his capacity as Trustee of Simon Bernstein Irrevocable Insurance Trust Dtd 6/21/95 ("1995 Trust"); and the Individual Claimants, Ted S. Bernstein, an individual ("Ted"); Pamela B. Simon, an individual ("Pam"); Jill Iantoni, an individual ("Jill"); and Lisa S. Friedstein, an individual ("Lisa"), agree as follows:

1. There is presently a dispute between the Estate and the 1995 Trust with respect to the proceeds arising from a life insurance policy ("Policy") issued by Capitol Bankers Life Insurance Company, which later became Heritage Union Life Insurance Co. ("Heritage") through corporate succession. The Policy insured the life of Simon L. Bersntein.

2. Ted, Pam, Jill and Lisa are parties to this action.

3. Eliot Ivan Bernstein ("Eliot") was a party, but his claims were disposed of by summary judgment.

4. Heritage was a party, but has interpleaded the Policy Proceeds in this action, and was dismissed.

5. The 1995 Trust asserts that it is the sole beneficiary of the Policy Proceeds based upon a "Beneficiary Designation" dated August 26, 1995, in which Simon Bernstein allegedly designated as beneficiary the "Simon Bernstein Irrevocable Insurance Trust." The 1995 Trust, joined by Plaintiffs, Ted, Pam, Jill and Lisa, assert that the their father Simon Bernstein duly formed the 1995 Trust and that Ted, Pam, Jill, Lisa and Eliot are the beneficiaries of the 1995 Trust to share equally. Eliot disputed the Plaintiffs' claims prior to being dismissed from the litigation. Plaintiffs further assert that the 1995 Trust is the sole surviving beneficiary of the beneficiaries duly designated and recorded on the Insurer's records.

6. The Estate asserts that there is no validly named and existing beneficiary designated to receive the death benefits, therefore the Policy Proceeds should go to the Estate by operation of law because the Policy was owned by Simon Bernstein at the time of his death.

7. In the Lawsuit, other than the disposition of Eliot's claims, and dismissal of certain Bank parties that were former VEBA Trust Trustees, this Court has denied Plaintiffs' motion for summary judgment against the Estate, and the Estate's motion for summary judgment against Plaintiffs, and has scheduled a bench trial for August 7, 2017.

8. The Estate; the 1995 Trust; and Ted, Pam, Jill and Lisa individually, agree to settle their differences and agree to divide the Policy Proceeds held in the Registry of this District Court, in the approximate amount of \$1,708,349.38, as follows:

a. The sum of \$1,000,000 to the 1995 Trust, payable to the Simon Bernstein Irrevocable Insurance Trust dated 6/21/1995 and its attorney Adam M. Simon for deposit to The Simon Law Firm Client Trust Account; and

b. The remaining balance, including all accrued interest (estimated to be approximately \$708,349.08, but agreed to be a minimum of \$708,000) to the Estate.

9. As far as the proceeds to the Estate under ¶8b, these sums will be allocated to pay the Estate's outstanding attorneys' fees and costs to the Stamos firm (estimated to be approximately \$55,000),¹ and to reimburse William Stansbury in accordance with the probate Order dated June 12, 2014 (Stansbury asserts he has paid \$73,677.41 to date), with the remainder (estimated to be

¹ The Simon Bernstein Trust has agreed to pay \$10,000 to the Estate to partially pay the attorneys' fees incurred by the Estate's counsel participating in mediation and as part payment of post-Stansbury attorneys' fees and costs, which payment will be made within 5 days of the disbursement from the Court Registry.)

approximately \$589,000) to the PR on behalf of the Estate.²

10. As far as the proceeds to the putative beneficiaries of the 1995 Trust under ¶8a, these sums will be allocated to pay attorneys' fees and then equal one-fifth shares, as follows:

- i. \$100,000 to Adam M. Simon, for attorneys' fees and costs;
- ii. \$180,000 to Ted;
- iii. \$180,000 to Pam;
- iv. \$180,000 to Jill;
- v. \$180,000 to Lisa;
- vi. \$180,000 to Eliot ("Eliot's Share"), because Ted, Pam, Lisa and Jill are willing

to give one-fifth to Eliot, even though his claims were dismissed, subject to the following: Eliot shall have 15 days to accept in writing the Eliot Share of the Settlement Agreement, from his receipt of a copy of this Settlement Agreement Court by email. If Eliot accepts, the Eliot Share will be paid to Eliot. If Eliot fails to accept for any reason, the Eliot Share will be paid to Eliot's children, one-third each, into their respective trusts created by the Simon L. Bernstein Amended and Trust Agreement.

11. This Settlement Agreement is contingent on approval by the Florida Probate Court with jurisdiction over the probate of the Estate and from the U.S. District Court for the Northern District of Illinois. As soon as practicable after the full execution of this Settlement Agreement, the

² Upon final approval of the settlement by the Florida Probate Court and the United States District Court in Illinois, the Estate shall repay to William Stansbury all monies he advanced out-of-pocket to pay for the legal fees and costs incurred by the Estate's Illinois counsel, and any monies he paid directly to the Curator, per the Order dated June 12, 2014. Such reimbursement will be a requirement of the motion seeking court approval in Florida. The remaining balance, after payment to the Stamos firm, will become property of the Estate, to be administered by the PR in accordance with Florida law and the terms of Simon Bernstein's will.

parties will seek approval this Court and from the Probate Court, and upon approval this Court will issue an order directing the Clerk of this Court to disburse funds from the Court Registry in accordance with ¶¶7-9 above.

12. Given the uncertainties of litigation and the anticipated expense of litigation, whether counsel is retained on an hourly or contingency basis, and given the anticipated amount of time needed for finality in the Illinois litigation after the expected trial and anticipated appeal proceedings, the PR of the Estate believes in the exercise of his business judgment that the settlement outlined above is in the best interest of the Estate as a whole, including creditors, professionals and beneficiaries, and taking into account the interest of persons with potential claims against the Estate.

13. To the extent necessary, a more formal agreement may be drafted and signed to replace this agreement. But this is intended to be a binding agreement, subject only to the entry of approval orders by the Probate Court and the Illinois federal court. *Part of the motivation and consideration for the compromises reflected in this Settlement Agreement is the expectation of immediate payment of the Policy Proceeds upon court approval, unless the Probate Court or the Northern District Court enters a stay of the approval order. Otherwise, the parties anticipate this Court will order the Clerk of this Court to immediately disburse funds from the registry.*

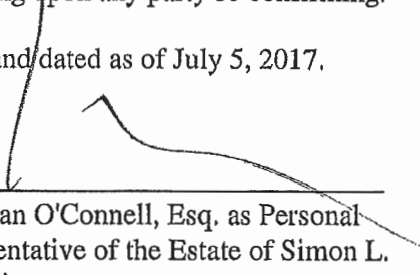
14. To effectuate this Settlement Agreement and the dismissal of the Lawsuit the Parties shall file a joint motion to dismiss pursuant to the Settlement Agreement and seek entry of an Agreed Order or Agreed Orders as follows:

- a. an order dismissing the Lawsuit with prejudice;
- b. an order directing the Clerk to disburse the Policy Proceeds as set forth in the Settlement Agreement;

c. the parties shall execute such additional documents as might reasonably be necessary to accomplish and effectuate the terms of this Settlement Agreement, including, a declaratory order to be entered by the court, if necessary.

15. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which taken together shall constitute one and the same instrument. Confirmation of execution by electronic transmission (email or facsimile) of a signature page shall be binding upon any party so confirming.

Signed and dated as of July 5, 2017.



By: Brian O'Connell, Esq. as Personal
Representative of the Estate of Simon L.
Bernstein

Ted S. Bernstein as Trustee of the Simon
Bernstein Irrevocable Insurance Trust Dtd
6/21/95

Ted S. Bernstein

Pam Simon

Jill Iantoni

Lisa Friedstein

AS TO ELIOT:

ACCEPTED AND AGREED
WITHIN 15 DAYS, signed by:


Eliot Bernstein

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Signed and dated as of July 5, 2017.

By: Brian O'Connell, Esq. as Personal Representative of the Estate of Simon L. Bernstein



Ted S. Bernstein as Trustee of the Simon Bernstein Irrevocable Insurance Trust Dtd 6/21/95



Ted S. Bernstein

Pam Simon

Jill Iantoni

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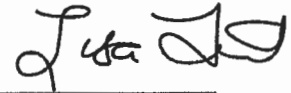
Signed and dated as of July 5, 2017.

By: Brian O'Connell, Esq. as Personal Representative of the Estate of Simon L. Bernstein

Ted S. Bernstein as Trustee of the Simon Bernstein Irrevocable Insurance Trust Dtd 6/21/95

Ted S. Bernstein

Pam Simon



Jill Iantoni

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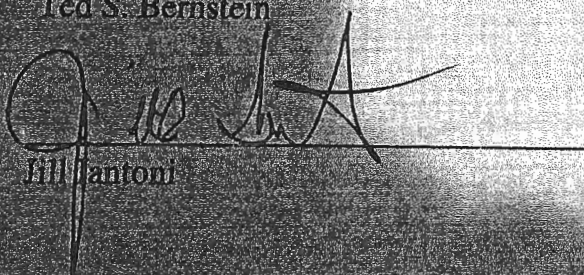
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Signed and dated as of July 5, 2017.

By: Brian O'Connell, Esq. as Personal Representative of the Estate of Simon L. Bernstein

Ted S. Bernstein as Trustee of the Simon Bernstein Irrevocable Insurance Trust Dtd 6/21/95

Ted S. Bernstein



Pam Simon

Lisa Friedstein

AS TO ELIOT

ACCEPTED AND AGREED
WITHIN 15 DAYS, signed by


Eliot Bernstein

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Signed and dated as of July 5, 2017.

By: Brian O'Connell, Esq. as Personal Representative of the Estate of Simon L. Bernstein


Ted S. Bernstein as Trustee of the Simon Bernstein Irrevocable Insurance Trust Dtd 6/21/95


Ted S. Bernstein


Pam Simon

Jill Iantoni

Lisa Friedstein

AS TO ELIOT:

ACCEPTED AND AGREED
WITHIN 15 DAYS, signed by:

Eliot Bernstein

IN THE CIRCUIT COURT OF THE 15TH
JUDICIAL CIRCUIT OF FLORIDA, IN
AND FOR PALM BEACH COUNTY

PROBATE DIVISION

FILE NO.: 502012CP004391XXXXNB IH _____

IN RE: ESTATE OF

SIMON L. BERNSTEIN,

Deceased. _____/

**ORDER ON SUCCESSOR PERSONAL REPRESENTATIVE'S
VERIFIED MOTION FOR APPROVAL OF SETTLEMENT AGREEMENT
ENTERED IN ILLINOIS FEDERAL ACTION**

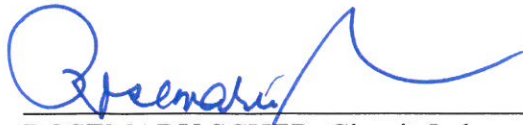
THIS CAUSE having come upon Successor Personal Representative, Brian M. O'Connell's, Verified Motion for Approval of Settlement Agreement Entered in Illinois Federal Action ("Motion"), and the Court being duly advised on the premises, it is thereupon


ORDERED AND ADJUDGED as follows:

1. The Motion is GRANTED DENIED.

*After hearing testimony + witnesses, the Court finds
it is appropriate to approve Settlement.*

DONE AND ORDERED in Palm Beach Gardens, Palm Beach County, Florida, this _____
day of 10/19/2017, 2017.



ROSEMARY SCHER, Circuit Judge
ROSEMARY


In Re: Estate of Simon L. Bernstein
 File No: 502012CP004391XXXXNB
 Order on Successor Personal Representative's Verified
 Motion for Approval of Settlement Agreement
 Entered in Illinois Federal Action

Copies furnished to:

All Counsel of Record and Parties listed on attached Service List

SERVICE LIST

<p>Alan B. Rose, Esq. Page, Mrachek, Fitzgerald & Rose, PA. 505 S. Flagler Dr., Suite 600 West Palm Beach, FL 33401 (561) 355-6991 arose@mrachek-law.com mchandler@mrachek-law.com</p>	<p>John P. Morrissey, Esq. 330 Clematis St., Suite 213 West Palm Beach, FL 33401 john@jmorrisseylaw.com</p>	<p>Diana Lewis obo Joshua, Jacob and Daniel Bernstein. ADR & Mediation Services, LLC 2765 Tecumseh Drive West Palm Beach, FL 33409 (561) 758-3017 dzlewis@aol.com</p>
<p>Peter Feaman, Esq. Peter M. Feaman, P.A. 3695 Boynton Beach Blvd., Suite 9 Boynton Beach, FL 33436 pfeaman@feamanlaw.com</p>	<p>Shendell & Pollock, P.L. 2700 N. Military Trail, suite 150 Boca Raton, FL 33431 241-2323 Fax: 241-2330 Gary R. Shendell, Esq. gary@shendellpollock.com estella@shendellpollock.com grs@shendellpollock.com Kenneth S. Pollock, Esq. ken@shendellpollock.com britt@shendellpollock.com grs@shendellpollock.com Matthew A. Tornincasa, Esq. matt@shendellpollock.com robyne@shendellpollock.com grs@shendellpollock.com</p>	<p>Max Friedstein 2142 Churchill Lane Highland Park, IL 60035</p>
<p>Eliot Bernstein 2753 N.W. 34th St. Boca Raton, FL 33434 iviewit@iviewit.tv</p>	<p>Pamela Beth Simon 950 N. Michigan Ave., Apt. 2603 Chicago, IL 60611 psimon@stpcorp.com</p>	<p>Lisa Friedstein and Carley Friedstein, Minor c/o Jeffrey and Lisa Friedstein Parent and Natural Guardian 2142 Churchill Lane Highland Park, IL 60035 Lisa@friedsteins.com Lisa.friedstein@gmail.com</p>
<p>Jill Iantoni and Julia Iantoni, a Minor c/o Guy and Jill Iantoni, her Parents & Natural Guardians 2101 Magnolia Lane Highland Park, IL 60035 jilliantoni@gmail.com</p>	<p>Brian M. O'Connell, Esq. Ashley Crispin Ackal, Esq. Ciklin Lubitz & O'Connell 515 N. Flagler Dr., 20th FL West Palm Beach, FL 33401 service@ciklinlubitz.com probateservice@ciklinlubitz.com</p>	<p>Robert Spallina, Esq. rspallina@comcast.net</p>

IN THE FIFTEENTH JUDICIAL CIRCUIT COURT
IN AND FOR PALM BEACH COUNTY, FLORIDA
CASE NO. 50 2012-CP-4391 XXXXNB

IN RE: THE ESTATE OF:
SIMON BERNSTEIN,

Deceased.

_____ /

- - -
MOTION FOR APPROVAL OF SETTLEMENT AGREEMENT
HAD BEFORE THE HONORABLE ROSEMARIE SCHER
- - -

DATE: OCTOBER 19, 2017

TIME: 1:59 - 3:04 P.M.

APPEARING ON BEHALF OF CLAIMANT WILLIAM STANSBURY:

Peter Feaman, Esq.
PETER M. FEAMAN, P.A.
3695 Boynton Beach Boulevard, Suite 9
Boynton Beach, Florida, 33436

APPEARING ON BEHALF OF TRUSTEE TED BERNSTEIN:

Alan B. Rose, Esq.
PAGE, MRACHEK, FITZGERALD & ROSE, P.A.
505 South Flagler Drive, Suite 600
West Palm Beach, Florida 33401

APPEARING ON BEHALF OF PERSONAL REPRESENTATIVE OF
THE ESTATE:

Brian M. O'Connell, Esq.
Ashley Crispin Ackal, Esq.
CIKLIN, LUBITZ & O'CONNELL
515 North Flagler Drive, 20th Floor
West Palm Beach, Florida 33401

ELLIOT BERNSTEIN, Pro Se

- - -

BE IT REMEMBERED, that the following testimony
and proceedings were had in the above-entitled cause
before the Honorable Rosemarie Scher, in Room 4, in
the Palm Beach County Courthouse, City of Palm Beach
Gardens, State of Florida, on Thursday, the 19th day
of October, 2017, to wit:

- - -

I N D E X

WITNESSES:

BRIAN O'CONNELL DIRECT CROSS

By Ms. Crispin	9	
By Mr. Feaman		18
By Mr. Bernstein		24
By Mr. Rose		35

BRIAN O'CONNELL

By Mr. Bernstein	41	
------------------	----	--

JAMES STAMOS

By Ms. Crispin	52	
By Mr. Feaman		55
By Mr. Bernstein		59
By Mr. Rose		62

THE COURT: We have a court call appearance. Let's see. We have Mr. Stamos on court call but we'll call him when we're ready for him to testify.

Appearances for the record, please.

MS. CRISPIN: Your Honor, Ashley Crispin on behalf of Brian O'Connell, the Personal Representative of the Estate of Simon Bernstein.

THE COURT: Thank you.

MR. ROSE: Alan Rose, Your Honor, on behalf of Ted Bernstein as Trustee. The only thing I would -- there might have been another beneficiary that was going to be participating in court call. I'm not sure. They called this morning to see if they could. It was too late so they were checking with court call.

THE COURT: I didn't get a notification but I can call. We'll have to disconnect if it's -- well, generally speaking, we don't have the witnesses listed until we receive a court call but we can call and see if the beneficiary is there. I didn't get a notification though. we have someone else appearing. I'm not sure who that is.

MR. FEAMAN: Peter Feaman on behalf of William Stansbury, Claimant.

THE COURT: Thank you very much.

Mr. Elliot?

MR. BERNSTEIN: Elliot Bernstein, pro se. Your Honor, can I have my wife sit next to me? I have cough syncope and I faint and fall. She's been next to me 24 hours a day for three months. It's a medical condition that I've got.

THE COURT: Yes. That's fine.

MR. BERNSTEIN: It isn't fine.

THE COURT: No. I didn't mean to insinuate your condition was fine at all.

All right. Are we ready to proceed? This is Mr. O'Connell's motion.

MS. CRISPIN: Yes, Your Honor, we're ready to proceed.

MR. BERNSTEIN: Could I ask about your jurisdiction to hear this prior to the hearing or during the hearing?

THE COURT: No. I have jurisdiction. I will announce I have jurisdiction to hear this. So we'll continue. Thank you.

MS. CRISPIN: Your Honor, I'll call Mr.

O'Connell to the stand.

MR. FEAMAN: If it please the Court, I'd just like to put a statement on the record if I could before we actually begin the testimony.

THE COURT: Yes. Mr. O'Connell -- do you mind if he sits there?

MR. FEAMAN: No, not at all.

On behalf of Mr. Stansbury, Your Honor, we just -- even though you have already denied our motion, our amended motion to specially sequence this hearing behind another one, we just want to reiterate our position that this hearing should not go forward at this time until the propriety of Mr. Ted Bernstein's position as successor trustee be determined by the Court one way or the other. I'm mindful that Your Honor has already denied that request but I wanted to put it on the record so there wouldn't be any construction of waiver or anything like that.

THE COURT: Fair enough.

MR. BERNSTEIN: Your Honor, could I put something on the record? We were told that my two adult children were going to be notified of this hearing as necessary parties by Mr. Rose.

They haven't even been notified they're beneficiaries ever, but in court he said he was going to notify them and have them here and they're not here and they're necessary parties to a settlement that's happening that they don't even know about. They haven't been involved, haven't been summoned, nothing served.

THE COURT: If they're adult children, you can't represent them.

MR. BERNSTEIN: I'm not representing them.

THE COURT: No, but you are --

MR. BERNSTEIN: I'm saying they're necessary parties on the hearing.

THE COURT: Mr. Elliot, if you want to say that, that's fine, but you cannot speak on their behalf if they are an adult.

MR. BERNSTEIN: I'm not going to. I'm going to speak about them in the hearing, I think, but they're not here. And, by the way, there's one more point. There's one more point. They have counsel and they've been trying to enter this case now almost for over a year or so, but Mr. Rose is refusing their counsel to give them any of the dispositive

documents or trusts regarding that.

THE COURT: All right. That is so noted. Obviously it's a public court file. They can get the -- I don't have a notice of appearance but --

MR. BERNSTEIN: But she's asking for the full records.

THE COURT: That would be a different hearing. Okay. Are we ready to proceed?

MR. ROSE: Just for the record, I dispute what he just said. The only thing I would just say, just so you know where we stand, my client's position is he's in favor of the settlement. I think Mr. Feaman --

THE COURT: Thank you. I mean thank you for your position.

MR. ROSE: Mr. Feaman, I think his client advised us both on several occasions is taking no position with regard to settlement. The only person objecting is Elliot Bernstein.

THE COURT: Okay. Thank you.

All right. You may proceed.

THEREUPON,

BRIAN M. O'CONNELL, ESQ.,

called as a witness in his behalf, having been first

duly sworn by the Court, in answer to questions propounded, was examined and testified as follows:

MS. CRISPIN: Your Honor, we're here, just so the court reporter has it, we're here on Mr. O'Connell's verified motion for approval of settlement agreement entered in the Illinois federal action. I have another copy for Mr. Bernstein if you need it.

Do you need it?

MR. BERNSTEIN: What is it?

MS. CRISPIN: Another copy of the motion set for today.

Your Honor, I'd also like to approach the witness. I've marked it as Exhibit 1 although it's already in the court file.

THE COURT: Sure. And I have a copy. Thank you.

DIRECT EXAMINATION

BY MS. CRISPIN:

Q Mr. O'Connell, please state your name and your position in this matter.

A Brian O'Connell, and I'm the personal representative of the Estate of Simon Bernstein.

Q And for how long have you been serving?

A At this point since 2014, June of 2014, so

a little over three years, almost three and a half years.

Q And you're currently aware of a pending litigation entitled Simon Bernstein Irrevocable Insurance Trust, et al, vs. Heritage Union Life Insurance Company, correct?

A I'm familiar with that litigation, yes.

Q Okay. For how long have you been familiar with the litigation?

A Pretty much since my appointment.

Q So since June or so of 2014?

A Yes.

Q And has the estate entered an appearance in that litigation?

A It has.

Q And you have counsel in your role as personal representative?

A I do.

Q And who is that?

A James Stamos.

Q And has that always been the counsel that's represented the estate and thus you?

A To my knowledge, yes.

Q And can you just give me generally what the nature of that litigation is?

A That was a dispute over who was the beneficiary of an insurance policy, whether it would be a trust, a free-standing trust that was alleged to be the beneficiary by some of the Bernstein family members, or the default being the estate, probate estate being the beneficiary.

Q Okay. And in the litigation, if you can explain, really there was competing positions by the insurance trust and by the estate?

A Oh, absolutely.

Q And tell me what the position of the insurance trust is to the best of your knowledge as a litigant.

A Well, the trust through the trustee was claiming a hundred percent of the policy proceeds. The estate through myself was claiming we were entitled, the estate was entitled to a hundred percent of the policy proceeds.

Q And to the best of your knowledge, who is the trustee of the irrevocable insurance trust as part of that litigation?

A Ted Bernstein.

Q And other than you, has there ever been a prior fiduciary that appeared in that proceeding on behalf of the estate?

A Ben Brown who was a curator was allowed to intervene in that litigation for some period of time. I don't think it was very long.

Q Now, did there come a time when you had made the decision to explore settlement in the case?

A Correct.

Q And when was that?

A It actually started probably six, eight months ago, the beginnings of discussions, to see if some resolutions could be made. Prior to that, there might have been some isolated talk but nothing real concrete.

Q And can you take a look at what I've marked as Exhibit 1?

A Yes.

Q And is this your motion for approval of the settlement agreement?

A It is.

Q And have you signed it and read the facts that are alleged in the motion?

A I have.

Q And do you believe that they're true to the best of your knowledge?

A I do.

Q Okay. One of the attachments to the

motion is the actual proposed settlement agreement?

A Correct.

Q And you signed that agreement, correct?

A I did.

Q And is it contingent on this Court's approval?

A It is.

Q And as part of your motion, have you asked the Court to go ahead and approve you entering into the settlement agreement?

A I am seeking the Court's approval, yes.

Q Why?

A That's a contingency under the agreement.

Q And why do you believe that the settlement agreement should be approved by this Court?

A Because it's in the best interest of the estate given the nature, extent of the litigation, the cost of litigation, the uncertainties of litigation, that the matter be settled on this basis.

Q Okay. I'm asking you not to draw on attorney-client privilege or work product here because the agreement has not yet been approved, but can you explain at least for the Court monetarily, if you are were looking at this agreement, how it

works out in part an analysis about why this settlement agreement is in the best interest of the estate and its beneficiaries?

A Sure. The way the litigation is posited right now, it's an all-or-nothing situation, as in either the estate gets all of the policy proceeds, about a million, seven hundred thousand dollars, or none of the proceeds. There's no middle ground. There's no way you approach 50 percent or something of that nature.

So when you consider that scenario and you also have to look at the fact that there's cost of litigation, meaning out-of-pocket costs, attorney's fees that would have to be expended, and based on more recent rulings, the fact that Mr. Stansbury no longer has to fund the litigation, that combination of factors along with a summary judgment having been denied, we moved for summary judgment in our favor and that was denied, put the matter into the trial mode, it would have been frankly tried the end of this summer.

So that put it to me in a settlement posture, see what the best that could be done in the way of a settlement, especially considering the fact that we might have had to switch this to a

contingency fee situation which would have, if we were victorious, eaten into the proceeds; of course, if we were successful, we would have had a benefit of not expending any further fees. But it's sort of drawing on that combination of factors. And not that it's an exact midpoint. The settlement was about \$700,000, is the dollar amount, but when you look at it from that standpoint with an all-or-nothing scenario, that was sort of the driver in my thinking at least as to why the settlement was appropriate at this particular time.

Q Okay. Let's talk particularly about if we were operating under an hourly fee arrangement just so we can talk monetarily about how the settlement really works monetarily. So if we were using an hourly fee situation, have you done the, at least rough math to try to determine sort of what this settlement really is worth to the estate?

A Roughly.

Q Okay. And can you share that with the Court?

A Well, you have right now a \$708,000 recovery, in the way of a settlement.

Q Okay. And have you computed sort of what that mathematically is?

A I think it's about 40 percent of the, I think, top value of the claim. If we recovered every dollar, that would represent a 40 percent portion of a hundred percent victory.

Q And other than the \$708,000 that will actually be garnered by the estate, are there any other monetary benefits by virtue of the settlement?

A Payment of some fees.

Q Savings of fees or...?

A Payment of fees being, I guess, eliminated.

Q Okay.

A Which could have been about \$75,000. My counsel had estimated that would be the cost from say the spring going forward through trial.

Q And then you also talked about a contingency situation. Have you evaluated it, had you changed the nature of the representation to a contingency fee agreement, what was the fee that would have been assessed by Mr. Stamos if you went to trial?

A For going to trial, we would have charged 40 percent of what was recovered. So it would bring you down to a net, again, if you won a hundred percent, about a million, one hundred thousand with

the balance going to him towards fees.

Q And that would be a best-day scenario?

A Best day.

Q Now, in an hourly situation, if you didn't settle the case and in fact the estate lost, have you looked at what the ramifications to the estate would be monetarily?

A Yes. There would be two things. You'd be out of pocket, again let's use Mr. Stamos' estimate that there is \$75,000 that would be required by him. Then I would have some fees and costs. Obviously I have to attend the trial. Things of that nature to be involved would have been an extra expense on top of that, could have easily been ten, twelve thousand dollars there.

Q And with respect to your fees, that would have been incurred by the estate whether you won or lost under an hourly or contingency fee arrangement, correct?

A Correct.

MS. CRISPIN: Your Honor, I ask that we be able to admit into evidence the verified motion for approval of settlement agreement as Exhibit 1.

THE COURT: Thank you. So admitted. You

may proceed.

MR. FEAMAN: By the way, Your Honor, by not objecting to the admission, I just want to make it clear to the Court that agreement contemplates a payment to my client, Mr. Stansbury, of a certain amount of money. Mr. Stansbury does not agree that that amount of money is all he would be entitled to.

MR. BERNSTEIN: And I object to the settlement being entered because the parties that are named in there aren't all here.

THE COURT: So noted. So admitted.

MS. CRISPIN: I have nothing further for Mr. O'Connell on direct.

THE COURT: Mr. Rose?

MR. ROSE: No questions.

THE COURT: Mr. Feaman?

MR. FEAMAN: Just a few, Your Honor.

MR. ROSE: Can I reserve, Your Honor?

THE COURT: You may.

CROSS EXAMINATION

BY MR. FEAMAN:

Q Mr. O'Connell, you stated that settlement discussions started about six to eight months ago, is that correct?

A In earnest. Again, prior to that, there had been some general, call them discussions, but things got more serious let's say.

Q Six or eight months ago from today or from when the settlement agreement was signed?

A Probably from when the settlement agreement was entered into.

Q All right. And, in fact, there was a formal mediation by telephone in May of 2017, this year, correct?

A Correct. That was sort of the drive to get it across the finish line.

Q But it didn't settle at the mediation, correct?

A No.

Q But at that point, things began to really ramp up in terms of serious settlement discussions, is that correct?

A That's true.

Q So that in June of 2017, then is it fair to say that you were very close to settling; in fact, since you signed this on July 5th, you probably had an agreement prepared in June for circulation, I would imagine, is that correct?

MR. ROSE: Objection, relevance.

MS. CRISPIN: Objection, relevance.

THE COURT: Sustained.

MR. FEAMAN: The relevance is I'm laying a predicate for when we come back for fees, Your Honor.

THE COURT: It's not relevant for today though.

BY MR. FEAMAN:

Q With regard to those settlement negotiations, Mr. Stansbury in the May, June time frame, he was not involved in the negotiations, correct?

A Not to my knowledge.

Q And, in fact, to your knowledge, I was not involved, correct?

A I don't believe you were, sir.

Q And to your knowledge, nobody from my office was involved, correct?

A I don't recall anyone from your office being involved.

Q Okay. And you mentioned Ben Brown was the first one that intervened, he was allowed by the Court. Do you recall that that was actually at the behest of Mr. Stansbury's motion, is that correct?

MR. ROSE: Objection, relevance to the

issues today.

THE COURT: Sustained. We're just approving the settlement.

THE WITNESS: Mr. Feaman, I just want -- with regard to some of the questions about your firm's involvement, you and I had discussions as the case was evolving about there might be a settlement and some generalities like that. So I wanted to give a hundred percent. To distinguish, you weren't physically say on the phone or attending an in-person mediation but I know you were --

BY MR. FEAMAN:

Q But we were never involved in discussing numbers, were we?

A Not specific numbers, I don't recall that. Just more we were trying to settle it, here's what was transpiring with the case, and I know Mr. Stansbury had some conversation with Mr. Stamos.

Q Okay. Now, the settlement negotiations, when they were in earnest in May and June, was Mr. Rose involved in those?

A I think he was to some extent and I have to answer it that way because the telephone mediation was a mediation literally where the

mediator would call one side and then call the other side. It wasn't -- just to sketch it for the Court, it wasn't like an en masse mediation with everyone present at the same time. So I have to be a little cautious as to exactly who was involved in that.

Q That's fine. And who was Mr. Rose representing?

A I'm not sure.

MR. ROSE: Objection as to relevance.

THE COURT: Mr. Feaman, do you not want me to approve? Because I thought you weren't taking a position. I'm losing why we're talking about this now.

MR. FEAMAN: Well, we previously raised the issue of conflict, Your Honor.

THE COURT: Yes, and I denied the order and we're here today and you said you're not taking a position on approval of the settlement.

MR. FEAMAN: Not on the merits of the --

THE COURT: Yes, so that will discontinue the questions.

MR. FEAMAN: I don't think we're in a position to comment on the merits one way or the other not having been involved in the

litigation directly other than causing it to happen.

THE COURT: Exactly. So for purposes of today, I ask that you stay on point.

MR. FEAMAN: Okay. Thank you.

BY MR. FEAMAN:

Q Do you have an opinion as to the probability of success by the estate if the case were to go to trial?

MS. CRISPIN: To the extent it calls for attorney-client privilege or work product, I'd object and instruct you not to answer.

THE WITNESS: I would have to draw on some privileged information, Your Honor, from counsel here.

MS. CRISPIN: He asked for analysis.

THE WITNESS: I can try to answer it on my own.

MS. CRISPIN: I wouldn't have a problem with that.

THE COURT: Answer what you can without drawing on any privilege.

THE WITNESS: Sure.

A I think it was a good case as in the probabilities were more in favor of the estate, but

nothing being a hundred percent in light, again, of what I mentioned before. Of course, when we had summary judgment denied, obviously that makes it more of a horse race than it would be if summary judgment were granted, case over. But just to kind of sketch that out for you, it was certainly a meritorious case that was worth pursuing, ergo I did.

MR. FEAMAN: Thank you.

THE COURT: Mr. Elliot?

MR. BERNSTEIN: Your Honor, can I stay here? Just so I don't fall up there.

THE COURT: Absolutely.

MR. BERNSTEIN: Thank you.

CROSS EXAMINATION

BY MR. BERNSTEIN:

Q Mr. O'Connell, your pleading today states that you entered the settlement with Ted Bernstein as trustee of a 1995 trust. Are you in possession of that trust?

MR. ROSE: Objection, relevance.

THE COURT: Overruled. Go ahead.

A Not an original, to be specific.

BY MR. BERNSTEIN:

Q Excuse me?

A I don't have an original of that trust.

Q Do you have an executed copy?

A I don't.

Q So you've never seen the trust. How do you know Ted Bernstein is the trustee of that trust then?

A Because that was the claim that they were making.

Q Okay. And are you aware that Judge Blakey in the Illinois case which is hearing this matter properly in the Federal Court has determined that that trust hasn't been proven and it's one of the reasons summary judgment was denied?

A I don't have the summary judgment in front of me. When you're saying proven, I'm a little uncertain about --

MR. BERNSTEIN: I'd like to enter that summary judgment as evidence, please.

MS. CRISPIN: I haven't seen it.

MR. BERNSTEIN: Anybody else need it?

There is two of them. Can somebody give Brian the copy I gave, maybe his attorney for Brian as a witness?

THE COURT: No. His attorney right now is reviewing it. Do you have an extra copy for

Mr. O'Connell?

MR. BERNSTEIN: If I don't give one to the judge.

THE COURT: You're supposed to bring one for everybody.

MR. BERNSTEIN: I didn't know how many people were here.

THE BAILIFF: These are the extra copies.

MR. BERNSTEIN: So here's one for the judge and I need one.

THE COURT: Mr. Elliot, be mindful of your time. I'm keeping track of how long everybody has spoken. So you have about four more minutes.

MR. BERNSTEIN: What?

THE COURT: Yes, you have about four more minutes with this witness. Go ahead, ask your question.

MR. BERNSTEIN: Okay. He needs one of these too. That's the second summary judgment. Do you need it?

THE COURT: I don't know what it is.

MR. BERNSTEIN: It's a summary judgment in the Illinois court.

THE COURT: Thank you.

BY MR. BERNSTEIN:

Q Have you seen this document?

A In the past, yes.

Q And are you aware that in the second summary judgment -- in the first summary judgment, I'm a party to the action and in the second one, I'm dismissed from the complaint based on the fact that I'm not a beneficiary with standing in my father's estate?

MR. ROSE: Objection, relevance to today.

MR. BERNSTEIN: It's all going to be relevant to today's settlement.

BY MR. BERNSTEIN:

Q Judge Blakey in this, if you go to the first order --

THE COURT: He's disputing the settlement so he gets to talk about --

BY MR. BERNSTEIN:

Q The date is on the top, 3-15-16.

A I see it, yes.

Q Do you see on Page 4, the last two paragraphs, can you read that?

A Does that start, while the above sources?

Q Right.

A While the above sources do provide some

evidence that the trust was created --

Q Which trust, the 1995 trust?

A The '95 trust.

Q Okay. Just to be clear.

A That evidence is far from dispositive of the issue. In fact, the intervenor has presented argument and evidence casting material doubt on whether, one, the trust was actually created and, two, the terms of the trust are as explained by the plaintiffs.

Want me to keep going?

Q Well, let me ask you a real quick question. Are you the intervenor?

A No.

Q You're not?

A The estate is, not me.

Q So you're representing the estate?

A Yes, me as personal representative, not me individually. That's what I thought you were asking.

Q So, in fact, the estate has made the argument that this trust does not exist?

A Correct.

Q And there are no terms that are applicable, so how can you be saying that you know

that Ted is the trustee?

A I'm saying Ted claims to be the trustee.

Q No. In your pleading, you said you entered into the settlement with Ted Bernstein as trustee, a factual assertion, that he was trustee of a trust, but yet now you're stating there there is no trust and you're not sure of the terms and one of those terms would be Ted Bernstein, is that correct?

MR. ROSE: Objection --

THE COURT: Hold on. You know the rules if I hear an objection. Mr. Rose?

MR. ROSE: Objection, argumentative.

MS. CRISPIN: Join.

THE COURT: Sustained.

BY MR. BERNSTEIN:

Q Okay. Did you argue that the trust was actually created?

A Did the estate argue that it was created?

Q Yes.

A In the summary judgment or in the case?

Q These are -- this is from the intervenor stating that the trust wasn't actually created.

A That was the legal position we took, ergo there was a dispute.

Q And you took the assertion that the terms

of the trust are just as what was explained by the plaintiffs, not the trust because you don't know the terms because we don't have a valid copy, correct?

A The position that the estate took is what's set forth in Judge Blakey's order, correct.

Q Okay. And then read Judge Blakey's next statement.

THE COURT: I'm just reminding you that you have about three more minutes.

MR. BERNSTEIN: Well, I need some more time, Your Honor. This is going to take a long time.

THE COURT: Well, it's going to take till 2:30 as this was set for an hour and giving equal time. So you can keep on moving and ask a question.

MR. BERNSTEIN: Where does it say it was set for an hour? I thought it was until five.

THE COURT: I believe I was asked by Mr. Rose on the phone the other day and I said you have an hour reserved.

MR. BERNSTEIN: You never told us that.

THE COURT: Well, I'm telling you now.

MR. BERNSTEIN: This is going to take me hours.

THE COURT: Well, sorry about that. Ask the next question.

MR. BERNSTEIN: This is a serious settlement.

THE COURT: Would you rather take the time arguing with the Court or --

MR. BERNSTEIN: Well, can we get it extended?

THE COURT: No. Ask your next question.

MR. BERNSTEIN: Okay. I'll ask my next question.

BY MR. BERNSTEIN:

Q Can you read the next sentence?

A However -- there?

Q No. The results and timing of the plaintiff's search for the trust.

A The results and timing of the plaintiff's search for the trust raises doubts about their version of events. The plaintiffs claim that David Simon found a hard copy and electronic version of the trust in his office. David Simon has offered testimony here that he aided Simon Bernstein in creating the trust and that he kept both versions of the unexecuted trust.

Keep going?

Q No, that's good. And the missing trust was one of Judge Blakey's reasons for denying summary judgment, those are still issues of fact, if there is a trust, if Ted's the trustee, correct?

A The order speaks for itself.

Q Correct. So it's not been determined Ted Bernstein is a trustee of any trust because nobody has a copy, correct?

A In connection with this proceeding, the summary judgment?

Q In connection with this proceeding. Ted Bernstein hasn't been determined to be the trustee of the '95 trust that you are entering into settlement with because nobody has the trust, correct?

A Well, Ted Bernstein claims to be the trustee of the 1995 trust --

Q Before you entered into settlement --

THE COURT: Let him finish.

A -- and this settlement resolves the litigation over -- the entire litigation, who gets the proceeds, how much of the proceeds, how they're split between the defendant and the plaintiff.

Q So you haven't verified that Ted Bernstein is the trustee that you're entering into the

settlement?

A There's no way to verify whether Ted Bernstein is the trustee of the trust. We reached a settlement because of the doubt as to whether the trust existed or not, who was the trustee, so that journey is over. That's why you settle cases.

Q I'm sorry, you entered in this pleading that you settled with Ted Bernstein who is trustee, a factual assertion, of a 1995 trust. Are you stating that again today here?

A It's not my factual assertion. I think that's the problem we're having, Mr. Elliot.

Q Well, the heading in your pleading, you start out with, This settlement was entered into between Brian O'Connell, PR of the estate, and Ted Bernstein, trustee of a 1995 trust.

A That's true, because that's the capacity that he was seeking relief from the District Court under.

Q Okay. And I've got some other questions real quick. Am I beneficiary of my father's estate with standing?

MR. ROSE: Objection, calls for a legal conclusion.

MR. BERNSTEIN: He's the PR of the estate.

MR. ROSE: It's already been --

THE COURT: Overruled. You can answer the question.

A Are you a beneficiary of the tangible personal property of the estate? Yes.

BY MR. BERNSTEIN:

Q Okay. So I'm a beneficiary of the estate with standing?

THE COURT: Of tangible personal property.

BY MR. BERNSTEIN:

Q Whatever property, I'm a beneficiary, correct?

A You're a beneficiary of the tangible personal property.

THE COURT: Last question.

MR. BERNSTEIN: I need to finish --

THE COURT: No. Last question,

Mr. Elliot.

MR. BERNSTEIN: This is just --

THE COURT: I'm sorry. What was that?

MR. BERNSTEIN: I'm rushing through.

THE COURT: Okay. Last question.

BY MR. BERNSTEIN:

Q Mr. O'Connell, are you aware that Judge Blakey dismissed me on summary judgment claiming

that I was not a beneficiary of my father's estate with standing?

A I recall your being dismissed but I'd have to review the --

Q Go ahead. It's right there.

MR. BERNSTEIN: It's the bigger thicker judgment, Your Honor, for your edification.

MR. ROSE: I object to relevance.

THE COURT: Sustained. Okay. Redirect?

MR. BERNSTEIN: Your Honor, what just happened? I'm a little slow.

THE COURT: I sustained the objection. Okay. Mr. Rose?

CROSS EXAMINATION

BY MR. ROSE:

Q Mr. O'Connell, is it fair to say that Judge Blakey also denied the estate's motion for summary judgment?

A He did.

Q The first motion for summary judgment was filed by the Illinois plaintiff, this insurance trust, correct?

A Correct.

Q And that was denied?

A Correct.

Q And on the strength of that, the estate moved for summary judgment, correct?

A And that was denied.

Q And part of the evidence that was submitted contrary to your claim was an affidavit of Mr. Spallina?

A Correct.

Q And it's Mr. Spallina's testimony, if it was believed, that Simon Bernstein discussed the terms of the 1995 insurance trust and Simon Bernstein intended that trust to give all the money, correct?

A That was his testimony per his affidavit.

Q And if you take the litigation all the way to the end, there's a chance that you would lose and end up with nothing?

A There's always that chance; hence we settled.

Q If Mr. Spallina's affidavit is believed by the judge, that would be strong evidence against your position?

A It would be and that would be one of the key points, is that believable or not.

Q And if you hire Mr. Stamos at a 40 percent contingency, my math on a million seven says that

the fee is going to be about \$680,000?

A Correct.

Q A million dollars minus 680, \$700,000 fee and some costs, I assume, your best case is a million?

A Under a contingency arrangement, that's the math I did too.

Q Because someone has to pay for you, Mr. O'Connell's time to fly to Chicago, sit through a trial, however long it takes, to interact with Mr. Stamos?

A Correct.

Q And you still have to pay back Mr. Stansbury for whatever he's incurred?

A Yes.

Q And in your view, the settlement is in the best interest taking everything into account including all the questions you were asked by all the parties?

A Yes.

MR. ROSE: Nothing further.

MR. BERNSTEIN: Can I ask more after that?

THE COURT: No. It goes back to Ms.

Crispin.

MR. BERNSTEIN: Do I get another shot at

that?

THE COURT: No.

MS. CRISPIN: I have nothing further for this witness.

THE COURT: Okay. You may step down. Everybody has a copy of the proposed settlement, correct, the motion?

Mr. Elliot, did you want these two orders in evidence? You didn't actually --

MR. BERNSTEIN: I do.

THE COURT: I will mark them as a composite exhibit for you.

MR. BERNSTEIN: Thank you. So that would be 1?

THE COURT: Elliot's Composite Exhibit 1.

MR. BERNSTEIN: Okay. Thank you.

THE COURT: You're welcome.

All right. Next witness?

MS. CRISPIN: Mr. Stamos, please.

THE COURT: All right. Let me call.

Mr. Stamos? Hello?

MR. SIMON: This is Adam Simon.

THE COURT: All right.

MR. ROSE: I believe he's one of the counsel in --

THE COURT: I don't know.

MS. CRISPIN: That's not Mr. Stamos.

THE COURT: I know. Is Mr. Stamos available? He's not on court call. Is anyone calling Mr. Simon?

MR. SIMON: Mr. Simon is on the phone.

THE COURT: I know. I'm not sure why.

MR. ROSE: I think he's counsel of record in the Illinois case for the trust.

MR. SIMON: I'm just listening.

MR. BERNSTEIN: And I might want to ask him questions since he's there.

MS. CRISPIN: Judge, can I use my phone to call?

THE COURT: Yes.

Go ahead. Ask some questions, Mr. Bernstein.

Do you have a notary public there? Did you arrange to have a notary public for him if you wish to call him as a witness?

MR. BERNSTEIN: I'm not his lawyer.

THE COURT: I know, but if you wish to call a witness by telephone, you need to arrange that they have a notary public so they can be sworn in.

MR. BERNSTEIN: He's the counsel.

THE COURT: I know, but he still needs a notary public because he's not in front of me to swear him in.

MR. BERNSTEIN: So, no. I didn't know that he was going to be here.

THE COURT: All right. Next witness, Ms. Crispin? Oh, you're on the phone. Sorry.

MS. CRISPIN: Your Honor, I don't have anyone after Mr. Stamos.

THE COURT: Any witnesses, Mr. Rose?

MR. ROSE: No.

THE COURT: Any witnesses, Mr. Feaman?

MR. FEAMAN: No, Your Honor.

THE COURT: Call your first witness, Mr. Elliot.

MR. BERNSTEIN: I'm waiting for Mr. Stamos.

THE COURT: No. We're waiting and for court efficiency, call your first witness.

MR. BERNSTEIN: Brian O'Connell.

THE COURT: You can call him for about eight minutes.

MR. O'CONNELL: He's calling in now, Your Honor.

THE COURT: All right. He'll call in to court call. In the meantime, go ahead and get back on the stand. I told him he has about eight minutes and we'll have Mr. Stamos -- if you're on the phone with Mr. Stamos, you can tell him to be ready by ten to three.

MS. CRISPIN: Okay.

(Mr. O'Connell resumed the stand.)

THE COURT: You're still under oath. Go ahead. It's all you.

DIRECT EXAMINATION

BY MR. BERNSTEIN:

Q Are you aware of a 2000 insurance trust that was executed that the policy in question has been assigned to in the year 2000?

MS. CRISPIN: Asked and answered.

THE COURT: Sustained. You already asked him that.

MR. BERNSTEIN: No, a 2000 insurance policy.

THE COURT: Oh, overruled. Thank you.

BY MR. BERNSTEIN:

Q That supersedes a 1995 trust?

A You'd have to show me a document.

Q Okay. Here.

MR. STAMOS: Hello?

THE COURT: Mr. Stamos?

MR. STAMOS: Yes, ma'am.

THE COURT: Okay. This is the judge. I'm going to ask you to just hang on while we complete the testimony of another witness.

MR. STAMOS: Okay. How long will that be, how long do you think?

THE COURT: About eight minutes.

MR. STAMOS: All right. I will step away from my desk for five minutes and I'll pick up then, okay?

THE COURT: Sounds good.

MR. STAMOS: Thank you.

BY MR. BERNSTEIN:

Q Mr. O'Connell, have you seen that trust before?

A Sitting here today, I don't recall it but it's possible in the volume of documents in this case that I could have, but I couldn't tell you definitively.

Q Do you notice that it's Bates stamped by Tescher & Spallina, the former attorneys who committed forgery and fraud in this matter that you replaced and those documents were transferred to you

by Ben Brown and you actually argued -- can you answer that question?

A I see Bates stamps at the bottom.

Q So these would be part of your record, correct?

A I'm not sure. I'd have to look on my record to be sure.

Q And you're aware that the state has argued in Illinois Federal Court that this 2000 trust supercedes the '95 trust, thereby rendering it moot, the '95 trust you're entering into settlement with, is that correct?

A I'd have to see some more documents. If you're talking about -- has there been something in writing submitted taking that position?

Q Yeah. Your summary judgment arguments rely on this 2000 trust superseding -- in that 2000 trust, can you read from Page 1, the trust, the first paragraph and the Number 1?

MR. ROSE: Objection. The document is not in evidence, hearsay.

THE COURT: Sustained.

MR. BERNSTEIN: Can I submit it as evidence?

THE COURT: Objections?

MR. ROSE: Authenticity.

THE COURT: Sustained.

MR. BERNSTEIN: It's Bates stamped.

THE COURT: It doesn't matter. Sustained.

MR. BERNSTEIN: It's been submitted into
the record.

THE COURT: Sustained.

MR. BERNSTEIN: We can't enter this?

THE COURT: No. I sustained the
objection. It's an evidentiary objection.

MR. BERNSTEIN: Okay. Am I allowed to ask
him questions about this document?

THE COURT: If you ask a question and
there's an objection, I'll entertain it. I
can't tell you how to proceed.

MR. BERNSTEIN: Okay.

BY MR. BERNSTEIN:

Q Can you read the first paragraph and
Number 1 of that document?

MR. ROSE: Objection, hearsay. The
document is not in evidence.

THE COURT: Sustained.

MR. BERNSTEIN: Okay.

BY MR. BERNSTEIN:

Q You argued in Illinois in the federal

action on behalf of the estate that this 2000 document superseded the 1995 trust?

MS. CRISPIN: Asked and answered. He said he needed further documentation to see it in writing.

THE COURT: Sustained.

BY MR. BERNSTEIN:

Q In a recent similar case to this with allegations of fraud in the Bivens case, are you aware of the Oliver Bivens case?

MR. ROSE: Objection, relevance, materiality.

THE COURT: Sustained.

BY MR. BERNSTEIN:

Q Have you been charged with breach of fiduciary duties and negligence recently and found guilty by a jury of your peers in a federal court?

MR. ROSE: Objection, relevance.

MS. CRISPIN: Argumentative.

THE COURT: I have to overrule those objections because it would go to bias.

MS. CRISPIN: Your Honor, he used the word charged. That was my problem for the argumentative.

THE COURT: Okay. With regard to the word

charged, sustained.

BY MR. BERNSTEIN:

Q Is there a verdict that claims you breached fiduciary duties and negligence in the handling of an estate?

A There was a verdict but the matter has been settled and the case has been dismissed with prejudice pursuant to a confidential settlement.

Q Who was your attorney in that settlement?

A Wicker, Smith.

Q Was it Alan Rose?

A Alan Rose came in after the verdict to represent the law firm while Ms. Crispin and I were represented by the Wicker, Smith firm as we had been from the inception of the case.

Q So the verdict stood?

A No.

MR. STAMOS: Hello ?

THE COURT: Hang out for me, Mr. Stamos.

BY MR. BERNSTEIN:

Q So there was a jury verdict that you had breached and committed negligence with Ashley Crispin, correct?

MR. ROSE: Objection, relevance and repetitive.

THE COURT: Sustained.

MR. BERNSTEIN: By the way, Your Honor, something strange here has occurred. Mr. Rose is O'Connell's counsel.

THE COURT: Excuse me. Do you have a question for this witness? You have one question left.

BY MR. BERNSTEIN:

Q If there is a 2000 trust, would it not be a necessary party to any settlement if it deals with the same insurance policy?

A I'm not aware that that trust exists, the 2000 trust exists.

Q If it exists? Since I can't enter it into evidence.

A I'd have to review the documents to make sure.

Q But after you reviewed them, if you found that it existed, would it be a necessary part to any settlement?

MR. ROSE: Objection, calls for a legal conclusion and the facts are that trust and no trustee has intervened or sought to do anything in the Illinois case so it's an irrelevant question.

MR. BERNSTEIN: Your Honor, that's really relevant because the reason this trust is suppressed is because my sister, Pam Scott -- I'd like to enter another piece of evidence where they discussed suppressing this and hiding it from the court.

THE COURT: Sustained. Last question.

BY MR. BERNSTEIN:

Q When you found out that I was a beneficiary of my father's estate and Judge Blakey removed me on summary judgment claiming that I was not a beneficiary based on res judicata from this court, when you found out again and admitted in court at the first hearing that I attended with Judge Scher here in the courtroom that I was a beneficiary, did you notify the federal court that I was a beneficiary with standing in my dad's estate?

MR. ROSE: Objection, relevance, argumentative, and I think these issues are the ones that were decided by the federal judge in Illinois.

MS. CRISPIN: Objection, compound.

THE COURT: I'll let him answer the question. He either did or he didn't.

A I guess to answer your question, I'd have

to go back and review your intervention and review the order and --

BY MR. BERNSTEIN:

Q The order is there.

A It would take some time to do it to say whether that would be --

Q Well, let me ask you a question.

THE COURT: No, that was it.

MR. BERNSTEIN: It's the same question.

THE COURT: Then it's been asked and answered.

MR. BERNSTEIN: Well, let me help him answer what he said, Your Honor. Would that be okay?

THE COURT: That would be okay.

BY MR. BERNSTEIN:

Q The question is, after a review, if you found that I was a beneficiary with standing in the estate and the Illinois court was under the impression that I was not and had dismissed me, would I need to be reinstated as a party in that action who would be a party to this settlement?

A That would be between you and the Illinois federal court using that hypothetical.

THE COURT: Okay. That about does it for

that. Follow up, Ms. Crispin?

MS. CRISPIN: None.

THE COURT: You may step down,
Mr. O'Connell.

We're ready to proceed. Do you have a
notary public there with you, Mr. Stamos?

MR. STAMOS: Yes. It will just take one
second, Your Honor.

THE COURT: Thank you.

MR. STAMOS: She's present. Okay. Shall
we begin?

THE COURT: May I speak with the notary,
please?

MR. STAMOS: Yes.

MS. VASQUEZ: I'm here.

THE COURT: Hello. This is Judge
Rosemarie Scher. What is your name, ma'am?

MS. VASQUEZ: My name Denise Vasquez.

THE COURT: Are you a notary public in the
State of Illinois?

MS. VASQUEZ: Yes, I am.

THE COURT: When does your commission
expire?

MS. VASQUEZ: October 31st, 2021.

THE COURT: In Illinois, do you have a

number? Do you have a commission number?

MS. VASQUEZ: No.

THE COURT: In Florida we do. That's the only reason I'm asking.

All right. Do you know the gentleman in front of you?

MS. VASQUEZ: Yes, I do.

THE COURT: Do you know him personally or has he produced identification?

MS. VASQUEZ: Personally.

THE COURT: All right. Who is the gentleman in front of you?

MS. VASQUEZ: James Stamos.

THE COURT: All right. Would you please ask him to raise his right hand?

MS. VASQUEZ: Raise your right hand.

THE COURT: And swear or affirm to tell the truth?

MS. VASQUEZ: Do you swear or affirm to tell the truth?

MR. STAMOS: Yes, I do.

THE COURT: Excellent. Ms. Vasquez, thank you so much for serving the Court.

Mr. Stamos, you are on. Ms. Crispin will begin her questioning.

MR. STAMOS: Thank you.

DIRECT EXAMINATION

BY MS. CRISPIN:

Q Mr. Stamos, can you hear me?

A I can.

Q This is Ashley Crispin. We've met before.
I represent Brian O'Connell. We share a client.

A Yes.

Q And I'm going to be asking you some
questions. Your full name, please?

A James J. Stamos. Middle name is John.

Q And you currently represent who in the
pending litigation Simon Bernstein Irrevocable
Insurance Trust, et al, vs. Heritage Union Life
Insurance Company, et al?

A I represent the estate.

Q And currently the fiduciary position is
held by Mr. O'Connell as personal representative,
correct?

A That's my understanding.

Q And how long have you been representing
the estate in this litigation?

A Since 2015, if I'm correct. I think it
was the summer of 2015.

Q And your primary area of practice?

A I'm a litigator. I do principally professional liability defense as well as commercial litigation.

Q And you're aware of the settlement agreement that was reached between the parties in this matter, correct?

A Yes, I am.

Q And you reviewed the settlement agreement before it was executed by Mr. O'Connell, correct?

A Yes. I think I might have suggested some changes.

Q But you reviewed the final version before Mr. O'Connell executed it, correct?

A Yes, I did.

Q And it's contingent on this Court, meaning the Probate Court in Palm Beach County's approval, correct?

A That's my understanding.

Q Now, without drawing on your attorney-client communications with Mr. O'Connell, are you able to give the Court an analysis of the settlement?

A I think I can without breaching confidentiality.

Q Okay. Can you do that, please?

A Let me ask you something. Tell me exactly what you'd like me to talk about. I'm not sure whether you want me to talk about whether it's reasonable or its terms.

Q Exactly, if it's reasonable. The Court has the terms in front of it so now we're just talking about whether or not it was a reasonable settlement.

A Yes. I think it is reasonable. I base that on, and I don't think this is an attorney-client or work product assessment, I base it on a number of factors. The first being that I believe that it's a case that we would be able to win, that we should be able to win, but I thought that there were a number of issues that could make that challenging. One was that the Court had not granted summary judgment for us when I thought the Court should have which made me think that perhaps his view of the facts would be slightly different than our view of the facts.

I also thought that our winning the case was really going to come down to a credibility question and while I thought we had a much better credibility argument, nonetheless the judge was going to have to look at the witnesses and make

decisions about whether he was going to believe the witnesses for the plaintiff in terms of why they thought the trust was -- frankly why they thought the trust existed and was entitled to money. And I thought the fact that there were basically the same people on both sides, I mean I realize they're different, they're the parents and they're the kids, might make it less certain that the judge would be as precise as he might otherwise be in deciding exactly who should win.

I thought that in light of the fact that if we lost, the estate would have no money from the trust and I thought the estate probably would want to have some money, that a compromise of this nature was reasonable.

MS. CRISPIN: Nothing further.

THE COURT: Questions?

MR. ROSE: I'll reserve. For now I don't have any questions.

THE COURT: Mr. Feaman?

CROSS EXAMINATION

BY MR. FEAMAN:

Q Mr. Stamos, this is Peter Feaman. Do you recall that I represent Bill Stansbury?

A I do. I recall that well.

Q Do you recall that it was our office that first brought you into the case?

MR. ROSE: Objection, relevance.

THE COURT: Sustained.

BY MR. FEAMAN:

Q Mr. Stamos, you determined early on in your representation of the estate that the estate had a very meritorious claim, didn't you?

A Yes, I did.

Q And there was a telephonic mediation in May. Did you attend?

A I did.

Q And who attended at that mediation?

MR. ROSE: Objection for the same reasons. You limited his questioning since he has no position.

THE COURT: Sustained.

BY MR. FEAMAN:

Q And did that get the ball rolling in earnest towards settlement?

MR. ROSE: Same objection.

MS. CRISPIN: And to the extent it calls for confidential mediation.

THE COURT: Sustained.

BY MR. FEAMAN:

Q Did the most serious settlement discussions take place in June of this year?

MR. ROSE: Same objection.

THE COURT: Sustained. I don't see the relevance to this hearing.

BY MR. FEAMAN:

Q Do you recall whether I was involved at all in those settlement discussions?

MR. ROSE: Same objection.

THE COURT: What is the relevance for this hearing, Mr. Feaman?

MR. FEAMAN: For this hearing?

THE COURT: For this hearing.

MR. FEAMAN: As to whether -- while we're taking no position, I want to set the record that we were not involved.

THE COURT: Okay. You've already done that. Thank you. Any other questions?

BY MR. FEAMAN:

Q Was Ted Bernstein involved in the settlement discussions as the plaintiff in the Chicago litigation or as the trustee for the trust as the only monetary beneficiary of this estate?

MR. ROSE: Same objection. It sounds like

it's a question leading toward a position.

THE COURT: Could you ask the question again, Mr. Feaman?

BY MR. FEAMAN:

Q Was Ted Bernstein involved in settlement negotiations as a plaintiff in the Chicago litigation that you're counsel involved in or as trustee for the trust that's the only monetary beneficiary of this estate?

THE COURT: I am sustaining the objection because, again, you've taken no position in approving the settlement and I know this goes to another issue you have that's not in front of the Court today.

MR. BERNSTEIN: Can I ask that same question?

THE COURT: No, you can't. It's not in front of the Court today.

BY MR. FEAMAN:

Q My last question, Mr. Stamos, is do you have an opinion as to what the probability of success by the estate would have been if you had gone to trial?

A Well, my judgment was that we were likely to win the case. I felt that we were likely to win

the case with the caveat that I described earlier.

MR. FEAMAN: Thank you. No further questions.

THE COURT: Mr. Elliot?

CROSS EXAMINATION

BY MR. BERNSTEIN:

Q Hi, Mr. Stamos. Has Judge Blakey adjudicated this settlement yet?

A Not -- candidly, I don't recall the exact procedural posture at this moment. I know it's been brought before him, I know he's aware that this hearing has to take place. As to what he has ruled on it, I don't recall where it stands with him.

Q Okay. Was I, Elliot Bernstein, at any settlement negotiations you're aware of?

A I don't know the answer to that.

Q Okay. Is it claimed that I'm a beneficiary of the insurance policy?

A I'm sorry, state that again. I couldn't hear you.

Q Is it claimed by the plaintiffs that I'm a beneficiary of the insurance policy?

A That wasn't how I understood the claim. I understood that they were attempting to prove that a particular trust was the beneficiary of the

insurance policy.

Q Okay. Have you ever seen that particular trust, an executed copy of the 1995 trust that's at the heart of this?

A No.

Q Okay. So then would you be able to determine in this settlement that Ted Bernstein is the trustee of the '95 trust?

A I don't know the answer to that question.

Q Did you depose Ted Bernstein on these very questions in the Illinois litigation?

A Yeah. The position, as I understand it, was that the trust -- there was no evidence that the trust was ever executed and there was no clarity because there were a couple of drafts that were being presented as being exemplars of what the trust was supposed to accomplish. But my recollection is there's an inconsistency as to who the trustee would be. I never saw any document that assigned anyone as the trustee because I never saw an executed document.

Q So then it couldn't be certain that Ted Bernstein is the trustee of the trust that nobody knows exists?

MR. ROSE: Objection, relevancy, not

before the Court today.

A Our position was that there was no trust.

BY MR. BERNSTEIN:

Q Okay. And you understand that this settlement is being entered into between the estate and Ted Bernstein as trustee in fact of the 1995 trust?

A My understanding is that is a function of the fact that we are compromising and one of the compromises is to make that recognition, so it's a compromise of a factual issue.

THE COURT: All right. We need to wrap this up. One last question.

BY MR. BERNSTEIN:

Q Mr. Stamos, are you aware of the 2000 insurance trust that this policy was assigned to?

A I recall there being a trust that was entitled a 2000 trust. I have to tell you I'm a little hazy as I'm sitting here as to what exactly the function it had in the case. I know that it was never promoted by anyone as a trust that was entitled to the funds from the policy.

THE COURT: Last question. That was it.

MR. ROSE: May I have my one question?

THE COURT: Yes.

CROSS EXAMINATION

BY MR. ROSE:

Q Mr. Stamos, are you aware that the documents that existed in the office of the insurance company that issued this policy continuously reflected the sole contingent beneficiary being this 1995 life insurance trust?

A I'm sorry, who's asking the question just so I know?

Q Alan Rose.

A Mr. Rose, if you're asking what was in the records of the issuing company, candidly I don't recall. I remember there was some changes, a beneficiary change form as to who it was ultimately. I just don't remember. I'm just blanking as to what actually was contained in the file.

MR. ROSE: Nothing further, Your Honor.

THE COURT: All right. Did you all give me the original -- I don't think so -- of the verified motion for approval of settlement? I'm just making sure I don't have an original here. It's double sided pages so I don't think so.

MS. CRISPIN: I don't believe so, Your Honor.

THE COURT: I don't believe so either.
I'm just making sure. All right. Any other
witnesses, Ms. Crispin?

MR. STAMOS: Am I excused, Your Honor?

THE COURT: Yes, you are excused. Thank
you very much, Mr. Stamos. I'm disconnecting
you.

MR. BERNSTEIN: Can I call him as a
witness?

THE COURT: No. The hearing is ending.

MR. BERNSTEIN: I didn't get a chance --
it's ending now?

THE COURT: It is.

MR. BERNSTEIN: Okey dokey.

THE COURT: Do you have a proposed order?

MS. CRISPIN: Your Honor, I have a blank
order here. I can fill it out here or I can
hand Your Honor the blank one.

THE COURT: Okay. Thank you. I'll take
the blank one. Thank you very much.

MS. CRISPIN: Your Honor, I'm just going
to hand one copy because I know Your Honor will
furnish it via email.

THE COURT: Absolutely. All right,
everyone. I have as our next hearing

November 15th. I'm just saying just for the record.

MR. FEAMAN: My office gave me an order setting a hearing for November 9th at 1:30.

THE COURT: Which hearing is that? Isn't that the hearing I denied already?

MR. FEAMAN: No. It's on Mr. Stansbury's request for court intervention under Florida Statute 736.0706 filed back on February 15th of 2017, and in communications of my paralegal with your assistant, apparently it gave rise to her preparing an order setting that hearing for November 9th. She created it and gave it to me to confirm that there's a hearing on that date.

THE COURT: No, and you know what?

MR. FEAMAN: I didn't have any conversation with your office.

THE COURT: I understand that and actually it's not a complete shock to me. That's why I asked that. I need to look at that. My assistant is out for six weeks. So if you will hand me that, I need to look at that because in my world, I didn't think that was an issue.

MR. ROSE: Just for the record, Your Honor, this is the motion where he's asking

you --

THE COURT: I thought I denied it. I thought I entered an order denying it.

MR. ROSE: If you haven't, we ask you to.

THE COURT: Let me look at it and, Mr. Feaman, I'm sure at some point my assistant did a request for this, but like I said, she just had surgery. So let me take this, let me take the other blank order. I have a phone conference. Thank you very much.

MR. BERNSTEIN: Your Honor, I just want the record to reflect that I wasn't given a fair opportunity to be heard. I made no opening statement, was not allowed to call witnesses and there were no pretrial hearing procedures ordered by the Court or even followed by the Court.

THE COURT: So noted. Thank you so much. Feel better.

MR. ROSE: Thank you, Your Honor.

(The hearing was concluded.)

STATE OF FLORIDA

COUNTY OF PALM BEACH

I, DEBORAH MEEK, Registered Professional Reporter, Florida Registered Reporter, certify that I was authorized to and did stenographically report the foregoing proceedings and that such transcription, Pages 1 through 65, is a true and accurate record of my stenographic notes.

I further certify that I am not a relative, employee, attorney, or counsel of any of the parties, nor am I a relative or employee of such attorney or counsel, nor am I financially interested, directly or indirectly, in the action.

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Dated this 27th day of October, 2017.



DEBORAH MEEK, RPR, CRR, FPR

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CASE NUMBER: 50-2014-CP-003698-XXXX-NB
 CASE STYLE: SHIRLEY BERNSTEIN

Dockets & Documents ▾

Public =

VOR =

In Process =
 Page Size: All ▾

Docket Number	Effective Date	Description
1	08/06/2014	PENDING
2	08/06/2014	CPFF/TR
3	08/06/2014	COMPLAINT
4	08/07/2014	ADDITIONAL COMMENTS
5	08/07/2014	RECEIPT FOR PAYMENT
6	08/08/2014	SUMMONS ISSUED
7	08/12/2014	RECEIPT FOR PAYMENT
8	08/20/2014	AGREED ORDER
9	08/22/2014	SUBPOENA RETURNED / SERVED
10	08/22/2014	SUBPOENA RETURNED / SERVED

11	08/22/2014	SUBPOENA RETURNED / SERVED
12	08/25/2014	SUMMONS ISSUED
13	08/26/2014	MOTION
14	08/26/2014	RECEIPT FOR PAYMENT
15	08/26/2014	NOTICE OF HEARING
16	08/29/2014	MOTION TO WITHDRAW
17	09/03/2014	MOTION
18	09/03/2014	SUMMONS ISSUED
19	09/03/2014	SUMMONS ISSUED
20	09/03/2014	SERVICE RETURNED (NUMBERED)
21	09/05/2014	CROSS/COUNTER/3RD - CP,GA,MH
22	09/05/2014	APPL AND AFF OF INDIGENCY
23	09/05/2014	ANSWER
24	09/05/2014	COUNTERCLAIM
25	09/05/2014	DECLARATION
26	09/08/2014	RECEIPT FOR PAYMENT
27	09/08/2014	NOTICE OF HEARING
28	09/08/2014	APPL AND AFF OF INDIGENCY
29	09/12/2014	PETITION
30	09/16/2014	PROOF OF SERVICE

31	09/16/2014	PROOF OF SERVICE
32	09/18/2014	ORDER
33	09/24/2014	ORDER
34	10/03/2014	COMPLAINT
35	10/07/2014	ORDER
36	10/10/2014	NOTICE OF APPEARANCE
37	10/10/2014	NOTICE
38	11/04/2014	NOTICE OF FILING
39	11/20/2014	ANSWER
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41	11/24/2014	NOTICE
42	11/24/2014	NOTICE OF HEARING
43	11/25/2014	REQUEST TO PRODUCE
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45	11/25/2014	NOTICE OF SERVICE
46	11/25/2014	NOTICE OF SERVICE
47	11/26/2014	AFFIDAVIT
48	12/03/2014	ORDER OF DEFAULT
49	12/05/2014	PETITION
50	12/30/2014	MOTION TO DISMISS

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56	02/05/2015	ORDER
57	02/06/2015	NOTICE OF HEARING
58	02/17/2015	ANSWER & AFFIRMATIVE DEFENSES
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60	02/27/2015	MOTION TO STRIKE
61	03/13/2015	NOTICE OF HEARING
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64	03/23/2015	NOTICE OF HEARING
65	03/25/2015	NOTICE OF HEARING
66	03/31/2015	ORDER GRANTING
67	04/02/2015	MOTION
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69	04/08/2015	ORDER
70	04/15/2015	MOTION

71	04/16/2015	MOTION
72	04/17/2015	NOTICE OF HEARING
73	04/28/2015	NOTICE OF HEARING
74	05/05/2015	ORDER
75	05/05/2015	ORDER
76	05/05/2015	ORDER DENYING MOTION
77	05/06/2015	ORDER
78	05/08/2015	ANSWER & AFFIRMATIVE DEFENSES
79	05/14/2015	PETITION
80	05/18/2015	ORDER DENYING
81	05/19/2015	ORDER OF RECUSAL
82	05/19/2015	NOTICE OF REASSIGNMENT
83	05/20/2015	ANSWER & AFFIRMATIVE DEFENSES
84	05/26/2015	ORDER DENYING
85	06/10/2015	TRUE COPY
86	06/10/2015	TRUE COPY
87	06/10/2015	TRUE COPY
88	06/15/2015	ORDER
89	06/18/2015	NOTICE OF REASSIGNMENT
90	06/18/2015	NOTICE OF UNAVAILABILITY

91	09/24/2015	ORDER SETTING HEARING
92	10/16/2015	TRUE COPY
93	11/13/2015	NOTICE
94	11/13/2015	NOTICE
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96	11/20/2015	WITNESS LIST
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100	11/30/2015	EVIDENCE/EXHIBIT LIST FILED
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106	12/08/2015	ORDER DENYING
107	12/09/2015	MOTION
108	12/10/2015	EXHIBIT LIST
109	12/12/2015	REQUEST
110	12/12/2015	REQUEST

111	12/15/2015	MOTION
112	12/15/2015	MOTION
113	12/16/2015	FINAL JUDGMENT BOOK 27999 PAGE 1758
114	12/17/2015	ORDER
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117	12/23/2015	NOTICE OF UNAVAILABILITY
118	12/28/2015	EMERGENCY MOTION
119	12/28/2015	EMERGENCY MOTION
120	12/31/2015	MOTION
121	12/31/2015	MOTION
122	01/04/2016	ORDER DENYING
123	01/04/2016	PETITION FOR APPOINTMENT
124	01/04/2016	NOTICE OF HEARING
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127	01/05/2016	APPL AND AFF OF INDIGENCY
128	01/05/2016	MOTION
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131	01/06/2016	OBJECTION
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133	01/07/2016	ORDER DENYING
134	01/07/2016	CERTIFICATE OF SERVICE
135	01/07/2016	ORDER
136	01/08/2016	TRUE COPY
137	01/08/2016	TRUE COPY
138	01/08/2016	AUTO RCPT OF APPELLATE FILING
139	01/08/2016	ACKNOWLEDGMENT OF NEW CASE
140	01/08/2016	AUTO RCPT OF APPELLATE FILING
141	01/13/2016	RESPONSE TO:
142	01/13/2016	RESPONSE TO:
143	01/14/2016	NOTICE OF HEARING
147	01/19/2016	OBJECTION
148	01/19/2016	NOTICE OF APPEAL CIVIL BOOK 28054 PAGE 1448-1467
149	01/19/2016	APPL AND AFF OF INDIGENCY
150	01/21/2016	AUTOMATIC RECEIPT APPELLATE FILING
151	01/21/2016	AUTOMATIC RECEIPT APPELLATE FILING
152	01/28/2016	NOTICE OF HEARING
153	01/28/2016	MOTION

154	02/03/2016	ORDER
155	02/09/2016	OBJECTION
156	02/09/2016	OBJECTION
157	02/10/2016	MOTION
158	02/10/2016	NOTICE OF HEARING
159	02/16/2016	MEMORANDUM
160	02/17/2016	ORDER DENYING
161	03/02/2016	ORDER
162	03/02/2016	RE-NOTICE OF HEARING
163	03/03/2016	MOTION AMENDED MOTION TO MODIFY FINAL ORDER APPROVING SEALE DATED MAY 6, 2015 FOR FURTHER INJUDCTIVE RELIEF, AND FOR ORDER TO SHOW CAUSE WHY ELIOT BERNSTEIN SHOULD NOT BE HELD IN CONTEMPT OF COURT F/B TED BERNSTEIN
164	03/03/2016	RE-NOTICE OF HEARING
165	03/09/2016	NOTICE OF TAKING DEPOSITION
166	03/11/2016	EXHIBIT LIST
167	03/15/2016	MOTION FOR PROTECTIVE ORDER
168	03/15/2016	NOTICE NOTICE TO COURT REGARDING SELECTION OF GUARDIAN AD LITEM F/B TED BERNSTEIN
169	03/16/2016	OBJECTION
170	03/18/2016	MOTION FOR PROTECTIVE ORDER
171	03/22/2016	NOTICE OF HEARING

172	03/29/2016	NOTICE OF APPEAL CIVIL BOOK 28200 PAGE 232-237
173	03/29/2016	APPL AND AFF OF INDIGENCY
174	03/30/2016	NOTICE OF CANCELLATION
175	04/04/2016	ORDER APPOINTING DIANA LEWIS AS GUARDIAN AD LITEM FOR ELIOT BERNSTEIN'S CHILDREN - SIGNED 4/04/16 JUDGE PHILLIP
176	04/04/2016	SUBPOENA RETURNED / SERVED
177	04/05/2016	PETITIONER EVIDENCE #16 DURABLE POWER OF ATTORNEY DTD 7/25/12
178	04/05/2016	DEFENSE EVIDENCE # 6 FIRST AMENDMENT TO TRUST AGREEMENT DTD 11/18/08
179	04/05/2016	PETITIONER EVIDENCE #4 WILL OF SIMON L BERSTEIN DTD 7/25/12
180	04/05/2016	PETITIONER EVIDENCE #6 FIRST AMENDMENT TO TRUST AGREEMENT DTD 11/18/08
181	04/05/2016	PETITIONER EVIDENCE #17 CORRESPONDENCE FROM ROBERT SPALLINA TO SIMON BERNSTEIN DTD 7/26/12
182	04/05/2016	PETITIONER EVIDENCE #14 EMAIL FROM ELIOT BERNSTEIN TO ROBERT SPALLINA DTD 5/17/12
183	04/05/2016	PETITIONER EVIDENCE #15 CORRESPONDENCE FROM TESCHER & SPALLINA TO SIMON BERNSTEIN DTD 5/24/12
184	04/05/2016	PETITIONER EVIDENCE #18 DEATH CERTIFICATE OF SIMON BERNSTEIN DTD 9/18/12
185	04/05/2016	PETITIONER EVIDENCE #10 NOTES DTD 3/12/08
186	04/05/2016	PETITIONER EVIDENCE #5 AMENDED AND RESTATED TRUST AGREEMENT SIMON L BERNSTEIN DTD 7/25/12
187	04/05/2016	PETITIONER EVIDENCE 40A COMPOSITE
188	04/05/2016	PETITIONER EVIDENCE #7 BERNSTEIN FAMILY FLOW CHART
189	04/05/2016	PETITIONER EVIDENCE #3 FIRST AMENDMENT TO TRUST AGREEMENT DTD 11/18/08

190	04/05/2016	PETITIONER EVIDENCE #11 CORRESPONDENCE FROM ROBERT SPALLINA TO MR & MRS SIMON BERNSTEIN DTD 4/09/08
191	04/05/2016	PETITIONER EVIDENCE #9 CLIENT/CASE MAINTENANCE DTD 11/16/07
192	04/05/2016	PETITIONER EVIDENCE #1 WILL OF SHIRLEY BERNSTEIN DTD 5/20/08
193	04/05/2016	PETITIONER EVIDENCE #13 NOTES DTD 2/01/12
194	04/05/2016	PETITIONER EVIDENCE # 2 TRUST AGREEMENT DTD 05/20/08
195	04/05/2016	DEFENSE EVIDENCE #3 PETITION FOR DISCHARGE CASE # 2011CP000653 DTD 4/09/12
196	04/05/2016	DEFENSE EVIDENCE #2 CORRESPONDENCE FROM DONALD TESCHER TO TED & ELIOT BERNSTEIN, LISA FRIEDSTEIN, PAMELA SIMON & JILL IANTONI DTD 1/14/14
197	04/06/2016	INDEX TO RECORD ON APPEAL
198	04/06/2016	AUTOMATIC RECEIPT APPELLATE FILING
199	04/07/2016	NOTICE OF FILING
200	04/08/2016	SUBPOENA RETURNED / SERVED
201	04/08/2016	ORDER ON PLAINTIFFS AMENDED MOTION TO MODIFY FINAL ORDER APPROVING SALE DTD 05-06-15 AND FOR FURTHER INJUCTIVE RELIEF SIGNED JUDGE J PHILLIPS 04-08-16
202	04/11/2016	CERTIFICATE OF SERVICE
203	04/13/2016	APPL AND AFF OF INDIGENCY
204	04/19/2016	ORDER DENYING MOTION FOR ORDER SHOW CAUSE DTD APTIL 19, 2016 JUDGE PHILLIPS
205	04/25/2016	NOTICE OF COMPLIANCE
206	05/02/2016	NOTICE OF APPEAL CIVIL BOOK 28278 PAGE 198-203
207	05/02/2016	APPL AND AFF OF INDIGENCY

208	05/03/2016	AUTOMATIC RECEIPT APPELLATE FILING
209	05/04/2016	MEMORANDUM
210	05/05/2016	AUTOMATIC RECEIPT APPELLATE FILING
211	05/05/2016	AUTOMATIC RECEIPT APPELLATE FILING
212	05/05/2016	TRUE COPY
213	05/05/2016	ACKNOWLEDGMENT OF NEW CASE
214	05/12/2016	EXHIBIT LIST
215	05/23/2016	PETITIONER EVIDENCE MOVIANT - EXHIBIT LIST A - LIST OF COUNTER COMPLAINT DEFTS TO BE INCLUDED IN THE AMENDED COMPLAINT
216	05/23/2016	RESPONDENT EVIDENCE DFT ELLIOT BERNSTEIN - CORRESPONDENCE BETWEEN CANDICE SCHWAGER AND ALAN ROSE
217	05/23/2016	PETITIONER EVIDENCE OPPENHEIMER - ORDER FROM 4/20/15 CONTINUED HEARING ON RESPONDENT'S OBJECTION TO FINAL ACCOUNTING
218	05/23/2016	PETITIONER EVIDENCE OPPENHEIMER - RESPONSE IN OPPOSITION TO OMNIBUS MOTION - FILED 1/07/16
219	05/23/2016	PETITIONER EVIDENCE OPPENHEIMER - PETITION FOR ALL WRITS, WRIT OF POSSESSION, WRIT OF MANDAMUS AND PETITION TO STAY CASES AND TEMPORARILY RESTRAIN SALE, TRANSFER, DEPOSITION OF ANY ASSET AND FOR PRSERVATION OF ALL EVIDENCE
220	06/09/2016	TRUE COPY
221	06/22/2016	NOTICE OF MEDIATION
222	07/01/2016	INDEX TO RECORD ON APPEAL
223	07/01/2016	AUTOMATIC RECEIPT APPELLATE FILING

224	08/10/2016	MOTION TO APPROVE AGREEMENT BETWEEN TED S. BERNSTEIN, TRUSTEE & BRIAN O'CONNELL, AS PR OF THE E/O SIMON BERNSTEIN, REGARDING THE ESTATE'S PERSONAL PROPERTY SOLD WITH TRUST'S REAL ESTATE F/B TED S. BERNSTEIN E-FILED
225	08/23/2016	NOTICE OF HEARING
230	09/01/2016	ORDER ON SUCCESSOR TRUSTEE'S MOTION TO APPROVE AGREEMENT BETWEEN TED S. BERNSTEIN, TRUSTEE OF THE SHIRLEY BERNSTEIN TRUST, AND BRIAN O'CONNELL, AS PR OF THE ESTATE JDG J. PHILLIPS 09/01/16
226	09/21/2016	MEDIATION REPORT
227	09/22/2016	TRUE COPY
228	09/27/2016	NOTICE OF APPEAL CIVIL BOOK 28608 PAGE 1876-1888
229	09/27/2016	NOTICE OF FILING
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234	10/10/2016	DIRECTIONS TO CLERK
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236	11/01/2016	AUTOMATIC RECEIPT APPELLATE FILING
237	11/07/2016	MOTION TO APPROVE COMPROMISE & SETTLEMENT, APPT. A TRUSTEE FOR THE TRUSTS CREATED FOR D.B., JA.B. & JO.B, AND DETERMINE COMPENSATION FOR GRDN AD LITEM F/B TED S.,. BERNSTEIN
238	11/07/2016	NOTICE OF HEARING
239	11/09/2016	RE-NOTICE OF HEARING
240	11/10/2016	CERTIFICATE OF SERVICE

241	11/15/2016	OBJECTION
242	11/15/2016	NOTICE OF FILING
243	11/15/2016	NOTICE OF HEARING
244	11/22/2016	NOTICE OF HEARING
245	02/15/2017	MOTION TO APPROVE MEDIATION SETTLEMENT AGREEMENT WITH TESCHER & SPALLINA, P.A. F/B TED S. BERNSTEIN
246	02/22/2017	TRUE COPY
247	03/28/2017	TRUE COPY
248	04/28/2017	MOTION TRUSTEES MOTION FOR ENTRY OF STANDING ORDER GOVERNING HEARINGS F/B TEDD S BERNSTEIN
249	05/08/2017	NOTICE OF HEARING
250	05/09/2017	NOTICE OF HEARING
251	05/22/2017	ORDER APPROVING SETTLEMENT; DISMISSING REMAINING CLAIMS AND RETAINING JURISDICTION TO ENFORCE SETTLEMENT, APPOINT A TRUSTEE FOR CERTAIN TRUSTS AND DETERMINE COMPENSATION FOR GUARDIAN AD LITEM JDG R. SCHER 05/22/17
252	05/23/2017	ORDER ORDER GOVERNING HEARINGS SIGNED BY JUDGE R SCHER ON MAY 23, 2017
253	06/06/2017	APPL AND AFF OF INDIGENCY
254	06/07/2017	APPL AND AFF OF INDIGENCY
255	06/21/2017	NOTICE OF APPEAL CIVIL BOOK 29178 PAGE 1908-1923
256	06/21/2017	NOTICE OF FILING
257	06/21/2017	E-FILED DUPLICATE FILING


258	06/21/2017	E-FILED DUPLICATE FILING
262	06/23/2017	MANDATE
259	06/26/2017	AUTOMATIC RECEIPT APPELLATE FILING
260	06/26/2017	ACKNOWLEDGMENT OF NEW CASE
261	07/18/2017	TRUE COPY
263	07/27/2017	MOTION FOR LEAVE TO FILE MOTION TO HOLD ELIOT BERNSTEIN IN CONTEMPT OF COURT AND FOR SANCTIONS F/B TED S. BERNSTEIN , AS SUCCESSOR TRUSTEE OF THE SHIRLEY BERNSTEIN TRUST
264	07/27/2017	NOTICE OF HEARING
265	08/04/2017	MOTION TO HOLD ELIOT BERNSTEIN IN CONTEMPT OF COURT OR ISSUE ORDER TO SHOW CAUSE AGAINST ELIOT BERNSTEIN AND FOR SANCTIONS F/B MOVANTS, TED S BERNSTEIN, AS SUCCESSOR TRUSTEE OF THE SHIRLEY BERNSTEIN TRUST
266	08/23/2017	TRUE COPY
267	09/05/2017	ORDER GRANTING TED BERNSTEIN MOTION FOR LEAVE TO FILE MOTION TO HOLD ELIOT BERNSTEIN IN CONTEMP OF COURT AND FOR SANCTIONS - SIGNED 9/05/17 JUDGE SCHER
268	09/13/2017	ORDER TO SHOW CAUSE
269	09/15/2017	ORDER TO SHOW CAUSE
270	09/20/2017	NOTICE OF FILING
271	10/27/2017	MOTION TO MODIFY ORDER DATED MAY 22, 2017; TO DIRECT PAYMENT FOR BENEFIT OF ELIOT'S CHILDREN TO COURT REGISTRY; AND TO DETERMINE COMPENSATION FOR GUARDIAN AD LITEM AND DISCHARGE GUARDIAN F/B TED S BERNSTEIN





SHARON R. BOCK
Clerk & Comptroller
Palm Beach County

CASE NUMBER: 50-2015-CP-001162-XXXX-NB
CASE STYLE: SIMON BERNSTEIN

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34	03/08/2016	MOTION FOR APPOINTMENT OF A GUARDIAN AD LITEM TO REPRESENT THE INTERESTS OF ELIOT BERNSTEIN'S CHILDREN - F/B TED BERNSTEIN
35	03/08/2016	NOTICE OF HEARING
36	03/09/2016	MOTION SUCCESSOR TRUSTEES AMENDED MOTION TO DISMISS FOR LACK OF STANDING OR, ALTERNATIVELY, TO APPOINT A GUARDIAN AD LITEM TO REPRESENT THE INTEREST OF ELIOT BERNSTEIN'S CHILDREN F/B TED S BERNSTEIN
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38	03/09/2016	NOTICE OF HEARING
39	04/08/2016	ORDER DISMISSING ACTION FOR LACK OF STANDING SIGNED JUDGE JOHN L PHILLIPS 04-08-16 BOOK 28224 PAGE 667-669
40	04/11/2016	CERTIFICATE OF SERVICE
41	11/28/2016	MOTION NOV. 29, 2016 HEARING STATUS CONFERENCE LISTING OF OPEN ISSUES AND PENDING FILINGS F/B ELIOT BERNSTEIN
42	06/06/2017	APPL AND AFF OF INDIGENCY
43	06/07/2017	APPL AND AFF OF INDIGENCY



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14	10/10/2012	WILL
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199	07/02/2014	RETURNED MAIL
200	07/07/2014	MOTION
201	07/08/2014	RETURNED MAIL
202	07/08/2014	ORDER
203	07/10/2014	NOTICE OF FILING
204	07/10/2014	NOTICE
205	07/11/2014	NOTICE OF FILING
206	07/11/2014	ORDER
207	07/14/2014	ORDER
208	07/14/2014	ORDER
209	07/14/2014	ORDER
210	07/15/2014	NOTICE OF UNAVAILABILITY

211	07/16/2014	NOTICE OF UNAVAILABILITY
212	07/16/2014	PETITION
213	07/16/2014	NOTICE
214	07/16/2014	PETITION
215	07/16/2014	ACCOUNTING
216	07/18/2014	OATH
217	07/18/2014	ORDER
218	07/25/2014	LETTERS OF ADMINISTRATION BOOK 26942 PAGE 284
219	07/25/2014	ORDER APPOINTING PERSONAL REP
220	07/29/2014	PETITION
221	07/29/2014	PETITION
222	07/30/2014	MOTION
223	07/30/2014	NOTICE OF HEARING
224	08/04/2014	NOTICE OF COMPLIANCE
225	08/06/2014	NOTICE OF HEARING
226	08/06/2014	MOTION
227	08/11/2014	MOTION TO DISMISS
228	08/11/2014	NOTICE OF HEARING
229	08/11/2014	PETITION
230	08/13/2014	OBJECTION

231	08/15/2014	NOTICE OF HEARING
232	08/15/2014	MOTION
233	08/17/2014	MOTION
234	08/18/2014	NOTICE OF HEARING
235	08/18/2014	NOTICE OF HEARING
236	08/20/2014	NOTICE OF HEARING
237	08/21/2014	NOTICE OF HEARING
238	08/22/2014	STATEMENT OF CLAIM
239	08/22/2014	STATEMENT OF CLAIM
240	08/22/2014	ORDER DENYING
241	08/23/2014	NOTICE OF FILING
242	08/24/2014	EMERGENCY MOTION
243	08/25/2014	NOTICE
244	08/25/2014	ORDER
245	08/26/2014	NOTICE OF TAKING DEPOSITION
246	08/26/2014	NOTICE OF TAKING DEPOSITION
247	08/26/2014	ORDER DENYING
248	08/28/2014	MOTION
249	08/28/2014	MOTION
250	08/29/2014	MOTION TO WITHDRAW

251	08/29/2014	RETURNED MAIL
252	09/02/2014	PETITION FOR DISCHARGE
253	09/02/2014	RETURNED MAIL
254	09/06/2014	PETITION
255	09/08/2014	NOTICE OF HEARING
256	09/10/2014	MOTION
257	09/13/2014	NOTICE OF HEARING
258	09/14/2014	NOTICE
259	09/15/2014	ORDER
260	09/15/2014	PETITION FOR ATTORNEY'S FEES
261	09/15/2014	NOTICE OF HEARING
262	09/15/2014	NOTICE
263	09/16/2014	RE-NOTICE OF TAKING DEPOSITION
264	09/16/2014	FINAL ACCOUNTING - ESTATE
265	09/19/2014	NOTICE OF TAKING DEPOSITION
266	09/23/2014	PETITION TO EXTEND TIME
267	09/23/2014	NOTICE OF UNAVAILABILITY
268	09/23/2014	NOTICE OF EMAIL DESIGNATION
269	09/24/2014	PETITION
270	09/24/2014	ORDER

271	09/25/2014	ORDER
272	09/30/2014	NOTICE
273	10/07/2014	ORDER
274	10/07/2014	AGREED ORDER
275	10/13/2014	MOTION
276	10/15/2014	NOTICE OF HEARING
277	10/20/2014	NOTICE OF HEARING
278	10/23/2014	MOTION TO WITHDRAW
279	10/23/2014	NOTICE OF HEARING
280	10/29/2014	WAIVER AND CONSENT
281	10/29/2014	WAIVER AND CONSENT
282	10/30/2014	ORDER
283	10/31/2014	PETITION FOR ATTORNEY'S FEES
284	11/10/2014	NOTICE OF HEARING
285	11/19/2014	AGREED ORDER
286	11/25/2014	PETITION FOR ATTORNEY'S FEES
287	12/01/2014	INVENTORY - ESTATE
288	12/02/2014	NOTICE OF HEARING
289	12/03/2014	NOTICE OF HEARING
290	12/09/2014	NOTICE OF HEARING

291	12/18/2014	NOTICE OF HEARING
292	12/19/2014	NOTICE OF HEARING
293	12/19/2014	NOTICE OF HEARING
294	12/19/2014	ORDER AWARDING ATTORNEY FEES
295	12/24/2014	MOTION
296	12/30/2014	NOTICE OF UNAVAILABILITY
297	01/05/2015	NOTICE OF HEARING
298	01/07/2015	NOTICE OF HEARING
299	01/07/2015	NOTICE OF UNAVAILABILITY
300	01/07/2015	NOTICE OF HEARING
301	01/08/2015	NOTICE OF HEARING
302	01/08/2015	ORDER
303	01/15/2015	NOTICE OF HEARING
304	01/15/2015	MOTION
305	01/15/2015	NOTICE OF APPEARANCE
306	01/15/2015	NOTICE OF HEARING
307	01/22/2015	MOTION
308	01/22/2015	NOTICE OF HEARING
309	01/26/2015	CORRESPONDENCE
310	01/26/2015	MEMORANDUM

311	01/27/2015	NOTICE OF EMAIL DESIGNATION
312	01/28/2015	MOTION TO STRIKE
313	01/29/2015	ORDER
314	02/10/2015	PETITION FOR ORDER
315	02/11/2015	NOTICE OF UNAVAILABILITY
316	02/13/2015	NOTICE OF HEARING
317	02/18/2015	PETITION FOR DISCHARGE
318	02/19/2015	NOTICE OF HEARING
319	02/23/2015	NOTICE OF HEARING
320	02/23/2015	NOTICE OF HEARING
321	02/23/2015	MOTION
322	02/25/2015	RE-NOTICE
323	02/27/2015	MOTION
324	03/03/2015	RE-NOTICE OF HEARING
325	03/04/2015	RE-NOTICE OF HEARING
326	03/04/2015	NOTICE OF CANCELLATION
327	03/05/2015	RE-NOTICE OF HEARING
328	03/18/2015	ORDER
329	03/18/2015	ORDER
330	03/18/2015	RESPONSE TO:

331	03/24/2015	NOTICE OF HEARING
332	03/24/2015	PETITION
333	03/24/2015	NOTICE OF UNAVAILABILITY
334	03/25/2015	NOTICE OF UNAVAILABILITY
335	03/25/2015	ORDER
336	03/30/2015	ORDER
337	04/24/2015	OBJECTION TO CLAIM
338	04/24/2015	OBJECTION TO CLAIM
339	04/24/2015	OBJECTION TO CLAIM
340	04/24/2015	OBJECTION TO CLAIM
341	04/24/2015	OBJECTION TO CLAIM
342	04/28/2015	PROOF OF SERVICE
343	04/28/2015	OBJECTION TO CLAIM
344	04/28/2015	OBJECTION TO CLAIM
345	05/04/2015	NOTICE OF HEARING
346	05/04/2015	PETITION
347	05/04/2015	PETITION
348	05/05/2015	PETITION
349	05/05/2015	NOTICE OF CONFIDENTIAL FILING
350	05/06/2015	NOTICE OF CANCELLATION

351	05/08/2015	PETITION FOR ATTORNEY'S FEES
352	05/11/2015	NOTICE OF HEARING
353	05/12/2015	RE-NOTICE
354	05/14/2015	ORDER
355	05/15/2015	RE-NOTICE OF HEARING
356	05/19/2015	NOTICE OF REASSIGNMENT
357	05/19/2015	ORDER OF RECUSAL
358	05/20/2015	NOTICE OF HEARING
359	05/21/2015	DEMAND FOR:
360	05/21/2015	MOTION
361	05/27/2015	NOTICE OF HEARING
362	06/01/2015	NOTICE OF HEARING
363	06/08/2015	ORDER OF RECUSAL/REASSIGNMENT
364	06/09/2015	NOTICE OF CANCELLATION
365	06/10/2015	NOTICE OF REASSIGNMENT
366	06/10/2015	NOTICE OF CONFIDENTIAL FILING
367	06/10/2015	NOTICE OF INTENT
368	06/11/2015	EXHIBIT LIST
369	06/11/2015	NOTICE OF CONFIDENTIAL FILING
370	06/11/2015	NOTICE

371	06/12/2015	NOTICE OF UNAVAILABILITY
372	06/18/2015	NOTICE OF UNAVAILABILITY
373	06/26/2015	NOTICE OF CANCELLATION
374	06/26/2015	NOTICE OF HEARING
375	06/26/2015	OBJECTION
376	06/26/2015	NOTICE OF HEARING
377	07/07/2015	NOTICE OF HEARING
378	07/09/2015	NOTICE OF UNAVAILABILITY
379	07/14/2015	NOTICE OF UNAVAILABILITY
380	07/20/2015	NOTICE OF CONFIDENTIAL FILING
381	07/20/2015	PETITION
382	07/24/2015	RE-NOTICE OF HEARING
383	07/24/2015	PETITION FOR ORDER
384	07/28/2015	PETITION FOR ATTORNEY'S FEES
385	07/28/2015	PETITION
386	08/03/2015	NOTICE OF HEARING
387	08/14/2015	RE-NOTICE OF HEARING
388	08/14/2015	NOTICE OF CANCELLATION
389	09/01/2015	NOTICE OF UNAVAILABILITY
390	09/02/2015	OBJECTION

391	09/02/2015	NOTICE OF HEARING
392	09/11/2015	SEE DOCUMENT DESCRIPTION
393	09/14/2015	STATUS REPORT
394	09/14/2015	PETITION FOR ATTORNEY'S FEES
395	09/28/2015	STATEMENT OF CLAIM
396	09/30/2015	OBJECTION
397	09/30/2015	OBJECTION
398	10/08/2015	NOTICE OF EMAIL DESIGNATION
399	10/16/2015	NOTICE OF CANCELLATION
400	10/28/2015	MOTION TO STRIKE
401	11/16/2015	NOTICE OF UNAVAILABILITY
402	11/24/2015	NOTICE OF UNAVAILABILITY
403	12/02/2015	PETITION
404	12/04/2015	NOTICE OF HEARING
405	12/04/2015	PETITION
406	12/09/2015	NOTICE OF CANCELLATION
407	12/23/2015	PETITION FOR ATTORNEY'S FEES
408	12/23/2015	NOTICE OF UNAVAILABILITY
409	12/28/2015	EMERGENCY MOTION
410	12/28/2015	EMERGENCY MOTION

411	12/28/2015	PETITION FOR ATTORNEY'S FEES
412	01/04/2016	ORDER DENYING
413	01/14/2016	MOTION
419	01/19/2016	NOTICE OF HEARING
420	01/20/2016	NOTICE OF HEARING
421	01/20/2016	NOTICE OF HEARING
422	01/20/2016	PETITION FOR ATTORNEY'S FEES
423	01/20/2016	NOTICE OF CANCELLATION
424	01/29/2016	PETITION
425	02/03/2016	PETITION
426	02/03/2016	NOTICE OF TAKING DEPOSITION
427	02/04/2016	RE-NOTICE OF TAKING DEPOSITION
428	02/09/2016	NOTICE OF HEARING
429	02/10/2016	PETITION
430	02/11/2016	NOTICE OF TAKING DEPOSITION
431	02/12/2016	NOTICE OF CANCELLATION
432	02/18/2016	NOTICE OF HEARING
433	02/24/2016	NOTICE OF CANCELLATION
434	03/03/2016	ORDER GRANTING PETITION FOR AUTHORIZATION FOR PAYMENT OF JEWELRY APPRAISALS - SIGNED 3/03/16 JUDGE PHILLIPS

435	03/08/2016	ORDER ON PETITION TO HAVE THE ESTATE OF SIMON L. BERNSTEIN DECLARED THE BENEFICIARY OF THE J.P. MORGAN CHASE BANK. N.A. IRA ACCOUNT(S) - SIGNED 3/07/16 JUDGE PHILLIPS
436	03/08/2016	ORDER ON PETITION FOR ORDER AUTHORIZING PAYMENT OF ATTORNEY'S FEES AND EXPENSES FOR THE PERSONAL REPRESENTATIVE OF THE ESTATE OF SIMON L. BERNSTEIN FOR JULY 1, 2015 THROUGH AUGUST 31, 2015 - SIGNED 3/07/16 JUDGE PHILLIPS
437	03/08/2016	MOTION (SUCCESSOR TRUSTEES) FOR APPOINTMENT OF A GUARDIAN AD LITEM TO REPRESENT THE INTERESTS OF ELIOT BERNSTEINS CHILDREN F/B TED S BERNSTEIN
438	03/08/2016	ORDER ON PETITION FOR ORDER AUTHORIZING PAYMENT OF ATTORNEY'S FEES AND EXPENSES FOR THE PERSONAL REPRESENTATIVE OF THE ESTATE OF SIMON L. BERNSTEIN FOR NOVEMBER 1, 2015 THROUGH NOVEMBER 30, 2015 - SIGNED 3/07/16 JUDGE PHILLIPS
439	03/08/2016	NOTICE OF HEARING
440	03/08/2016	ORDER ON PETITION FOR ORDER AUTHORIZING PAYMENT OF ATTORNEY'S FEES AND EXPENSES FOR THE PERSONAL REPRESENTATIVE OF THE ESTATE OF SIMON L. BERNSTEIN FOR SEPTEMBER 1, 2015 THROUGH OCTOBER 31, 2015 - SIGNED 3/07/16 JUDGE PHILLIPS
441	03/31/2016	PETITION
442	04/04/2016	SATISFACTION/RELEASE OF CLAIM
443	04/08/2016	ORDER APPOINTING GDN AD LITEM
444	04/11/2016	CERTIFICATE OF SERVICE
445	04/13/2016	ORDER ON ORE TENUS MOTION FOR MEDIATION - SIGNED 4/13/16 JUDGE PHILLIPS
446	04/14/2016	NOTICE OF FILING
447	05/03/2016	NOTICE OF UNAVAILABILITY
448	05/04/2016	MOTION OF CREDITOR FOR DISCHARGE FROM FURTHER RESPONSIBILITY FOR THE FUNDING OF THE ESTATE'S PARTICIPATION IN THE CHICAGO LIFE INSURANCE LITIGATION AND FOR ASSUMPTION OF RESPONSIBILITY BY THE ESTATE AND FOR REIMBURSEMENT OF ADVANCED FUNDS - F/B WILLIAM STANSBURY

449	05/10/2016	NOTICE OF UNAVAILABILITY
450	05/19/2016	NOTICE OF HEARING
451	05/20/2016	NOTICE OF CANCELLATION
452	05/25/2016	NOTICE OF WITHDRAWAL OF PET. FOR AUTHORIZATION FOR THE PAYMENT OF 2014 DELINQUENT PROPERTY TAXES F/B ATTY .FOGLIETTA OBO BRIAN M. O'CONNELL, SUCCESSOR P.R. E-FILED
453	05/26/2016	NOTICE OF HEARING
454	05/26/2016	ORDER ON PARTIES REQUEST FOR ESTENSION TO MEDIATE SIGNED JOHN L PHILLIPS 05-26-16
455	06/22/2016	NOTICE OF MEDIATION
456	07/18/2016	NOTICE OF UNAVAILABILITY
457	07/22/2016	PETITION FOR ATTORNEY'S FEES
458	07/22/2016	PETITION FOR ATTORNEY'S FEES
459	07/22/2016	PETITION
460	07/22/2016	PETITION FOR ATTORNEY'S FEES
461	07/22/2016	PETITION
462	07/25/2016	NOTICE OF CANCELLATION
463	07/27/2016	MOTION TO SCHEDULE MOTION CALENDAR HEARING F/B ATTY FEAMAN
464	07/27/2016	NOTICE OF HEARING
465	07/28/2016	NOTICE OF CANCELLATION
466	07/28/2016	NOTICE OF HEARING
467	07/28/2016	RE-NOTICE OF HEARING

468	08/02/2016	PETITION FOR ATTORNEY'S FEES
469	08/02/2016	PETITION FOR ATTORNEY'S FEES
470	08/03/2016	NOTICE OF HEARING
471	08/05/2016	MOTION TRUSTEE'S MOTION TO APPROVE RETENTION OF COUNSEL AND TO APPOINT TED S BERNSTEIN AS ADMINISTRATOR AD LITEM TO DEFEND CLAIM AGAINST ESTATE BY WILLIAM STANSBURY F/B ATTY ROSE
472	08/10/2016	MOTION TO APPROVE AGREEMENT BETWEEN TED S. BERNSTEIN, TRUSTEE OF THE SHIRLEY BERNSTEIN TRUST & BRIAN O'CONNELL, AS P.R. OF THE ESTATE, REGARDING THE ESTATE'S PERSONAL PROPERTY SOLD WITH TRUST'S REAL ESTATE F/B TED S. BERNSTEIN E-FILED
473	08/10/2016	MOTION TO RATIFY AND CONFIRM APPOINTMENT OF TED S. BERNSTEIN AS SUCCESSOR TRUSTEE OF TRUST WHICH IS SOLE BENFICIARY OF THE ESTATE F/B TED S. BERNSTEIN E-FILED
474	08/16/2016	NOTICE OF CANCELLATION
475	08/22/2016	OBJECTION
476	08/23/2016	RE-NOTICE OF HEARING
477	08/23/2016	NOTICE OF HEARING
478	08/23/2016	MOTION IN OPPOSITION TO P/R'S (1) PETITION FOR AUTHORIZATION TO SELL ESTATE JEWELRY AND (2) STATUS CONFERENCE ON PEITITON FOR AUTHORIZATION TO MOVER, STORE AND SELL TPP - F/B ELIOT BERNSTEIN
479	08/24/2016	ORDER ON PET FOR AUTHORIZATION AND RATIFICATION FOR THE PAYMENT OF THE MOVING & STORAGE OF, AND FOR AUTHORIZATION TO SELL, THE TANGIBLE PERSONAL PROPERTY PREVIOUSLY LOCATED AT 7020 LIONS HEAD LANE, BOCA RATON, FL JDG J. PHILLIPS 08/24/16 E-FILED
480	09/01/2016	ORDER ON SUCCESSOR TRUSTEE'S MOT. TO APPROVE AGREEMENT BETWEEN TED BERNSTEIN, TRUSTEE OF THE SHIRLEY BERNSTEIN TRUST, AND BRIAN O'CONNELL, AS PR OF THE ESTATE THE SHIRLEY TRUST WILL PAY TH EP.R. OF SIMON'S ESTATE \$12,457 FOR THE OLD PERSONAL PROPERTY AND THERE WILL BE NO FURTHER OR OUTSTANDING OBLIGATIONS BETWEEN THOSE PARTIES JDG J. PHILLIPS 09/01/16 E-FILED

482	09/02/2016	ORDER ON PETITION FOR ORDER AUTHORIZING PAYMENT OF ATTORNEYS FEES AND EXPENSES FOR THE PR OF THE ESTATE OF SIMON L BERNSTEIN FOR SEPT. 1, 2014 THROUGH SEPT. 30, 2014 SIGNED BY JUDGE J L PHILLIPS ON SEPT. 2, 2016 EFILED
483	09/02/2016	ORDER ORDER ON PETITION FOR ORDER AUTHORIZING PAYMENT OF ATTORNEYS FEES AND EXPENSES FOR TH PR OF THE ESTATE OF SIMON L BERNSTEIN FOR NOV 1, 2014 THRU DEC. 31, 2014 SIGNED BY JUDGE J PHILLIPS ON SEPT. 2, 2016 EFILED
484	09/02/2016	ORDER ON PETITION FOR ORDER AUTHORIZING PAYMENT OF ATTORNEYS FEES AND EXPENSES FOR THE PR OF THE ESTATE OF SIMON L BERNSTEIN FOR JAN 1, 2015 THRU MARCH 23, 2015 SIGNED BY JUDGE J L PHILLIPS ON SEPT. 2, 2016 EFILED
485	09/02/2016	ORDER ORDER ON PETITION FOR ORDER AUTHORIZING PAYMENT OF ATTORNEYS FEES AND EXPENSES FOR THE PR OF THE ESTATE OF SIMON L BERNSTEIN FOR APRIL 25, 2015 THUR MAY 24, 2015 SIGNED BY JUDGE J PHILLIPS ON SEPT. 2, 2016 EFILED
486	09/02/2016	ORDER ON PETITION FOR ORDER AUTHORIZING PAYMENT OF ATTORNEY'S FEES & EXPENSES FOR THE P.R. OF THE E/O SIMON L. BERNSTEIN FOR 05/26/15 THROUGH 06/30/15 JDG J. PHILLIPS 09/02/16 E-FILED`
487	09/02/2016	ORDER ON PET. FOR ORDER AUTHORIZING PAYMENT OF ATTORNEY'S FEES & EXPENSES FOR THE P.R. OF THE E/O SIMON L. BERNSTEIN FOR 02/01/16 THROUGH 05/31/16 JDG J. PHILLIPS 09/02/16 E-FILED
488	09/02/2016	ORDER ON PET. FOR ORDER AUTHORIZING PAYMENT OF ATTORNEY'S FEES & EXPENSES FOR THE P.R. OF THE E/O SIMON L. BERNSTIN FOR 12/01/15 THROUGH 12/31/15 JDG J. PHILLIPS 09/02/16 E-FILED
489	09/02/2016	ORDER ON PET. FOR ORDER AUTHORIZING PAYMENT OF ATTORNEY'S FEES & EXPENSES FOR THE P.R. OF THE E/O SIMON L. BERNSTIN FOR 01/04/16 THROUGH 01/29/16 JDG J. PHILLIPS 09/02/16 E-FILED
490	09/02/2016	ORDER ON PET. FOR ORDER AUTHORIZING PAYMENT OF ATTORNEY'S FEES & EXPENSES FOR THE P.R. OF THE E/O SIMON L. BERNSTEIN FOR 03/24/15 THROUGH 04/24/15 JDG J. PHILLIPS 09/02/16
491	09/02/2016	ORDER ON PETITION FOR ORDER AUTHORIZING PAYMENT OF ATTORNEY'S FEES & EXPENSES FOR THE P.R. OF THE E/O SIMON L. BERNSTEIN FOR 06/01/16 THROUGH 06/30/16 JDG J. PHILLIPS 09/02/16 E-FILED
492	09/12/2016	MOTION FOR REHEARING
493	09/14/2016	ORDER DENYING MOTION FOR REHEARING OR RECONSIDERATION JDG J. PHILLIPS 09/14/16

494	09/21/2016	MEDIATION REPORT
495	09/23/2016	REPLY/RESPONSE
496	09/29/2016	ORDER APPROVING RETENTION OF COUNSEL AND DEFERRING RULING ON APPOINTMENT OF TED S. BERNSTEIN AS ADMINISTRATOR AD LITEM TO DEFEND CLAIM AGAINST ESTATE BY WILLIAM STANSBURY - SIGNED 9/26/16 JUDGE PHILLIPS
497	10/07/2016	MOTION TO VACATE IN PART THE COURT'S RULING ON 09/07/16, AND/OR SUBSEQUENT ORDER, PERMITTING THE ESTATE OF SIMON BERNSTEIN TO RETAIN ALAN ROSE AND PAGE, MRACHEK, FITZGERALD, ROSE, KONOPKA, THOMAS & WEISS, P.A. AS LEGAL COUNSEL AND MOTION FOR EVIDENTIARY HRG TO DETERMINE WHETHER ROSE AND PAGE, MRACHEK ARE DISQUALIFIED FROM REPRESENTING THE ESTATE DUE TO AN INHERENT CONFLICT OF INTEREST F/B WILLIAM STANSBURY
498	11/09/2016	MOTION TO APPROVE COMPROMISE AND SETTLEMENT, APPOINT A TRUSTEE FOR THE TRUSTS CREATED FOR D.B., JA.B. & JO.B AND DETERMINE COMPENSATION FOR GRD AD LITEM F/B TED S. BERNSTEIN
499	11/09/2016	NOTICE OF HEARING
500	11/15/2016	OBJECTION
501	11/15/2016	MOTION TO VACATE IN PART THE COURT'S RULING ON 09/07/16, AND/OR SUBSEQUENT ORDER, PERMITTING THE ESTATE OF SIMON BERNSTEIN TO RETAIN ALAN ROSE AND PAGE, MRACHEK, FITZGERALD, ROSE, KONOPKA, THOMAS & WEISS, P.A. AS LEGAL COUNSEL AND MOTION FOR EVIDENTIARY HRG TO DETERMINE WHETHER ROSE AND PAGE, MRACHEK ARE DISQUALIFIED FROM REPRESENTING THE ESTATE DUE TO AN INHERENT CONFLICT OF INTEREST - F/B WILLIAM STANSBURY
502	11/15/2016	NOTICE OF HEARING
503	11/16/2016	NOTICE OF UNAVAILABILITY
504	11/21/2016	NOTICE OF TAKING DEPOSITION
505	11/21/2016	MOTION IN OPPOSITION TO PLAINTIFF'S MOTION TO (I) APPROVE COMPROMISE AND SETTLEMENT, (II) APPOINT A TRUSTEE FOR THE TRUSTS CREATED FOR D.B, JA.B. AND JO.B, AND (III) DETERMINE COMPENSATION FOR GUARDIAN AD LITEM (2) CASE MANAGEMENT CONFERENCE - F/B ELLIOT BERNSTEIN

506	11/22/2016	NOTICE OF HEARING
507	11/28/2016	RESPONSE TO: OMNIBUS RESPONSE IN OPPOSITION TO STANSBURYS MOTION TO VACATE IN PART ORDER PERMITTING RETENTION OF MRACHEK AND REPLY IN SUPPORT OF MOTION TO APPOINT TED BERNSTEIN AS ADMINISTRATOR AD LITEM AND MOTION TO RATIFY AND CONFIRM APPOINTMENT OF SUCCESSOR TRUSTEE F/B TED S BERNSTEIN
508	11/28/2016	MOTION MOTION TO DISQUALIFY ALAN ROSE AND PAGE, MRACHEK, FITZGERALD, ROSE, KONPKA, THOMAS & WEISS, AS LEGAL COUNSEL FOR THE ESTATE OF SIMON BERNSTEIN DUE TO INHERENT CONFLICT OF INTEREST F/B WILLIAM STANSBURY
509	11/28/2016	MOTION NOV 29, 2016 HEARING STATUS CONFERENCE LISTINGS OF OPEN ISSUES AND PENDING FILINGS F/B ELIOT BERNSTEIN
510	11/28/2016	NOTICE OF FILING
511	12/13/2016	ORDER ON CASE MANAGEMENT CONFERENCE AND ORDER SPECIALLY SETTING HEARINGS SIGNED BY JUDGE R SCHER ON DEC. 13, 2016
512	12/16/2016	ACCOUNTING
513	12/28/2016	NOTICE OF FILING
514	01/12/2017	NOTICE OF PRODUCTION NON PARTY
515	01/17/2017	OBJECTION
516	01/17/2017	NOTICE OF HEARING
517	01/23/2017	NOTICE OF PRODUCTION NON PARTY
518	01/27/2017	OBJECTION
519	01/27/2017	OBJECTION
520	01/27/2017	OBJECTION
521	01/31/2017	REPLY/RESPONSE

522	01/31/2017	NOTICE OF HEARING
523	01/31/2017	MOTION TO SET HRG. ON TRUSTEE'S OBJECTIONS TO NOTICE OF PRODUCTION FROM NON-PARTY F/B WILLIAM STANSBURY
524	01/31/2017	MOTION AMENDED MOTION TO SET HRG. ON TRUSTEE'S OBJECTIONS TO NOTICE OF PRODUCTION FROM NONPARTY F/B WILLIAM STANSBURY
525	02/01/2017	NOTICE OF HEARING
526	02/02/2017	MOTION
527	02/07/2017	NOTICE OF FILING
528	02/08/2017	ORDER ON THE AMENDED MOTION TO SET HEARING ON TRUSTEES OBJECTIONS TO NOTICE OF PRODUCTION OF NON PARTY DEFERRED SIGNED BY JUDGE R SCHER ON FEB 7, 2017 EFILED
529	02/09/2017	NOTICE OF FILING
530	02/09/2017	NOTICE OF FILING
531	02/09/2017	NOTICE OF FILING
532	02/14/2017	REQUEST
533	02/15/2017	REQUEST
534	02/15/2017	MOTION TO APPROVE MEDIATION SETTLEMENT AGREEMENT WITH TESCHER & SPALLINA, P.A. F/B TED S. BERNSTEIN, SUCCESSOR TRUSTEE
535	02/16/2017	NOTICE OF FILING SEPT. 01, 2016 TRANSCRIPT OF HEARING BEFORE JUDGE J PHILLIPS IN THE SIMON BERNSTEIN ESTATE CASE, SHIRLEY BERNSTEIN ESTATE CASE, SHIRLEY BERNSTEIN TRUST CASE, SIMON BERNSTEIN TRUST CASE F/B ELIOT IVAN BERNSTEIN
536	02/16/2017	NOTICE OF FILING
537	02/16/2017	NOTICE OF FILING

538	02/16/2017	NOTICE OF FILING
539	02/16/2017	NOTICE OF FILING
540	02/16/2017	NOTICE OF FILING
541	02/16/2017	MOTION TO HAVE VIDEOTAPED RECORDINGS OF ALL PROCEEDINGS DUE TO PROVEN AND ADMITTED FRAUD ON THE COURT BY COURT APPOINTED OFFICERS AND FIDUCIARIES AND MORE, ON THE COURT'S OWN MOTION & EXPENSE F/B ELIOT IVAN BERNSTEIN
542	02/16/2017	MOTION UNDER FLORIDA RULES OF CIVIL PROCEDURE 1.540(B)(3) AND 1.540(B)(4) TO VACATE-AMENDED-MODIFY IN PART THE CASE MANAGEMENT CONFERENCE ORDER OF 12/13/16 BASED UPON NEWLY DISCOVERED EVIDENCE, DISCOVERED ON 02/09/17 INVOLVING ADMISSIONS-STATEMENTS OF PR FIDUCIARY BRIAN O'CONNELL, ALSO AN OFFICER OF THE COURT, PROVING ONGOING FRAUD UPON THE COURT IN GENERAL AND UPON THIS VERY COURT OF JUDGE SCHER OF THE NORTHERN BRANCH OF PALM BEACH COUNTY BY ATTORNEY ALAN ROSE WAND WITH SUCH CASE MANAGEMENT ORDER ISSUED UPON FRAUD UPON THE COURT W/O CONSIDERATION OF THE SCHEDULE AND MOTION SUBMITTED BY ESTATE BENEFICIARY ELIOT I. BERNSTIEN B) ESTABLISH THE ORDERLY STRUCTURE FOR EVIDENTIARY HRGS INDLUCING DISCOVERY AND DEPOSITIONS, WITNESS LISTS, EXHIBITS & PROPER TIME ALLOTED FOR THE EVIDENTIARY HEARINGS; C) IN OPPOSITION TO THE MOTIONS BY TRUSTEE TED BERNSTEIN, ATTORNEY ALAN ROSE & PR O'CONNELL TO RETAIN ALAN ROSE & THE ROSE LAW FIRM TO REPRESENT THE ESTATE IN ANY CAPACITY & IN OPPOSITIONS TO APPT OF
543	02/16/2017	NOTICE OF FILING
544	02/16/2017	EVIDENCE/EXHIBIT LIST FILED
559	02/16/2017	NOTICE SUBMISSION OF LIST OF PLEADINGS AND MOTIONS RELEVANT TO HEARINGS SCHEDULED BY DEC 13 2016 JUDGE SCHER CASE MANAGEMENT ORDER SUBMITTED BY ELIOT BERNSTEIN AS A BENEFICIARY OF THE ESTATE OF SIMON L BERNSTEIN AND AN INTERESTED PERSON WITH STANDING
546	02/21/2017	MOTION TO STRIKE
547	02/23/2017	EXHIBIT
548	02/23/2017	EXHIBIT

549	02/23/2017	NOTICE OF FILING
550	02/28/2017	MOTION URGENT MOTION TO RESCHEDULE MARCH 02, 2017 CONTINUATION HEARING AND EXTENSION OF TIME F/B ELLIOT BERNSTEIN
551	03/01/2017	RESPONSE TO: TRUSTEE'S RESPONSE TO ELIOT BERNSTEIN'S URGENT MOTION TO RESCHEDULE MARCH 2, 2017 CONTINUATION OF HEARING F/B ATTY ROSE
552	03/01/2017	CONSENT
553	03/01/2017	ORDER DENYING ELIOT L BERNSTEIN'S URGENT MOTION TO RESCHEDULE MARCH 2, 2017 CONTINUATION HEARING AND EXTENSION OF TIME DTD MARCH 1, 2017 JUDGE SCHER
554	03/01/2017	NOTICE OF HEARING
555	03/01/2017	NOTICE OF HEARING
556	03/01/2017	NOTICE OF FILING
557	03/02/2017	NOTICE OF FILING
558	03/03/2017	ORDER SETTING 03/16/17 HRG. FROM 2:00 TO 4:00 AND ESTABLISHING PROCEDURE JDG R. SCHER 03/03/17
560	03/07/2017	NOTICE OF FILING
561	03/08/2017	EXHIBIT
563	03/08/2017	EXHIBIT
564	03/08/2017	EXHIBIT
565	03/08/2017	EXHIBIT
567	03/08/2017	EXHIBIT
568	03/08/2017	EXHIBIT
569	03/08/2017	EXHIBIT

571	03/08/2017	EXHIBIT
572	03/08/2017	EXHIBIT
573	03/08/2017	EXHIBIT
574	03/08/2017	EXHIBIT
575	03/08/2017	EXHIBIT
576	03/08/2017	EXHIBIT
577	03/08/2017	EXHIBIT
578	03/08/2017	EXHIBIT
579	03/08/2017	EXHIBIT
580	03/08/2017	EXHIBIT
581	03/08/2017	EXHIBIT
582	03/08/2017	EXHIBIT
583	03/08/2017	EXHIBIT
593	03/08/2017	EXHIBIT
594	03/08/2017	EXHIBIT
595	03/08/2017	EXHIBIT
584	03/09/2017	NOTICE OF FILING
585	03/09/2017	NOTICE OF FILING
586	03/09/2017	NOTICE OF FILING
587	03/10/2017	NOTICE OF TAKING DEPOSITION

588	03/10/2017	SUBPOENA RETURNED / SERVED
589	03/10/2017	NOTICE OF FILING
590	03/10/2017	MOTION TO ACCEPT LESS THAN ONE DAY LATE FILING AND BRIEFLY EXCEED PAGE LIMITS IN ORDER BY 4 PAGES; ELITO I BERNSTEIN AS BENEFICIARY AND INTERESTED PERSON WITH STANDING CLOSING ARGUMENTS ON INITIAL HEARINGS
591	03/10/2017	EXHIBIT
592	03/10/2017	MOTION FOR PROTECTIVE ORDER AND OBJECTION TO NOTICE OF TAKING DEPO DUCES TECUM OF BRIAN O CONNELL F/B BRIAN M OCONNELL
596	03/13/2017	NOTICE OF FILING
597	03/13/2017	SUBPOENA ISSUED
598	03/16/2017	NOTICE OF ADDITIONAL CASE LAW AUTHORITY F/B WILLIAM STANSBURY
599	03/16/2017	EXHIBIT
600	03/16/2017	PETITION
601	03/16/2017	NOTICE OF HEARING
602	03/20/2017	RE-NOTICE OF HEARING
603	03/21/2017	NOTICE OF UNAVAILABILITY
604	04/04/2017	ORDER DENYING MOTION TO HAVE VIDEOTAPED RECORDINGS OF ALL PROCEEDINGS DUE TO PROVEN AND ADMITTED FRAUD ON THE COURT BY COURT APPOINTED OFFICERS AND FIDUCIARIES AND MORE ON THE COURTS OWN MOTION AND EXPENSE DENIED SIGNED BY JUDGE R SCHER ON APRIL 3, 2017 EFILED
605	04/07/2017	ORDER SETTING HEARING
606	04/11/2017	AMENDED ORDER
607	04/14/2017	REQUEST

608	04/19/2017	EXHIBIT LIST
609	04/20/2017	NOTICE OF HEARING
610	04/20/2017	NOTICE OF UNAVAILABILITY
611	04/27/2017	ORDER DENYING ORDER DENYING MOTION TO VACATE AND DENYING MOTION TO DISQUALIFY AND ORDER DENYING APPOINTMENT OF TED BERNSTEIN AS ADMINISTRATOR AD LITEM (SEE ORDER FOR RULINGS) SIGNED BY JUDGE R SCHER ON APRIL 27, 2017 EFILED
612	04/28/2017	MOTION TRUSTEES MOTION FOR ENTRY OF STANDING ORDER GOVERNING HEARINGS F/B TED S BERNSTEIN
613	05/01/2017	ORDER DENYING ORDER DENYING ELIOT BERNSTEIN, AS A BENEFICIARY OF THE ESTATE OF SIMON L. BERNSTEIN WITH STANDING AND AN INTERESTED PERSON UNDER LAW (D.E. #541) SIGNED JUDGE ROGER B COLTON SENIOR JUDGE 08-27-17
614	05/05/2017	MOTION FOR SUMMARY JUDGMENT
615	05/08/2017	NOTICE OF HEARING
616	05/09/2017	NOTICE OF HEARING
617	05/11/2017	REPLY/RESPONSE
618	05/13/2017	MOTION FOR EXTENSION OF TIME
619	05/15/2017	MOTION FOR EXTENSION OF TIME: REHEARING ON 04/28/17 ORDER F/B ELIOT BERNSTEIN, APPELLANT PRO SE
620	05/16/2017	NOTICE OF HEARING
621	05/18/2017	MOTION OBJECTION -OPPOSITION TO UMC HEARING ON "1. TED BERNSTEINS MOTION TO APPROVE COPROMISE AND SETTLEMENT;APPOINT A TRUSTEE AND DETERMINE COMPENSATION FOR GUARDIAN AD LITEM F/B ELIOT I BERNSTEIN

622	05/18/2017	NOTICE OF WITHDRAWAL WITHOUT PREJUDICE OF MOTION TO RATIFY AND CONFIRM APPOINTMENT OF TED S BERNSTEIN AS SUCCESSOR TRUSTEE OF TRUST WHICH IS SOLE BENEFICIARY OF THE ESTATE F/B TED S BERNSTEIN
623	05/18/2017	NOTICE OF CANCELLATION
624	05/18/2017	ORDER SETTING HEARING
625	05/18/2017	ORDER SETTING HEARING
626	05/19/2017	CORRESPONDENCE
627	05/23/2017	ORDER GOVERNING HEARINGS SIGNED BY JUDGE R SCHER ON MAY 23, 2017`
630	05/26/2017	NOTICE OF APPEAL CIVIL
631	05/26/2017	NOTICE OF FILING
633	05/26/2017	RESPONSE TO: TRUSTEES RESPONSE IN OPPOSITION TO STANSBURYS MOTION FOR DISCHARGE FROM FURTHER RESPONSIBILITY FOR THE FUNDING OF THE ESTATES PARTICIPATION IN THE CHICAGO LIFE INSURANCE LITIGATION F/B TED S BERNSTEIN (TRUSTEE)
634	05/26/2017	NOTICE OF FILING
635	05/26/2017	REQUEST FOR JUDICIAL NOTICE
636	05/26/2017	REQUEST FOR JUDICIAL NOTICE
628	05/27/2017	NOTICE OF APPEAL CIVIL BOOK 29119 PAGE 1711-1724
629	05/27/2017	NOTICE OF FILING
632	05/31/2017	INVOICE
637	06/01/2017	NOTICE OF FILING
638	06/01/2017	AUTOMATIC RECEIPT APPELLATE FILING

639	06/02/2017	ACKNOWLEDGMENT OF NEW CASE
640	06/02/2017	TRUE COPY
692	06/02/2017	ACKNOWLEDGMENT OF NEW CASE
642	06/05/2017	ORDER OF CONTINUANCE
643	06/05/2017	APPL AND AFF OF INDIGENCY
644	06/05/2017	RESPONDENT EVIDENCE # 1 CORRESPONDENCE TO TED BERNSTEIN FROM PETER FEAMAN - DTD 6/20/12
645	06/05/2017	RESPONDENT EVIDENCE #2 - MOTION TO INTERVENE - DTD 6/05/14
646	06/05/2017	RESPONDENT EVIDENCE #2 - DEPOSITION OF BRIAN O'CONNELL DTD 3/13/17
647	06/05/2017	RESPONDENT EVIDENCE #1 - PLT'S FIRST AMENDED COMPLAINT - DTD 1/13/14
648	06/05/2017	RESPONDENT EVIDENCE #2 - MOTION FOR CASE MANAGEMENT CONFERENCE - DTD 7/08/16
649	06/05/2017	RESPONDENT EVIDENCE #8 - EMAIL FROM JAMES STAMOS TO BRIAN O'CONNELL, PETER FEAMAN & WILLIAM STANSBURY - DTD 2/14/17
650	06/05/2017	RESPONDENT EVIDENCE #10 - OBJECTION TO ACCOUNTING OF SIMON BERSTEIN - DTD 9/30/15
651	06/05/2017	RESPONDENT EVIDENCE #9 - TRUSTEE'S OMNIBUS STATUS REPORT - DTD 9/14/15
652	06/05/2017	RESPONDENT EVIDENCE #7 - EMAIL FROM THEODORE KUYPER TO PETER FEAMAN - DTD 1/31/17
653	06/05/2017	RESPONDENT EVIDENCE #4 - ORDER DTD 7/28/14
654	06/05/2017	RESPONDENT EVIDENCE #5 - ANSWER TO INTERVENOR COMPLAINT - DTD 3/05/15
655	06/05/2017	RESPONDENT EVIDENCE #6 - DEPOSITION OF TED BERNSTEIN - DTD 5/06/15
656	06/05/2017	RESPONDENT EVIDENCE #3 - INTERVENOR COMPLAINT - DTD 6/05/14
657	06/05/2017	RESPONDENT EVIDENCE #1 - P/R'S STATEMENT

658	06/05/2017	NOTICE OF HEARING
659	06/05/2017	NOTICE OF HEARING
660	06/06/2017	APPL AND AFF OF INDIGENCY
661	06/07/2017	APPL AND AFF OF INDIGENCY
662	06/07/2017	NOTICE OF HEARING
663	06/12/2017	NOTICE OF HEARING
664	06/15/2017	ORDER ON STATUS CONFERENCE HELD ON JUNE 13, 2017 ON SUCCESSOR PERSONAL REPRESENTATIVE'S "TO BE FILED" PETITIONS (SEE ORDER DTD JUNE 15, 2017 JUDGE SCHER
665	06/15/2017	MOTION TO ACCEPT EXHIBIT BY ELIOT I BERNSTEIN AS BENEFICIARY AND INTERESTED PERSON WITH STANDING AS EXHIBIT FOR JUNE 15, 2017 STATUS CONFERENCE THAT WAS IMPROPERLY NOTICED TO PARTIES AND FUTURE UPCOMING HEARINGS EXHIBIT 7TH CIRCUIT COURT OF APPEALS MOTION F/B ELIOT BERNSTIN
666	06/15/2017	MOTION ESTATES SPECIAL LITIGATION COUNSELS MOTION TO ESTABLISH PROTOCOL FOR PAYMENT OF ATTYS FEES AND COSTS F/B ATTY ROSE
667	06/15/2017	NOTICE OF HEARING
668	06/16/2017	RE-NOTICE OF HEARING
669	06/19/2017	PETITION
670	06/19/2017	PETITION
671	06/19/2017	PETITION
672	06/22/2017	NOTICE OF INTENT
673	06/22/2017	REPLY/RESPONSE
674	06/28/2017	ORDER SETTING HEARING

675	06/28/2017	NOTICE OF CANCELLATION
676	06/28/2017	MOTION CLOSING STATEMENT IN STANSBURY FEE DISCHARGE & MOT. FOR COURT TO PERFORM MANDATORY OBLIGATIONS UNDER FRAUD UPON THE COURT, STAY, INJUNCTION, DISCOVERY COMPLIANCE, CONFLICT DETERMINATION & OTHER RELIEF F/B ELIOT BERNSTEIN
677	06/28/2017	REPLY/RESPONSE
678	07/11/2017	TRUE COPY
679	07/12/2017	MOTION (VERIFIED) FOR APPROVAL OF SETTLEMENT AGREEMENT ENTERED IN ILLINOIS FEDERAL ACTION F/B BRIAN M O'CONNELL
680	07/12/2017	REPLY/RESPONSE
681	07/13/2017	TRUE COPY
682	07/13/2017	NOTICE OF FILING
683	07/14/2017	APPL AND AFF OF INDIGENCY
685	07/17/2017	EXHIBIT LIST
684	07/18/2017	APPL AND AFF OF INDIGENCY
686	07/21/2017	NOTICE OF UNAVAILABILITY
687	07/24/2017	ORDER SETTING HEARING
688	07/24/2017	ORDER STRIKING ELIOT BERNSTEINS MOTION CLOSING STATEMENT IN STANSBURY FEE DISCHARGE AND MOTION FOR COURT TO PERFORM MANDATORY OBLIGATIONS UNDER FRAUD UPON THE COURT, STAY, INJUNCTION DISCOVERY COMPLIACE, CONFLICT DETERMINATION AND OTHER RELIEF AND ELIOT BERNSTEINS ESTATE BENEFICIARY WITH STANDING AND INTERESTED PERSON ELIOT I BERNSTEINS OPPOSITION AND RESPONSE TO TRUSTEES MOTION FOR STAY OF FURTHER PROCEEDINGS UNTIL DISCOVERY COMPLIANCE, DEPOSITIONS AND CONFLICTS OF INTEREST DETERMINED (STRIKEN) SIGNED BY JUDGE R SCHER ON JULY 24, 2017
689	07/26/2017	AUTOMATIC RECEIPT APPELLATE FILING

690	07/27/2017	MOTION FOR LEAVE TO FILE MOTION TO HOLD ELIOT BERNSTEIN IN CONTEMPT OF COURT AND FOR SANCTIONS F/B TED S. BERNSTEIN , AS SUCCESSOR TRUSTEE OF THE SIMON BERNSTEIN TRUST
691	07/28/2017	TRUE COPY
693	07/28/2017	PETITIONER EVIDENCE #2 COPY OF AMENDED ORDER APPOINTING ADMIN AD LITEM DTD 6/12/14 JUDGE COLIN
694	07/28/2017	PETITIONER EVIDENCE # 5 SUCCESSOR P/R PETITION FOR AUTHORIZATION TO ENTER INTO CONTINGENCY AGREEMENT WITH ILLINOIS COUNSEL IN PENDING LIFE INSURANCE LITIGATION DTD 12/02/15
695	07/28/2017	PETITIONER EVIDENCE # 3 COPY OF MOTION TO INTERVENE FROM DISTRICT COURT OF ILLINOIS - DTD 6/05/14
696	07/28/2017	PETITIONER EVIDENCE #1 COPY OF ORDER SIGNED 5/23/14 JUDGE COLIN
697	07/28/2017	PETITIONER EVIDENCE # 4 ORDER FROM DISTRICT COURT OF ILLINOIS - DTD 7/28/14
698	07/28/2017	RESPONDENT EVIDENCE # 1 CHANGE OF BENEFICARY FORM DTD 11/10/95
699	07/28/2017	PETITIONER EVIDENCE # 9 SETTLEMENT AGREEMENT DTD 7/05/17
700	07/28/2017	PETITIONER EVIDENCE # 7 INVENTORY BY BRIAN O'CONNELL AS SUCCESSOR P/R DTD 12/01/14
701	07/28/2017	PETITIONER EVIDENCE # 6 SUCCESSOR P/R AMENDED PETITION FOR AUTHORIZATION TO ENTER INTO CONTINGENCY AGREEMENT WITH ILLINOIS COUNSEL IN PENDING LIFE INSURANCE LITIGATION DTD 12/04/15
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703	07/28/2017	RESPONDENT EVIDENCE # 2 COMPOSITE: BINDER W/TRANSCRIPT FROM 5/23/14 & 6/02/17
704	08/04/2017	MOTION TO SPECIALLY SEQUENCE HEARINGS F/B WILLIAM STANSBURY
705	08/08/2017	MOTION AMENDED MOTION TO SPECIALLY SEQUENCE HEARINGS F/B WILLIAM STANSBURY
706	08/08/2017	NOTICE OF HEARING

707	08/08/2017	MOTION TO CONTINUE HEARING SCHEDULED FOR OCTOBER 27, 2017 F/B MOVANT, TED S BERNSTEIN, AS SUCCESSOR TRUSTEE OF THE SIMON BERNSTEIN TRUST
708	08/08/2017	NOTICE TRUSTEES RESPONSE IN OPPOSITION TO STANBURY'S AMENDED MOTION TO SPECIALLY SEQUENCE HEARINGS, INCLUDING REQUEST TO EXPEDITE APPROVAL HEARING, AND REQUEST TO STRIKE STANSBURY'S IMPROPER MOTION AND ANY HEARING ON DE 533 F/B TED S BERNSTEIN
710	08/09/2017	NOTICE OF FILING
709	08/11/2017	NOTICE OF HEARING
711	08/15/2017	INVOICE
712	08/15/2017	INDEX TO RECORD ON APPEAL
713	08/22/2017	ORDER ON MOTION OF CREDITOR WILLIAM E STANSBURY FOR DISCHARGE FROM FURTHER RESPONSIBILITY FOR THE FUNDING OF THE ESTATE'S PARTICIPATION IN THE CHICAGO LIFE INSURANCE LITIGATION AND FOR ASSUMPTION OF RESPONSIBILITY BY THE ESTATE AND FOR REIMBURSEMENT OF ADVANCED FEES AND COSTS - SIGNED 8/22/17 JUDGE SCHER
714	09/22/2017	ORDER RESETTING
715	09/22/2017	ORDER DENYING WILLIAM E STANSBURY'S CORRECTED REQUEST FOR PRIORITY HEARING SETTING ON MOTION TO RATIFY AND CONFIRM APPT OF TED S BERNSTEIN AS SUCCESSOR TRUSTEE AND REQUEST FOR COURT INTERVENTION UNDER FL STAT 736.0706(1) (CORRECTED CASE STYLE COUNTY/JUDGE'S DIVISION) DTD 9/22/17 S/B JUDGE SCHER
716	09/22/2017	ORDER DENYING WILLIAM E. STANSBURY'S AMENDED MOTION TO SPECIALLY SEQUENCE HEARINGS JDG R. SCHER 09/22/17
718	10/11/2017	MOTION FOR ORDER
719	10/11/2017	NOTICE OF HEARING
720	10/11/2017	NOTICE OF TAKING DEPOSITION
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721	10/17/2017	ORDER GRANTING SUCCESSOR P.R.'S MOT. FOR ORDER AUTHORIZING ESTATE'S WITNESS JAMES STAMOS, TO APPEAR AT EVIDENTIARY HRG. SCHEDULED FOR 10/19/17 ON SUCCESSOR P.R.'S VERIFIED MOTION FOR APPROVAL OF SETTLEMENT AGREEMENT ENTERED IN ILLINOIS FEDERAL ACTION JDG R. SCHER 10/17/17
722	10/19/2017	ORDER ON SUCCESSOR PERSONAL REPRESENTATIVES VERIFIED MOTION FOR APPROVAL OF SETTLEMENT AGREEMENT ENTERED IN ILLINOIS FEDERAL ACTION GRANTED SIGNED JUDGE ROSEMARIE SCHER
723	10/26/2017	EXHIBIT LIST
724	10/27/2017	MOTION TO DIRECT PAYMENTS FOR BENEFIT OF ELIOT'S CHILDREN TO COURT REGISTRY IN LIEU OF APPOINTING TRUSTEE; AND TO DETERMINE COMPENSATION FOR GUARDIAN AD LITEM AND DISCHARGE GUARDIAN F/B TED S BERNSTEIN
726	11/01/2017	TRUE COPY
725	11/02/2017	INVOICE





SHARON R. BOCK
Clerk & Comptroller
Palm Beach County

CASE NUMBER: 50-2011-CP-000653-XXXX-NB
CASE STYLE: TESCHER & SPALLINA, P.A. (& ALL PARTNERS, ASSOC.)

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4	02/10/2011	RECEIPT FOR PAYMENT
5	02/10/2011	PETITION FOR ADMINISTRATION
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18	10/24/2012	AFFIDAVIT/STMNT RE: CREDITORS
19	10/24/2012	PETITION FOR DISCHARGE
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26	10/24/2012	NON-TAX CERT/RCPT/AFFIDAVIT
27	10/24/2012	PROBATE CHECKLIST
28	11/06/2012	EXPARTE CLERKS MEMO
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141	05/26/2015	RETURNED MAIL
142	06/10/2015	TRUE COPY
143	06/10/2015	TRUE COPY
144	06/10/2015	TRUE COPY
145	06/15/2015	ORDER
146	06/18/2015	NOTICE OF REASSIGNMENT
147	10/16/2015	TRUE COPY
148	12/23/2015	NOTICE OF UNAVAILABILITY
149	12/28/2015	EMERGENCY MOTION
150	12/28/2015	EMERGENCY MOTION

151	01/04/2016	ORDER DENYING
155	01/15/2016	NOTICE OF APPEAL CIVIL BOOK 28054 PAGE 1472-1491
156	01/20/2016	INVOICE
157	01/21/2016	AUTOMATIC RECEIPT APPELLATE FILING
158	01/21/2016	AUTOMATIC RECEIPT APPELLATE FILING
159	04/01/2016	INDEX TO RECORD ON APPEAL
160	04/06/2016	AUTOMATIC RECEIPT APPELLATE FILING
161	05/02/2016	APPL AND AFF OF INDIGENCY
162	06/09/2016	TRUE COPY
163	06/22/2016	NOTICE OF MEDIATION
164	08/10/2016	PETITION FOR DISCHARGE
165	08/10/2016	AMENDED
166	09/21/2016	MEDIATION REPORT
167	11/15/2016	PETITION
168	11/15/2016	NOTICE OF HEARING
169	11/21/2016	PAID \$5.00 ON RECEIPT 1925756
172	11/21/2016	MOTION IN OPPOSITION TO PLAINTIFF'S MOTION TO (I) APPROVE COMPROMISE AND SETTLEMENT, (II) APPOINT A TRUSTEE FOR THE TRUSTS CREATED FOR D.B, JA.B. AND JO.B, AND (III) DETERMINE COMPENSATION FOR GUARDIAN AD LITEM (2) CASE MANAGEMENT CONFERENCE - F/B ELLIOT BERNSTEIN
171	11/22/2016	NOTICE OF HEARING

173	11/28/2016	MOTION NOV 29, 2016 HEARING STATUS CONFERENCE LISTING OF OPEN ISSUES AND PENDING FILINGS F/B ELIOT BERNSTEIN
174	05/08/2017	NOTICE OF HEARING
175	05/09/2017	NOTICE OF HEARING
176	05/18/2017	MOTION MOTION OBJECTION-OPPOSITION TO UMC HEARING ON TED S BERNSTEINS AMENDED RENEWED PETITION TO RE CLOSE ESTATE AND FOR DISCHARGE OF SUCCESSOR PR F/B ELIOT I BERNSTEIN
177	06/06/2017	APPL AND AFF OF INDIGENCY
178	06/07/2017	APPL AND AFF OF INDIGENCY
179	06/23/2017	MANDATE

IN THE CIRCUIT COURT FOR PALM BEACH COUNTY, FL

IN RE: ESTATE OF PROBATE DIVISION

SHIRLEY BERNSTEIN, File No. 502011CP000653XXXXSB

Deceased.

PETITION FOR ADMINISTRATION
(testate Florida resident)

Petitioner, SIMON L. BERNSTEIN, alleges:

1. Petitioner has an interest in the above estate as the named personal representative under the decedent's Will. The Petitioner's address is 7020 Lions Head Lane, Boca Raton, Florida 33496, and the name and office address of petitioners attorney are set forth at the end of this Petition.

2. Decedent, SHIRLEY BERNSTEIN, whose last known address was 7020 Lions Head Lane, Boca Raton, Florida 33496, whose age was 71, and whose social security number is xxx-xx-9749, died on December 8, 2010, at her home at 7020 Lions Head Lane, Boca Raton, Florida 33496, and on the date of death decedent was domiciled in Palm Beach County, Florida.

3. So far as is known, the names of the beneficiaries of this estate and of decedent's surviving spouse, if any, their addresses and relationship to decedent, and the dates of birth of any who are minors, are:

NAME	ADDRESS	RELATIONSHIP	BIRTH DATE (if Minor)
Simon L. Bernstein	7020 Lions Head Lane Boca Raton, FL 33496	husband	adult
Ted S. Bernstein	880 Berkeley Street Boca Raton, FL 33487	son	adult
Pamela B. Simon	950 North Michigan Avenue, Suite 2603 Chicago, IL 60606	daughter	adult
Eliot Bernstein	2753 NW 34 th St. Boca Raton, FL 33434	son	adult

2011 FEB 10 AM 8:10
 SHARON R. ...
 PALM BEACH ...
 SOUTH CITY ...



Jill Iantoni	2101 Magnolia Lane Highland Park, IL 60035	daughter	adult
Lisa S. Friedstein	2142 Churchill Lane highland Park, IL 60035	daughter	adult

4. Venue of this proceeding is in this county because decedent was a resident of Palm Beach County at the time of her death.

5. Simon L. Bernstein, whose address is listed above, and who is qualified under the laws of the State of Florida to serve as personal representative of the decedent's estate is entitled to preference in appointment as personal representative because he is the person designated to serve as personal representative under the decedent's Will.

6. The nature and approximate value of the assets in this estate are: tangible and intangible assets with an approximate value of less than \$ TBD.

7. This estate will not be required to file a federal estate tax return.

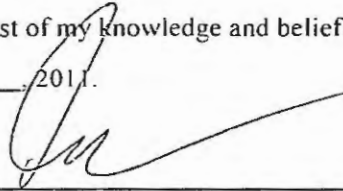
8. The original of the decedent's last will, dated May 20, 2008, is being filed simultaneously with this Petition with the Clerk of the Court for Palm Beach County, Florida.

9. Petitioner is unaware of any unrevoked will or codicil of decedent other than as set forth in paragraph 8.

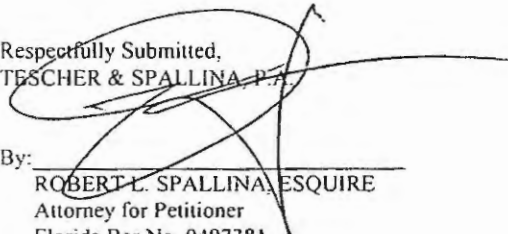
Petitioner requests that the decedent's Will be admitted to probate and that Simon L. Bernstein be appointed as personal representative of the estate of the decedent.

Under penalties of perjury, I declare that I have read the foregoing Petition for Administration, and the facts alleged are true, to the best of my knowledge and belief.

Signed on Feb 9, 2011.


SIMON L. BERNSTEIN, Petitioner

Respectfully Submitted,
TESCHER & SPALLINA P.A.

By: 
ROBERT L. SPALLINA, ESQUIRE
Attorney for Petitioner
Florida Bar No. 0497381
4855 Technology Way, Ste. 720
Boca Raton, FL 33431
561-997-7008



IN THE CIRCUIT COURT FOR PALM BEACH COUNTY, FL
 IN RE: ESTATE OF _____ PROBATE DIVISION 12

SIMON L. BERNSTEIN, File No. _____
 Deceased. 502012 CP00 4391 XXXXSB

2012 OCT -2 AM 8:58
 SHARON A. BOURG, CLERK
 PALM BEACH COUNTY, FL
 SOUTH CTY BRANCH-FILED

PETITION FOR ADMINISTRATION
 (testate Florida resident)

Petitioners, ROBERT L. SPALLINA and DONALD R. TESCHER, allege:

1. Petitioners have an interest in the above estate as the named co-personal representatives under the decedent's Will. The Petitioner's addresses are 7387 Wisteria Avenue, Parkland, FL 33076 and 2600 Whispering Oaks Lane, Delray Beach, FL 33445, respectively, and the name and office address of petitioners' attorney is set forth at the end of this Petition.

2. Decedent, SIMON L. BERNSTEIN, whose last known address was 7020 Lions Head Lane, Boca Raton, Florida 33496, whose age was 76, and whose social security number is [REDACTED], died on September 13, 2012, at his home at 7020 Lions Head Lane, Boca Raton, Florida 33496, and on the date of death decedent was domiciled in Palm Beach County, Florida.

3. So far as is known, the names of the beneficiaries of this estate and of decedent's surviving spouse, if any, their addresses and relationship to decedent, and the dates of birth of any who are minors, are:

NAME	ADDRESS	RELATIONSHIP	BIRTH DATE (if Minor)
Ted S. Bernstein	880 Berkeley Street Boca Raton, FL 33487	son	adult
Pamela B. Simon	950 North Michigan Ave. Suite 2603 Chicago, IL 60606	daughter	adult
Eliot Bernstein	2753 NW 34 th St. Boca Raton, FL 33434	son	adult
Jill Iantoni	2101 Magnolia Lane Highland Park, IL 60035	daughter	adult



Lisa S. Friedstein 2142 Churchill Lane daughter adult
 Highland Park, IL 60035

Robert L. Spallina and Donald R. Tescher, 4855 Technology Way, Trust
co-Trustees of the Simon L. Bernstein Suite 720
Amended and Restated Trust Agreement Boca Raton, FL 33431
dated July 25, 2012

4. Venue of this proceeding is in this county because decedent was a resident of Palm Beach County at the time of his death.

5. Robert L. Spallina and Donald R. Tescher, whose addresses are listed above, and who are qualified under the laws of the State of Florida to serve as co-personal representatives of the decedent's estate are entitled to preference in appointment as co-personal representatives because they are the persons designated to serve as co-personal representatives under the decedent's Will.

6. The nature and approximate value of the assets in this estate are: tangible and intangible assets with an approximate value of less than \$ Unknown.

7. This estate will not be required to file a federal estate tax return.

8. The original of the decedent's last will, dated July 25, 2012, is being filed simultaneously with this Petition with the Clerk of the Court for Palm Beach County, Florida.

9. Petitioner is unaware of any unrevoked will or codicil of decedent other than as set forth in paragraph 8.

Petitioner requests that the decedent's Will be admitted to probate and that Robert L. Spallina and Donald R. Tescher be appointed as co-personal representatives of the estate of the decedent.

Under penalties of perjury, we declare that we have read the foregoing Petition for Administration, and the facts alleged are true, to the best of our knowledge and belief.

Signed on Oct. 1, 2012.

Respectfully Submitted,
TESCHER & SPALLINA, P.A.

(Signature)

(Signature)

Robert L. Spallina, Petitioner

By:
ROBERT L. SPALLINA, ESQUIRE
Attorney for Petitioner
Florida Bar No. 0497381
4855 Technology Way, Ste 720
Boca Raton, FL 33431
561-997-7008
Email: rspallina@tescherspallina.com

(Signature)

Donald R. Tescher, Petitioner



IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA
CASE No. 502014CP003698XXXXNB

TED BERNSTEIN,

Plaintiff,

-vs-

DONALD R. TESCHER, ELIOT IVAN BERNSTEIN,
LISA SUE FRIEDSTEIN, JILL MARLA IANTONI, et al.,

Defendants.

TRIAL BEFORE THE HONORABLE
JOHN L. PHILLIPS
VOLUME 1 PAGES 1 - 114

Tuesday, December 15, 2015
North County Courthouse
Palm Beach Gardens, Florida 33410
9:43 a.m. - 4:48 p.m.

Reported By:
Shirley D. King, RPR, FPR
Notary Public, State of Florida
West Palm Beach Office Job #1358198 - VOL 1

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APPEARANCES:

On behalf of the Plaintiff:

ALAN ROSE, ESQUIRE
GREGORY WEISS, ESQUIRE
MRACHEK FITZGERALD ROSE KONOPKA
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On behalf of the Defendant:

ELIOT IVAN BERNSTEIN, PRO SE, ESQUIRE
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On behalf of Molly Simon, Alexandra, Eric & Michael
Bernstein:

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I N D E X
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WITNESS:	DIRECT	CROSS	REDIRECT	RECROSS
ROBERT SPALLINA				
BY MR. ROSE:	11			
BY MR. MORRISSEY:		82		
BY MR. BERNSTEIN:		91		

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1 P R O C E E D I N G S

2 - - -

3 THE COURT: We're here on the Bernstein case.
4 Everybody ready to go?

5 MR. ROSE: Good morning, Your Honor. Yes.
6 Alan Rose on behalf of the plaintiff, Ted S.
7 Bernstein, as successor trustee.

8 THE COURT: Okay.

9 MR. ROSE: And with me is my partner, Greg
10 Weiss. May not be for the whole trial, but he is
11 with us for the beginning.

12 THE COURT: Okay. Well, great. Thanks for
13 coming.

14 And who's on the other side?

15 MR. BERNSTEIN: Eliot Bernstein, pro se, sir.

16 THE COURT: Okay. You're not going to have
17 any counsel? Who's with you at the table?

18 MR. BERNSTEIN: That's my lovely wife,
19 Candice.

20 THE COURT: All right. And why are you at the
21 table?

22 MR. BERNSTEIN: That's one of the questions I
23 would like to address. I'm here individually.

24 THE COURT: Right.

25 MR. BERNSTEIN: And I was sued individually.

1 But I'm also here on behalf, supposedly, of my
2 minor children, who aren't represented by counsel.
3 And I'm sued as a trustee of a trust that I've
4 never possessed.

5 THE COURT: Are you asking me a question?

6 MR. BERNSTEIN: Yes.

7 THE COURT: What's the question?

8 MR. BERNSTEIN: Well, my children are being
9 sued.

10 THE COURT: What's the question?

11 MR. BERNSTEIN: And I was sued as their
12 trustee, but I'm --

13 THE COURT: Stop, please.

14 MR. BERNSTEIN: Yes, sir.

15 THE COURT: I would love to talk with you all
16 day --

17 MR. BERNSTEIN: Okay.

18 THE COURT: -- but we're not going to have
19 that happen.

20 MR. BERNSTEIN: Okay.

21 THE COURT: This is not a conversation. This
22 is a trial. So my question is, What is your
23 question? You said you had a question.

24 MR. BERNSTEIN: I tried to get counsel for my
25 children who was willing to make a pro hoc vice --

1 THE COURT: When will you ask me the question?

2 Because this is all --

3 MR. BERNSTEIN: Well, I'd like to stay the
4 proceeding.

5 THE COURT: Okay. The request for a
6 continuance is denied. Thank you.

7 MR. BERNSTEIN: Have you read the filing I
8 filed? Because my children are minor --

9 THE COURT: Was that your question?

10 MR. BERNSTEIN: Well, my children are
11 minors --

12 THE COURT: Please stop.

13 MR. BERNSTEIN: -- and they're not represented
14 here.

15 THE COURT: What is your name again, sir?

16 MR. BERNSTEIN: Eliot Bernstein.

17 THE COURT: Okay. Mr. Bernstein, I'll be
18 courteous, unless it doesn't work; then I'll be
19 more direct and more aggressive in enforcing the
20 rules that I follow when I conduct trials.

21 I've asked you several times if you had
22 questions. You finally asked me one, and it was,
23 Did you read my filing? No, I did not. You asked
24 for a continuance. I have denied that because it's
25 untimely.

1 Now I'm turning back to the plaintiff, and
2 we're going forward with this trial. That is one
3 day set on my docket. We're going to have this
4 trial done by the end of the day. You'll have half
5 the time to use as you see fit; so will the other
6 side. I'll not care if you waste it, but I'll not
7 participate in that. Thank you.

8 Now, from the plaintiff's side, what is it
9 that the Court is being asked to decide today?

10 MR. ROSE: Before I answer, could
11 Mr. Morrissey make an appearance, sir?

12 THE COURT: All right.

13 MR. MORRISSEY: Yes, I'm here on behalf of
14 four of the defendants, Judge, four adult
15 grandchildren, Alexandra Bernstein, Eric Bernstein
16 Michael Bernstein and Molly Simon, all of whom have
17 joined in the plaintiff's complaint today.

18 THE COURT: Okay. Last time I'll ask this
19 question of the plaintiff. What is it that I'm
20 asked to decide today?

21 MR. ROSE: We are asking you to decide whether
22 five testamentary documents are valid, authentic
23 and enforceable. And that is set forth in count
24 two of the amended complaint in this action. The
25 five documents are a 2008 will of Shirley

1 Bernstein, a 2008 trust of Shirley Bernstein, and
2 an amendment by Shirley Bernstein to her 2008
3 trust.

4 THE COURT: When was the amendment?

5 MR. ROSE: Amendment was in November of 2008.

6 THE COURT: All right. So there's also a 2008
7 amendment?

8 MR. ROSE: Yes, sir. In fact, I have a -- I
9 don't know if you can read it, but I did put up
10 here on the -- there are seven testamentary
11 documents. We believe five of them to be valid and
12 operative, and two of them to have been with --
13 revoked by later documents.

14 So for Shirley, there are three documents that
15 count two seeks you to determine are valid,
16 authentic and enforceable according to their terms.

17 And for Simon Bernstein, he has a 2012 will,
18 and a 2012 amended and restated trust agreement.
19 And we're asking that these five documents be
20 validated today.

21 There also is a 2008 will and trust that
22 you'll hear testimony were prepared, but have been
23 revoked and superseded by later documents.

24 THE COURT: Does everybody agree that Simon's
25 2008 will and trust are invalid or is there some

1 claim that they're valid?

2 MR. ROSE: I can't answer.

3 THE COURT: All right. I'll ask.

4 Are you claiming that the Simon Bernstein 2008
5 will or 2008 trust are valid, or do you agree that
6 they are invalid?

7 MR. BERNSTEIN: Well, I individually disagree.

8 THE COURT: Okay. Thank you.

9 MR. BERNSTEIN: And my children --

10 THE COURT: I just wanted to know --

11 MR. BERNSTEIN: -- aren't represented by
12 counsel, so they can't have an opinion --

13 THE COURT: Okay.

14 MR. BERNSTEIN: -- even though they're parties
15 to the case.

16 THE COURT: Okay. Like I say, you can waste
17 all your time you want. I won't object to it, but
18 I won't participate in it.

19 You can put on your first witness.

20 MR. ROSE: Thank you. Plaintiff will call
21 Robert Spallina.

22 Thereupon,

23 (ROBERT SPALLINA)

24 having been first duly sworn or affirmed, was examined
25 and testified as follows:

1 THE WITNESS: I do.

2 MR. ROSE: May I approach, Your Honor?

3 THE COURT: Sure. All approaches are okay.

4 MR. ROSE: Okay. I brought for Your Honor --
5 would you like a book instead of the exhibits?

6 THE COURT: Nothing better than a huge book.

7 MR. ROSE: We may not use all of them, but
8 we'll adjust it later.

9 THE COURT: All right.

10 MR. ROSE: And then I was going to hand the
11 witness the original for the admission into the
12 court file as we go.

13 THE COURT: All right.

14 MR. ROSE: I have a book for Mr. Eliot
15 Bernstein.

16 DIRECT EXAMINATION

17 BY MR. ROSE:

18 Q. Would you state your name for the record?

19 A. Robert Spallina.

20 Q. Did you know Simon and Shirley Bernstein,
21 Mr. Spallina?

22 A. Yes, I did.

23 Q. And when did you first meet Simon and Shirley
24 Bernstein?

25 A. In 2007.

1 Q. What was your occupation at the time?

2 A. I was working as an estate planning attorney.

3 Q. With a law firm?

4 A. Yes.

5 Q. And what was the name of the law firm?

6 A. Tescher, Gutter, Chaves, Rubin, Ruffin and
7 Forman and Fleisher.

8 Q. And did Simon and Shirley Bernstein retain
9 your law firm?

10 A. Yes, they did.

11 Q. I'm going to approach with Exhibit No. 9 --
12 Plaintiff's Exhibit 9. Ask if you'd identify that
13 document?

14 A. This was an intake sheet to open up the file,
15 dated November 16th of 2007.

16 Q. And the clients are Simon and Shirley
17 Bernstein?

18 A. The clients were Simon and Shirley Bernstein,
19 yes.

20 MR. ROSE: I would move Exhibit 9 into
21 evidence, Your Honor.

22 THE COURT: Any objection?

23 [No verbal response]

24 THE COURT: No objection being stated, I'll
25 receive that as Plaintiff's 19.

1 (Plaintiff's Exhibit No. 9 was received into
2 evidence.)

3 BY MR. ROSE:

4 Q. Now, what was the purpose of Simon and Shirley
5 Bernstein retaining your law firm?

6 A. They wanted to review and go over their
7 existing estate planning and make changes to their
8 documents.

9 Q. I'm going to hand you Exhibit No. 10, and ask
10 you if you can identify for the record Exhibit 10.

11 A. These are meeting notes, my meeting notes,
12 and -- and then partner Don Tescher's meeting notes from
13 several different meetings that we had with Si and
14 Shirley during the time following them retaining us as
15 clients.

16 Q. And is it your standard practice to take notes
17 when you're meeting with clients?

18 A. Yes.

19 Q. And were these notes kept in your company's
20 files and were they produced with Bates stamp numbers?

21 A. Yes, they were.

22 MR. ROSE: I would move Exhibit 10 into
23 evidence, Your Honor.

24 THE COURT: Is there any objection to the
25 exhibit?

1 [No verbal response].

2 THE COURT: No objection being stated, they'll
3 be received as Plaintiff's 10.

4 (Plaintiff's Exhibit No. 10 was received into
5 evidence.)

6 BY MR. ROSE:

7 Q. Now, for today's purposes, are those notes in
8 chronological or reverse chronological order?

9 A. This is reverse chronological order.

10 Q. Okay. Can you go to the bottom of the stack
11 and start with the earliest notes. Do they reflect a
12 date?

13 A. Yes. 11/14/07.

14 Q. And if you'd turn to the last page, is that
15 your partner's notes that are in evidence?

16 A. Yes. We both would always take notes at the
17 meetings.

18 Q. And so the first -- was that the first meeting
19 with Mr. Simon or Shirley Bernstein?

20 A. I believe so, yes.

21 Q. Now, before you met with Simon and Shirley
22 Bernstein, did you have any prior relationship with
23 them?

24 A. No, we did not.

25 Q. Did you personally know either of them before

1 that date?

2 A. No, I did not.

3 Q. 11/14/2007. Okay. And if you'd just flip
4 back to the client intake. I think that was dated
5 November the 26th?

6 A. It was two days later, 11/16. The file was
7 opened two days later.

8 Q. So file open.

9 Now, did you know in advance of the meeting
10 what they were coming in to talk about?

11 A. Yeah. They were coming in to talk about their
12 estate planning.

13 Q. And did they provide you in advance of the
14 meeting with any of their prior estate planning
15 documents?

16 A. I believe we had copies of documents. I don't
17 know if they provided them at that meeting or if they
18 provided them before for us to look at, or after, but I
19 know that there were existing documents that were in our
20 file.

21 Q. Okay. Let me approach and hand you
22 Exhibit 40A, which is -- bears Tescher Spallina
23 Number 1.

24 Does that appear to be an envelope from
25 Stephen Greenwald --

1 A. Yes.

2 Q. -- directed to Simon Bernstein?

3 A. Yes, it is.

4 Q. And copy of this was in your files when they
5 were produced?

6 A. Yes.

7 Q. And was Stephen Greenwald the prior lawyer
8 that represented Simon and Shirley Bernstein, as far as
9 you know?

10 A. Yes. Yes, he was.

11 Q. I'm going to hand you Exhibit 40B, which is a
12 letter from Mr. Greenwald to Simon and Shirley
13 Bernstein.

14 Is that also -- is that also provided in your
15 files?

16 A. Yes, sir.

17 Q. Does it bear a Bates stamp of your law firm?

18 A. Yes, it does.

19 Q. Okay. And does Mr. Greenwald, in that letter,
20 disclose what he is sending to Simon --
21 Mr. and Mrs. Simon L. Bernstein?

22 A. Yes, he did. Their estate planning documents,
23 including their ancillary documents, their wills, their
24 trusts, health care powers, durable powers and living
25 wills.

1 Q. And if -- I'll show you 40C, D, E and F, and
2 ask if you can identify these as some of the documents
3 that were included with the letter from Mr. Greenwald?

4 A. We have each of the first codicils to
5 Mr. and Mrs. Bernstein's wills, and we have each of
6 their wills.

7 MR. ROSE: I would move Exhibit 40A through F
8 into evidence, Your Honor.

9 THE COURT: Any objection?

10 [No response.]

11 THE COURT: No objection being stated, I'm
12 going to receive this as Plaintiff's 40A through F.

13 (Plaintiff's Exhibit Nos. 40A-F were received
14 into evidence.)

15 BY MR. ROSE:

16 Q. Within Exhibit 40, is there a will and a --
17 for Simon and a will for Shirley?

18 A. Yes, there is.

19 Q. And could you tell the Court the date of those
20 documents?

21 A. August 15, 2000.

22 THE COURT: Are both documents the same date?

23 THE WITNESS: Yes, they are, Your Honor.

24 THE COURT: All right. Thanks. I just wanted
25 to make sure I don't get confused.

1 BY MR. ROSE:

2 Q. Can you generally describe what the estate
3 plan reflected in Exhibit 40 would be, who are the
4 beneficiaries and what percentages?

5 A. Okay. Just give me a minute. I haven't seen
6 these in...

7 The plan under the documents -- and let me
8 just make sure it's the same under both documents. The
9 plan under the documents was to provide all the assets
10 to the survivor of Shirley and Si, and that at the death
11 of the survivor of the two of them, assets would pass
12 to -- it appears to be Ted, Pam, Eliot, Jill and Sue and
13 Lisa -- and Lisa. So it looks to be a typical estate
14 plan; everything would pass to the survivor at the first
15 death, and then at the second death everything to the
16 children.

17 Q. How many of the children under the 2000
18 documents?

19 A. This shows all five. The will shows all five.

20 Q. What page are you looking at?

21 A. The first page of the will. Is this -- oh,
22 no. That's just as to tangible personal property. I'm
23 sorry.

24 Q. That's okay. Are you on -- are you in Simon's
25 or Shirley's?

1 A. I'm in -- on both documents, to make sure the
2 disposition was the same.

3 Q. Okay. So on the page -- the first page, it
4 talks under --

5 A. It speaks to tangible personal property.

6 Q. Split equally among the five children?

7 A. Among the five children.

8 Q. Let me just stop you one second right there.
9 If you would, turn --

10 MR. ROSE: This might help, Your Honor, if
11 you'd turn to Tab 7. It may be out of order.
12 Might be a good time just to go over the family
13 tree and let -- get everyone on the same page of...

14 We prepared a chart, and I'm going to put
15 the -- it lists Simon and Shirley and the names of
16 their children on the second line, and then under
17 each child with arrows, the names of the
18 grandchildren and which parents they belong to.

19 THE WITNESS: This looks accurate.

20 MR. ROSE: I would move Exhibit 7 into
21 evidence, Your Honor.

22 THE COURT: Any objection?

23 [No response.]

24 THE COURT: No objection being stated, that's
25 in evidence as Plaintiff's 7.

1 (Plaintiff's Exhibit No. 7 was received into
2 evidence.)

3 BY MR. ROSE:

4 Q. So under the 2000 documents, for personal
5 property, it's split among the five children.

6 And when you get to the residuary estate or
7 the amount that was put into trusts, who are the
8 beneficiaries?

9 A. Again, at the death of the survivor of the two
10 of them, tangible personal property would go to the five
11 children, and the residuary of the estate would go to
12 four of the five children. It appears that Pam is cut
13 out of these documents. And I recall that now, yes.

14 Q. Okay. So under the 2000 documents, Eliot
15 Bernstein would get 25 percent of the residuary?

16 A. Correct.

17 Q. Now, if you look at page 5, it talks
18 about -- page 5, near the top, it says "upon the death
19 of my husband," then "the principal of his trust shall
20 pass," and then the next sentence says "to the extent
21 that said power of appointment -- oh, "and such shares
22 equal or unequal and subject to such lawful trust terms
23 and conditions as my husband shall by will appoint."

24 Do you see what I'm talking about?

25 A. Yes, I do.

1 Q. That's a power of appointment?

2 A. Correct.

3 Q. And then it says, the next sentence, To the
4 extent the power of appointment is not effectively
5 exercised, then it goes to the four of the five
6 children?

7 A. Correct.

8 Q. So under the 2000 documents, the survivor
9 would have the power to give it all to one?

10 A. Correct.

11 Q. And theoretically change it and give some to
12 Pam?

13 A. That's true, by the language of this document.

14 Q. Okay. So I'm just going to write. We have a
15 power of appointment, which we don't need to belabor, in
16 favor of the survivor; and then if it's not exercised,
17 Eliot gets 25 percent, and three other siblings get the
18 balance?

19 A. 25 percent each.

20 Q. Okay.

21 A. Equal shares.

22 Q. Now, when Simon and Shirley came to you, did
23 they give you an indication whether they wanted to keep
24 in place the 2000 structure?

25 A. No. They wanted to change the dispositions

1 under their documents.

2 Q. Okay. So if we work through your notes now,
3 which are in evidence as Exhibit No. 10, the first
4 meeting was November the 14th, 2007. You had a
5 discussion about Simon's net worth -- Simon and
6 Shirley's net worth, how much money they had at that
7 time?

8 A. Yes.

9 Q. Okay. I'm going to show you Exhibit No. 12
10 before we --

11 Do you recognize the handwriting on
12 Exhibit 12?

13 A. No.

14 Q. Okay. I believe it's Simon Bernstein's
15 statement of his net worth.

16 But you have seen this document before?

17 A. I don't recall.

18 Q. Okay. And you're not familiar with his
19 handwriting to --

20 A. No. Other than his signature.

21 Q. That's fine.

22 But during the discussion, did you discuss
23 Simon's net worth?

24 A. Yes. Both my partner and I.

25 Q. And if I look at Mr. Tescher's notes, which

1 are a little easier to read, he lists the joint
2 brokerage account, some money for Simon, Simon, a
3 house -- the house appears to have a million dollar
4 mortgage -- a condo, some miscellaneous and some life
5 insurance. And he totals -- that totals to 13 million,
6 and then he lists 5 million for 33 shares of the
7 company.

8 Do you see that?

9 A. Yes, I do.

10 Q. Okay. So if I add up what Mr. Tescher wrote
11 in his notes, I get to about \$18 million.

12 And this is on November the 14th of '07,
13 around 18 million, but that includes life insurance?

14 A. Yes, it does.

15 Q. Okay. Now, did you meet with them -- how long
16 were these meetings with Simon and Shirley Bernstein?

17 A. They could be an hour; sometimes more.

18 Q. Now, if we flip through your notes, does it
19 reflect a second meeting?

20 A. Yes, it does.

21 Q. And what's the date of the second meeting?

22 A. 12/19/07.

23 Q. And do you have any -- I'm sorry. 12/19?

24 A. 12/19/07.

25 Q. Okay. And what's the -- let's just put all

1 the dates up here. That was the second meeting.

2 Are there notes from a third meeting?

3 A. The next meeting was January 31, '08.

4 Q. Okay. Is there a fourth meeting?

5 A. March 12 of '08.

6 Q. Now, just to put this in perspective, the
7 document that we are going to -- well, the document
8 that's been admitted into probate in this case is a will
9 of Shirley Bernstein that bears a date of May 20, 2008.

10 Does that sound consistent with your memory?

11 A. Yeah, it was clearly 2008.

12 MRS. CANDICE BERNSTEIN: Excuse me. Can you
13 turn that so we can see it?

14 THE WITNESS: Sure. Sorry.

15 THE COURT: Ma'am, you are not a party. You
16 are not an attorney. And you are not really
17 supposed to be sitting there. I'm letting you sit
18 there as a courtesy. If you ask for and inject
19 yourself any further in the proceeding than that,
20 I'll have to ask you to be seated in the gallery.
21 Do you understand?

22 MRS. CANDICE BERNSTEIN: Yes, sir.

23 THE COURT: Thank you.

24 BY MR. ROSE:

25 Q. So you have four meetings with Simon and

1 Shirley Bernstein.

2 And did it take that long to go over what they
3 wished to do with their estate planning documents?

4 A. It was more of us, you know, trying to get a
5 handle on everything that they had, the business, prior
6 planning. From the first meeting to the March meeting,
7 it was only a couple of months. The holidays were in
8 there. So it wasn't uncommon for us to meet with a
9 client more than once or twice when they had a
10 sophisticated plan and asset schedule.

11 Q. At this time --

12 A. By the last meeting, we knew what we needed to
13 do.

14 Q. And around this -- based on your notes, did
15 Simon Bernstein believe he had a net worth all in of
16 about 18 million when he met with you?

17 A. Yeah, it appears that way, 18, 19 million
18 dollars.

19 Q. And did he discuss at all with you that he was
20 involved in a business at that time, an insurance
21 business?

22 A. Yes.

23 Q. And did he give you an indication of how well
24 the business was doing at around the times of these
25 meetings between November 2007 and March or May of 2008?

1 A. Yeah, the business was doing well at that
2 time. He was -- he was very optimistic about the future
3 of the business.

4 Q. Now, did you do any -- did you prepare any
5 documents before the will was signed in May? Did you
6 prepare drafts of the documents?

7 A. Yes, we did. We always prepare drafts of
8 documents.

9 Q. And did you share the drafts with Simon and
10 Shirley?

11 A. Yes, we did.

12 Q. Okay. I'm going to hand you Exhibit 11, and
13 ask if you can identify that for the record?

14 A. This is a letter from our firm dated April 19
15 of 2008. It's transmitting the documents to the client,
16 with an explanation that they could follow, better than
17 reading their documents -- a summary of the documents.

18 Q. Is that a true and authentic copy of a
19 document that you created?

20 A. Yes, it appears to be.

21 MR. ROSE: I would move Exhibit 11 into
22 evidence, Your Honor.

23 THE COURT: All right. Any objection?

24 [No response.]

25 THE COURT: All right. Then that's in

1 evidence as Plaintiff's 11.

2 (Plaintiff's Exhibit No. 11 was received into
3 evidence.)

4 BY MR. ROSE:

5 Q. And if I read Exhibit 11, the first three
6 words say, "Enclosed are drafts of each of your wills
7 and revocable trusts, the children's family trust, each
8 of your durable powers of attorney, designations of
9 health care surrogate and living wills," correct?

10 A. Yes.

11 Q. So about a month and 11 days before anything
12 was signed, documents were sent by Federal Express to
13 Simon and Shirley Bernstein?

14 A. Correct.

15 Q. And it appears to have gone to Simon's
16 business?

17 A. Yes.

18 Q. Now, if you look at -- does your -- does your
19 letter, sort of in laymen's terms, rather than reading
20 through the legalese of a will, explain what the estate
21 planning was under the documents that have yet to be
22 signed but that you were preparing?

23 A. Yes, it does, as much as possible in laymen's
24 terms.

25 Q. Can you just give us a short -- well, the will

1 itself for both Simon and Shirley was a relatively
2 simple will that poured over into a revocable trust, one
3 for each?

4 A. Yes, poured over wills for both.

5 Q. And whoever died first would inherent the
6 personal property?

7 A. All tangible personal property under the will
8 would pass to the survivor.

9 Q. So assuming Simon survived Shirley, he would
10 be the sole beneficiary of her estate?

11 A. Correct.

12 Q. And then any of her residuary would go into a
13 trust?

14 A. That's correct.

15 Q. And he, in fact, outlived Shirley?

16 A. He did.

17 Q. Okay. Now, if you go to the second page, at
18 the top, you describe the will of Shirley Bernstein.

19 It's essentially identical to Si -- it says "Si."

20 Just for the record, that's Simon shorthand?

21 A. Yes.

22 Q. Si is the personal representative of Shirley's
23 estate, and Ted is designated as successor if Simon is
24 unable to serve.

25 That was what was in the document you sent in

1 April?

2 A. Yes. I believe so, yes.

3 Q. And that provision remained in the final
4 documents you signed?

5 A. Yes.

6 Q. Now, did Ted eventually become a successor
7 personal representative upon Simon's death?

8 A. Yes, he did.

9 Q. Then you next start to talk about the Simon L.
10 Bernstein trust agreement.

11 And theoretically, that was going to be the
12 primary testamentary document?

13 A. Correct, it was.

14 Q. And that's fairly standard?

15 A. Yes. When a client wants to avoid probate, we
16 use a revocable trust to title assets in prior to death.
17 Those assets remain confidential; they're not part of
18 the court record. And the trust is also used to avoid
19 the need for the appointment of a guardian in the event
20 of incapacity, because there's a successor trustee
21 mechanism.

22 Q. Okay. Now, under Simon's trust agreement,
23 moving down to the third paragraph, under that heading,
24 it says that both trusts provide for mandatory income
25 distributions. And then the next sentence starts, "Upon

1 Shirley's death, she has been given a special power to
2 appoint the remaining assets of both the marital trust
3 and the family trust to any of your lineal descendants
4 and their spouses, a power to redirect and reallocate."

5 Do you see that?

6 A. Yes.

7 Q. Now, is that consistent with the way the
8 documents were intended to be drafted?

9 A. Yes, it is.

10 Q. And I guess it's sort of similar to what
11 existed in the 2000 wills?

12 A. Yes. Typically, you give the survivor of the
13 spouse a power to appoint in the event that they want to
14 change any of the estate planning of the first to die.
15 Found in most first marriage documents with only
16 children from that marriage.

17 Q. And this is a first marriage with all five
18 children being the product of the same marriage --

19 A. Yes.

20 Q. -- as far as you know?

21 A. As far as I know.

22 Q. And as far as you know, Simon and Shirley
23 Bernstein, they each married only once in their
24 lifetime, to each other?

25 A. That's all I know.

1 Q. If you flip to the next page, there's a
2 shorter paragraph for Shirley.

3 It basically says -- it's virtually identical,
4 except that Simon is the initial successor, and after
5 that, Ted would be Simon's replacement if he passed
6 away?

7 A. Correct.

8 Q. And is that the mechanism by which Ted
9 Bernstein became the successor trustee in this lawsuit?

10 A. Yes, it is.

11 Q. Now, if Shirley died first, then did the
12 documents give Simon the same power of appointment over
13 the assets in her trust that was provided for in the
14 Simon document if he died?

15 A. Same power of appointment was in both
16 documents. They were identical documents, with one
17 exception.

18 Q. And what was the exception; the name of the
19 successor trustee?

20 A. The name of the successor trustee.

21 Q. And then Simon wanted his then business
22 partner, Bill Stansbury, to be his successor trustee in
23 both his will and his trust, and Shirley wanted her
24 oldest son, Ted, to be her successor in both documents?

25 A. Correct. The signer, non-survivor.

1 Q. Okay. And Shirley, I guess it says here, also
2 made a specific gift of \$200,000 to someone named
3 Matthew Logan?

4 A. Correct.

5 Q. If you look at our family tree chart, I think
6 Matthew Logan is under Ted.

7 He is the son of Ted's second wife, Deborah?

8 A. Correct.

9 Q. Okay. So there was a \$200,000 special gift to
10 Matthew that was in the documents that you sent on
11 April 9th?

12 A. Correct.

13 Q. Then you prepared family trusts for the
14 children.

15 Were those trusts created at the time?

16 A. Yes, they were.

17 Q. Now, after you sent your letter on April 9th,
18 did you have a further discussion with Simon and Shirley
19 before the documents were signed?

20 A. I can't recall, but we probably -- we probably
21 did, to set up a meeting and talk -- you know, either,
22 A, talk about the documents, the draft documents, any
23 changes that they wanted to make on the draft documents.
24 It would be typical of us to do that, although I don't
25 have any meeting notes that showed that, so...

1 Q. Now, under -- we'll talk -- let's talk about
2 the ones that matter.

3 Because Shirley died first, her 2008 trust
4 became the beneficiary of her estate?

5 A. Correct.

6 Q. And then Simon had a power of appointment,
7 correct?

8 A. Um-hum.

9 Q. And if -- you have to say yes or no.

10 A. Yes.

11 Q. And if he didn't exercise the power of
12 appointment, was there a default set of beneficiaries
13 that were designated in the documents you drafted in
14 2008?

15 A. Yes.

16 Q. And what was the default set of beneficiaries?

17 A. Simon had and Shirley had in their documents
18 excluded Pam and Ted at the death of the survivor of the
19 two of them.

20 Q. Okay. So if the power of appointment was not
21 properly exercised, it would just go to three, and Eliot
22 would end up with 33 and a third percent and two of the
23 other sisters would get the balance?

24 A. That's correct.

25 Q. Did Simon and Shirley eventually execute

1 documents in 2008?

2 A. Yes, they did.

3 Q. I'm going to hand you Exhibit No. 1, which
4 is --

5 A. A copy of Si's will from --

6 Q. Do you have Exhibit 1?

7 A. Excuse me. Sorry. Shirley's will.

8 Q. Is that a conformed copy of the document?

9 A. Yes, it is.

10 MR. ROSE: I would move Exhibit 1 into
11 evidence.

12 THE COURT: Any objection?

13 [No response.]

14 THE COURT: That's in evidence as
15 Plaintiff's 1.

16 (Plaintiff's Exhibit No. 1 was received into
17 evidence.)

18 BY MR. ROSE:

19 Q. Now, that says "conformed copy." If I turn to
20 the last page, there's no handwritten signatures.

21 A. Correct.

22 Q. Do you know where the original of that
23 document sits today?

24 A. It was filed with the court.

25 Q. Okay. So somewhere in the courthouse, the

1 original goes.

2 And that's something that the client would
3 keep?

4 A. Correct. This is what we would send to the
5 client to include with their files.

6 Q. When you filed the original with the court,
7 did anyone object while Simon was alive?

8 A. No.

9 Q. Okay. I'm going to hand you Exhibit No. 2.
10 Do you recognize that document?

11 A. Yes. This is Shirley's trust agreement that
12 she executed in 2008.

13 Q. Now, does that document have copies of her
14 signature?

15 A. Yes. These are actual copies of the signing
16 parties and their signatures.

17 Q. And how many originals would have been created
18 of this document?

19 A. We always created three originals of the trust
20 agreements.

21 Q. Okay. Now, if you turn to the next -- if you
22 turn to the last page, it says that Shirley put a dollar
23 into her trust when it was created.

24 A. Yes.

25 Q. And that's to make it a valid trust?

1 A. Yeah, I mean, it's not required today, but
2 it's pretty much just form to show a dollar. She had
3 certainly funded it more than that.

4 Q. And eventually Shirley put some assets into
5 the trust?

6 A. Yes.

7 Q. Okay. And if you go to the page before that,
8 page 27, it appears to be a signature page, correct?

9 A. Yes.

10 Q. Now, were you one of the witnesses to the
11 signature of Shirley Bernstein on Exhibit 2?

12 A. Yes, I was.

13 Q. And were you present with Shirley Bernstein
14 and the other witness, Traci Kratish, at the time of the
15 execution of the documents?

16 A. Yes, I was.

17 Q. And they're notarized by someone named
18 Kimberly Moran.

19 Does she work for your office?

20 A. Yes, she did.

21 Q. And through her involvement with your firm
22 and -- did she personally know Shirley and Traci
23 Kratish, as well as yourself?

24 A. Yes, she did.

25 Q. Now, at the same time that Shirley signed her

1 documents, did Simon sign a similar set of 2008 will and
2 trust, similar to the drafts that were sent in April?

3 A. Yes, he did. We were all sitting in the main
4 conference area in their offices together.

5 Q. In Simon's office or your office?

6 A. In Simon's offices.

7 Q. Okay. So why would someone from your office
8 come to Simon's office rather than rely on the notary
9 that they have there?

10 A. Because we wanted to accommodate Shirley and
11 Si in their offices and not have them travel.

12 Q. You personally went there. Did you personally
13 go through to make sure that the documents were signed
14 with all the formalities required under Florida law to
15 make them valid and enforceable?

16 A. Yes, we did. That's why we were there.

17 Q. And if Simon did not have a 2008 will
18 and -- sorry.

19 If Simon did not have a 2002 will and trust,
20 would it be your belief that the 2008 will and trust
21 would be valid?

22 A. Yes.

23 Q. Were they properly signed with all the same
24 testamentary formalities required by Florida law?

25 A. Yes, they were.

1 Q. Okay. Did Shirley at some point amend her
2 trust agreement?

3 A. Yes, she did.

4 Q. And do you recall why she amended it?

5 A. She amended it to remove Matt Logan from the
6 document that she had included previously as a specific
7 device.

8 Q. Do you know why Matt was removed?

9 A. It's attorney-client privilege.

10 Does it matter?

11 Q. I'll withdraw the question.

12 Was Matthew removed at the direction of
13 Shirley?

14 A. Yes.

15 Q. I'll withdraw --

16 A. Yes. Yes. Yes.

17 Q. Did Shirley sign a document that effectively
18 removed Matthew?

19 A. Yes, she did.

20 Q. Let me hand you Exhibit No. 3, and ask you if
21 you recognize that document?

22 A. Yes, I do.

23 Q. Now, was this document signed with the same
24 testamentary formalities as the 2008 trust?

25 A. Yes, it was.

1 MR. ROSE: We would move Exhibit 3 into
2 evidence, Your Honor.

3 THE COURT: Any objection?

4 [No response.]

5 THE COURT: All right. That's in evidence as
6 Plaintiff's 3.

7 (Plaintiff's Exhibit No. 3 was received into
8 evidence.)

9 BY MR. ROSE:

10 Q. Now, if you look -- there's a paragraph 1 and
11 a paragraph 3, but no paragraph 2.

12 Do you know why that is?

13 A. It's just a mistake in drafting.

14 Q. And did you specifically discuss with Shirley,
15 whose privilege I technically would control -- my client
16 would control --

17 Did you specifically discuss with Shirley the
18 fact that the effect of the first amendment would be to
19 remove the specific gift that she had made for Matthew
20 Logan?

21 A. Yes. Even prior to the signing of the
22 document.

23 Q. And is this the last relevant testamentary
24 document that Shirley ever signed that you're aware of?

25 A. Yes, it is.

1 Q. Did you meet with Simon and Shirley in person
2 to talk about this amendment?

3 A. Si had called me and said that Shirley had a
4 change to her documents, and asked me to give her a call
5 and have lunch with her. I called her. We arranged for
6 a meeting in her house to execute the document.

7 Q. Now, you brought your -- you brought Kimberly
8 with you to get -- for convenience and to make sure the
9 documents were properly executed?

10 A. Correct. She had -- she had her personal
11 assistant that was there, Rachel Walker, to serve as
12 another witness.

13 Q. Just so I don't have to go back, what's the
14 date of the amendment?

15 A. November 18th, 2008.

16 Q. So now we five documents that exist; 2008,
17 will, trust, will, trust, and an amendment to Shirley's
18 trust.

19 Did you share any of those documents with any
20 of Simon and Shirley's children at that time?

21 A. No, we did not.

22 Q. Did any of the -- did any of the children play
23 any role in bringing Simon or Shirley to your offices?

24 A. Not that I'm aware, no.

25 Q. Did any of the children accompany them

1 to -- any time they came to visit you, did any of the
2 children come with them, drag them along?

3 A. No.

4 Q. So you prepared -- did you do some other
5 estate planning in addition to the 2008 testamentary
6 documents?

7 A. Yes, we did.

8 Q. Can you briefly describe some of the things
9 you did?

10 A. We had set up a Florida limited partnership.
11 We created a general partner entity for that
12 partnership, a limited liability company.

13 Q. What's the name of the Florida limited
14 partnership?

15 A. Bernstein Family Investments, LLLP.

16 Q. Was that an entity that was in existence or
17 was it created under your direction?

18 THE COURT: Can I stop you a second? Is this
19 going to help me figure out the validity of the
20 testamentary documents?

21 MR. ROSE: Only in the very narrowest sense.
22 I'm just trying to establish that they had a very
23 lengthy and extensive relationship, and they did a
24 lot of estate planning for Simon and Shirley. But
25 I'll be very brief.

1 THE COURT: Well, if that becomes relevant
2 later, perhaps you could come back to it. But I
3 don't see the relevance at this point, so I'll ask
4 you to move on.

5 MR. ROSE: Yes, sir.

6 BY MR. ROSE:

7 Q. Now, was Simon concerned at all about asset
8 protection as part of some of the things you discussed?

9 A. Yes, he was.

10 Q. Now, we have -- did you have any discussion
11 with him about who was expected to live longer or if
12 either of them had health problems that you had any
13 knowledge of?

14 A. Si was not -- he was in good health, but he
15 had had some heart issues. And Shirley had had other
16 issues as well. And I think it -- early on, he didn't
17 know, but as the relationship went on, we kind of knew
18 that Shirley was sicker than him and would probably pass
19 first.

20 Q. So Shirley died -- it's in the public
21 record -- but December --

22 A. 2010, yeah.

23 Q. -- 8th. So Simon was her -- he survived her;
24 he becomes the sole beneficiary as far as tangible
25 personal property under her will?

1 A. Yes, he does.

2 Q. The residuary goes into the Shirley Bernstein
3 Trust?

4 A. That's correct.

5 Q. He's the sole successor trustee and the sole
6 beneficiary --

7 A. Yes, he is.

8 Q. -- during the term of his life?

9 A. Correct.

10 Q. Now, was there a great deal of effort put into
11 inventorying the assets, things like that?

12 A. No, there wasn't. For purposes of opening up
13 Shirley's probate, we had asked Si to estimate the value
14 of, you know, her tangible personal property. And
15 that's what we included on the inventory that was filed
16 in the probate.

17 Q. Now, if I'm correct, 2010 was the year there
18 were no estate taxes at all?

19 A. No estate taxes.

20 Q. Simon's the sole beneficiary?

21 A. Sole beneficiary. Even if there were taxes,
22 there wouldn't have been any tax on the first death,
23 because everything went to Si, and there was a marital
24 deduction.

25 Q. While Simon was alive, did Ted have any access

1 to the documents, as far as you know? Did you ever send
2 the testamentary documents of Simon or Shirley to Ted?

3 A. No, we did not.

4 Q. Did Ted play any role in the administration of
5 the estate while Simon was alive?

6 A. No, he did not.

7 Q. Did any of the other children play any role in
8 the administration of the estate while Simon was alive?

9 A. No, they did not.

10 Q. Now, did you have to -- well, strike that.

11 Because it was only Simon, was it sort of the
12 decision by Simon, That I don't want to spend a lot of
13 time and money in this estate because it's just wasting
14 my own money?

15 A. Yes.

16 Q. And that's not unusual in a situation where
17 you have a surviving spouse that's the sole beneficiary?

18 A. Correct.

19 Q. Now, did there come a point in time when Pam,
20 who was not a named beneficiary of the -- Shirley's
21 documents, learned of the fact that she had been
22 excluded?

23 A. Yes, there was.

24 Q. Okay. And did you get involved with
25 discussions with Pam or her lawyer?

1 A. She had hired an attorney, who had made a
2 request to get a copy of her mother's documents. And I
3 called Si, spoke to Si about it, and he authorized me
4 giving Pam those documents -- or her attorney those
5 documents.

6 Q. Were they provided to any of the other
7 children; that would be Ted or his brother, Eliot, or
8 his two sisters, Lisa or Jill?

9 A. No, they were not.

10 Q. And did Simon Bernstein at some point decide
11 to change his testamentary documents?

12 A. Yes, he did.

13 Q. Do you recall approximately when that
14 happened?

15 A. Early 2012, he called and requested that we
16 meet to go over his documents.

17 Q. I'm going to hand you an exhibit marked
18 Exhibit 13, and ask you if you recognize those as your
19 own notes?

20 A. Yes. These are my notes from that meeting in
21 2012.

22 MR. ROSE: I would move Exhibit 13 into
23 evidence, Your Honor.

24 THE COURT: Any objection?

25 [No response.]

1 THE COURT: All right. That's in evidence as
2 Plaintiff's 13 then.

3 (Plaintiff's Exhibit No. 13 was received into
4 evidence.)

5 BY MR. ROSE:

6 Q. Now, during this meeting, did Simon discuss
7 the possibility of altering his estate plan?

8 A. Yes, he did.

9 Q. Did you also go over his current finances?

10 A. Yes, we did.

11 Q. Now, we've seen from 2007 that he had
12 disclosed about \$18 million.

13 As part of the meeting in February of 2012, he
14 gave you sort of a summary of where he stood at that
15 time?

16 A. Yes, he did.

17 Q. And what was the status of the Shirley
18 Bernstein probate administration in early 2012, about
19 13 months after she passed away?

20 A. It was still not closed.

21 Q. Do you know why it was not closed?

22 A. I think that we were still waiting -- I'm not
23 sure that -- we were still waiting on waivers and
24 releases from the children to close the estate, to
25 qualify beneficiaries under the estate if Si were to

1 die. We had to get waivers and releases from them.

2 Q. Standard operating procedure?

3 A. Standard operating procedure.

4 Q. Okay. So Simon here, it says -- it says at
5 the top "SIPC receivable."

6 Do you know what that is?

7 A. Yes, I do. That was -- Si had made an
8 investment in a Stanford product that was purported to
9 be a CD; it was an offshore CD. And when the Stanford
10 debacle hit, I guess he filed a claim with SIPC to get
11 those monies back, because it was supposedly a cash
12 investment.

13 Q. And so he invested in a Ponzi scheme and lost
14 a bunch of money?

15 A. Correct.

16 Q. Some of the 18 million he had in 2007 he lost
17 in the next four and a half years in investing in a
18 Ponzi scheme?

19 A. That's correct.

20 Q. And then the maximum that the SIPC -- which is
21 like the FDIC for investments.

22 You're familiar with that, correct?

23 A. Yes.

24 Q. The maximum is 500,000.

25 You don't actually necessarily recover

1 500,000? You have a receivable, right?

2 A. Yes.

3 Q. Do you know how much he actually realized from
4 the SIPC?

5 A. I believe he never received anything.

6 Q. Okay. And then it said, LIC receivable,
7 \$100,000.

8 Am I reading that correct?

9 A. Yes.

10 Q. And LIC was the company he was involved, with
11 others?

12 A. Yes.

13 Q. Okay. So I put here 600 that he put, but the
14 600 is really probably closer to 100 if you didn't get
15 the SIPC money?

16 A. Correct.

17 Q. So I'm going to just put a little star here
18 and put it's really 100,000, and sort that out.

19 So then he says -- he has -- Si's estate, this
20 would be his personal assets. He's got an interest in
21 the LLLP.

22 That is not relevant to discuss how it was
23 formed, but there was an LLLP that was owned, some by
24 Si's trust, some by Shirley's trust?

25 A. Correct.

1 Q. And at the time, he thought the value was
2 1,150,000 for his share?

3 A. That's correct.

4 MR. BERNSTEIN: Can I object, Your Honor?

5 THE COURT: What's the objection?

6 MR. BERNSTEIN: Relevance.

7 THE COURT: Overruled.

8 MR. BERNSTEIN: Okay.

9 BY MR. ROSE:

10 Q. And then he had an IRA that says 750,000.

11 A. Correct.

12 Q. And those two things totaled 1,550,000?

13 A. No. They totaled one million nine. Right?

14 Q. Okay. You're right.

15 You wrote next to it "estate tax."

16 What does that mean, on the side next to it?

17 A. I think what I had done was offset the value
18 of the assets in his estate by the loans that were
19 outstanding at the time.

20 Q. And it shows a million seven in loans?

21 A. A million seven in loans.

22 Q. So we had loans back in 2008 -- I'm sorry.
23 November of 2007 time period -- or 2008, which were
24 only -- so we have loans now, you said, a million seven?

25 A. Well, he had a \$1.2 million loan with

1 JP Morgan that was collateralized with the assets of the
2 LLLP.

3 Q. And then you list -- just to speed up, then
4 you have -- underneath that, it says Shirley's asset was
5 empty, right? Because whatever was in had gone to
6 Simon?

7 A. Yeah, her estate had nothing in it.

8 Q. She had a Bentley, I think, when she died.
9 Do you know what happened to the Bentley?

10 A. I wasn't aware that she had a Bentley.

11 Q. Did you come to learn that she had a Bentley
12 and Simon gave it to his girlfriend, and she traded it
13 in at the dealership and got a Range Rover?

14 A. Much, much, much later on --

15 Q. But you know --

16 A. -- after Si's death.

17 Q. But you know that to be the case?

18 A. I wasn't aware that it was traded for the
19 Range Rover. I thought he bought her the Range Rover.
20 I didn't realize he used a Bentley to do it.

21 Q. Okay. Somehow you know the Bentley became
22 something for Maritza?

23 A. Yes.

24 Q. That's the name of his girlfriend?

25 A. Yes.

1 Q. Okay. Then it says, in Shirley's trust,
2 condo, one million -- I'm sorry. I should go to the
3 next column. It says "FMV."

4 That would be shorthand for Fair Market Value?

5 A. Yes.

6 Q. So condo, 2 million, which is here; house,
7 3 million; half of the LLLP, which is Shirley's half
8 after -- I assume, after the deduction of the loan, was
9 800,000?

10 A. Um-hum.

11 Q. Then it says "LIC." That's the company Life
12 Insurance Concepts that Mr. -- that Simon, his son Ted,
13 and a gentleman named Bill Stansbury had formally been
14 involved, another attorney, shares by then. Because
15 we're in February of 2012.

16 But, in any event, that's Simon's company?

17 A. Correct.

18 Q. And he told you in 2007 it was worth --
19 Mr. Tescher's -- notes, like -- his interest was worth
20 5 million.

21 What did he tell you it was worth in 2012?

22 A. Zero.

23 Q. Then underneath that -- I put zero here, so
24 zero today.

25 So his net worth -- and then there was a home

1 that he owned for -- that Eliot lives in, right? He
2 didn't really own it, but he controlled it, Simon?

3 A. Yes.

4 Q. Okay. Did you set up the entity that owned
5 the home?

6 A. Yes, I did.

7 Q. Just to save time, there's an entity called
8 Bernstein Family Realty that owns the house.

9 Simon controlled that entity while he was
10 alive?

11 A. Yes, he did.

12 Q. And his estate holds a mortgage on the house
13 for 365,000?

14 A. Correct.

15 Q. So there's some interest there.

16 He didn't put it on his sheet when he talked
17 to you, but that still would have existed in some form,
18 right?

19 A. Yes.

20 Q. And it still exists to this day.

21 We don't know the value of it, but there still
22 is a mortgage, right?

23 A. Yes.

24 Q. Okay. But either way, the point of this whole
25 story is, his net worth went down significantly between

1 2007 and 2012?

2 A. Yes, it did.

3 Q. And in your world, that's not uncommon, with
4 the stock market crash, the depression, things like
5 that, that a lot of clients with high net worth would
6 have suffered losses during that time?

7 A. Many, many of them did. And even the values
8 that are on this sheet were not the real values.

9 Q. We know that the --

10 A. Clients have a tendency to overstate their net
11 worth.

12 Q. All right. And we know the Ocean Drive house
13 sold for about a million four?

14 A. Correct.

15 Q. And the Court -- there's an order that
16 approved the sale, the gross sale price of a million one
17 for St. Andrews?

18 A. Correct.

19 Q. Okay. So that's still -- that's less than
20 half, even then, Simon thought he would get.

21 Now, if you look at the bottom of the
22 Exhibit No. 13, it says a word, begins with an "I." I
23 can't really read it.

24 Can you read that?

25 A. Insurance.

1 Q. Well, did you have some discussions with Simon
2 about his insurance?

3 A. Yes, we did.

4 Q. In fact, I think -- Mr. Spallina, we talked
5 about he had -- I'm sorry.

6 Mr. Tescher's notes had a \$2 million life
7 insurance?

8 A. Correct.

9 Q. Okay. Is this the same life insurance?

10 A. Yes, it is.

11 Q. And was there a discussion about -- I guess it
12 says 1 million --

13 That's one million seven-fifty?

14 A. A million 75 -- yeah, one million seven-fifty
15 was the value of the policy.

16 Q. And the death benefit was a million six?

17 A. Million six. There was a small loan or
18 something against the policy.

19 Q. Okay. And then it says "Maritza."

20 What was Maritza down there for?

21 A. Si was considering changing -- the purpose of
22 the meeting was to meet, discuss his assets. And he
23 was, you know, having a lot of, I guess, internal -- he
24 had received another letter from his daughter -- he
25 asked me to read the letter from Pam -- that she still

1 was not happy about the fact that she had been
2 disinherited under her mother's documents if the assets
3 were to pass under the documents and he didn't exercise
4 his power of appointment. And this meeting was to kind
5 of figure out a way, with the assets that he had, to
6 take care of everybody; the grandchildren, the children,
7 and Maritza.

8 And so he thought maybe that he would change
9 the beneficiary designation on his life insurance to
10 include her. And we had talked about providing for her,
11 depending on -- an amount -- an increasing scale,
12 depending on the number of years that he was with her.

13 Q. So if you look at the bottom, it says 0 to
14 2 years, 250.

15 Is that what you're referring to?

16 A. Yes. Two to four years, 500,000. And then
17 anything over plus-four years would be -- I think that's
18 600,000.

19 Q. Now, during this discussion, was Simon
20 mentally sharp and aware of what was going on?

21 A. Oh, yeah. Yeah, he was -- he was the same
22 Simon. He was just -- you know, he was struggling with
23 his estate now. He was getting -- he felt -- I guess he
24 was getting pulled. He had a girlfriend that wanted
25 something. He had his daughter who, you know, felt like

1 she had been slighted. And he wanted to try to make
2 good by everybody.

3 Q. And at that point in time, other than the
4 house that he had bought that Eliot lived in, were you
5 aware that he was supporting Eliot with a very
6 significant amount of money each year?

7 A. I was not.

8 MR. BERNSTEIN: Object to the relevance.

9 THE COURT: Overruled.

10 BY MR. ROSE:

11 Q. Okay. So that's February.

12 A. Yes.

13 Q. What happens next in relation to Simon coming
14 in to meet with you to talk about changing his
15 documents?

16 A. He had called me on the phone and he -- we
17 talked again about, you know, him changing his
18 documents. He had been thinking about giving his estate
19 and Shirley's estate to his grandchildren. And at the
20 February meeting, I did not think it was a great idea
21 for him to include his girlfriend, Maritza, as a
22 beneficiary of the life insurance policy.

23 Q. He took your advice? He didn't change that,
24 as far as you know?

25 A. He did not.

1 Q. Okay. I'm sorry. Continue.

2 A. He did not.

3 I had suggested that he provide for her in
4 other ways; a joint account that would pass to her at
5 his death, but not to mix her in with his family in
6 their dispositive documents. And he ultimately took
7 that advice and decided that he wanted to give his
8 estate to his ten grandchildren, and that the policy --
9 which I had never seen a copy of the policy, but, you
10 know -- he had had. And I knew that he was paying for
11 it, because -- it almost lapsed, or did lapse at one
12 point, and it got reinstated -- that that policy was to
13 pass to an insurance trust that named his five children
14 as beneficiaries.

15 Q. And that's something Simon specifically
16 discussed with you when you were going over his estate
17 planning in 2012?

18 A. Correct -- or something that we had known
19 about before that meeting. But he was -- at the
20 meeting, he was starting to talk about doing a change to
21 the beneficiary designation to include Maritza, and I
22 wanted to talk him out of that.

23 Q. And at some point, he made a decision to
24 actually change his documents, correct?

25 A. He did. He did.

1 Q. And did he direct you to set up any kind of a
2 communication with his children?

3 A. Yes. He said, I want you to get -- put
4 together a conference call with me and you and my five
5 children so I can talk to them about what I want to do
6 with my estate and Shirley's estate.

7 THE COURT: All right. This would be a good
8 time for us to take a pause for a morning break.
9 We'll be in session again in 10 minutes.

10 As far as time use goes, so far Plaintiff's
11 side has used 60 minutes. So you have 90 remaining
12 in your portion of the day. And that's where we
13 stand.

14 MR. ROSE: We'll be well within our time, sir.

15 THE COURT: Great. Okay.

16 We'll be in recess for ten minutes. Is ten
17 minutes enough time for everybody? That's what
18 it'll be then.

19 (A break was taken.)

20 THE COURT: We're ready to proceed. Please
21 continue.

22 MR. ROSE: Thank you.

23 BY MR. ROSE:

24 Q. I think we were when Shirley died in December
25 of 2010, and you meet with Si, according to

1 Plaintiff's 13, on February 1st of 2012.

2 I think by May of 2012 was when this
3 conference call that you mentioned was?

4 A. Yes, it was.

5 Q. Okay. And did the five children attend the
6 conference call?

7 A. Yes, they all did.

8 Q. Were you present on the call?

9 A. Yes, I was.

10 Q. Was Simon present?

11 A. Yes, he was.

12 Q. Where was Simon physically during the call?

13 A. His office -- I believe his office.

14 Q. Were you in the same room as Simon?

15 A. No, I was not.

16 Q. You were in your office?

17 A. I was in my office.

18 Q. Okay. Generally, what was discussed during
19 this conference call?

20 A. Simon wanted to talk to his children about
21 providing for his estate and his wife's estate to go to
22 the ten grandchildren; wanted to have a discussion with
23 his children and see what they thought about that.

24 Q. And was he asking them for their approval or
25 permission or...

1 A. Well, I think he wanted to see what they all
2 thought, you know, based on things that had happened in
3 the past and documents that had been created in the
4 past. And I don't know that it was going to sway his
5 opinion, but when he told me, you know, to -- you know,
6 to have the conference call, to contact his -- he said,
7 This is what I'm going to do, so...

8 Q. During the call, did Simon ask his children if
9 anybody had an objection to him leaving his and
10 Shirley's wealth to the ten grandchildren?

11 A. Yes. He asked what everybody thought.

12 Q. Did Eliot respond?

13 A. Yes, he did.

14 Q. What did he say?

15 A. I'm paraphrasing, but he said something to the
16 effect of, Dad, you know, whatever you want to do,
17 whatever makes you happy, that's what's important.

18 Q. Did you also discuss during that call the need
19 to close Shirley's estate?

20 A. Yes, we did. We had told Si that we needed to
21 get back the waivers of accounting, the releases, and we
22 asked -- he asked them to get those back to us as soon
23 as possible.

24 Q. Okay. If I hand you Exhibit 14, it appears to
25 be an email from Eliot Bernstein to you addressing the

1 waiver that he needed to sign?

2 A. Yes, it is.

3 MR. ROSE: I move Exhibit 14 into evidence.

4 THE COURT: Any objection?

5 [No response.]

6 THE COURT: All right. That's in evidence
7 then as Plaintiff's 14.

8 (Plaintiff's Exhibit No. 14 was received into
9 evidence.)

10 MR. ROSE: As a matter of housekeeping, Your
11 Honor, I think I might have failed to move in
12 Exhibit 2, which is Shirley Bernstein's 2008 trust
13 agreement, which I would move, to the extent it's
14 not in evidence, 1, 2 and 3, which are the
15 operative documents Mr. Spallina's already
16 testified about.

17 THE COURT: Any objection?

18 MR. BERNSTEIN: What was that? I'm sorry.

19 THE COURT: Is there any objection to
20 Plaintiff's 1, which is the will of Shirley
21 Bernstein, Plaintiff's 2, which is the Shirley
22 Bernstein Trust Agreement, and Plaintiff's 3, which
23 is the First Amendment to the Shirley Bernstein
24 Trust Agreement?

25 MR. BERNSTEIN: No.

1 THE COURT: All right. Those are all in
2 evidence then as Plaintiff's 1, 2 and 3.

3 (Plaintiff's Exhibit No. 2 was received into
4 evidence.)

5 BY MR. ROSE:

6 Q. Okay. This email is dated May -- May 17,
7 2012, from Eliot, correct?

8 A. Yes, it is.

9 Q. This would have been after the conference
10 call?

11 A. This, I believe, was after the conference
12 call, yep.

13 Q. And he says he's attached the waiver
14 accounting and portions of petition for discharge,
15 waiver of service for a petition for discharge, and
16 receipt of beneficiary and consent to discharge that he
17 had signed.

18 Did you receive those from Eliot?

19 A. Yes, I did. We received -- that was the first
20 waivers that we received.

21 Q. Then it says "as I mentioned in the phone
22 call."

23 Did you have any separate phone calls with
24 Eliot Bernstein, you and he, or is he referring to the
25 conference call?

1 A. I think he's referring to the conference call.

2 Q. Okay. I have not yet -- "I have not seen any
3 of the underlying estate documents or my mother's will
4 at this point, yet I signed this document after our
5 family call so that my father can be released of his
6 duties as personal representative and put whatever
7 matters that were causing him stress to rest."

8 Do you see that?

9 A. Yes, I do.

10 Q. Now, while Simon was alive, did you ever get
11 authorization to share the testamentary documents with
12 Eliot Bernstein?

13 A. I did not.

14 Q. Now, after the call and after the discussion
15 with the siblings, did you prepare a draft of -- of new
16 documents for Simon?

17 A. Yes, I did.

18 Q. I'm going to hand you Exhibit 15; ask if
19 that's a letter that you sent to Simon Bernstein
20 enclosing some new drafts?

21 A. Yes, it is.

22 Q. Now, what's the date of that?

23 A. May 24th, 2012.

24 Q. And what's -- what is the summary -- well,
25 strike that.

1 You sent this letter to Simon Bernstein?

2 A. Yes, I did.

3 Q. By FedEx to his home?

4 A. Yes, I did.

5 MR. ROSE: I would move Exhibit 15 in
6 evidence.

7 THE COURT: Any objection?

8 [No response.]

9 THE COURT: All right. That's in evidence as
10 Plaintiff's 15.

11 (Plaintiff's Exhibit No. 15 was received into
12 evidence.)

13 BY MR. ROSE:

14 Q. Okay. So then first page says, "Dear Si, we
15 have prepared drafts of a new will and an amended and
16 restated trust agreement."

17 Are those the 2012 documents that were his
18 final ones?

19 A. Yes, they are.

20 Q. Okay. Then you sort of do the same thing you
21 did in 2008; you give a little summary of what the
22 estate plan is.

23 >Your amended and restated trust provides that
24 on your death, your assets will be divided among and
25 held in separate trusts for your then living

1 grandchildren," correct? I was reading paragraph -- the
2 middle paragraph.

3 A. Yes, I see that. Yes.

4 Q. I actually skipped the part above, which is
5 probably more important, which says -- in the middle of
6 the first paragraph, it says, "In addition, you have
7 exercised the special power of appointment granted to
8 you under Shirley's trust agreement in favor of your
9 grandchildren who survive you."

10 Do you see that?

11 A. Yes.

12 Q. Okay. And so that was Simon's intent as
13 discussed on the conference call?

14 A. Yes, it was.

15 Q. Do you know if you made any changes to these
16 draft documents from May 24th until the day they were
17 signed?

18 A. I don't believe so. If I did, it was for
19 grammar or something else. The dispositive plan that
20 was laid out in this memo was ultimately the subject of
21 the documents that he executed in July.

22 Q. I'm going to hand you Exhibit 16, which is a
23 durable power of attorney.

24 If you flip to Exhibit 16, the last page, does
25 it bear a signature of Simon Bernstein?

1 A. Yes, it does.

2 Q. And it indicates you were a witness to the
3 signature?

4 A. Yes.

5 Q. Along with Kimberly Moran, who is someone from
6 your office?

7 A. Correct.

8 Q. And someone named Lindsay Baxley notarized the
9 documents?

10 A. Yes, she did.

11 Q. Do you know who Lindsay Baxley was?

12 A. Lindsay Baxley worked in Ted and Si's office.

13 Q. She was like a secretary?

14 A. Assistant to Ted, I believe, maybe.

15 Q. Okay. And if you look at --

16 MR. ROSE: Well, first of all, I'll move
17 Exhibit 16 into evidence.

18 THE COURT: Any objection?

19 [No response.]

20 THE COURT: No objection made, then I'll
21 receive this as Plaintiff's 16.

22 (Plaintiff's Exhibit No. 16 was received into
23 evidence.)

24 BY MR. ROSE:

25 Q. If you look at the last page where the notary

1 block is there, it says "personally known" with an
2 underline, or "produced identification" with an
3 underline. And she's checked the box "personally
4 known" -- or she's checked the line.

5 Do you see that?

6 A. Yes.

7 Q. So do you believe that -- did you know Lindsay
8 Baxley by that point in time?

9 A. Yes, I did.

10 Q. And you believe -- she obviously knew Simon,
11 she knew Kim Moran from other dealings between your
12 offices?

13 A. Yes.

14 Q. Okay. And did you all sign this durable power
15 of attorney with testamentary formalities?

16 A. Yes, we did.

17 Q. And what's the date of that?

18 A. July 25, 2012.

19 Q. I'm going to approach with Exhibit 4, and ask
20 you if you recognize Exhibit 4?

21 A. Yes, I do.

22 Q. Okay. And what is Exhibit 4?

23 A. This is Si's new will that he executed in
24 2012, on July 25th, the same day as that durable power
25 of attorney.

1 Q. Now, were you present when Simon executed his
2 new will, which is Exhibit 4?

3 A. Yes, I was.

4 Q. If you turn to the last page --

5 Well, actually, if you turn to the first page,
6 does it say "copy" and bear a clerk's stamp?

7 A. It does.

8 Q. Okay.

9 MR. ROSE: I would represent to the Court that
10 I went to the clerk's office -- unlike with
11 Shirley's will, I went to the clerk's office and
12 obtained a -- like, a copy made by the clerk of the
13 document itself, rather than have the typewritten
14 conformed copy.

15 MR. BERNSTEIN: Can I object to that?

16 THE COURT: What's the objection?

17 MR. BERNSTEIN: Is he making a statement? I'm
18 not sure --

19 THE COURT: You're asking me a question. I
20 don't know.

21 MR. BERNSTEIN: I'm objecting. Is that a
22 statement?

23 THE COURT: The objection is? What are you
24 objecting to?

25 MR. BERNSTEIN: With the statement being

1 from --

2 THE COURT: Okay. That was a statement by
3 somebody who's not a sworn witness, so I'll sustain
4 the objection.

5 MR. BERNSTEIN: And the chain of custody of
6 the document, I'm just trying to clarify that.
7 Okay.

8 THE COURT: The objection was to the
9 statement. I've sustained the objection.

10 Next question, please.

11 BY MR. ROSE:

12 Q. Unlike the trust, how many originals of a will
13 do you have the client sign?

14 A. There's only one.

15 Q. And then you give the client the one with the
16 typewritten -- you call it conformed copy?

17 A. We conform the copy of the will.

18 Q. And after Simon died, was your law firm
19 counsel for the personal representative of the Estate of
20 Simon Bernstein?

21 A. Yes, we were.

22 Q. Did you file the original will with the court?

23 A. Yes, we did.

24 Q. Is it your belief that the original of this
25 document is somewhere in the Palm Beach County Court

1 system with the clerk's office?

2 A. Yes, I do.

3 MR. ROSE: I'd move Exhibit 4 in evidence,
4 Your Honor.

5 THE COURT: All right. Any objection?

6 [No response.]

7 MR. BERNSTEIN: No objection stated, I'll
8 receive this as Plaintiff's 4.

9 (Plaintiff's Exhibit No. 4 was received into
10 evidence.)

11 BY MR. ROSE:

12 Q. Now, if you turn to the next to the last page
13 of Exhibit --

14 A. Yes.

15 Q. -- Exhibit 4, you'll see it bears a signature
16 of Simon Bernstein and two witnesses, yourself and
17 Kimberly Moran, who all assert that you signed in the
18 presence of each other?

19 A. Yes.

20 Q. And then in the next page, it has what would
21 be a self-proving affidavit?

22 A. Correct.

23 Q. Now, if you look at the signature block where
24 the notary signed, where it says "who is personally
25 known to me," it doesn't seem to have a check box there.

1 It just says "who is personally known to me or who has
2 produced [blank] as identification," right?

3 A. Correct.

4 Q. Is this the same person who notarized the
5 exhibit we just put in evidence, Exhibit 15, the durable
6 power of attorney -- 16, the durable power of attorney?

7 A. Yes.

8 Q. Okay. And again, with regard to
9 Exhibit 4 -- strike that.

10 Do you recall where you signed Exhibit 4?

11 A. Yes.

12 Q. In whose office?

13 A. This was also done in Si's office.

14 Q. Okay. So you took -- you went personally
15 again, along with Kim Moran, as your practice, to make
16 sure that the documents were signed properly; true?

17 A. Correct.

18 Q. And that's important because, if the documents
19 aren't properly signed, they might not be valid and
20 enforceable?

21 A. That's correct.

22 Q. And I'm going to hand you Exhibit 5. This is
23 the Simon L. Bernstein Amended and Restated Trust
24 Agreement.

25 Was that signed the same day, at the same

1 time, with the same procedures?

2 A. Yes, it was.

3 Q. And would this have been signed with three
4 originals?

5 A. Yes, it would be.

6 MR. ROSE: I would move Exhibit 5 into
7 evidence, Your Honor.

8 THE COURT: Any objection?

9 [No response.]

10 THE COURT: All right. That's in evidence as
11 Plaintiff's 5.

12 (Plaintiff's Exhibit No. 5 was received into
13 evidence.)

14 BY MR. ROSE:

15 Q. Now, we looked at the history when you did the
16 first set of documents. In the second set, you started
17 in February through July.

18 Did you have a number of telephone conferences
19 with Simon during that time?

20 A. Yes, we did.

21 Q. And at least a couple of face-to-face
22 meetings?

23 A. Yes, we did.

24 Q. Did at any time Simon give you any indication
25 that he was not fully mentally sharp and aware and

1 acting of his own volition?

2 A. Nope. He was Si that we had known since 2007.

3 Q. I'll close with Exhibit 17. This is a letter
4 you sent to Simon Bernstein, enclosing a copy of his
5 conformed will for him.

6 A. Yes, it is.

7 Q. And it's dated the 26th, the day after he
8 signed the documents?

9 A. Correct.

10 Q. And did you also leave him with two of the
11 originals of his trust?

12 A. Yes, we did.

13 MR. ROSE: I move -- did I move 17 in? Or I
14 will move it in.

15 THE COURT: Number 7, is it?

16 MR. ROSE: Seventeen, sir.

17 THE COURT: Oh, I'm sorry.

18 Any objection?

19 [No response.]

20 THE COURT: All right. Then that's in
21 evidence as Plaintiff's 17.

22 (Plaintiff's Exhibit No. 17 was received into
23 evidence.)

24 BY MR. ROSE:

25 Q. Now, Simon passed away on September 13, 2012.

1 Does that sound right?

2 A. Yes, it does.

3 Q. I have Exhibit 18 as his death certificate.

4 MR. ROSE: I'll just move 18 into evidence.

5 THE COURT: Any objection?

6 [No response.]

7 THE COURT: All right. That's in evidence as
8 Plaintiff's 18.

9 (Plaintiff's Exhibit No. 18 was received into
10 evidence.)

11 BY MR. ROSE:

12 Q. So that's the death certificate for Simon
13 Bernstein.

14 Did you have any further discussions or
15 meetings with Simon after he signed the will and trust
16 in 2012 and before he died?

17 A. Not that I recall, no.

18 Q. And you filed a notice of administration,
19 opened an asset, published it in the Palm Beach Daily
20 Review, did what you had to do?

21 A. Yes, we did.

22 Q. And you and Mr. Tescher were the personal
23 representatives of the estate?

24 A. Yes, we were.

25 Q. And you and Mr. Tescher became the successor

1 trustees of Simon's amended trust after he passed away?

2 A. Yes, we did.

3 Q. I guess while he was still alive, he was still
4 the sole trustee of his trust, which was revocable
5 still?

6 A. Correct.

7 Q. And then upon his death, at some point, did
8 Ted Bernstein become aware that he was going to become
9 the successor trustee to the Shirley trust?

10 A. Yes. We had a meeting with Ted.

11 Q. And that was the first time he learned about
12 the contents of her trust, as far as you know?

13 A. Correct.

14 Q. Initially, did anybody object to the documents
15 or the fact that the beneficiaries were supposed to be
16 the 10 grandchildren?

17 A. No.

18 Q. When was there first some kind of an objection
19 or a complaint?

20 A. I can't recall exactly when it happened.

21 Q. Okay. Did you at some point get a letter from
22 a lawyer at the Tripp Scott firm?

23 A. Yes, we did.

24 Q. Okay. I think she was asking you about
25 something called the status of something called I View

1 It Company? Do you recall that?

2 A. Vaguely.

3 Q. Did you know what the Iviewit company was
4 before you received a letter from the Tripp Scott
5 lawyer?

6 A. I'm not sure. I'm not sure. I know today. I
7 can't tell if I'm answering because I know about it
8 today or if I knew about it at that time.

9 Q. Okay. And did -- was she asking for some
10 documents from you?

11 A. Is this Ms. Yates?

12 Q. Yes.

13 A. Yes.

14 Q. And did you provide her with certain
15 documents?

16 A. She had asked for copies of all of Shirley's
17 and Si's estate planning documents.

18 Q. And did you provide her with all of the
19 documents?

20 A. Yes, we did.

21 Q. Was one of the documents that you provided her
22 not an accurate copy of what Shirley had executed during
23 her lifetime?

24 A. That is true.

25 Q. Okay. And I guess I'll hand you Exhibit 6,

1 and this -- is Exhibit 6 a document that is not a
2 genuine and valid testamentary document of Shirley
3 Bernstein?

4 A. That's correct.

5 Q. Can you explain to the Court why Exhibit 6 was
6 prepared and the circumstances?

7 A. It was prepared to carry out the intent of
8 Mr. Bernstein in the meeting that he had had with his
9 five children, and perhaps a vague -- or a layman -- a
10 layman can make a mistake reading Shirley's documents
11 and not understand who the intended beneficiaries were
12 or what powers I had. So this document was created.

13 Q. Is it your belief that under the terms of
14 Shirley's document from -- the ones she actually signed,
15 that Simon had the power to appoint the funds to the ten
16 grandchildren?

17 A. Yes. We -- we prepared the documents that
18 way, and our planning transmittal letter to him
19 reflected that.

20 Q. And this document is, I think you said, to
21 explain it to a layperson in simpler fashion?

22 A. It was created so that the person that, you
23 know, didn't read estate planning documents and prepare
24 estate planning documents for a living -- you know,
25 there was no intent to cut out Pam and Ted's children,

1 basically.

2 Q. Now, did you ever file this exhibit in the
3 courthouse?

4 A. No, we did not.

5 Q. Did you ever use it for any purpose?

6 A. No, we did not.

7 Q. Was it at one point provided to Eliot's
8 counsel?

9 A. Yes, it was.

10 Q. Now, the fact -- putting aside this document,
11 were any of the other documents that we're talking about
12 in any way altered or changed from the ones that were
13 signed by Shirley or Simon?

14 A. No, they were not.

15 Q. Now, after these issues came to light, did
16 Mr. Eliot Bernstein begin to attack you through the
17 internet and through blogging and things like that?

18 A. He was doing that long before this document
19 came to light.

20 Q. Okay. What was Eliot doing?

21 A. His first thing that he did was -- with
22 respect to the courts, was to file an emergency petition
23 to freeze assets and after his brother as successor
24 trustee of his mother's trust had sold the condo.

25 MR. BERNSTEIN: Your Honor, can I object to

1 this line of questioning for relevance to validity?

2 THE COURT: What's the line of questioning
3 you're talking about?

4 MR. BERNSTEIN: The slander defamation going
5 on about me with, you know, what I do and --

6 THE COURT: Well, I wasn't aware there's a
7 line of questioning going on. There is a question.
8 You've objected to it.

9 MR. BERNSTEIN: Yes.

10 THE COURT: What's the objection to that
11 question?

12 MR. BERNSTEIN: The relevancy to a validity
13 hearing.

14 THE COURT: Okay. Can I have the court
15 reporter read the question back?

16 (A portion of the record was read by the
17 reporter.)

18 THE COURT: What is the relevance of whether
19 this guy's posting on Facebook that's negative or
20 not?

21 MR. ROSE: Well, a couple of things, but,
22 primarily, we're just trying to determine whether
23 these documents are valid.

24 THE COURT: Right.

25 MR. ROSE: And he is the only one who's saying

1 they're not valid, so I want to give some
2 explanation as to why he's saying they're not
3 valid, as opposed to --

4 THE COURT: I don't care why he's saying
5 they're valid or invalid. I'll wait to see what
6 the facts are. So I'll sustain the objection.

7 MR. ROSE: That's fine.

8 BY MR. ROSE:

9 Q. Did Simon Bernstein make any special
10 arrangements, other than -- strike that.

11 Did Simon or Shirley make any special
12 arrangements, other than the testamentary documents that
13 are admitted into evidence, for special benefits for
14 Eliot Bernstein and his family?

15 A. No, they did not.

16 Q. Any special education trusts, other than
17 the -- these five documents? And I believe there was
18 some shares of stock that were put in trust for all ten
19 grandchildren, right?

20 A. There was no special arrangements made other
21 than the estate planning documents.

22 Q. After Simon died, did Eliot claim to you that
23 Simon was supposed to have made some special
24 arrangements for him?

25 MR. BERNSTEIN: Object to the relevancy again.

1 THE COURT: Overruled.

2 THE WITNESS: Yes, he did.

3 BY MR. ROSE:

4 Q. Did he ever give you an indication how much
5 money he thought he was going to inherent when his
6 father died, or his children would inherent when his
7 father died?

8 A. Through his subsequent attorney, yes, he did.

9 Q. And how much money did he indicate he thought
10 there should be?

11 A. I heard a number from one of his attorneys of
12 40- to a \$100 million.

13 Q. Are you aware of any assets that Simon
14 Bernstein had other than what he disclosed to you at the
15 two times that we've looked at in 2007 and again in
16 February of 2012?

17 A. No, I am not.

18 MR. ROSE: No further questions, Your Honor.

19 THE COURT: All right. Thanks.

20 Is there any cross?

21 MR. BERNSTEIN: Yes.

22 MR. MORRISSEY: Judge, I have questions as
23 well.

24 THE COURT: Okay. Well, then, let me have the
25 direct finished. That way, all the

1 cross-examination can take place without
2 interruption. So everybody make sure you're
3 fitting within the Plaintiff's side of the room's
4 time limitations. We'll strictly obey those.

5 CROSS (ROBERT SPALLINA)

6 BY MR. MORRISSEY:

7 Q. Good afternoon, Mr. Spallina. My name's John
8 Morrissey. I represent four of the adult grandchildren
9 of Simon Bernstein.

10 And since we're here today about validity, I'm
11 just going to go over, and try to be very brief,
12 concerning the execution of these documents and your
13 knowledge about the execution.

14 Exhibit 1, which has been entered as the will
15 of Shirley Bernstein, I'd ask you to direct your
16 attention to that document. And I'm looking here at
17 page 7. I ask that you turn to page 7 of Exhibit 1.

18 Were you a witness of this document, this will
19 that was executed by Shirley Bernstein on May 20th of
20 2008?

21 A. Yes, I was.

22 Q. And was Diana Banks the other witness?

23 A. Yes, she was.

24 Q. And did you and Diana witness Mrs. Bernstein's
25 execution of this document?

1 A. Yes, we did.

2 Q. You were present during her execution?

3 A. Yes, we were.

4 Q. And was she present during your execution of
5 this document as a witness?

6 A. Yes, she was.

7 Q. And was she, Shirley Bernstein, present during
8 Diana Banks' execution of this document?

9 A. Yes, she was.

10 Q. Okay. And I'm again focused on this
11 Exhibit No. 1, this will of Shirley Bernstein dated
12 May 20th of 2008.

13 Is it your opinion that at the time Shirley
14 Bernstein executed this document she understood
15 generally the nature and extent of her property?

16 A. Yes, she did.

17 Q. Okay. And at the time Shirley Bernstein
18 executed Exhibit 1, did she have a general understanding
19 of those who would be the natural objects of her bounty?

20 A. Yes, she did.

21 Q. Okay. And at the time she -- Shirley
22 Bernstein executed Exhibit 1, did she have a general
23 understanding of the practical effect of this will?

24 A. I believe she did.

25 Q. Okay. And in your opinion, was Shirley

1 Bernstein unduly influenced by any beneficiary of
2 Exhibit 1 in connection with its execution?

3 A. Not to my knowledge.

4 Q. Okay. And do you have any knowledge of any
5 beneficiary or anyone actively procuring Exhibit 1?

6 A. No, I do not.

7 Q. Okay. Moving on to Exhibit 2, which is
8 Shirley Bernstein's trust executed on the same date,
9 that is May 20th of 2008, I'll direct your attention to
10 page 27 of Exhibit No. 2. And it appears that Shirley
11 Bernstein executed that document on May 20th of 2008.
12 And the witnesses were yourself and Traci -- I can't
13 read her last name.

14 A. Traci Kratish.

15 Q. Okay. Did Shirley Bernstein execute
16 Exhibit No. 2 in the presence of both you and Traci
17 Kratish?

18 A. Yes, she did.

19 Q. Okay. And did you execute Exhibit No. 2 in
20 the presence of Shirley Bernstein and Traci Kratish?

21 A. Yes, I did.

22 Q. Okay. And did Traci Kratish execute
23 Exhibit No. 2 in your presence and Shirley Bernstein's
24 presence?

25 A. Yes, she did.

1 Q. Okay. And at the time Shirley Bernstein
2 executed Exhibit No. 2, which is her 2008 trust, is it
3 your opinion that she had a general understanding of the
4 nature and extent of her property?

5 A. Yes, she did.

6 Q. Okay. And at the time that Shirley Bernstein
7 executed Exhibit No. 2, is it your opinion that she
8 understood generally the relationship of those who
9 would -- were the natural objects of her bounty?

10 A. Yes.

11 Q. Okay. And at the time Shirley Bernstein
12 executed Exhibit No. 2, is it your opinion that she
13 generally understood the practical effect of this
14 document?

15 A. I believe she did.

16 Q. Okay. And did you have any belief that
17 Shirley Bernstein was unduly influenced in connection
18 with -- by any beneficiary in connection with her
19 execution of Exhibit No. 2?

20 A. Not to my knowledge.

21 Q. Okay. And do you know or have any information
22 about any beneficiary or anyone else actively procuring
23 Exhibit No. 2?

24 A. I do not.

25 Q. Okay. And with respect -- now we'll move on

1 to Exhibit No. 3, which is the first amendment of
2 Shirley Bernstein's trust, executed on November 18th of
3 2008. And I'll direct your attention on that Exhibit 3
4 to Page No. 2. And on Page No. 2 --

5 Well, let me ask this question. Did Shirley
6 Bernstein execute Exhibit No. 3 in the presence of both
7 you and Rachel Walker?

8 A. Yes, she did.

9 Q. Okay. And did you execute Exhibit No. 3 in
10 the presence of Shirley Bernstein and Rachel Walker?

11 A. Yes, I did.

12 Q. And did Rachel Walker execute this document,
13 Exhibit No. 3, in the presence of Shirley Bernstein and
14 yourself?

15 A. Yes, she did.

16 Q. Okay. And at the time Exhibit No. 3 was
17 executed, is it your opinion that Ms. Bernstein
18 understood generally the nature and extent of her
19 property?

20 A. Yes, I believe so.

21 Q. And is it your opinion that at the time
22 Shirley Bernstein executed Exhibit No. 3, she generally
23 understood the relationship of those who would be the
24 natural objects of her bounty?

25 A. Yes, I believe so.

1 Q. Okay. And at the time Shirley Bernstein
2 executed Exhibit No. 3, is it your opinion that she
3 generally understood the practical effect of this trust
4 amendment?

5 A. Yes, I believe so.

6 Q. Okay. And do you have any knowledge or
7 information about any beneficiary or any other person
8 unduly influencing Shirley Bernstein to execute
9 Exhibit No. 3?

10 A. I do not.

11 Q. Okay. And do you have any knowledge or
12 information about any person, beneficiary or otherwise,
13 actively procuring Exhibit No. 3?

14 A. I do not.

15 Q. Okay. Moving on to Exhibit No. 4 then, which
16 is the will of Simon Bernstein, and that is a will that
17 Mr. Bernstein executed on July -- yes, July 25 of 2012.
18 And let me direct your attention to page 7 of that will,
19 Exhibit No. 4.

20 And did Simon Bernstein execute this document
21 in the presence of you and Kimberly Moran on July 25,
22 2012?

23 A. Yes, he did.

24 Q. And did you execute this document,
25 Exhibit No. 4, as a witness in the presence of Simon

1 Bernstein and Kimberly Moran on that date?

2 A. Yes, I did.

3 Q. And did Kimberly Moran execute Exhibit No. 4
4 as a witness in the presence of Simon Bernstein and
5 yourself?

6 A. Yes, she did.

7 Q. Okay. And on this date -- or at the time of
8 execution on this date of July 25, 2012, did Simon
9 Bernstein understand in a general way the nature and
10 extent of his property?

11 A. Yes, he did.

12 Q. Okay. At the time that Exhibit No. 4 was
13 executed, did Simon Bernstein generally understand the
14 relationship of those who would be the natural objects
15 of his bounty?

16 A. Yes, he did.

17 Q. And at the time Exhibit No. 4 was executed,
18 did -- in your opinion, did Simon Bernstein understand
19 the practical effect of this will?

20 A. Yes, he did.

21 Q. Okay. And do you have any knowledge or
22 information about any person, whether beneficiary or
23 otherwise, actively procuring this Exhibit No. 4?

24 A. No, I do not.

25 Q. Do you have any information about any person,

1 beneficiary or otherwise, unduly influencing Simon
2 Bernstein to execute Exhibit No. 4?

3 A. I do not.

4 Q. Okay. And moving on to the last document
5 then, Exhibit No. 5, which is the Simon Bernstein
6 Amended and Restated Trust Agreement, and I'll direct
7 your attention to page 24 of that Exhibit No. 5.

8 On July 25, 2012, did Simon Bernstein execute
9 this trust agreement in the presence of you and Kimberly
10 Moran?

11 A. Yes, he did.

12 Q. And did you execute this trust, Exhibit No. 5,
13 as a witness in front of Simon Bernstein and Kimberly
14 Moran?

15 A. I did.

16 Q. And did Kimberly Moran execute Exhibit No. 5
17 as a witness in front of Simon Bernstein and yourself?

18 A. She did.

19 Q. Okay. And at the time Simon Bernstein
20 executed Exhibit No. 5, in your opinion, did he
21 generally understand the nature and extent of his
22 property?

23 A. He did.

24 Q. And at the time Exhibit No. 5 was executed,
25 did Simon Bernstein, in your opinion, generally

1 understand the relationship of those who would be the
2 natural objects of his bounty?

3 A. He did.

4 Q. And did Simon Bernstein, when Exhibit No. 5
5 was executed, understand generally the practical effect
6 of this trust agreement?

7 A. Yes, he did.

8 Q. And at the time Exhibit No. 5 was executed, do
9 you have any knowledge about any person, whether
10 beneficiary or otherwise, unduly influencing
11 Mr. Bernstein, Simon Bernstein, to execute this
12 Exhibit No. 5?

13 A. Nothing that I'm aware of.

14 Q. Okay. And do you have any knowledge or
15 information about any person, whether beneficiary or
16 otherwise, actively procuring Exhibit No. 5?

17 A. I do not.

18 MR. MORRISSEY: I have no further questions,
19 Judge.

20 THE COURT: All right. Thanks.

21 Now, is there any cross? You're not required
22 to ask any questions, but you just need to let me
23 know if you're going to.

24 MR. BERNSTEIN: Oh, are you asking me? I had
25 no idea.

1 THE COURT: I'm not asking you. I'm just
2 telling you, if you have questions for the witness,
3 this is your opportunity to ask them; if you don't
4 have any questions, you don't have to ask any. But
5 if you're going to, you have to start now.

6 CROSS (ROBERT SPALLINA)

7 BY MR. BERNSTEIN:

8 Q. Mr. Spallina, you were called today to provide
9 some expert testimony, correct, on the --

10 A. No, I was not.

11 Q. Oh, okay. You're just going based on your
12 doing the work as Simon Bernstein's attorney and Shirley
13 Bernstein's attorney?

14 A. Yes.

15 Q. Okay. Are you still an attorney today?

16 A. I am not practicing.

17 Q. Can you give us the circumstances regarding
18 that?

19 A. I withdrew from my firm.

20 Q. Are you under a consent order with the SEC?

21 MR. ROSE: Objection. Relevance.

22 THE COURT: Sustained.

23 BY MR. BERNSTEIN:

24 Q. Did you sign a consent order for insider
25 trading --

1 A. Yes, I did.

2 Q. -- with the SEC?

3 You did. Can you give us the circumstances of
4 your consent order?

5 MR. ROSE: Objection. Relevance.

6 THE COURT: That won't be relevant. Please
7 move on to the next question.

8 MR. BERNSTEIN: Okay.

9 BY MR. BERNSTEIN:

10 Q. Were you -- did you plead to a felony crime?

11 MR. ROSE: Objection. Relevance.

12 THE COURT: Overruled.

13 MR. BERNSTEIN: Well, it's relevant as to --

14 THE COURT: I didn't ask for argument.

15 MR. BERNSTEIN: Well, what did you say?

16 THE COURT: I didn't ask for argument. I
17 sustained the objection -- no, I sustained the last
18 objection. This one I'm overruling.

19 You can answer.

20 MR. BERNSTEIN: I can't ask him if he's a
21 felon?

22 THE COURT: You're asking the wrong guy.

23 MR. BERNSTEIN: Okay. Are --

24 THE COURT: The witness is -- you asked the
25 question.

1 BY MR. BERNSTEIN:

2 Q. Are you a convicted felony?

3 THE COURT: Let's back up a second.

4 MR. BERNSTEIN: Yes, sir.

5 THE COURT: When you're asking for a ruling,
6 and I make one, then we're going to have the
7 witness answer.

8 MR. BERNSTEIN: Okay.

9 THE COURT: I made my ruling. I'm letting the
10 witness answer your earlier question, unless you're
11 withdrawing it. Are you withdrawing your earlier
12 question?

13 MR. BERNSTEIN: No.

14 THE COURT: You can answer the question, which
15 is, did you plead to a felony?

16 MR. BERNSTEIN: Sorry, sir.

17 THE WITNESS: I have not.

18 THE COURT: Okay. Next question.

19 BY MR. BERNSTEIN:

20 Q. Have you pled guilty to a misdemeanor?

21 A. I have not.

22 Q. Were you involved in a insider trading case?

23 MR. ROSE: Objection. Relevance.

24 THE COURT: Sustained. Next question.

25 MR. BERNSTEIN: Does that mean he doesn't have

1 to answer that?

2 THE COURT: How many times have you been in
3 court?

4 MR. BERNSTEIN: Just a few where I've had to
5 do this.

6 THE COURT: You know how this works.

7 MR. BERNSTEIN: I really don't.

8 THE COURT: All right. If I sustain an
9 objection, that's means he does not answer the
10 question.

11 MR. BERNSTEIN: Okay. And overruled?

12 THE COURT: If I overrule an objection, that
13 means the witness does answer the question.

14 MR. BERNSTEIN: Okay.

15 THE COURT: And I've asked you to ask your
16 next question.

17 MR. BERNSTEIN: Okay.

18 BY MR. BERNSTEIN:

19 Q. Is that your picture on the Florida Law
20 Review, SEC case settled against Florida attorneys?

21 MR. ROSE: Objection. Relevance.

22 THE COURT: Sustained.

23 Do you have any questions on the issues that I
24 have to decide in this case?

25 MR. BERNSTEIN: Well, his testimony is based

1 on his truthfulness.

2 THE COURT: My question is, do you have any
3 questions you want to ask about the issues relevant
4 to this case?

5 MR. BERNSTEIN: Yes. This is relevant to this
6 case.

7 THE COURT: I disagree.

8 MR. BERNSTEIN: Oh, okay.

9 THE COURT: I thought I made that very clear
10 in my ruling. You probably want to move on to a
11 relevant issue.

12 MR. BERNSTEIN: Okay.

13 BY MR. BERNSTEIN:

14 Q. Mr. Spallina, have you been in discussion with
15 the Palm Beach County Sheriff's Office regarding the
16 Bernstein matters?

17 MR. ROSE: Objection. Relevance.

18 THE COURT: Overruled.

19 You can answer that.

20 THE WITNESS: Yes, I have.

21 BY MR. BERNSTEIN:

22 Q. And did you state to them that you
23 fraudulently altered a Shirley trust document and then
24 sent it through the mail to Christine Yates?

25 A. Yes, I did.

1 Q. Have you been charged with that by the Palm
2 Beach County Sheriff yet?

3 A. No, I have not.

4 Q. Okay. How many times were you interviewed by
5 the Palm Beach County Sheriff?

6 MR. ROSE: Objection. Relevance.

7 THE COURT: Sustained.

8 BY MR. BERNSTEIN:

9 Q. Did you mail a fraudulently signed document to
10 Christine Yates, the attorney for Eliot Bernstein's
11 minor children?

12 MR. ROSE: Objection. Relevance.

13 THE COURT: Overruled.

14 THE WITNESS: Yes.

15 BY MR. BERNSTEIN:

16 Q. And when did you acknowledge that to the
17 courts or anybody else? When's the first time you came
18 about and acknowledged that you had committed a fraud?

19 A. I don't know that I did do that.

20 Q. Well, you just said you went to the Palm Beach
21 County Sheriff and admitted altering a document and put
22 it in the mail.

23 THE COURT: Let me stop you there. If you
24 want to ask the witness questions, you're permitted
25 to do that. If you would like to argue with the

1 witness, that's not -- do you have any questions
2 you want to ask?

3 MR. BERNSTEIN: Yes.

4 BY MR. BERNSTEIN:

5 Q. So you sent a fraudulent document to Eli
6 Bernstein's minor children's counsel.

7 Can you tell us what that document did to
8 affect the dispositive Shirley trust document?

9 A. It has no effect.

10 Q. What was its intended effect of altering the
11 document?

12 A. To carry out your father's wishes in the
13 agreement that he had made with the five of you for a
14 layperson that would be reading the documents.

15 Q. You were carrying out his wishes by
16 fraudulently altering a document?

17 MR. ROSE: Objection.

18 THE COURT: Sustained.

19 That's argumentative. I don't want you to
20 argue with the witness. That's an argument.

21 MR. BERNSTEIN: Okay.

22 BY MR. BERNSTEIN:

23 Q. Did the fraudulently altered document change
24 the beneficiaries that were listed in Shirley's trust?

25 A. They did not.

1 Q. Who are the beneficiaries of Shirley's trust?

2 A. It depends on -- under the trust instrument,
3 in the absence of Si exercising his power of
4 appointment, it would be yourself and your two sisters,
5 Lisa and Jill.

6 Q. Oh. So the only beneficiaries in Shirley's
7 trust are me, Lisa and Jill.

8 Is that directly or through a family trust?

9 A. Your father had established -- your parents
10 had established family trusts for the three of you to
11 receive assets from the trust.

12 Q. Okay. So in that document that you sent to
13 Christine Yates, did you include Ted and Pam's lineal
14 descendants under the amendment that you fraudulently
15 drafted and sent to her?

16 MR. ROSE: Objection. Argumentative.

17 THE COURT: Sustained.

18 BY MR. BERNSTEIN:

19 Q. Did in any way the document that you
20 fraudulently altered and sent to Yates change the
21 beneficiaries from Eliot, Lisa and Jill and their lineal
22 descendants to anybody else?

23 THE COURT: May I ask a question?

24 MR. BERNSTEIN: Yes, sir.

25 THE COURT: This document that you're

1 referring to, is anybody asking me to probate that
2 document?

3 MR. BERNSTEIN: Well, it's part of the estate
4 plan. It's part --

5 THE COURT: Is anybody seeking relief, either
6 you or the other side, under that document?

7 MR. BERNSTEIN: Yeah. They're seeking to
8 change the beneficiaries of my mom's trust through
9 that document and others.

10 THE COURT: You're misperceiving my question.

11 MR. BERNSTEIN: Oh, okay. Sorry.

12 THE COURT: That document, which
13 is -- nobody's put it in evidence; I don't know
14 what it is, but it's -- that thing that you're
15 asking the witness about, is somebody seeking
16 relief based upon that document?

17 MR. ROSE: Absolutely not. The opposite.

18 THE COURT: All right. Are you seeking relief
19 based upon that document?

20 MR. BERNSTEIN: Yeah. Oh, absolutely.

21 THE COURT: All right. Are you claiming that
22 that document is subject to probate?

23 MR. BERNSTEIN: Yeah.

24 THE COURT: Is the lady who's giving you
25 advice your attorney?

1 MR. BERNSTEIN: No.

2 THE COURT: Ma'am, are you admitted to the bar
3 in Florida? Remember what I told you earlier.
4 I've let you sit there as a courtesy. Generally, I
5 don't let wives or friends or anybody else sit at
6 the table where the parties are because it confuses
7 me. But you're giving that guy advice and you're
8 also not listening to me, which I find odd, because
9 I'm going to have you move you back to the gallery
10 now. Please have a seat in the gallery. Please
11 have a seat in the gallery. Please have a seat in
12 the gallery. Soon. When courtesy is not returned,
13 courtesy is withdrawn. Please have a seat in the
14 gallery. Thank you.

15 Do you have any other questions of the
16 witness?

17 MR. BERNSTEIN: Can I submit this as evidence
18 to the Court?

19 THE COURT: Is that the document you've been
20 asking the witness about?

21 MR. BERNSTEIN: Yeah.

22 THE COURT: All right. Any objection to it
23 being received as an exhibit?

24 MR. ROSE: I don't have any objection to it
25 being received as an exhibit. But as Your Honor

1 noted, we aren't seeking to probate it, and we're
2 not suggesting it's valid in the first place.

3 THE COURT: All right. Well, let me see what
4 that document is, so then I'll see if I can make
5 some sense out of it.

6 You can't -- Gary's always afraid that if
7 somebody's not a member of the bar, they might do
8 something bad to me. Officers of the court aren't
9 allowed to do things bad to the judge. Other folks
10 don't know that. And so Gary watches out carefully
11 for my well-being.

12 MR. BERNSTEIN: Gotcha.

13 THE COURT: Okay. So this is a document
14 that's titled "First Amendment to Shirley Bernstein
15 Trust Agreement."

16 MR. BERNSTEIN: Correct.

17 THE COURT: And it's in the book that I've
18 been given earlier by the plaintiff as Tab 6.
19 You're seeking to put it into evidence as
20 Defendant's 1?

21 MR. BERNSTEIN: Okay.

22 THE COURT: Right?

23 MR. BERNSTEIN: Sure. Yes, sir.

24 THE COURT: You're offering it as an exhibit?

25 MR. BERNSTEIN: No, Evidence 1.

1 THE COURT: The objection to it is that it's
2 not relevant?

3 MR. ROSE: Not relevant. Right, relevance.
4 And it's also not something we're seeking to be
5 probated or treated as authentic and genuine.

6 THE COURT: Well, the other side is seeking to
7 use the terms of this document instead of the terms
8 of the amendment that's in evidence, right?

9 MR. ROSE: I don't believe that's what he's
10 doing.

11 THE COURT: I'm not sure what he's doing, but
12 in an abundance of caution, I'm going to receive it
13 for what relevance it might have. I don't perceive
14 any yet, but we'll see what happens.

15 So this is Defendant 1.

16 (Defendant's Exhibit No. 1 was received into
17 evidence.)

18 THE COURT: Any other questions of the
19 witness?

20 MR. BERNSTEIN: Sure.

21 BY MR. BERNSTEIN:

22 Q. You've testified here about Kimberly Moran.
23 Can you describe your relationship with her?

24 A. She's been our long-time assistant in the
25 office.

1 Q. Was she convicted of felony fraudulent
2 notarization in the Estate of Shirley Bernstein?

3 MR. ROSE: Objection. Relevance.

4 THE COURT: Overruled.

5 You're asking if she was convicted of a felony
6 with respect to the Estate of Shirley Bernstein?

7 You can answer the question.

8 MR. BERNSTEIN: Correct.

9 THE WITNESS: I believe she was.

10 BY MR. BERNSTEIN:

11 Q. And what was she convicted for?

12 A. She had notarized the waiver releases of
13 accounting that you and your siblings had previously
14 provided, and we filed those with the court.

15 Q. We filed those with the court.

16 Your law firm submitted fraudulent documents
17 to the court?

18 A. No. We filed -- we filed your original
19 documents with the court that were not notarized, and
20 the court had sent them back.

21 Q. And then what happened?

22 A. And then Kimberly forged the signatures and
23 notarized those signatures and sent them back.

24 Judge Colon has a rule in his court to have
25 those documents notarized, even though that's not the

1 requirement under the Florida Probate Code.

2 Q. So when you didn't follow the rule, you
3 frauded [sic] and forged the document?

4 MR. ROSE: Objection. Argumentative.

5 THE COURT: Sustained.

6 THE WITNESS: I had nothing to do with that.

7 THE COURT: You've got to stop a second.

8 MR. BERNSTEIN: Yes, sir.

9 THE COURT: If you continue to argue with the
10 witness, then I'll assume you don't have any more
11 questions. I sustained that last objection to
12 argumentative.

13 MR. BERNSTEIN: I'm a little confused --

14 THE COURT: I'm sorry about your confusion,
15 but there are ways you could have dealt with that
16 before this trial. If you are confused during the
17 trial, you better get unconfused as quickly as you
18 can because bad things will happen. And I don't
19 want bad things to happen. I want to get the facts
20 so that I can accurately decide the case on its
21 merits.

22 Stop arguing, ask questions, let the witness
23 answer, and listen to any rulings that I make on
24 the objections. That's the last time I'll repeat
25 that advice to you. Thank you.

1 BY MR. BERNSTEIN:

2 Q. What law firm submitted those documents to the
3 court?

4 A. Tescher & Spallina, P.A.

5 Q. Are you a partner in that firm?

6 A. I was.

7 Q. So your firm that you were a partner with sent
8 in documents that were fraudulent to the court?

9 MR. ROSE: Objection. Cumulative.

10 THE COURT: Sustained.

11 BY MR. BERNSTEIN:

12 Q. Did Tescher & Spallina law firm submit
13 Kimberly Moran's forged and fraudulent document waivers
14 to the court?

15 MR. ROSE: Objection. Cumulative.

16 THE COURT: He already said he did.

17 MR. BERNSTEIN: What is that?

18 THE COURT: Cumulative means you've already
19 had that answer given.

20 MR. BERNSTEIN: No, I didn't have that.

21 THE COURT: He's already said that he did.

22 MR. BERNSTEIN: I'm asking if they deposited
23 them with the court.

24 THE COURT: And he said they didn't.

25 MR. BERNSTEIN: Well, I asked him, and he

1 said --

2 THE COURT: I won't argue with you. Do you
3 want to go on to the next item or not?

4 MR. BERNSTEIN: Oh, okay, I do.

5 THE COURT: Okay. Next question, please.

6 BY MR. BERNSTEIN:

7 Q. Did your office -- did you submit documents to
8 close the estate of Shirley with Simon as the personal
9 representative at a time Simon was dead?

10 A. We did.

11 Q. You did? Excuse me? I didn't hear an answer.

12 A. I said yes.

13 Q. So Shirley's estate was closed by a dead
14 personal representative.

15 Can you give me the time that the estate was
16 closed by Simon while he was dead?

17 MR. ROSE: Objection. Argumentative.

18 THE COURT: Overruled.

19 You can answer.

20 THE WITNESS: I believe it was October,
21 November 2012.

22 BY MR. BERNSTEIN:

23 Q. Do you want to check your records on that?

24 A. I believe it was after his death. I know he
25 died September 13, 2012. And we had received late from

1 one of your sisters the signed waiver. So it was
2 probably in November, somewhere around there.

3 Q. You stated that Simon -- that Kimberly did
4 five waivers for the siblings that she sent back in
5 fraudulently to the court through your law firm.

6 Did she also do a fraudulent forged signature
7 of a waiver for Simon?

8 A. I'm not sure. I guess if you're saying she
9 did --

10 Q. Well, the court has on file a waiver of
11 Simon's that she's admitted to.

12 A. We filed all of the waivers originally with
13 the court all signed by the appropriate parties, and the
14 court kicked those back. And she forged and notarized
15 new documents and sent them to the court. She felt she
16 had made a mistake.

17 Q. Okay. Are you aware of an April 9th full
18 waiver that was allegedly signed by Simon and you?

19 A. Yeah. That was the waiver that he had signed.
20 And then in the May meeting, we discussed the five of
21 you, all the children, getting back the waivers of the
22 accountings.

23 Q. Okay. And in that April 9th full waiver you
24 used to close my mother's estate, does Simon state that
25 he has all the waivers from all of the parties?

1 A. He does. We sent out -- he signed that, and
2 we sent out the waivers to all of you.

3 Q. Okay. So on April 9th of 2012, Simon signed,
4 with your presence, because your signature's on the
5 document, a document stating he had all the waivers in
6 his possession from all of his children.

7 Had you sent the waivers out yet as of
8 April 9th?

9 THE COURT: What is it that you want the
10 witness to answer? There was several questions.

11 MR. BERNSTEIN: Oh, compounded a little bit?

12 THE COURT: Yes.

13 MR. BERNSTEIN: Sorry.

14 THE COURT: So you even --

15 MR. BERNSTEIN: I'll kick that back.

16 THE COURT: So you even know the lingo of the
17 objections.

18 MR. BERNSTEIN: I'll kick that back to one at
19 a time, because it's an important point.

20 BY MR. BERNSTEIN:

21 Q. April 9th, 2012, you have a signed full waiver
22 of Simon's that says that he is in possession of all of
23 the signed waivers of all of the parties?

24 A. Standard operating procedure, to have him
25 sign, and then to send out the documents to the kids.

1 Q. Was Simon in possession -- because it's a
2 sworn statement of Simon saying, I have possession of
3 these waivers of my children on today, April 9th,
4 correct, the day you two signed that?

5 Okay. So if you hadn't sent out the waivers
6 yet to the --

7 A. I'm not certain when the waivers were sent
8 out.

9 Q. Were they sent out after the --

10 A. I did not send them out.

11 Q. Okay. More importantly, when did you receive
12 those? Was it before April 9th or on April 9th?

13 A. We didn't receive the first one until May.
14 And it was your waiver that we received.

15 Q. So how did you allow Simon, as his attorney,
16 to sign a sworn statement saying he had possession of
17 all of the waivers in April if you didn't get mine 'til
18 May?

19 MR. ROSE: Objection. I think it's relevance
20 and cumulative. He's already answered.

21 THE COURT: What's the relevance?

22 MR. BERNSTEIN: Oh, this is very relevant.

23 THE COURT: What is the relevance on the issue
24 that I have to rule on today?

25 MR. BERNSTEIN: On the validity? Well, it's

1 relevant. If any of these documents are relevant,
2 this is important if it's a fraud.

3 THE COURT: I'll sustain the objection.

4 MR. BERNSTEIN: Okay. Can I -- okay.

5 BY MR. BERNSTEIN:

6 Q. When did you get -- did you get back prior to
7 Simon's death all the waivers from all the children?

8 A. No, we did not.

9 Q. So in Simon's April 9th document where he
10 says, he, Simon, on April 9th has all the waivers from
11 his children while he's alive, and you didn't even get
12 one 'til after he passed from one of his children, how
13 could that be a true statement?

14 MR. ROSE: Objection. Relevance. Cumulative.

15 THE COURT: Sustained.

16 Here's what I'm going to decide at the end of
17 the day; I'm going to decide whether Shirley's 2008
18 will and trust and 2008 amendment are valid and
19 enforceable. I'm going to decide whether Simon's
20 2012 will and 2012 trust documents are valid and
21 enforceable. You have a lot more on your mind than
22 I have on mine. You do. Right? But those are the
23 things that I'm working on. So I'm focused like a
24 laser and you're focused more like a shotgun. I'm
25 telling you this so that you can focus more tightly

1 on the questions you're asking and the facts you're
2 developing so they'll help me make an accurate
3 decision on those things that I'm going to decide
4 today. You can keep asking questions that don't go
5 anywhere, but I would hope that you'll adjust your
6 approach so that you'll help me make an accurate
7 decision.

8 MR. BERNSTEIN: Okay.

9 BY MR. BERNSTEIN:

10 Q. And on validity, let's just get right to that
11 real quick. You've testified to a lot of documents here
12 today, correct, of the estate documents you drafted,
13 correct?

14 A. Yes, I did.

15 Q. Did you gain any pecuniary interest, did you
16 gain any titles in those documents?

17 A. Pecuniary interest? No. I was named by your
18 father as personal representative and trustee of his
19 trust.

20 Q. And so you executed -- you drafted the
21 documents, you signed them as a witness, and you gained
22 interest in the documents, correct?

23 A. No, I did not.

24 Q. You didn't gain interest as a trustee --

25 MR. ROSE: Objection.

1 BY MR. BERNSTEIN:

2 Q. -- or a personal representative of those
3 documents?

4 MR. ROSE: Objection. Cumulative. Asked and
5 answered.

6 THE COURT: Overruled.

7 THE WITNESS: I was named as his personal
8 representative and trustee, along with my partner.

9 BY MR. BERNSTEIN:

10 Q. Did you witness the document?

11 A. I did.

12 Q. Did you draft the document?

13 A. I did.

14 Q. Okay. You mentioned there was Kimberly Moran
15 there at the signing of these documents, correct?

16 A. She was.

17 Q. Okay. Can you point her out, because I'm
18 going to need her to testify as to the validity?

19 A. I do not see her in the courtroom.

20 Q. Okay. You mentioned a Traci Kratish. Can you
21 point her out in the courtroom today to validate the
22 documents?

23 A. I don't see Traci in the room either.

24 Q. So she was another witness that is not here
25 present to validate the documents today? Well, it's

1 awful -- okay.

2 Is Kimberly Moran here who notarized the
3 documents.

4 MR. ROSE: Objection. Cumulative. Asked that
5 a minute ago.

6 MR. BERNSTEIN: I didn't -- did I? Was it
7 Moran --

8 THE COURT: No, I thought it was some other
9 name.

10 MR. BERNSTEIN: So did I.

11 THE COURT: Is Kimberly here?

12 THE WITNESS: She's not.

13 THE COURT: Okay. Next question.

14 BY MR. BERNSTEIN:

15 Q. Okay. Being a former estate planning
16 attorney. To validate a document, wouldn't you have the
17 parties who witnessed and notarized and signed present?

18 MR. ROSE: Objection. Relevance.

19 Misstates --

20 THE COURT: Sustained.

21 BY MR. BERNSTEIN:

22 Q. Is it necessary to validate documents with the
23 necessary notaries and witnesses present?

24 MR. ROSE: Objection. Calls for a legal
25 conclusion.

1 THE COURT: Well, I'm the one that's going
2 make that decision. I don't care what the witness
3 says about the law.

4 MR. BERNSTEIN: I gotcha. Okay.

5 THE COURT: So this would be a good time for
6 us to take a pause. We're not making headway.

7 You ever here of cavitation when it comes to
8 boat propellers?

9 MR. BERNSTEIN: No.

10 THE COURT: Okay. I don't know a lot about
11 the physics of it, but a boat goes forward based on
12 a propeller spinning in the water. And it happens
13 sometimes in racing boats, maybe other boats too,
14 that you get the propeller going so fast or you do
15 something so much with the propeller that it
16 cavitates, which means that it's not actually
17 pushing in the water. It's making a lot of noise.
18 It's spinning like crazy. It's furiously working,
19 but it's not propelling the boat forward. I want
20 to suggest to you that you've hit a point of
21 cavitation. So this would be a good time for us to
22 take our lunch break so that when we get back we'll
23 go forward with this ship that is our trial.

24 MR. BERNSTEIN: How long?

25 THE COURT: It'll be until 1:30.

1 MR. BERNSTEIN: Okay.

2 THE COURT: That'll give everybody a time to
3 revive, if necessary, and we'll reconstitute
4 ourselves at 1:30. Thanks.

5 (A break was taken.)

6 (Proceedings continued in Volume 2.)

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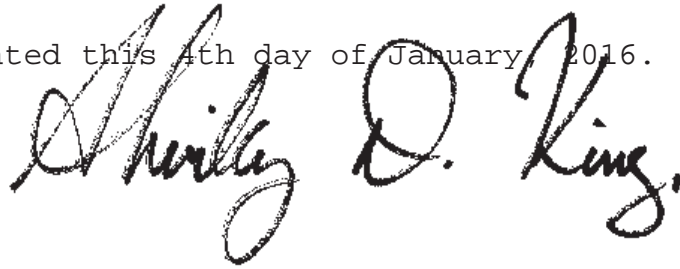
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C E R T I F I C A T E

STATE OF FLORIDA
COUNTY OF PALM BEACH

I, Shirley D. King, Registered Professional
Reporter, State of Florida at large, certify that I was
authorized to and did stenographically report the
foregoing proceedings and that the transcript is a true
and complete record of my stenographic notes.

Dated this 4th day of January, 2016.



Shirley D. King, RPR, FPR

Job #1358198-VOL 1

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1 IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
2 IN AND FOR PALM BEACH COUNTY, FLORIDA
3 CASE No. 502014CP003698XXXXNB

4 TED BERNSTEIN,

5 Plaintiff,

6 -vs-

7 DONALD R. TESCHER, ELIOT IVAN BERNSTEIN,
8 LISA SUE FRIEDSTEIN, JILL MARLA IANTONI, et al.,

9 Defendants.

10 TRIAL BEFORE THE HONORABLE
11 JOHN L. PHILLIPS
12 VOLUME 2 PAGES 117 - 260

13 Tuesday, December 15, 2015
14 North County Courthouse
15 Palm Beach Gardens, Florida 33410
16 9:43 a.m. - 4:48 p.m.

17 Reported By:
18 Shirley D. King, RPR, FPR
19 Notary Public, State of Florida
20 West Palm Beach Office Job #1358198- VOL 2

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P R O C E E D I N G S

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(Proceedings continued from Volume 1.)

THE COURT: We're ready to resume. Our witness is still under oath.

Is there any further cross-examination?

MR. BERNSTEIN: Yes.

THE COURT: Okay.

CROSS (ROBERT SPALLINA) (Cont'd)

BY MR. BERNSTEIN:

Q. Mr. Spallina, just to clarify --

MR. ROSE: Your Honor, can he just stand at the podium?

THE COURT: Okay. Well, use the podium. Your microphone will help explain your questions. But you can walk up there. If you need to show the witness a document or something, that's fine.

MR. BERNSTEIN: Okay.

BY MR. BERNSTEIN:

Q. Did you -- are you a member of the Florida Bar?

A. Yes, I am.

Q. Currently?

A. Yes, I am.

Q. Okay. You said before you surrendered your

1 license.

2 A. I said I withdrew from my firm. It wasn't
3 that I was not practicing.

4 Q. Okay. In the chain of custody of these
5 documents, you stated that there were three copies made?

6 A. Yes.

7 Q. Do you have those three original trust copies
8 here?

9 A. I do not.

10 MR. BERNSTEIN: Does anybody?

11 THE COURT: Do you have any other questions of
12 the witness?

13 MR. BERNSTEIN: Yeah. I wanted to ask him
14 some questions on the original documents.

15 THE COURT: Okay. Keep going.

16 BY MR. BERNSTEIN:

17 Q. Okay. So the original documents aren't in the
18 court?

19 A. I don't have them.

20 Q. Your firm is not in possession of any of the
21 original documents?

22 A. I'm not sure. I'm not at the firm anymore.

23 Q. When you left the firm, were there documents
24 still at the firm?

25 A. Yes, there were.

1 Q. Were you ordered by the court to turn those
2 documents over to the curator, Benjamin Brown?

3 A. I don't recall.

4 MR. ROSE: Objection. Can he clarify the
5 question, which documents? Because I believe the
6 curator was for the estate, and the original will
7 was already in file, and the curator would have no
8 interest in the trust --

9 THE COURT: Which documents? When you say
10 "those documents," which ones are you referring to?

11 MR. BERNSTEIN: Any of the trusts and estate
12 documents.

13 THE COURT: Okay. That's been clarified.
14 You can answer, if you can.

15 THE WITNESS: I believe that he was given -- I
16 believe all the documents were copied by
17 Mr. Pollock's office, and that he was given some
18 type of zip drive with everything. I'm not sure,
19 though. I couldn't --

20 BY MR. BERNSTEIN:

21 Q. Did the zip drive contain the original
22 documents?

23 A. Did not. I believe the original documents
24 came back to our office. Having said that, we would
25 only have -- when we made and had the client execute

1 three documents, two originals of those documents would
2 remain with the client, and then we would keep one
3 original in our file, except -- including, most of the
4 time, the original will, which we put in our safe
5 deposit box. So we would have one original of every
6 document that they had executed, including the original
7 will, and they would keep two originals of everything,
8 except for the will, which we would give them conformed
9 copies of, because there was only one original will.

10 Q. Okay. I asked a specific question. Did your
11 firm, after the court order of Martin Colin, retain
12 documents, original documents?

13 MR. ROSE: Objection. Sorry. I should have
14 let him finish.

15 MR. BERNSTEIN: -- original documents?

16 THE WITNESS: I believe --

17 MR. ROSE: Relevance and misstates the --
18 there's no such order.

19 THE COURT: Well, the question is, Did your
20 firm retain the original documents?

21 Is that the question?

22 MR. BERNSTEIN: Yes, sir.

23 THE COURT: Overruled.

24 Answer, please.

25 THE WITNESS: I believe we had original

1 documents.

2 BY MR. BERNSTEIN:

3 Q. After the date you were court ordered to
4 produce them to the curator?

5 MR. ROSE: Object -- that's the part I object
6 to.

7 THE COURT: Sustained.

8 MR. BERNSTEIN: Okay.

9 BY MR. BERNSTEIN:

10 Q. To your knowledge -- so, to your knowledge,
11 the documents can't all be here since they may be at
12 your firm today?

13 A. I don't practice at the firm anymore, so I'm
14 not sure where the documents are.

15 Q. Okay. And you said you made copies of all the
16 documents that you turned over to the curator? Did you
17 turn over any original documents as ordered by the
18 court?

19 MR. ROSE: Objection. Same objection.

20 There's no court order requiring an original
21 document be turned over.

22 THE COURT: What order are you referring to?

23 MR. BERNSTEIN: Judge Colin ordered when they
24 resigned due to the fraudulent alteration of the
25 documents that they turn over --

1 THE COURT: I just said, what order are you
2 referring to?

3 MR. BERNSTEIN: It's an order Judge Colin
4 ordered.

5 THE COURT: All right. Well, produce that
6 order so I can see it, because Judge Colton's [sic]
7 been retired for six or seven years.

8 MR. BERNSTEIN: Okay. I don't have it with
9 me, but...

10 THE COURT: Well, Judge Colton's a retired
11 judge. He may have served in some other capacity,
12 but he doesn't enter orders, unless he's sitting as
13 a replacement judge. And that's why I'll need to
14 see the order you're talking about, so I'll know if
15 he's doing that. Okay. Thanks. Next question.

16 BY MR. BERNSTEIN:

17 Q. Okay. Has anyone, to the best of your
18 knowledge, seen the originals while you were in custody
19 of them?

20 A. Yes.

21 Q. Okay. Who?

22 A. I believe Ken Pollock's firm was -- Ken
23 Pollock's firm was the firm that took the documents for
24 purposes of copying them.

25 Q. Did anybody ask you, refer copies to inspect

1 the documents?

2 A. Other than Ken Pollock's office, I don't
3 recall.

4 Q. Did I ask you?

5 A. Perhaps you did.

6 MR. BERNSTEIN: Okay. I'd like to go through
7 some of the documents with him real quick. But I
8 don't have my wife to hand me the documents, so
9 it's going to take me incredibly long. These are
10 just copies I have. Can I approach him?

11 THE COURT: All approaches are okay.

12 MR. BERNSTEIN: Okay.

13 BY MR. BERNSTEIN:

14 Q. Are these the documents that you drafted,
15 Shirley's will and Shirley's trust agreement?

16 MR. ROSE: Your Honor, could I see what he's
17 handing the witness before he hands it to them?

18 THE COURT: Say again.

19 MR. ROSE: I don't know what he's handing the
20 witness.

21 THE COURT: All right. You'll need to show
22 the other side the documents that you're handing to
23 the witness so that they're looking at the same
24 thing you're talking about.

25 MR. ROSE: These are not accurate. These are

1 multiple things stapled together. I'd object to
2 the exhibit -- or the use of it.

3 THE COURT: Ma'am, if you come back up past
4 that bar one more time, you'll be in contempt of
5 court. I don't want you to be in contempt of
6 court. Do you understand my instruction?

7 MRS. BERNSTEIN: Yes.

8 THE COURT: Thank you.

9 MR. ROSE: I don't know if that's filed with
10 the court and I don't know that these are genuine.
11 And the second document has attached to it --

12 THE COURT: Well, you don't need to tell me
13 what the papers are. The thing that the person
14 who's asking the questions has to do is show you
15 the documents that he's going to show the witness.

16 MR. ROSE: Okay.

17 THE COURT: Then I intend to move forward. I
18 expect he'll show the witness the documents and
19 then he'll probably ask a question.

20 Am I right?

21 MR. BERNSTEIN: Do you want to see those?

22 THE COURT: Nope.

23 So then if there's an objection to the
24 documents coming in, if at some time they're
25 proffered as an exhibit, then I'll take the

1 objection.

2 Have you seen the documents that are in his
3 hand that are going to be shown to the witness?

4 MR. ROSE: Oh, yes, sir. I'm sorry.

5 THE COURT: Okay. That's fine.

6 Proceed.

7 BY MR. BERNSTEIN:

8 Q. Okay. Can you look at the initials on the
9 pages of that document and describe them -- describe
10 what they look like?

11 A. The initials?

12 Q. Yes.

13 A. On each page, there's an SB --

14 Q. Okay.

15 A. -- for your mother's initials.

16 Q. And it's clearly SB?

17 A. Is it clearly SB?

18 Q. Yeah. Looks like SB?

19 A. Yes, it's clearly SB.

20 Q. Okay. And on this will signed on the same
21 date by my mother in your presence, is that my mom's
22 initials? And does it look like an SB? Do they even
23 look similar?

24 A. Well, your mother was asked to sign these
25 documents.

1 Q. Okay.

2 A. When we execute a will, unlike the bottom of
3 the trust agreement where we initial the trust pages, on
4 the bottom of the will, she's supposed to sign her
5 signature. And which she has done at the bottom of each
6 page, is sign her signature consistent with the
7 signature page that she signed.

8 Q. So what you're saying is, she signed this
9 document, that she initialed this document?

10 A. Right. We only ask that for purposes of the
11 trust that they initial each page. For purposes of the
12 will, that they sign each page.

13 So this is the signature that she has -- this
14 is her signature on the bottom of this document.

15 Q. Well, there's no line saying that's her
16 signature, correct? There would be --

17 A. But that was our practice.

18 Q. Okay.

19 A. That was our practice, to have --

20 Q. Okay. You testified to my dad's state of mind
21 that he was fine.

22 Si was usual when you saw him from May through
23 his death; is that correct?

24 A. Are you speaking about 2012?

25 Q. Yes.

1 A. Correct.

2 Q. Are you aware of any medical problems my
3 father was having at that time?

4 A. No, I'm not.

5 Q. Are you aware of any stress he was under?

6 A. No, I was not.

7 Q. Mr. Rose had you read into or -- read into the
8 record a letter that I wrote with my waiver, saying,
9 anything -- I haven't seen the dispositive documents,
10 but I'll do anything, 'cause my dad is under stress, to
11 relieve him of his stress.

12 Do you know what stress I was referring to?

13 A. I don't.

14 Q. Were you in the May meeting with my father,
15 May 10, 2012?

16 A. I was -- are you talking about on the
17 telephone call?

18 Q. Correct.

19 A. I wasn't together with him.

20 Q. Okay. Were you together with anybody on that
21 call?

22 A. No. I was on -- in my -- my office phone.

23 Q. Okay. And at that meeting, did Si state that
24 he was having this meeting to end disputes among certain
25 parties and himself?

1 A. I don't recall.

2 Q. Were there any disputes you were aware of?

3 A. The only thing that he ever brought to my
4 attention was the letter that Pam had sent him.

5 Q. And what did Pam's letter state, basically?

6 A. I can't remember it. I mean, it was the
7 letter that he showed me in February of 2012. But the
8 general gist of that letter was that she was unhappy
9 about not being part of their estates.

10 Q. Just her or her and her children?

11 A. She may have spoke to her children.

12 Q. Was there anybody else who was left out of the
13 wills and trusts?

14 A. That was causing him stress?

15 Q. No. Just anybody at this point that was left
16 out, other than Pam.

17 A. Yes. Ted.

18 Q. And are you aware of anything Ted and Pam were
19 doing to force upon Si changes?

20 A. Not to my knowledge, other than the letter
21 that Pam had sent to him just expressing her
22 dissatisfaction.

23 Q. You said you talked to her attorney?

24 A. I talked to her attorney.

25 Q. And you told her attorney, while Si was

1 living, that she had been cut out of the estates and
2 trusts with her brother Ted?

3 A. I don't recall the conversation with the
4 attorney, but, ultimately, Si gave me authorization to
5 send documents to the attorney. So we may have had a
6 conversation about it.

7 Q. So you're stating that Si told you to -- he
8 authorized you to tell his daughter that she had been
9 cut out of the estates and trusts?

10 A. He authorized me to send documents to the
11 attorney.

12 Q. Did you send those documents to the attorney?

13 A. I believe we did, yes.

14 Q. Okay. Was Ted and his lineal descendants
15 disinherited?

16 A. They were, under the original documents.

17 Q. Well, under Shirley's document that's
18 currently theirs, Ted considered predeceased for all
19 purposes of disposition according to the language in the
20 document you drafted?

21 A. To the extent that assets passed to him under
22 the trust.

23 Q. Well, the document says, for all purposes of
24 disposition, Ted Bernstein is considered predeceased,
25 correct?

1 A. You'll have to state the question again.

2 Q. Does the document you drafted say that Ted
3 Bernstein is both considered predeceased under the
4 beneficiary definition with his lineal descendants and
5 considered predeceased for all purposes of dispositions
6 of the trust?

7 MR. ROSE: Objection. Best evidence. The
8 document's in evidence.

9 THE COURT: Sustained.

10 MR. BERNSTEIN: I'll have him read it.

11 THE COURT: Well, I mean, I can read it. It's
12 in evidence. So when it comes time, just point me
13 to the part that you want me to read, and I'll read
14 it. But I don't need to have the witness read it
15 to me. That's of no benefit.

16 MR. ROSE: Your Honor, and for the record,
17 those issues are part of the other counts and
18 aren't being tried today.

19 MR. BERNSTEIN: Page 7, Your Honor, of the
20 Shirley trust.

21 THE COURT: What exhibit number is that?

22 MR. BERNSTEIN: You want me to enter it as my
23 exhibit?

24 THE WITNESS: Plaintiff's Exhibit 2, Your
25 Honor.

1 THE COURT: All right. Let me go to page 7 of
2 Plaintiff's 2.

3 MR. BERNSTEIN: Can I enter this one into the
4 record?

5 THE COURT: Is it the same as the one I
6 already have?

7 MR. BERNSTEIN: According to Alan, it's not.

8 THE COURT: According to who?

9 MR. BERNSTEIN: Mr. Rose.

10 THE COURT: All right. Well, if it comes time
11 for you to put any exhibits in on your case, if
12 that's not a duplicate of an exhibit that's already
13 in, you're welcome to put it into evidence. But
14 this is not the time when you put evidence in.
15 This is the time when you're cross-examining the
16 plaintiff's witness.

17 MR. BERNSTEIN: Okay.

18 THE COURT: So on Page 7 of Plaintiff's 2, you
19 can go on with your questioning.

20 BY MR. BERNSTEIN:

21 Q. Are you there and are we on the same page?

22 Yes?

23 A. Yes, I am.

24 Q. Okay. In the definition of -- under E1, do
25 you see where it starts "notwithstanding the foregoing"?

1 A. Yes.

2 Q. Okay. Can you read that?

3 A. "Notwithstanding the foregoing, as I have
4 adequately provided for them during my lifetime, for
5 purposes of the dispositions made under this trust to my
6 children, Ted S. Bernstein and Pamela B. Simon and their
7 respective lineal descendants shall be deemed to have
8 predeceased the survivor of my spouse and me, provided,
9 however, if my children Eliot Bernstein, Jill Iantoni
10 and" --

11 Q. Okay, that's -- you can stop there.

12 Would you consider making distributions a
13 disposition under the trust?

14 A. It would it depend on other factors.

15 Q. What factors?

16 MR. ROSE: Objection. Relevancy.

17 THE COURT: Sustained.

18 BY MR. BERNSTEIN:

19 Q. Is a validity hearing a disposition of the
20 trust?

21 MR. ROSE: Objection. Calls for a legal
22 conclusion.

23 THE COURT: Sustained.

24 MR. BERNSTEIN: Well, he drafted the document,
25 so I'm trying to get what his meaning was when he

1 put it in. And it's relevant to the hearing today.

2 THE COURT: I ruled it's not relevant.

3 MR. BERNSTEIN: Oh, you did rule that?

4 THE COURT: Do you have another question of
5 the witness? Or we're moving on.

6 MR. BERNSTEIN: Okay.

7 BY MR. BERNSTEIN:

8 Q. So for purposes of disposition, Ted, Pam and
9 her lineal descendants are considered predeceased,
10 correct?

11 MR. ROSE: Objection. Relevancy, cumulative
12 and best evidence.

13 THE COURT: Sustained.

14 The document says what it says.

15 MR. BERNSTEIN: Okay.

16 THE COURT: When you ask a witness if it says
17 what it says, I don't pay any attention to his
18 answer, because I'm reading what it says.

19 MR. BERNSTEIN: Okay.

20 BY MR. BERNSTEIN:

21 Q. Did you produce a fraudulent copy of the
22 Shirley trust agreement?

23 A. No, I did not.

24 Q. So when you sent to Christine Yates this trust
25 agreement with the attached amendment that you've

1 already admitted you fraudulently altered, was that
2 producing a not valid copy of the trust that was
3 distributed to a party?

4 A. We've already talked about the amendment was
5 not a valid amendment.

6 Q. No, I'm asking, did you create a not valid
7 trust of my mother's and distribute it to Christine
8 Yates, my children's attorney?

9 MR. ROSE: Objection. Cumulative. He's
10 covered this.

11 MR. BERNSTEIN: Well, it has to go to the
12 validity, Your Honor, because --

13 THE COURT: The question I'm figuring out is,
14 have we already covered this?

15 MR. BERNSTEIN: We touched on a piece of it.
16 The more important part --

17 THE COURT: Okay. Then I'll let you reask
18 your question to cover something that we've not
19 already covered.

20 MR. BERNSTEIN: Okay. And we covered that
21 the --

22 THE COURT: You don't have to remind me.

23 MR. BERNSTEIN: Oh, okay.

24 THE COURT: Listen, see, this -- look at this.
25 I take notes. I write stuff down. Now, a lot of

1 times, if you see me not writing and I'm doodling,
2 that means you're not scoring any points.

3 MR. BERNSTEIN: You've got to show me --

4 THE COURT: The point is, I should be writing
5 notes. So that means you're not doing any good.

6 MR. BERNSTEIN: Gotcha.

7 THE COURT: So, please, the reason I write it
8 is so we don't have to repeat things.

9 BY MR. BERNSTEIN:

10 Q. Okay. You've already stated that you created
11 a fraudulent amendment.

12 Did you attach it to a Shirley trust document?

13 A. No. We included the amendment with the
14 documents that we transmitted to her.

15 Q. So it was included as part of the Shirley
16 trust document as an amendment, correct?

17 A. It was included as an amendment.

18 Q. To the Shirley trust document.

19 Thereby, you created a fraudulent copy, a not
20 valid copy of the Shirley trust, correct?

21 MR. ROSE: Objection. Argumentative.

22 Cumulative.

23 THE COURT: Overruled.

24 You can answer. Did that create a fraudulent
25 version of the trust?

1 THE WITNESS: It could have, yes, Your Honor.

2 BY MR. BERNSTEIN:

3 Q. Can you explain why it couldn't have?

4 A. Because Si ultimately exercised his power of
5 appointment, which was broader than the definitional
6 provision in the document.

7 Q. That's not my question. I'll just say it was
8 asked and not answered.

9 Okay. So there are not validly -- not valid
10 Shirley trust agreements in circulation, correct?

11 A. That's not true.

12 Q. Well, the Shirley trust agreement you said
13 sent to Christine Yates you've just stated was invalidly
14 produced.

15 A. To Christine Yates.

16 Q. Yeah, okay. So I said "in circulation."

17 Is Christine Yates out of circulation?

18 A. I don't know what Christine Yates did with the
19 documents.

20 Q. Well, I got a copy, so they're even more in
21 circulation.

22 So my point being, you sent from your law firm
23 fraudulent -- a non-valid copy of the document --

24 A. Which document?

25 Q. -- the Shirley trust and her amendment to

1 Christine Yates, right?

2 MR. ROSE: Objection. Cumulative.

3 THE COURT: Sustained.

4 MR. BERNSTEIN: Okay. We'll move on from
5 that.

6 BY MR. BERNSTEIN:

7 Q. Would you know about when you did that
8 fraudulent alteration of the document?

9 A. January 2013.

10 Q. And you were a fiduciary -- or you were
11 counsel to the alleged fiduciary, Ted Bernstein, of the
12 Shirley Bernstein trust, correct?

13 A. Yes, we were.

14 Q. And you were counsel to Ted Bernstein as the
15 alleged personal representative of Shirley's estate?

16 A. Yes, we were.

17 Q. And as Ted's counsel in the Shirley trust, can
18 you describe what the not valid trust agreement that was
19 sent to Ms. Yates did to alter the beneficiaries of the
20 document?

21 MR. ROSE: Objection. Cumulative.

22 THE COURT: Overruled.

23 What alterations did that make to the
24 beneficiaries?

25 THE WITNESS: It didn't make any alterations

1 to the beneficiaries. The document's not a valid
2 document and so it couldn't have made any changes
3 to the estate planning.

4 BY MR. BERNSTEIN:

5 Q. Okay. But what did it intend to do?

6 MR. BERNSTEIN: Sorry. Excuse me, Your Honor.
7 What did you say?

8 THE COURT: Next question.

9 BY MR. BERNSTEIN:

10 Q. Okay. What did it intend to do?

11 A. I answered that question earlier.

12 THE COURT: I can't let the witness object to
13 questions. That won't work.

14 THE WITNESS: I'm sorry, Your Honor. Earlier
15 you asked me the question, and I responded to you
16 that it was to carry out your father's intent and
17 the agreement that you all had made prior to his
18 death, on that telephone call, and to have a
19 document that would provide, perhaps, clarity to a
20 vague misinterpretation of your mother's document.

21 BY MR. BERNSTEIN:

22 Q. So instead of going to the court, you just
23 frauded a document to an attorney, who's representing
24 minor children in this case -- produce a fraudulent copy
25 of the trust document, making us have total trouble

1 understanding what's real and not, especially with your
2 firm's history of fraudulent and forged documents
3 submitted to the court in this case.

4 THE COURT: Okay. Thanks. You're just
5 ranting. Ranting is not allowed.

6 MR. BERNSTEIN: Sorry.

7 THE COURT: If you'd like to ask a question,
8 I'll let you do that. If I have to call you on
9 this too many more times, I'm going to assume that
10 you're done questioning the witness.

11 MR. BERNSTEIN: Okay.

12 BY MR. BERNSTEIN:

13 Q. When did you first meet my parents?

14 A. 2007.

15 Q. And how did you meet them?

16 A. I met them through someone that made a
17 referral to them to our office.

18 Q. You didn't know Ted Bernstein prior to meeting
19 Si?

20 A. I don't recall who we met first. I'm not
21 sure.

22 Q. What firm were you with at the time?

23 A. Tescher, Gutter, Chaves, Josepher, Rubin and
24 Ruffin and Forman.

25 Q. And how long were you with them?

1 A. Five-plus years.

2 Q. And where were you before that?

3 A. I was in school.

4 Q. Okay. Did you work at Sony Digital ever?

5 A. I did.

6 Q. You did. And when was that, before school or
7 after?

8 A. That was from 1994 to '96.

9 Q. So after school?

10 A. After college.

11 Q. Okay. So that was -- you just forgot about
12 that one in your history.

13 Is there any other parts of your biography I'm
14 missing?

15 MR. ROSE: Objection. Argumentative.

16 THE COURT: Sustained.

17 BY MR. BERNSTEIN:

18 Q. Can you repeat, since I'm -- there was a
19 little clarification error there. Your history, you
20 started --

21 THE COURT: That's not necessary to repeat the
22 history. Do you have a new question?

23 MR. BERNSTEIN: Well, I'm trying to get the
24 history.

25 THE COURT: I don't want him to repeat what

1 he's already said. That moves the case backwards.

2 I want to go forward. You're cavitating.

3 MR. BERNSTEIN: Okay.

4 BY MR. BERNSTEIN:

5 Q. Did the altered trust document sent to
6 Christine Yates attempt to convince Yates and others she
7 sent that document to that Ted and Pam's lineal
8 descendants were actually inside the document?

9 A. Say the question again.

10 Q. Well, we read the section where they're
11 considered predeceased, Ted and Pam and their lineal
12 descendants.

13 When you altered that amendment that you said
14 you were just doing Si's wishes postmortem by altering a
15 document, my question is, did you put language in there
16 that would have made Ted and Pam's lineal descendants
17 now beneficiaries of Shirley's trust?

18 MR. ROSE: Objection. I think it's
19 cumulative. We've covered this.

20 THE COURT: Sustained.

21 MR. BERNSTEIN: Okay.

22 BY MR. BERNSTEIN:

23 Q. Can the beneficiary of Shirley's trust be Ted,
24 Pam or their lineal descendants?

25 A. If the assets of her trust were to pass under

1 the trust, no --

2 Q. Okay.

3 A. -- under the trust.

4 Q. So in the trust language of the Shirley trust
5 document, Ted's lineal descendants and Pam's lineal
6 descendants can get no dispositions, distributions,
7 whatever you want to call it?

8 A. You have to ask the question in a different
9 way, because I answered the question. I said, if it
10 passes under the trust, that they would not inherent.
11 If.

12 Q. Okay. When Shirley died, was her trust
13 irrevocable at that point?

14 A. It was.

15 Q. Who were the beneficiaries?

16 A. Simon Bernstein.

17 Q. And who were the beneficiaries -- well, Simon
18 Bernstein wasn't a beneficiary. He was a trustee.

19 A. No, he became the beneficiary of her trust
20 when she died. He was the sole beneficiary of her trust
21 when she died.

22 Q. Okay. And then who would it go to when he
23 died?

24 MR. ROSE: Objection. Cumulative.

25 THE COURT: Sustained.

1 BY MR. BERNSTEIN:

2 Q. Okay. When Simon died, who would the benefits
3 of Shirley's trust go to?

4 MR. ROSE: Objection. Cumulative.

5 THE COURT: Are you asking him to tell you
6 what would happen if the mother died first, then
7 the father died second, and we have the trust
8 documents and the wills that are in place so far
9 that have been testified to at the trial?

10 MR. BERNSTEIN: Correct.

11 THE COURT: I already know all that stuff.

12 MR. BERNSTEIN: Well --

13 THE COURT: So what is the new question you
14 want to ask that's not cumulative?

15 MR. BERNSTEIN: Okay. Well, I'm trying to get
16 to a very significant point there.

17 THE COURT: Get there. Just go there and see
18 what happens.

19 MR. BERNSTEIN: I just have to learn to ask
20 these questions a little more like a lawyer.

21 THE COURT: Yes.

22 MR. BERNSTEIN: So I have to rethink how to
23 ask that.

24 BY MR. BERNSTEIN:

25 Q. Do you recall talking to Detective Ryan

1 Miller?

2 MR. ROSE: Objection. Relevance.

3 THE COURT: Sustained.

4 BY MR. BERNSTEIN:

5 Q. Can you tell me all the roles you had in these
6 estates and trusts, and your partner, Don Tescher?

7 A. We were the attorneys to your parents. Upon
8 your dad's death, we became counsel to his estate and
9 served as co-PRs and co-trustees under his documents.

10 Q. Any other roles?

11 A. Served as counsel for -- we served as counsel
12 for Ted as fiduciary under your mother's documents.

13 Q. And who served as your counsel as trustee
14 PR -- co-trustee, co-PR?

15 A. Mark Manceri.

16 Q. Mark Manceri submitted that he was your
17 attorney?

18 A. I believe so, yes.

19 Q. Did you take a retainer out with him?

20 MR. ROSE: Objection. Relevance.

21 THE WITNESS: I'm sorry.

22 THE COURT: What's the relevance of the
23 retainer question?

24 THE WITNESS: I'm sorry. I take that back.

25 Mark Manceri was not counsel to us with respect to

1 the estate, except on a very specific matter.

2 THE COURT: The question that was objected to
3 was, did you take out a retainer? What's the
4 relevance of that?

5 MR. BERNSTEIN: Well, I'm trying to figure out
6 if he was properly representing before the court
7 these documents, and to his credibility, meaning
8 his --

9 THE COURT: I'll sustain the objection.

10 MR. BERNSTEIN: Okay.

11 BY MR. BERNSTEIN:

12 Q. And a question about the court. How long
13 before you notified the court as a personal
14 representative fiduciary that you had produced a
15 fraudulent trust of Shirley's?

16 A. To whom? I don't know that we ever
17 represented the document to the court, and I don't know
18 that anyone ever came to the court and said that we did.

19 Q. Well, I did in a petition I filed and served
20 on you --

21 MR. ROSE: Objection.

22 BY MR. BERNSTEIN:

23 Q. -- of January -- excuse me -- petition that I
24 served on you exposing a fraud of what happened with
25 Christine Yates after you admitted that to the police.

1 MR. ROSE: Objection. Relevance.

2 THE COURT: Sustained.

3 BY MR. BERNSTEIN:

4 Q. Okay. How many times have you spoken with
5 Alan Rose in the last three months?

6 A. Twice.

7 Q. Did you prepare for this hearing in any way
8 with Alan Rose?

9 A. I did.

10 Q. Okay. Was that the two times you spoke to
11 him?

12 A. Yes.

13 Q. Do you see any other of the parties that would
14 be necessary to validate these trust documents in the
15 court today?

16 MR. ROSE: Objection. Cumulative.

17 THE COURT: Sustained.

18 BY MR. BERNSTEIN:

19 Q. And you gave testimony to the total net worth
20 of Simon today, when you were asked by Mr. Rose; is that
21 correct?

22 A. Yes.

23 Q. How long did you serve as the co-trustee and
24 co-personal representative?

25 A. Of your father's estate? Since the date of

1 his death.

2 Q. And his trust?

3 A. Same.

4 Q. Okay. Did you produce an accounting to
5 support those claims you made today?

6 MR. ROSE: Objection. Relevancy.

7 THE COURT: Sustained.

8 MR. BERNSTEIN: Well, can I argue that or --

9 THE COURT: No.

10 MR. BERNSTEIN: Not even close. Does that
11 mean I have to ask it a different way?

12 THE COURT: Well, I can't answer questions.
13 I'm not allowed to give anybody legal advice.

14 MR. BERNSTEIN: Okay. That was procedural, I
15 thought. But okay.

16 THE COURT: Well, that's legal advice.

17 Procedure is a legal issue.

18 BY MR. BERNSTEIN:

19 Q. As a fiduciary of the estate of Simon and the
20 trust of Simon, did your law firm produce a accounting?

21 MR. ROSE: Objection. Relevance.

22 MR. BERNSTEIN: Well, it's relevant to, if
23 he's a fiduciary, his conduct. I mean, there's --

24 THE COURT: Here's the way I handle
25 objections --

1 MR. BERNSTEIN: Okay.

2 THE COURT: -- somebody asks a question, and
3 somebody in the courtroom says objection, and then
4 I have them state the legal objection and stop.
5 The other side doesn't say anything, unless I say,
6 Is there any argument one side or the other?
7 Because usually I can figure this stuff out without
8 having to waste time with arguments.

9 I didn't ask for any argument, right? Okay.
10 Sustained. Next question.

11 BY MR. BERNSTEIN:

12 Q. Mr. Rose asked you about Shirley's Bentley.

13 Are you aware -- you became aware of Shirley's
14 Bentley, correct?

15 A. Yes.

16 Q. When you became aware of Shirley's Bentley,
17 did you put in an amended inventory to account for it?

18 THE COURT: What's this going to help me
19 decide on the validity of the wills or trusts?

20 MR. BERNSTEIN: I'm just responding to the
21 statements that were brought up.

22 THE COURT: I wish you would have objected to
23 the relevancy then, but you didn't.

24 MR. BERNSTEIN: I did.

25 THE COURT: I don't think so.

1 MR. BERNSTEIN: No?

2 THE COURT: I'm a car guy, so I pay attention
3 if somebody's asking questions about Bentleys just
4 because it's interesting.

5 MR. BERNSTEIN: Well, it's so important, Your
6 Honor, because --

7 THE COURT: No, it's not. Right now what is
8 tied is, are the wills and trusts bound?

9 MR. BERNSTEIN: We have to question his
10 competency.

11 THE COURT: And so what's in the estate or
12 what's in the trust is not of any interest to me
13 right now. So if that Bentley should have been in
14 the estate or should not have been in the estate,
15 it should have been accounted for, not accounted
16 for, I'm not going to figure out today. But I want
17 to get all the evidence I possibly can to see
18 whether these wills and trusts that are in front of
19 me are valid or not valid. And I'm hoping that
20 you'll ask some questions that'll help me figure
21 that out.

22 MR. BERNSTEIN: Are those originals that you
23 have?

24 THE COURT: See, I'm not the witness. I'm the
25 judge. So I'm not sworn in and I have no knowledge

1 of the facts of this case, other than what the
2 witnesses tell me.

3 MR. BERNSTEIN: I'm winding down. I'll check
4 my list.

5 THE COURT: All right.

6 BY MR. BERNSTEIN:

7 Q. Are you familiar with a document the Bernstein
8 Family Realty LLC agreement?

9 A. Yes, I am.

10 Q. Did you draft that document?

11 A. Yes, I did.

12 Q. Was it part of Simon's estate planning?

13 A. It was part of his estate planning -- well,
14 yes --

15 Q. And what was --

16 A. -- in a roundabout way.

17 Q. What was it designed to do?

18 A. It was designed to hold title to the home that
19 you and your family live in.

20 Q. Oh, okay. And so it was -- who's the owners
21 of that?

22 A. The three kids -- your three kids, Josh,
23 Daniel -- your three kids' trusts that your father
24 created -- and Jake -- that he created in -- I believe
25 he created those trusts in 2006.

1 Q. And the prior testimony was, there were no
2 special documents under Simon's estate plan for my
3 family; is that correct?

4 A. Right. None that we prepared. Those were not
5 documents that we prepared.

6 Q. Okay. I think he asked you if you knew of
7 any.

8 So you knew of these, correct?

9 A. You're making me recall them. Yes.

10 Q. Oh, okay. Because you answered pretty
11 affirmatively no before, that you weren't aware of any
12 special --

13 THE COURT: Do you have any questions for the
14 witness?

15 MR. BERNSTEIN: Okay. I get it.

16 BY MR. BERNSTEIN:

17 Q. You referenced an insurance policy.

18 MR. BERNSTEIN: Can I -- well, I can't ask him
19 anything.

20 BY MR. BERNSTEIN:

21 Q. You referenced an insurance policy earlier,
22 life insurance policy, that you said you never saw; is
23 that correct?

24 A. Yes.

25 Q. And was that part of the estate plans?

1 A. We never did any planning with that. That was
2 an insurance policy that your father had taken out
3 30 years before. He had created a trust in 1995 for
4 that. That was not a part of any of the planning that
5 we did for him.

6 Q. Did you file a death benefit claim on behalf
7 of that policy?

8 MR. ROSE: Objection. Relevancy.

9 THE COURT: Sustained.

10 BY MR. BERNSTEIN:

11 Q. Is Christine Yates, who you sent the
12 fraudulently altered Shirley trust document that's not
13 valid, a layman?

14 MR. ROSE: Objection. Argumentative.

15 MR. BERNSTEIN: Excuse me.

16 BY MR. BERNSTEIN:

17 Q. Is she an attorney at law?

18 THE COURT: Now you're asking a different
19 question.

20 MR. BERNSTEIN: Okay.

21 THE COURT: Thanks.

22 BY MR. BERNSTEIN:

23 Q. Is she a layman, as you described prior?

24 A. She's an attorney.

25 Q. Okay. So you were sending that document that

1 you said you altered to make a layman understand the
2 language in the trust better?

3 MR. ROSE: Objection. Cumulative.

4 THE COURT: Let me have you finish your
5 questioning.

6 BY MR. BERNSTEIN:

7 Q. But you sent it to Christine Yates, an
8 attorney, who's not a layman?

9 A. We did.

10 Q. Okay. So it could be that you sent that
11 document to an attorney to commit a fraud upon her
12 clients, my children, minor children, correct?

13 A. The intent was not to commit a fraud.

14 Q. Okay.

15 A. Again, the intent was to carry out your dad's
16 wishes.

17 Q. By fraudulently altering documents?

18 MR. ROSE: Objection. Argumentative.

19 THE COURT: Sustained.

20 If you ask one more argumentative question, I
21 will stop you from asking the other things, because
22 I'll figure that you're done. Is that clear?

23 MR. BERNSTEIN: Yes.

24 THE COURT: I'm done warning you. I think
25 that's just too much to have to keep saying over

1 and over again.

2 BY MR. BERNSTEIN:

3 Q. When Shirley died, were her wishes upheld?

4 A. Your dad was the sole survivor of her
5 estate -- he was the sole beneficiary of her estate and
6 her trust.

7 Q. So her wishes of her trusts when Simon died
8 were to make who the beneficiaries?

9 MR. ROSE: Objection. Cumulative.

10 THE COURT: Sustained.

11 BY MR. BERNSTEIN:

12 Q. Who did Shirley make -- are you familiar with
13 the Eliot Bernstein Family Trust?

14 A. I am.

15 Q. And is that trust under the Shirley trust?

16 A. No, it's not.

17 Q. It's a separate trust?

18 A. It is.

19 Q. Is it mentioned in the Shirley trust?

20 A. It may be.

21 Q. As what?

22 A. As a receptacle for Shirley's estate.

23 Q. Her trust?

24 A. A potential receptacle for Shirley's trust.

25 Q. So there were three, the Eliot Bernstein

1 Family Trust, Lisa Friedstein and Jill Iantoni Family
2 Trust, that are mentioned as receptacles. I would
3 assume that's the word, beneficiary --

4 MR. ROSE: Objection.

5 BY MR. BERNSTEIN:

6 Q. -- of the Shirley trust, correct?

7 MR. ROSE: Objection. Cumulative.

8 THE COURT: Sustained.

9 BY MR. BERNSTEIN:

10 Q. Okay. On Simon's medical state eight weeks
11 before he died, when these documents of the Simon trust
12 are alleged by you to have been signed, are you aware of
13 any conditions of Simon's at that time medically?

14 A. I was not.

15 Q. Were you aware of any medicines he was on?

16 A. I was not.

17 Q. Were you aware he was seeing a psychiatrist?

18 A. I was not.

19 Q. Were you aware that he was going for a brain
20 scan?

21 A. I was not.

22 Q. Were you aware that he was brought in to
23 multiple doctors during that time for brain problems;
24 that they ended up doing a brain biopsy at Delray
25 Medical right around that time that he's said to sign

1 these documents?

2 A. He did not make us aware of any medical issues
3 that he had.

4 Q. Okay. Did you ask him at the time you were
5 signing those amended documents if he was under any
6 medical stress?

7 A. No, I did not.

8 Q. Okay.

9 A. He --

10 MR. BERNSTEIN: Can I ask him to read that?

11 BY MR. BERNSTEIN:

12 Q. Can you look at that document and --

13 MR. BERNSTEIN: Judge, would you like a look
14 at this?

15 THE COURT: I don't look at anything that's
16 not an exhibit.

17 MR. BERNSTEIN: I'm exhibiting it to him.

18 THE COURT: Okay. Well, that's fine, but I
19 want you to go ahead and ask your question. I
20 don't look at things that aren't exhibits in
21 evidence --

22 MR. BERNSTEIN: Okay.

23 THE COURT: -- unless I have to mark them.
24 But no, I don't have a curiosity to look at pieces
25 of paper.

1 MR. BERNSTEIN: Should I exhibit it as
2 evidence -- can I exhibit it as --

3 THE COURT: If it comes into evidence, I'll
4 look at it.

5 MR. BERNSTEIN: Okay. Can I submit it as
6 evidence?

7 THE COURT: Well, have you asked any questions
8 to establish what it is?

9 BY MR. BERNSTEIN:

10 Q. Is this a letter from your law firm -- prior
11 law firm?

12 A. I did not prepare this letter --

13 Q. Okay.

14 A. -- but it appears to be, yes.

15 Q. Prepared by?

16 A. Donald Tescher.

17 MR. BERNSTEIN: Okay. Now can I submit it?

18 THE COURT: So you're offering it as an
19 exhibit --

20 MR. BERNSTEIN: Please.

21 THE COURT: -- as Defendant's 2.

22 Is there any objection?

23 MR. ROSE: No objection.

24 THE COURT: All right. I'll take a look at
25 it. And that'll be in evidence as Defendant's 2.

1 Thank you.

2 (Defendant's Exhibit No. 2 was received into
3 evidence.)

4 BY MR. BERNSTEIN:

5 Q. Can you just read into the record
6 paragraph 2 --

7 THE COURT: Well, I'm reading it. The
8 document is in the record.

9 MR. BERNSTEIN: Oh, okay.

10 THE COURT: I'm reading paragraph 2 even as we
11 speak, so I don't need the witness to read it for
12 me. But if you want to ask him a question, you can
13 go ahead with that.

14 BY MR. BERNSTEIN:

15 Q. Okay. That letter states that Si's power of
16 appointment for Simon could not be used in favor of Pam,
17 Ted and their respective children; is that correct?

18 A. Yes. Don appears to have written that.

19 Q. Did you get a copy of this letter?

20 A. I don't recall getting a copy of it, but
21 doesn't mean that I didn't.

22 Q. But you are partners in that firm?

23 A. Yes, we were partners in that firm.

24 Q. Now, that -- this document --

25 MR. ROSE: Your Honor, can I just -- I don't

1 want to go out of order, but this is only relevant
2 if the documents are valid. And if he's -- the
3 whole point is the documents are valid. And he
4 wants to argue the second part, of what they mean,
5 then we should not have wasted a whole day arguing
6 over the validity of these five documents.

7 THE COURT: Well, waste of time is what I do
8 for a living sometimes. Saying we shouldn't be
9 here doesn't help me decide anything.

10 I thought I was supposed to decide the
11 validity of the five documents that have been
12 pointed out; some of them might be valid and some
13 of them might be invalid. And I'm struggling to
14 decide what's relevant or not relevant based upon
15 the possibility that one of them might be invalid
16 or one of them might not. And so I'm letting in a
17 little bit more stuff than I normally think I
18 would.

19 MR. ROSE: I'm concerned we're arguing the
20 second -- the second part of this trial is going to
21 be to determine what the documents mean and what
22 Simon's power of attorney could or couldn't do.
23 And this document goes to trial two and not trial
24 one, although I didn't object to its admissibility.

25 THE COURT: Well, since it's in evidence,

1 we'll leave it there and see what happens next.

2 Do you have any other questions of the
3 witness?

4 MR. BERNSTEIN: Yeah.

5 BY MR. BERNSTEIN:

6 Q. It says that the document that you
7 fraudulently altered creating the invalid copy of the
8 Shirley trust had some kind of paragraph 2 that was
9 missing from the original document --

10 MR. ROSE: Objection. Argumentative.

11 BY MR. BERNSTEIN:

12 Q. -- from my understanding.

13 THE COURT: You may finish your question. And
14 make sure it's a question and not an argument.
15 Because you know what happens if this is an
16 argument.

17 MR. BERNSTEIN: I'm not arguing. I'm just
18 asking --

19 THE COURT: I want you to ask your question.

20 BY MR. BERNSTEIN:

21 Q. It says here that there was a blank spot that
22 you -- a Paragraph No. 2 which modified the definitional
23 language by deleting words.

24 According to this document, the power of
25 appointment by Simon could not alter the Shirley trust

1 agreement, correct?

2 A. Don seems to be suggesting that in the second
3 paragraph. I don't necessarily believe that that's the
4 case.

5 Q. Did you review this document with Don?

6 MR. ROSE: Objection. Cumulative.

7 THE COURT: The question is, Did you go over
8 this document with Don?

9 MR. BERNSTEIN: Correct.

10 THE COURT: Overruled.

11 You can answer.

12 THE WITNESS: No.

13 BY MR. BERNSTEIN:

14 Q. So he's -- Don, in this letter, is describing
15 your actions, correct?

16 A. Yes.

17 Q. Okay. Did you write a letter to anybody
18 describing your actions?

19 A. I did not.

20 Q. You did not.

21 And what have you done to correct the damages
22 caused by that to my family?

23 MR. ROSE: Objection. Relevance.

24 THE COURT: Sustained.

25 MR. BERNSTEIN: Okay.

1 BY MR. BERNSTEIN:

2 Q. And are you aware of an autopsy that was done
3 on my father the day -- or ordered the day he died?

4 MR. ROSE: Objection. Relevance.

5 THE COURT: Sustained.

6 BY MR. BERNSTEIN:

7 Q. Are you aware -- well, are you aware of a
8 heavy metal poison test that was done by the Palm Beach
9 County coroner?

10 MR. ROSE: Objection. Relevance.

11 THE COURT: Sustained.

12 MR. BERNSTEIN: Well, it's --

13 THE COURT: Next question.

14 MR. BERNSTEIN: I'm trying to figure that out.

15 Your Honor, is -- I can't ask you that question.

16 BY MR. BERNSTEIN:

17 Q. Competency. Based on everything you know
18 about Simon, when he signed those documents, he was
19 competent?

20 A. To my knowledge, he was of sound mind and
21 body.

22 Q. Now, are you a medical expert?

23 A. I'm not.

24 Q. Are you aware of any other fraudulent activity
25 that took place in anything in the estate and trusts of

1 Simon Bernstein by yourself or your employees?

2 A. Are you referring back to the closing of your
3 mother's estate?

4 Q. I'm referring to any other --

5 A. -- we've talked about.

6 Q. So can you list those and then just say that's
7 all that you're aware of?

8 MR. ROSE: Objection. Cumulative.

9 THE COURT: Sustained.

10 BY MR. BERNSTEIN:

11 Q. Other than the fraud that you've admitted to
12 in the documents of Shirley, the Moran forged and
13 fraudulent waivers, the April 9th waiver that you and Si
14 signed stating he had all the waivers when he couldn't
15 have, are there any other frauds that you're aware of
16 that took place with these estate and trust documents?

17 A. Not to my knowledge.

18 Q. When you were first interviewed by the Palm
19 Beach County Sheriff with Kimberly Moran, did you notify
20 them at that first interview that you had fraudulently
21 altered a document?

22 MR. ROSE: Objection. Relevance.

23 THE COURT: Sustained.

24 BY MR. BERNSTEIN:

25 Q. When did you notify the sheriff that you

1 fraudulently altered a document?

2 MR. ROSE: Objection. Relevance.

3 THE COURT: Sustained.

4 BY MR. BERNSTEIN:

5 Q. You have these exhibits. This will says
6 "conformed copy" on Exhibit 1 of their exhibits; is that
7 correct?

8 A. Yes, it does.

9 Q. Does a conformed copy have to have the clerk
10 of the court's signature on it?

11 A. Conformed copy would not be sent to the clerk
12 of the courts.

13 Q. Conformed copy -- okay.

14 Is that your signature on the document? This
15 is Exhibit 2, Shirley trust agreement, of the
16 plaintiff's exhibit book, 2, page 27.

17 A. Yes, it appears to be.

18 Q. It appears to be?

19 A. Yes.

20 Q. All right. And is that Traci Kratish's
21 signature?

22 A. She was there. I can't speak to her
23 signature.

24 Q. Did you witness her sign it?

25 A. I did.

1 Q. Okay. Is that my mom's signature on page 28?

2 A. Yes, it is.

3 Q. On this first amendment to Shirley's trust --

4 MR. BERNSTEIN: Exhibit 3, Your Honor, page 1
5 of 3, I guess. It's the first page in that
6 exhibit.

7 BY MR. BERNSTEIN:

8 Q. Is that document -- do you recall that
9 document?

10 A. Yes.

11 Q. Okay. And you recall the day it's signed and
12 notarized, allegedly?

13 A. November 18th, 2008.

14 Q. On the front page of that document, what day
15 is the document dated?

16 A. It's not dated.

17 Q. Is that typical and customary in your office?

18 A. Sometimes clients forget to put the date at
19 the top.

20 Q. You forget?

21 A. I said, sometimes clients forget to put the
22 date at the top.

23 Q. Well, did you check the document before making
24 it a part of a will and trust?

25 A. It was notarized as a self-proving document.

1 Q. Are you aware that Kimberly Moran's
2 notarization of the Simon trust has been found by the
3 Governor Rick Scott's notary public division to be
4 deficient?

5 MR. ROSE: Objection. Hearsay.

6 THE COURT: Sustained.

7 BY MR. BERNSTEIN:

8 Q. Are you aware of Kimberly Moran of your office
9 being contacted by the governor's office in relation to
10 these wills and trusts?

11 MR. ROSE: Objection. Hearsay.

12 THE COURT: Sustained.

13 What do I care if he's aware of that or not?
14 How does that help me decide the validity of these
15 documents?

16 MR. BERNSTEIN: Well, the governor's already
17 made a claim that --

18 THE COURT: But you're asking the witness if
19 he's aware of. Are you aware the sky is blue right
20 now? It doesn't matter to me if he's aware of it
21 or not. Are you aware Rick Scott has started an
22 investigation of a moon landing? It doesn't matter
23 to me if he knows that or not. You asked him are
24 you aware of somebody from Rick Scott's office
25 doing something. It doesn't matter to me if he's

1 aware of that or not. I've got to figure out the
2 validity of these documents, so I need to know
3 facts about that, please. Any other questions of
4 the witness on that?

5 MR. BERNSTEIN: Yes.

6 BY MR. BERNSTEIN:

7 Q. Is that my father's signature?

8 A. I'm not an expert on your father's signature.
9 But if it's on his will, at the bottom of his will, that
10 must have been a copy that was obtained from the clerk
11 of the courts, because that will was filed, and we would
12 have conformed copies in our file, which would not have
13 his signature at the bottom. Apparently, it is.

14 Q. But it does say on the document that the
15 original will's in your safe, correct?

16 A. For your mother's document, it showed that.

17 Q. Oh, for my father's -- where are the originals
18 of my father's?

19 A. Your father's original will was deposited in
20 the court. As was your mother's.

21 Q. How many copies of it were there that were
22 original?

23 A. Only one original. I think Mr. Rose had
24 stated on the record that he requested a copy from the
25 clerk of the court of your father's original will, to

1 make a copy of it.

2 Q. Certified?

3 A. I'm not sure if he said it was certified or
4 not.

5 Q. Is that your signature on my father's will?

6 MR. BERNSTEIN: This is Exhibit 4, Your Honor,
7 Page 7.

8 THE WITNESS: Yes, it is.

9 BY MR. BERNSTEIN:

10 Q. Okay. Is that my father's signature?

11 A. Appears to be.

12 Q. Whose signature is that?

13 A. That's my signature.

14 Q. Oh, okay. So the only two witnesses you see
15 on this document are you and Kimberly Moran; is that
16 correct?

17 A. On that page.

18 Q. And both you and Kimberly Moran have had
19 misconduct in these cases?

20 MR. ROSE: Objection. Relevance.

21 THE COURT: Overruled. But it's cumulative.

22 MR. ROSE: It's cumulative.

23 THE COURT: How many times do I need to know
24 this?

25 MR. BERNSTEIN: What does that mean exactly,

1 cumulative? I don't get that. I'm sorry.

2 THE COURT: Let's say you hit me over the head
3 with a two-by-four. That's one time. If you do it
4 twice, that's cumulative. Cumulative's not
5 allowed.

6 MR. BERNSTEIN: That's an objection, is that
7 I've asked it --

8 THE COURT: Yes.

9 MR. BERNSTEIN: -- and it was answered? Is
10 that what it's kind of saying?

11 THE COURT: Yes, asked and answered. That's
12 another way of saying it.

13 MR. BERNSTEIN: Now I got it.

14 THE COURT: Asked and answered is a similar
15 way to say it.

16 MR. BERNSTEIN: Okay. Sorry.

17 BY MR. BERNSTEIN:

18 Q. Is that my father's signature, to the best of
19 your knowledge?

20 A. Appears to be, yes.

21 Q. And is that your signature?

22 A. Yes, it is.

23 Q. And here, did Kimberly Moran properly notarize
24 this document?

25 A. Kimberly did not notarize the document.

1 Q. Or Lindsay Baxley, did she check one -- either
2 the person was personally known or produced
3 identification?

4 A. No. This is what Mr. Rose had gone over
5 earlier.

6 Q. No, those, I believe, are in other documents
7 we'll get to.

8 So this notarization, as far as you can tell,
9 is incomplete?

10 MR. ROSE: Objection. Are we on Exhibit 2?

11 MR. BERNSTEIN: No.

12 THE COURT: We're on Exhibit 4, as far as I
13 recall.

14 MR. BERNSTEIN: He does not miss a thing.

15 Your Honor, page 8.

16 THE WITNESS: This is Si's documents.

17 MR. ROSE: Got it.

18 BY MR. BERNSTEIN:

19 Q. Okay. So on Simon's trust, weeks before he
20 dies, the notarization's improper?

21 A. This was the same document we spoke about
22 before. Yes, she did not circle "known to me,"
23 although...

24 Q. So she didn't know you or Simon?

25 A. No, she knew all of us. She just neglected to

1 circle "known to me."

2 Q. And that's one of the three functions of a
3 notary, to the best of your knowledge, to determine the
4 person is in the presence that day by some form of I
5 either know you or you gave me a license; is that
6 correct?

7 A. Yes.

8 Q. So your firm -- have you done anything since
9 knowing this document's improperly notarized to correct
10 it with the courts?

11 MR. ROSE: Objection. It misstates facts. He
12 didn't say it was improperly notarized.

13 THE COURT: Just state the objection, please.

14 MR. ROSE: Well, calls for a legal conclusion.

15 THE COURT: Sustained.

16 MR. MORRISSEY: Another objection. It
17 misstates the law.

18 THE COURT: Sustained.

19 BY MR. BERNSTEIN:

20 Q. Is that Lindsay -- oh, you can't answer that.

21 So, to the best of your ability, regarding
22 your signature, Kimberly or Lindsay Baxley has failed to
23 state that you either were known to her or produced
24 identification?

25 MR. ROSE: Objection. Cumulative.

1 THE COURT: Sustained.

2 MR. BERNSTEIN: Okay. We'll go on to
3 document 5.

4 BY MR. BERNSTEIN:

5 Q. Is that my father's initials, to the best of
6 your knowledge?

7 A. Appears to be, yes.

8 Q. Do these initials look similar to you, this
9 one on page 2, next to this one on page 3, next to that
10 thing on page 4?

11 A. Initials typically don't look perfect page to
12 page, and they don't necessarily look similar page to
13 page. I have seen clients execute a lot of documents,
14 and by the time they get to, you know, the second and
15 third document, their signatures and their initials do
16 not necessarily look --

17 Q. Look at page 13, for example. I mean, this is
18 almost -- if we go through page by page, tell me if you
19 see any that are even similar. On page -- let's start
20 back at the beginning, if that'll help you.

21 That? Do those look similar to you as you're
22 flipping through those?

23 A. Yeah, they have a lot of the same -- similar
24 ending marks. Your father's ending mark was that line.
25 I mean, it's on every single solitary page.

1 Q. Okay. So your testimony today is those are my
2 father's initials?

3 A. That they were.

4 Q. Okay.

5 A. I was there when he was...

6 Q. And you've looked at all of these, page 19,
7 page 20? Those look similar to what you're saying -- or
8 why don't you just look at them. If you go through them
9 all, they all look different. But okay.

10 A. They all look different, and they all look
11 consistent at the same time.

12 Q. Okay. Is that -- on page 24, is that my
13 father's signature?

14 A. Appears to be.

15 Q. Is that your signature?

16 A. Yes, it is.

17 Q. Okay. Now, this is another trust document
18 that Lindsay Baxley did that's supposed to be notarized,
19 a will and trust, I believe, and the amended and
20 restated.

21 Can you tell that Simon Bernstein was present
22 or produced -- or present that day by the notarization?

23 A. She again failed to mark that he was
24 personally known, but she worked for him.

25 Q. So these dispositive documents are improperly

1 notarized?

2 MR. ROSE: Objection. Cumulative. Legal
3 conclusion.

4 THE COURT: Sustained.

5 BY MR. BERNSTEIN:

6 Q. Okay. And then let's go to the first
7 amendment to Shirley Bernstein's trust. Is this a
8 document prepared --

9 MR. BERNSTEIN: Your Honor, that would be 6.

10 THE COURT: All right.

11 BY MR. BERNSTEIN:

12 Q. Is that a document prepared by your law firm?

13 A. Yes, it is.

14 Q. And do you see where it's, "Now therefore by
15 executing this instrument I hereby amend the trust
16 agreement as following"? And what is it -- what are the
17 numbering sequences there?

18 A. It says, I hereby delete a paragraph of
19 article --

20 Q. What number is that?

21 A. Paragraph B -- it's number 1.

22 Q. Okay. And what's Number 2?

23 MR. ROSE: Objection. Best evidence. It's in
24 evidence. And it's cumulative.

25 THE COURT: Two is in evidence, as is

1 paragraph one and paragraph three. And I've
2 read --

3 MR. BERNSTEIN: Oh, no. But Number 1, Your
4 Honor, take a look real quick. Number 1; there's
5 no Number 2.

6 THE COURT: The objection came on your next
7 question, and that was dealing with paragraph 2,
8 which says it's already in evidence. And it is.

9 MR. BERNSTEIN: No, no, not paragraph 2. Look
10 at down below. Under the "now therefore," there's
11 a Number 1, and I was asking him what Number 2
12 reads.

13 THE COURT: I know you were.

14 MR. BERNSTEIN: And there is no Number 2.

15 THE COURT: You've asked me to look at
16 Exhibit No. 6, right? Plaintiff's Exhibit 6 has,
17 under the therefore clause, a one, a two and a
18 three. Are you asking me to look at a different
19 document?

20 MR. BERNSTEIN: Can I approach?

21 THE COURT: Sure. All right. So that's a
22 different Number 6 than I have. So let's see your
23 Number 6.

24 MR. BERNSTEIN: What do I do on that?

25 THE COURT: That's not my decision.

1 MR. BERNSTEIN: That's his book, not my book,
2 just so you know.

3 THE COURT: Well, that Tab 6 is different than
4 my Tab 6. So there you go.

5 MR. BERNSTEIN: Okay. Well, which -- what do
6 I go off there?

7 THE COURT: I have no --

8 MR. BERNSTEIN: Can I submit that into
9 evidence?

10 THE COURT: I have no preference.

11 MR. BERNSTEIN: Okay. I'd like to submit
12 this, because I'm not sure if the other one is in
13 evidence wrong.

14 THE COURT: All right. Any objection?

15 MR. ROSE: Could I just see the book? Would
16 you mind?

17 THE COURT: Here, I'll show you my book. You
18 can look at that book and see what's going on.

19 And this will be a good time for us to take a
20 short break, and let you all straighten it out. So
21 we'll be back in session in 15 minutes. And then
22 we'll go to the bitter end. Each of you has about
23 60 minutes remaining.

24 MR. BERNSTEIN: Your Honor, when you say
25 "60 minutes remaining," we haven't got through all

1 the witnesses yet.

2 THE COURT: Well, we will have by the end of
3 60 minutes on each side.

4 This trial is over at five o'clock. I told
5 you when we started each of you has half of the
6 time; please use it wisely; use it as you wish.
7 I've tried to encourage both sides to be efficient.
8 When your time is gone, that's the end of the trial
9 for you.

10 MR. BERNSTEIN: Well, the case manager --

11 THE COURT: When their trial is gone --

12 MR. BERNSTEIN: At the case management, they
13 said it would take a day. I argued and said to you
14 it would take days. I mean, they've got
15 10 witnesses. I need to have all the people who
16 witnessed these documents here.

17 THE COURT: Remember when I said a moment ago
18 we're in recess? I was serious. Thanks. We'll go
19 back in session 15 minutes from now.

20 (A break was taken.)

21 THE COURT: We're ready to resume. Are there
22 any further questions for the witness on cross?

23 MR. BERNSTEIN: Okay. We were just working
24 out that 1, 2, 3, Exhibit No. 6, so that we get the
25 record straight.

1 THE COURT: Okay.

2 MR. BERNSTEIN: Shall I get a copy of yours,
3 you get a copy of mine? Or how do you want to do
4 that?

5 MR. ROSE: Your Honor, I tried to work it out.

6 THE COURT: Listen, I don't have any
7 preference as to how we do anything. You all tell
8 me how you've worked it out, and if I agree with
9 it, I'll accept it.

10 MR. ROSE: The copy that's been marked for the
11 witness, the copy in my book and the copy in your
12 book are all identical. I don't know what's in his
13 book, and he wouldn't show me his book on the
14 break.

15 THE COURT: Okay.

16 MR. ROSE: But I'm fine. It's a three-page
17 document. And if he wants to put it in evidence,
18 even though it's not operative, I have no
19 objection.

20 THE COURT: Okay. So are you putting
21 something into evidence?

22 MR. BERNSTEIN: Yeah. The one that I --

23 THE COURT: Have you showed it to the other
24 side yet? You can't put secret documents into
25 evidence, only after they've been seen by everyone.

1 Let's at least show it to the other side so they
2 know the document that's being proffered as an
3 exhibit. If they still have no objection, I'll
4 receive it as Defendant's 3.

5 MR. ROSE: This is in evidence already as
6 Exhibit No. -- as Plaintiff's No. 3.

7 MR. BERNSTEIN: So what's 6? So now I don't
8 even have the right 6 document.

9 MR. ROSE: The 6 that the witness has is three
10 pages. It's the same 6 that's in your book and
11 it's in my book. It's three consecutive pages of
12 the production from Tescher & Spallina law firm.
13 It has the inoperative first amendment as page 1,
14 then it has the operative first amendment as
15 page 2, and the signature page as page 3. It's the
16 same document in everybody's book. That's all I
17 can tell you.

18 THE COURT: Okay.

19 MR. BERNSTEIN: Your Honor, in my book, 3 and
20 6 are the identical documents --

21 THE COURT: Okay.

22 MR. BERNSTEIN: -- so I would need --

23 THE COURT: Are there any other questions of
24 the witness?

25 MR. BERNSTEIN: Well, I was going to ask him

1 questions on this document.

2 THE COURT: All right. Well, then, let's go.

3 MR. BERNSTEIN: Okay. I need a -- I don't
4 have the 6 that everybody else is referring to. My
5 sinks is the same as --

6 THE COURT: There you go. Take whatever you
7 need.

8 MR. BERNSTEIN: Okay. Thank you. I think we
9 missed 6. It's just short on 6.

10 THE COURT: All right. Then here's my Tab 6.

11 MR. BERNSTEIN: Thank you, sir.

12 THE COURT: The idea is to keep moving.

13 MR. BERNSTEIN: Okay. I'll move on. I'm
14 almost done here.

15 BY MR. BERNSTEIN:

16 Q. Okay. So on Exhibit 3, can you list the
17 numbers there?

18 MR. ROSE: Objection. Best evidence.
19 Cumulative.

20 THE COURT: Sustained.

21 You need to refer to which page. That's a
22 multi-page document, and both pages have numbered
23 paragraphs on them.

24 MR. BERNSTEIN: Page 1 of 2.
25

1 BY MR. BERNSTEIN:

2 Q. The Roman Numeral -- or the numerals, can you
3 give the sequence of those numbers?

4 A. One and three. It's skipping two.

5 Q. And this is a document you allege to be part
6 of the Shirley trust that you're claiming is valid?

7 A. That's the amendment that Shirley executed in
8 November of 2008.

9 Q. And would there be a reason why your law firm
10 numbers one, three?

11 MR. ROSE: Objection. Cumulative.

12 THE COURT: Overruled.

13 You can answer.

14 THE WITNESS: Human error.

15 BY MR. BERNSTEIN:

16 Q. Okay. But it is an error in the document that
17 you're claiming is valid Shirley trust?

18 A. It's a numbering error.

19 Q. In the document, you're claiming this is a
20 valid amendment, correct?

21 A. Correct.

22 Q. Okay. And then in number 6 from the judge,
23 what's the numbering sequence?

24 A. One, two, three.

25 Q. Okay. So you added in a number two?

1 A. Yes.

2 Q. Okay. How did you go about doing that?

3 A. There was a paragraph two inserted between one
4 and three.

5 Q. Well, the paragraph that's inserted between
6 one and three wouldn't fit there.

7 So what did you do?

8 A. The document was opened up and a paragraph was
9 inserted.

10 Q. Okay. So you increased the spacing on the
11 document, correct, by adding a number three, correct?

12 A. Adding number two, yes.

13 Q. By adding number two, correct.

14 Okay. So you actually had to alter the
15 chronology as it was placed on the document? You didn't
16 just put a number two there in between one and three?
17 You actually went and expanded the document with words
18 that were inserted by you fraudulently, right?

19 MR. ROSE: Objection. Argumentative.

20 Cumulative.

21 THE COURT: Sustained.

22 MR. BERNSTEIN: Okay.

23 MR. ROSE: Your Honor, the witness does have
24 the exhibits in front of him. If Mr. Bernstein
25 could be at the podium.

1 MR. BERNSTEIN: I don't know if he has all the
2 exhibits.

3 THE COURT: Well, do you have the exhibit that
4 I gave you from the Court's?

5 MR. BERNSTEIN: Oh, jeez.

6 THE COURT: Because I'd like to have it back
7 so that that doesn't get lost.

8 MR. BERNSTEIN: Okay. You gave me the one
9 with one, two, three.

10 Can I get a copy of this from the clerk?

11 THE BAILIFF: There is no clerk.

12 THE COURT: Can I have the document back,
13 please? He's not a clerk.

14 MR. BERNSTEIN: Marshall, sheriff, officer,
15 sir. Sorry about that.

16 THE COURT: He does not make copies.

17 MR. BERNSTEIN: Okay.

18 THE COURT: Thanks. Any other questions of
19 the witness? Your time is rapidly disappearing.

20 MR. BERNSTEIN: Just going through that.

21 THE COURT: And I think you said earlier you
22 have no objection to Plaintiff's 6 being received
23 as an exhibit?

24 MR. ROSE: Correct.

25 THE COURT: Okay.

1 MR. ROSE: Thank you.

2 THE COURT: Then it's in evidence as
3 Plaintiff's 6. I'm making it Plaintiff's 6, rather
4 than Defendant's 3, because it's already marked and
5 it's been referred to by that number.

6 (Plaintiff's Exhibit No. 6 was received into
7 evidence.)

8 BY MR. BERNSTEIN:

9 Q. Are these your notes?

10 A. No, they're not. Those are Don's.

11 Q. Do you know the date on that note?

12 A. 3/12/08.

13 Q. Did you take any notes in the meeting?

14 A. Those are my notes there.

15 Q. These are? Oh, so this is a compilation of
16 Don's and your notes?

17 A. Those are my notes, yes.

18 Q. And those were taken on that day?

19 A. Correct.

20 Q. Whose notes are those?

21 A. I just saw those for the first time today. I
22 believe they're your father's notes.

23 Q. How would you know those are my father's
24 notes?

25 A. Mr. Rose introduced that document earlier.

1 Q. Document 12, did it come from your offices?

2 A. I don't know where it came from.

3 Q. Did you Bates stamp this document as part of
4 your documents?

5 A. I don't recall ever seeing that document.

6 Q. And it doesn't have your Bates stamp from your
7 production, right?

8 A. Correct.

9 Q. You were supposed to turn over all your
10 records, correct?

11 MR. ROSE: Objection. He's testified it
12 wasn't in his --

13 THE COURT: What's the objection to the
14 question?

15 MR. ROSE: Cumulative.

16 THE COURT: Sustained.

17 MR. BERNSTEIN: All right. Your Honor, I'm
18 done.

19 THE COURT: All right. Thank you.

20 Is there any redirect?

21 MR. ROSE: Brief, Your Honor.

22 REDIRECT (ROBERT SPALLINA)

23 BY MR. ROSE:

24 Q. Assuming the documents are valid, they'll have
25 to be a later trial to determine the effect of Simon's

1 exercise of his power of appointment?

2 A. Yes.

3 Q. It doesn't have any direct bearing on whether
4 these five documents are valid?

5 A. No.

6 Q. And I take it you don't necessarily agree with
7 Mr. Tescher's view as expressed in his letter of
8 January 14th, 2014?

9 A. Again, I'm seeing that here. Surprised to see
10 that.

11 Q. The original documents, the wills, you
12 retained at all times of Shirley and Simon in your firm?

13 A. Prior to their death, yes.

14 Q. And that's consistent practice for a trust and
15 estate lawyer, to keep it in your will vault or in your
16 safe deposit box?

17 A. Yes. I would say most attorneys do that just
18 because there's only one original of the will, and very
19 often documents can get lost if clients take documents
20 home. So, typically, they're kept in a safe deposit box
21 or a safe or something like that, and left with the
22 attorney.

23 Q. I want to make sure I understand and the Court
24 understands what happened with the waiver forms.

25 While Simon was alive, he signed a petition

1 for discharge; is that correct?

2 A. Correct. April of '08.

3 Q. And --

4 MR. BERNSTEIN: What exhibit? Excuse me.

5 What number are we looking at?

6 MR. ROSE: None -- well, actually, it's in my

7 book. If you want to follow along, it's Tab 28.

8 But it's not in evidence.

9 BY MR. ROSE:

10 Q. And Simon also then filed a waiver of
11 accounting himself?

12 A. Correct.

13 Q. And is it necessary for Simon, even though
14 he's the personal representative, to sign a waiver of
15 accounting because he's a beneficiary?

16 A. I mean, we do it as a matter of course.

17 Q. And the signature of Simon Bernstein on
18 April 9th, that's genuinely his signature?

19 A. Can I see?

20 Q. Exhibit 28 is a petition that was filed with
21 the court. I'm going to just show you the exhibits.

22 Exhibit A says "Petition for discharge full waiver."

23 Is this a document you would have prepared for
24 Simon Bernstein to sign?

25 A. Yeah, our firm would prepare that.

1 Q. Okay. And it's a three-page document.

2 Is that Simon Bernstein's signature --

3 A. Yes, it is.

4 Q. -- April 9th, 2012?

5 A. Yes, he signed the document.

6 Q. And he was alive when he signed the document?

7 A. Yes, he was.

8 Q. Okay. Then he had to sign a waiver of
9 accounting, which he signed on the same day?

10 A. Correct.

11 Q. And you have a document waiver of accounting
12 on the next page signed by Eliot Bernstein on May 15th?

13 A. Correct.

14 Q. And there's no doubt that's Eliot's signature
15 because he's the one who emailed you the document,
16 correct?

17 A. And sent us the original by mail.

18 Q. Right. And we already have an exhibit which
19 is his email that sent you his waiver form?

20 A. Correct.

21 Q. And the waiver forms of Ted, Pam, Lisa and
22 Jill are all valid, signed by them on the date that they
23 indicated they signed it?

24 A. To the best of my knowledge, yes.

25 Q. So then these got submitted to the court.

1 Is there anything wrong with submitting waiver
2 forms to the court signed by Simon while he's alive
3 after he had passed away?

4 A. Maybe we should have made a motion to, you
5 know, have a successor PR appointed and file the
6 documents through the successor PR.

7 Q. Were you trying to just save expenses because
8 there was nothing in the estate?

9 A. Correct.

10 Q. And if Judge Colin had not rejected -- or his
11 assistant had not rejected the documents, and the estate
12 was closed, it would have been closed based on
13 legitimate, properly signed documents of Simon and his
14 five children?

15 A. Correct.

16 Q. So then they get kicked back to your law firm,
17 and you could file a motion and undertake some expense,
18 instead --

19 MR. BERNSTEIN: Object. This has been asked
20 and answered.

21 THE COURT: Sustained.

22 BY MR. ROSE:

23 Q. Now, does the fact that -- well, strike that.

24 At the time that Simon signed his 2012 will
25 and 2012 trust, had there been ever anyone question a

1 signature or a notarization of any document that had
2 been prepared by your law firm?

3 A. No, there was not.

4 Q. You didn't see anything or observe anything or
5 any behavior of Simon Bernstein during the course of any
6 meeting you had with him that would call into question
7 his competence or his ability to properly execute a
8 testamentary document?

9 A. We did not.

10 MR. ROSE: Nothing further, Your Honor.

11 THE COURT: All right. Thanks.

12 Thank you, sir. You can step down.

13 MR. ROSE: At this time, we would rest our
14 case.

15 THE COURT: Okay. Thank you.

16 Any evidence from the defendant's side?

17 MR. BERNSTEIN: Well, I'd like -- can I call
18 back Spallina?

19 THE COURT: If you want to call him as a
20 witness on your behalf, sure.

21 MR. BERNSTEIN: Yeah, sure.

22 THE COURT: All right. Mr. Spallina, you're
23 still under oath, and you're being called as a
24 defense witness now.

25 DIRECT EXAMINATION

1 BY MR. BERNSTEIN:

2 Q. Mr. Spallina, when Simon died on
3 September 12th -- or September 13th -- sorry -- 2012,
4 and you were responsible as his attorney to appoint Ted
5 as the successor, correct, you were in charge of his
6 wills and trusts?

7 THE COURT: You just asked three questions in
8 a row.

9 MR. BERNSTEIN: Oh, sorry.

10 THE COURT: Which question would you like the
11 witness to answer?

12 BY MR. BERNSTEIN:

13 Q. Okay. When Simon died, was Shirley's estate
14 closed?

15 A. No, it was not.

16 Q. Okay. Did you appoint a successor to Simon
17 who was the personal representative of Shirley on the
18 day he died?

19 A. I don't understand the question.

20 Q. Well, on the day Simon died, there was a
21 successor to him in the will, correct?

22 A. That's correct. Ted.

23 Q. Okay. Did you appoint Ted?

24 A. I did not appoint Ted. Si did.

25 Q. Si appointed Ted?

1 A. Si appointed Ted as a successor trustee under
2 the document -- I mean, Shirley appointed Ted as the
3 successor trustee to Si under the document.

4 Q. So Simon didn't appoint Ted?

5 A. Simon did not appoint Ted.

6 Q. Okay.

7 A. He was the named successor under your mother's
8 document.

9 Q. Okay. So when Simon died -- just so I get all
10 this clear, when Simon died, your law firm knew Ted was
11 the successor, correct?

12 A. That's correct.

13 Q. According to your story. Okay.

14 A. Under Shirley's documents, you're talking
15 about.

16 Q. Under the alleged Shirley document.

17 Okay. But yet did Simon then -- after he
18 died, did he not close the estate of Shirley while he
19 was dead?

20 MR. ROSE: Objection. Argumentative. It's
21 cumulative.

22 THE COURT: Sustained.

23 MR. ROSE: And I believe this whole line of
24 questioning's been covered ad nauseam in the first
25 cross-examination.

1 THE COURT: Well, it's important not to ask
2 the same thing over and over again. You have
3 finite time to work with.

4 MR. BERNSTEIN: Okay.

5 BY MR. BERNSTEIN:

6 Q. The estate of Shirley was closed in January,
7 correct, of 2013?

8 A. I don't recall, but it sounds -- it has to be
9 sometime after November.

10 Q. Okay. So it was closed by Simon, who was dead
11 at that time, correct?

12 MR. ROSE: Objection. Relevance.

13 THE COURT: Sustained.

14 BY MR. BERNSTEIN:

15 Q. Did Ted Bernstein close the Estate of Shirley
16 Bernstein as the successor personal representative?

17 A. No.

18 Q. Who closed the Estate of Shirley Bernstein?

19 A. The documents were filed with the court based
20 on the original petition that your father signed.

21 Q. Did you close the estate?

22 MR. ROSE: Objection. Relevance.

23 THE COURT: What's the relevance?

24 MR. BERNSTEIN: Well, I'm trying to figure out
25 who closed my mom's estate.

1 THE COURT: What's the relevance I've got to
2 figure out?

3 MR. BERNSTEIN: Okay. The documents, they
4 were bringing up these waivers. There's relevance
5 to this.

6 THE COURT: Well, I'll sustain the objection.

7 MR. BERNSTEIN: Okay.

8 BY MR. BERNSTEIN:

9 Q. On this petition for discharge that Mr. Rose
10 brought up on his cross -- and I can't remember where I
11 just pulled that -- I'm going to take a look. That
12 would be 28.

13 MR. BERNSTEIN: Can I admit this into
14 evidence, Your Honor, since I believe Mr. Rose
15 stated it wasn't?

16 THE COURT: You're just picking up a piece of
17 paper and walking up to me and saying, can I admit
18 this into evidence?

19 MR. BERNSTEIN: Well, they didn't admit it.

20 THE COURT: Is there a foundation laid for its
21 admissibility?

22 MR. BERNSTEIN: Yes.

23 THE COURT: Do I know what it is so that I can
24 make a ruling?

25 MR. BERNSTEIN: Oh. It's a petition for

1 discharge.

2 THE COURT: Did anybody testify to that, or
3 are you just --

4 MR. BERNSTEIN: Yeah, he just did.

5 THE COURT: If you have a piece of paper you
6 want to have me consider as an exhibit, the other
7 side has to have seen it and the witness has to
8 have seen it so I'll know what it is.

9 MR. BERNSTEIN: Okay. They were just talking
10 about it.

11 MR. ROSE: Your Honor, just to speed things
12 along, we have no objection to this document coming
13 into evidence. It is part of our Exhibit 28. The
14 whole 28 could come in evidence. That's fine with
15 me. Then it would all be in evidence. Or however
16 you wish to do it.

17 THE COURT: I'm letting this party take charge
18 of his own case.

19 Are you asking that to be received as an
20 exhibit? There's no objection. So that'll be
21 Defendant's 3. Hand that up, and I'll mark it.

22 MR. BERNSTEIN: Thank you.

23 (Defendant's Exhibit No. 3 was received into
24 evidence.)

25

1 THE COURT: So are you done with it?

2 MR. BERNSTEIN: No. Can I use it still?

3 THE COURT: Anything that's supposed to be an
4 exhibit in evidence has to come back to me.

5 MR. BERNSTEIN: Gotcha.

6 BY MR. BERNSTEIN:

7 Q. Okay. On this document, it's a petition for a
8 discharge, a "full waiver," it says.

9 Was this document sent back to your firm as
10 not notarized by Judge Colin's office?

11 A. I'm not sure. I didn't get the documents
12 back.

13 Q. Is it notarized?

14 A. No, it's not.

15 Q. Did you sign as the notary?

16 MR. ROSE: Objection. Cumulative.

17 THE COURT: Overruled.

18 The question was, is it notarized? The answer
19 was no. Then you asked if -- somebody else, if
20 they'd sign, and then the witness if he signed as a
21 notary.

22 THE WITNESS: I signed it as the attorney for
23 the estate.

24 BY MR. BERNSTEIN:

25 Q. Okay. On April 9th with Simon Bernstein?

1 A. Yeah, it appears that way.

2 Q. Could it be another way?

3 A. It didn't -- this document did not require
4 that I witness Si's signature. So I believe that that
5 document was sent to Si, and he signed it, sent it back,
6 we signed it and filed it.

7 Q. So you sent it to Si, he signed it, then sent
8 it back, and you signed it all on April 9th?

9 A. It doesn't -- it's what day he signed it
10 that's relevant. He signed it on April 9th.

11 Q. And what day did you sign it?

12 A. I could have signed it April 11th.

13 Q. Well, where does it say April 11th?

14 A. My signature doesn't require a date. His
15 does.

16 Q. Why?

17 A. Just doesn't.

18 Q. Well, the date that the document says this
19 document's being signed on April 9th.

20 A. I did not sign that exhibit.

21 Q. Next question. On September 13, 2013, the
22 year after my father died, in Judge Martin Colin's
23 court, when he discovered this document, did he threaten
24 to read you your Miranda Rights, stating he had enough
25 evidence to read you Mirandas?

1 MR. ROSE: Objection. Relevance.

2 THE COURT: Sustained.

3 BY MR. BERNSTEIN:

4 Q. Did you deposit this document, this April 9th
5 full discharge, with the court?

6 A. Did I personally do it?

7 Q. Did your law firm?

8 A. No, the law firm did, yes.

9 Q. Okay. And on whose behalf?

10 MR. ROSE: Objection. Cumulative.

11 THE COURT: Sustained.

12 MR. ROSE: And relevance.

13 THE COURT: Sustained.

14 BY MR. BERNSTEIN:

15 Q. Simon was dead when this document was
16 deposited with the court, correct?

17 MR. ROSE: Objection. Cumulative. Relevance.

18 THE COURT: I've got that he is dead written
19 down here several times. It's clear in my mind.

20 You're not moving in a positive direction.

21 MR. BERNSTEIN: I understand that part.

22 THE COURT: All right. New question, please.

23 MR. BERNSTEIN: Okay.

24 BY MR. BERNSTEIN:

25 Q. Is this document sworn to and attested by my

1 father? Is it a sworn statement? Does it say "under
2 penalties of perjury"?

3 A. It does.

4 Q. Okay. So under penalties of perjury, on
5 April 9th, my father and you signed a document, it
6 appears, that states that Simon has fully administered
7 the estate.

8 Was that done?

9 A. Yes, it was.

10 Q. He had settled the estate, made dispositions
11 of all claims of Shirley's estate?

12 A. He was the only beneficiary of the estate.
13 The creditor period had passed.

14 Q. He was the only beneficiary of the will?

15 A. He was the only beneficiary of the will if
16 he -- that's if he survived your mother.

17 Q. Did you say earlier that the five children
18 were tangible personal property devisees or
19 beneficiaries under the will?

20 A. I did not. I said your father was the sole
21 beneficiary of your mother's estate by virtue of
22 surviving her.

23 Q. I thought you mentioned -- can I take a look
24 at the will?

25 Okay. On Simon's will, which is Exhibit 4

1 here --

2 A. This is your mother's will we're talking
3 about.

4 Q. Well, hold on. Well, you did state there were
5 mirror documents, correct, at one point? That's okay.
6 I'll proceed. That part seems to be in error.

7 Does the document say, "I, Shirley Bernstein,
8 of Palm Beach County, Florida hereby revoke all of my
9 prior wills and codicils and make this will my spouse's
10 assignment. My children are Ted, Pam -- Pamela Simon,
11 Eliot Bernstein, Jill Iantoni and Lisa Friedstein"?

12 MR. ROSE: Objection. Best evidence and
13 cumulative.

14 THE COURT: Sustained.

15 MR. BERNSTEIN: Okay.

16 BY MR. BERNSTEIN:

17 Q. Was there a separate written memorandum
18 prepared for this will?

19 A. No, there was not.

20 Q. And if Simon didn't survive, the property
21 would be going to the children, correct?

22 MR. ROSE: Objection.

23 THE WITNESS: Correct.

24 MR. ROSE: Best evidence and cumulative.

25 THE COURT: Sustained.

1 MR. BERNSTEIN: What was -- I missed that.

2 Can I not ask him that question I just asked?

3 THE COURT: I sustained the objection. You
4 can ask a new question of him.

5 MR. BERNSTEIN: Okay.

6 BY MR. BERNSTEIN:

7 Q. Is there any chance that the children could be
8 beneficiaries of anything under this will?

9 A. Not at the time of your mother's death. Your
10 father survived.

11 Q. So at the time of her death, you're saying
12 that -- if they both died together, would the
13 children --

14 MR. ROSE: Objection. Relevancy.

15 BY MR. BERNSTEIN:

16 Q. -- be beneficiaries?

17 THE COURT: Sustained.

18 MR. BERNSTEIN: Okay. I'm done with him.

19 MR. ROSE: No questions.

20 THE COURT: Okay. Thank you. You can step
21 down now.

22 Next witness, please.

23 MR. BERNSTEIN: My next witness, are you
24 saying?

25 THE COURT: If you have another witness, now's

1 the time to call him or her.

2 MR. BERNSTEIN: Okay. Ted Bernstein -- well,
3 one second.

4 Is Kimberly Moran, your witness, here? Is
5 Kimberly Moran, an exhibited witness, here,
6 Mr. Rose?

7 THE COURT: Listen, it's your case. I've
8 asked if you have any other witnesses. Do you have
9 any other witnesses?

10 MR. BERNSTEIN: No, I don't. I was going to
11 call some of their witnesses, but they're not here.

12 THE COURT: Okay. So you aren't going to call
13 anybody?

14 MR. BERNSTEIN: Yes, I'm going to call Ted
15 Bernstein.

16 THE COURT: Well, that's a witness, right?

17 MR. BERNSTEIN: Yeah, yeah. I just was
18 looking for the other ones on the witness list. I
19 didn't know if they were sitting outside.

20 Thereupon,

21 (TED BERNSTEIN)

22 having been first duly sworn or affirmed, was examined
23 and testified as follows:

24 THE WITNESS: I do.

25 DIRECT EXAMINATION

1 BY MR. BERNSTEIN:

2 Q. Ted --

3 THE COURT: You've got to ask the witness his
4 name. The record needs to reflect who's
5 testifying.

6 MR. ROSE: And could I just ask that he stay
7 at the podium?

8 THE COURT: Okay. You need to stay near the
9 microphone so that I can hear and the court
10 reporter can accurately hear you. And then if you
11 need to go up to the witness stand for some reason,
12 you're allowed to do that.

13 BY MR. BERNSTEIN:

14 Q. State your name for the record.

15 A. Ted Bernstein.

16 Q. Is that your full formal name?

17 A. That is.

18 Q. Do you go by Theodore Stuart Bernstein ever?

19 A. I do not.

20 Q. Okay. Is that your name on your birth
21 certificate?

22 A. Which one?

23 Q. Theodore Stuart Bernstein?

24 A. It is not.

25 Q. Okay. Ted, you were made aware of Robert

1 Spallina's fraudulent alteration of a trust document of
2 your mother's when?

3 A. I believe that was in the early 2013 or '14.

4 Q. Okay. And when you found out, you were the
5 fiduciary of Shirley's trust, allegedly?

6 A. I'm not sure I understand the question.

7 Q. When you found out that there was a fraudulent
8 altercation [sic] of a trust document, were you the
9 fiduciary in charge of Shirley's trust?

10 A. I was trustee, yes. I am trustee, yes.

11 Q. And your attorneys, Tescher and Spallina, and
12 their law firm are the one who committed that fraud,
13 correct, who altered that document?

14 A. That's what's been admitted to by them,
15 correct.

16 Q. Okay. So you became aware that your counsel
17 that you retained as trustee had committed a fraud,
18 correct?

19 A. Correct.

20 Q. What did you do immediately after that?

21 A. The same day that I found out, I contacted
22 counsel. I met with counsel on that very day. I met
23 with counsel the next day. I met with counsel the day
24 after that.

25 Q. Which counsel?

1 A. Alan Rose.

2 Q. Oh. Okay. So he was -- so Tescher and
3 Spallina were your counsel as trustee, but Alan Rose
4 became that day?

5 A. I'm not sure when, but I consulted him
6 immediately. You asked me when.

7 MR. ROSE: Can I caution the witness that it's
8 fine to say who he consulted with. I think the
9 advice was the attorney-client privilege I would
10 instruct him on.

11 THE COURT: All right. The attorney-client
12 privilege is available, and your client is on the
13 stand. Counsel's reminding him that it exists.

14 Are there any other questions? What is the
15 time period that you're asking about here?

16 MR. BERNSTEIN: Right after he discovered that
17 there had been a fraudulent, invalid will created.

18 THE COURT: Right. And you're asking him what
19 he did afterwards?

20 MR. BERNSTEIN: Right afterwards.

21 THE COURT: Okay. Have your mother and father
22 both passed away at the time you're asking him
23 that?

24 MR. BERNSTEIN: Correct.

25 THE COURT: So the validity of the documents

1 that I've got to figure out won't have anything to
2 do with the questions you're asking him now about
3 his actions at trustee, will they?

4 MR. BERNSTEIN: Yes.

5 THE COURT: Tell me how.

6 MR. BERNSTEIN: Okay. Because, Your Honor,
7 when he found out that there was fraud by his
8 attorneys that he retained, the question is, what
9 did they do with those documents? Did he come to
10 the court to correct --

11 THE COURT: The question you're asking him is
12 what did he do.

13 MR. BERNSTEIN: Yeah.

14 THE COURT: Well, that doesn't tell me
15 anything about what the attorneys did. So I'll
16 sustain my own objection. I want to keep you on
17 track here. You're running out of time, and I want
18 you to stay focused on what I've got to figure out.
19 You've got a lot more on your mind than I do. I
20 explained that to you earlier. Do you have any
21 other questions on the issues that I've got to
22 resolve at this point?

23 MR. BERNSTEIN: Yeah.

24 BY MR. BERNSTEIN:

25 Q. Have you seen the original will and trust of

1 your mother's?

2 A. Can you define original for me?

3 Q. The original.

4 A. The one that's filed in the court?

5 Q. Original will or the trust.

6 A. I've seen copies of the trusts.

7 Q. Have you done anything to have any of the
8 documents authenticated since learning that your
9 attorneys had committed fraud in altering dispositive
10 documents that you were in custody of?

11 MR. ROSE: Objection. Relevance.

12 THE COURT: Overruled.

13 THE WITNESS: I have not.

14 BY MR. BERNSTEIN:

15 Q. So you as the trustee have taken no steps to
16 validate these documents; is that correct?

17 A. Correct.

18 Q. Why is that?

19 A. I'm not an expert on the validity of
20 documents.

21 Q. Did you contract a forensic analyst?

22 A. I'm retained by counsel, and I've got counsel
23 retained for all of this. So I'm not an expert on the
24 validity of the documents.

25 Q. You're the fiduciary. You're the trustee.

1 You're the guy in charge. You're the guy who hires your
2 counsel. You tell them what to do.

3 So you found out that your former attorneys
4 committed fraud. And my question is simple. Did you do
5 anything, Ted Bernstein, to validate these documents,
6 the originals?

7 THE COURT: That's already been answered in
8 the negative. I wrote it down. Let's keep going.

9 MR. BERNSTEIN: Okay.

10 BY MR. BERNSTEIN:

11 Q. As you sit here today, if the documents in
12 your mother's -- in the estates aren't validated and
13 certain documents are thrown out if the judge rules them
14 not valid, will you or your family gain or lose any
15 benefit in any scenario?

16 A. Can you repeat that for me, please? I'm not
17 sure I'm understanding.

18 Q. If the judge invalidates some of the documents
19 here today, will you personally lose money, interest in
20 the estates and trusts as the trustee, your family, you?

21 A. I will not.

22 Q. Your family?

23 A. My -- my children will.

24 Q. So that's your family?

25 A. Yes.

1 Q. Okay. So do you find that as a fiduciary to
2 be a conflict?

3 MR. ROSE: Objection.

4 THE WITNESS: No.

5 MR. ROSE: I think it calls for a legal
6 conclusion.

7 THE COURT: Sustained.

8 BY MR. BERNSTEIN:

9 Q. Well, would it matter to you one way or the
10 other how these documents are validated?

11 A. What would matter to me would be to follow the
12 documents that are deemed to be valid and follow the
13 court orders that suggest and deem that they are valid.
14 That would be what I would be charged to do.

15 Q. So you can sit here today and tell me that the
16 validity of these documents, even though your family
17 will lose 40 percent, has no effect on you?

18 A. It has no effect on me.

19 Q. Okay. And you don't find that to be adverse
20 to certain beneficiaries as the trustee?

21 MR. ROSE: Objection. Calls for a legal
22 conclusion.

23 THE COURT: Well, what difference does it make
24 to me? I mean, what he thinks about his role is
25 just not relevant to me.

1 MR. BERNSTEIN: Well, Your Honor --

2 THE COURT: So the next question, please.

3 That's not relevant.

4 BY MR. BERNSTEIN:

5 Q. So in no way have you tried to authenticate
6 these documents as the trustee?

7 THE COURT: He has already said that. That's
8 the third time you've asked it, at least. And I've
9 written it down. It's on my papers.

10 MR. BERNSTEIN: Okay. I'll let it go. I'll
11 let him go today.

12 THE COURT: Okay. You have no further
13 questions of the witness.

14 Is there any cross?

15 MR. ROSE: Briefly.

16 CROSS (TED BERNSTEIN)

17 BY MR. ROSE:

18 Q. You did a few things to authenticate the
19 documents, didn't you? You filed a lawsuit?

20 A. Yes.

21 Q. In fact, we're here today because you filed a
22 lawsuit to ask this judge to determine if these five
23 documents are valid, correct?

24 A. That's correct.

25 Q. And you fired Mr. Tescher and Spallina on the

1 spot?

2 A. Correct.

3 Q. Called the bar association?

4 A. The next business day.

5 Q. You consulted with counsel, and we retained
6 additional probate counsel over the weekend?

7 A. We did.

8 Q. So as far as authenticating the documents, you
9 personally believe these are genuine and valid
10 documents, right?

11 A. I do.

12 Q. And you, in fact, were in your office the day
13 your father signed them?

14 A. That's correct.

15 Q. And witnessed Mr. Spallina and the notary
16 coming to the office to sign the documents?

17 A. Yes, that's right.

18 Q. And you had been on a conference call with
19 your father, your brother and your three sisters where
20 your father told you exactly what he was going to do?

21 A. That is also correct.

22 Q. And the documents that we're looking at today
23 do exactly what your father told everybody, including
24 your brother, Eliot, he was going to do on the
25 conference call in May of 2012?

1 A. Yes, that is correct also.

2 Q. Now, I think you were asked a good question.

3 Do you care one way or the other how these
4 documents are decided by the Court?

5 A. Absolutely not.

6 Q. Did you care when your father or mother made a
7 document that did not specifically leave any money to
8 you?

9 A. I did not.

10 Q. Now, did you care for anybody other than
11 yourself?

12 A. I cared for the -- for the sake of my
13 children.

14 Q. And why did you care for the sake of your
15 children?

16 A. My parents had a very good relationship with
17 my children, and I did not want my children to
18 misinterpret what the intentions of their grandparents
19 were and would have been. And for that reason, I felt
20 that it would have been difficult for my children.

21 Q. Did you ever have access to the original will
22 of your father or mother that were in the Tescher &
23 Spallina vaults?

24 A. I have no access, no.

25 Q. Did you ever have access to the original

1 copies of the trusts that Mr. Spallina testified were
2 sitting in their firm's file cabinets or vaults?

3 A. I did not.

4 Q. Now, did you find in your father's possessions
5 the duplicate originals of the trusts of him and your
6 mother that we've talked about?

7 A. I did.

8 Q. And do you have any reason to believe that
9 they aren't valid, genuine and signed by your father on
10 the day that he -- your father and your mother on the
11 days that it says they signed them?

12 A. None whatsoever.

13 Q. You need to get a ruling on whether these five
14 documents are valid in order for you to do your job as
15 the trustee, correct?

16 A. Yes, that is correct.

17 Q. Whichever way the Court rules, will you follow
18 the final judgment of the Court and exactly consistent
19 with what the documents say, and follow the advice of
20 your counsel in living up to the documents as the Court
21 construes them?

22 A. Always. A hundred percent.

23 MR. ROSE: Nothing further, sir.

24 THE COURT: All right. Thank you.

25 Is there any redirect?

1 REDIRECT (TED BERNSTEIN)

2 BY MR. BERNSTEIN:

3 Q. You just stated that you came to the court and
4 validated the documents in this hearing today; is that
5 correct?

6 MR. ROSE: Objection. It mis --

7 BY MR. BERNSTEIN:

8 Q. You filed a motion to validate the documents
9 today?

10 THE COURT: Wait. You've got to let me rule
11 on the objection.

12 MR. BERNSTEIN: Oh, sorry. I don't hear any
13 objection.

14 THE COURT: I'll sustain the objection.

15 BY MR. BERNSTEIN:

16 Q. Okay. Since -- did you file a motion that
17 we're here for today for validity?

18 A. Explain motion.

19 Q. A motion with the court for a validity hearing
20 that we're here at right now.

21 A. Do you mean the lawsuit?

22 Q. Well, yeah.

23 A. Yes, we did file a lawsuit, yes.

24 Q. Okay. Do you know when you filed that?

25 A. No. I don't know, Eliot. I don't know when I

1 filed it. I don't have it committed to memory.

2 Q. Do you have an idea?

3 MR. ROSE: Objection. I think the court file
4 will reflect when the case was filed.

5 THE COURT: Overruled.

6 The question was answered, I don't know. Next
7 question.

8 MR. BERNSTEIN: Okay.

9 BY MR. BERNSTEIN:

10 Q. Prior to filing this lawsuit, Mr. Rose said
11 you couldn't do anything because you didn't know if the
12 documents were valid.

13 My question is, did you do anything from the
14 time you found out the documents might not be valid and
15 needed a validity hearing to today at this validity
16 hearing?

17 MR. ROSE: Objection. Relevance.

18 THE COURT: What's the relevance?

19 MR. BERNSTEIN: Well, he knew about these
20 documents being fraudulent for X months.

21 THE COURT: What will that help me decide on
22 the validity of the five documents?

23 MR. BERNSTEIN: Why, Your Honor, they didn't
24 come to the court knowing that they needed a
25 validity hearing, and instead disposed and

1 disbursed of assets while they've known all this
2 time --

3 THE COURT: I'll sustain the objection.

4 I'm not called to rule upon that stuff. I'm
5 called to rule upon the validity of these five
6 paper documents. That's what I'm going to figure
7 out at the end of the day.

8 BY MR. BERNSTEIN:

9 Q. Mr. Rose asked you if you found documents and
10 they all looked valid to you, and you responded yes.

11 Are you an expert?

12 A. I am not.

13 Q. Can you describe what you did to make that
14 analysis?

15 A. They looked like they were their signatures on
16 the documents. I had no reason whatsoever to think
17 those weren't the documents that were their planning
18 documents. I had no reason at all to think that.

19 Q. Even after your hired attorneys that were
20 representing you admitted fraud, you didn't think there
21 was any reason to validate the documents?

22 MR. ROSE: Objection. Argumentative.

23 THE COURT: Sustained.

24 BY MR. BERNSTEIN:

25 Q. Did you find any reason to validate these

1 documents forensically?

2 A. I think I answered that by saying that we
3 filed a lawsuit.

4 Q. No, I'm asking you to have a
5 forensic -- you're the trustee. And as a beneficiary --
6 to protect the beneficiaries, do you think you should
7 validate these documents with a handwriting expert due
8 to the fact that we have multiple instances of fraud by
9 your counsel who were acting on your behalf?

10 MR. ROSE: Objection. Cumulative and
11 argument.

12 THE COURT: The question is, does he think
13 something. I've already told you when you ask a
14 question do you think, I stop listening. It's not
15 relevant what the witness thinks.

16 So I'll sustain the objection.

17 BY MR. BERNSTEIN:

18 Q. As a trustee, would you find it to be your
19 fiduciary duty upon learning of document forgeries and
20 frauds by your counsel to have the dispositive documents
21 you're operating under validated by a professional
22 handwriting expert, forensic expert, et cetera?

23 MR. ROSE: Objection. Cumulative.

24 THE COURT: Sustained.

25

1 BY MR. BERNSTEIN:

2 Q. Do you think these documents should be
3 validated -- you're the trustee.

4 Do you think these documents should be
5 validated by a professional firm forensically?

6 MR. ROSE: Objection. Cumulative.

7 THE COURT: It's not relevant. You just asked
8 him if he thinks he should have had them validated.
9 I don't care what he thinks. In making my
10 decisions today, what he thinks he should have done
11 or not done isn't relevant. I'm looking for facts.
12 So I really wish you would address your questions
13 to facts.

14 BY MR. BERNSTEIN:

15 Q. So, to the best of your knowledge, have these
16 documents been forensically analyzed by any expert?

17 MR. ROSE: Objection. Cumulative.

18 THE COURT: No, they are not. I already know
19 that. I wrote it down. He's already said they've
20 not been.

21 MR. BERNSTEIN: Okay.

22 BY MR. BERNSTEIN:

23 Q. Ted, when your father signed, allegedly, his
24 2012 documents in July, were you aware of any medical
25 problems with your father?

1 A. I don't think so.

2 Q. Were you aware that I took him for a biopsy of
3 his brain?

4 A. I'm not aware of that, no.

5 Q. Were you aware of the headaches he was
6 suffering that caused him to go for a biopsy of his
7 brain?

8 A. I don't believe he had a biopsy of his brain.
9 But if he did, then I'm not aware of it.

10 Q. Oh, okay. Were you aware of headaches your
11 father was suffering?

12 A. I recall he was having some headaches.

13 Q. Were you aware that he was seeing a
14 psychiatrist?

15 A. Yes.

16 Q. Were you aware of the reasons he was seeing a
17 psychiatrist?

18 A. Absolutely not.

19 Q. Were you ever in the psychiatrist's office
20 with him?

21 A. Yes.

22 Q. For what reason?

23 A. I wanted to have a conversation with him.

24 Q. About?

25 A. About some personal issues that I wanted to

1 discuss with him.

2 Q. Personal issues such as?

3 MR. ROSE: Can I get clarification? Are you
4 talking about you wanted to -- he may have a
5 privilege.

6 You were discussing Simon's issues or your own
7 personal issues?

8 THE WITNESS: They were both intertwined
9 together.

10 MR. ROSE: I think it's subject to a
11 privilege.

12 THE COURT: All right. Well, you've been
13 warned by your attorney you've got a
14 psychologist-client privilege, so use it as you
15 will.

16 MR. BERNSTEIN: He's not a client of the
17 psychiatrist, I don't think.

18 THE COURT: I beg to differ with you.

19 MR. BERNSTEIN: Oh, he is?

20 THE COURT: Because the answer just clarified
21 that he was in part seeking to be a client. Did
22 you listen to his clarification of his answer?

23 MR. BERNSTEIN: No.

24 THE COURT: Well, I did very closely.

25 MR. BERNSTEIN: What was it?

1 THE COURT: Next question, please.

2 MR. BERNSTEIN: Okay. I'll just see it on the
3 transcript.

4 BY MR. BERNSTEIN:

5 Q. Were you aware of any medical conditions,
6 depression, anything like that your father was
7 experiencing prior to his death?

8 A. I never found our father to suffer from any
9 kind of depression or anything like that during his
10 lifetime.

11 Q. So after your mother died, he wasn't
12 depressed?

13 A. No.

14 MR. ROSE: Could I again ask Mr. Bernstein to
15 step to the podium and not be so close to my
16 client?

17 THE COURT: If you speak into the microphone,
18 it'll be even more easy to hear your questions.

19 Thank you.

20 BY MR. BERNSTEIN:

21 Q. So, according to you, your father's state of
22 mind was perfectly fine after his wife died of -- a
23 number of years --

24 A. I didn't say that.

25 Q. Okay. He wasn't depressed?

1 A. That's what I said.

2 Q. Were you aware of any medications he was on?

3 A. I was, yes.

4 Q. Such as?

5 A. From time to time, he would take something for
6 your heart when you would have angina pains. But that
7 he was doing for 30 years, for a good 30 years, that I
8 knew dad was taking, whatever that medicine is when you
9 have some chest pain.

10 Q. Did you have any problems with your father
11 prior to his death?

12 MR. ROSE: Objection. Relevance.

13 THE COURT: The question is, did you have any
14 problems with your dad before he died?

15 I'll sustain the objection.

16 BY MR. BERNSTEIN:

17 Q. Are you aware of any problems between you and
18 your father that were causing him stress?

19 MR. ROSE: Objection. Relevance.

20 THE COURT: Sustained.

21 BY MR. BERNSTEIN:

22 Q. Were you aware that your father was changing
23 his documents allegedly due to stress caused by certain
24 of his children?

25 A. No.

1 Q. Were you on a May 10th phone call?

2 A. Yes.

3 Q. In that phone call, did your father --

4 MR. ROSE: Objection. It's beyond the
5 scope -- well --

6 MR. BERNSTEIN: It has to do with the changes
7 of the documents and the state of mind.

8 THE COURT: Do you have a question you want to
9 ask? He's withdrawn whatever he was saying, so you
10 can finish your question.

11 BY MR. BERNSTEIN:

12 Q. Okay. So on May 10th, at that meeting, your
13 father stated that he was having trouble with certain of
14 his children, and this would solve those problems.

15 Are you aware of that?

16 A. No, I don't -- not from the way you're
17 characterizing that phone call.

18 Q. Well, how do you characterize that?

19 A. He wanted to have a conversation with his five
20 children about some changes he was making to his
21 documents.

22 Q. And you had never talked to him about the
23 changes, that your family was disinherited?

24 A. No.

25 Q. Prior to that call?

1 A. No.

2 Q. When did you learn that you were disinherited?

3 A. I think when I first saw documents with --
4 maybe after dad -- once dad passed away.

5 Q. Were you aware of the contact with your sister
6 Pam regarding her anger at your father for cutting both
7 of you out of the will?

8 A. I'm aware of that.

9 Q. So that was before your father passed?

10 A. Excuse me. Can you ask -- say the end of that
11 sentence again.

12 MR. BERNSTEIN: Can you read that back?

13 (A portion of the record was read by the
14 reporter.)

15 THE WITNESS: I'm sorry. You asked me a
16 question, and I had answered too quickly. What was
17 the end of the question prior to that?

18 (A portion of the record was read by the
19 reporter.)

20 THE WITNESS: I'm aware that she was angry
21 with him about how -- that he -- she was not in his
22 documents.

23 BY MR. BERNSTEIN:

24 Q. You didn't learn right there that you weren't
25 in the documents?

1 A. I can't remember if it was then or if it was
2 when dad died.

3 Q. Well, this is very important so can you think
4 back to that time.

5 While your father was alive, did I invite you
6 to a Passover holiday at my home?

7 MR. ROSE: Objection. Relevance.

8 THE WITNESS: I don't recall.

9 MR. BERNSTEIN: Okay.

10 THE COURT: What's the relevance?

11 MR. BERNSTEIN: Well, it's relevance to the
12 state of mind my dad was in while --

13 THE COURT: Well, you're asking did this guy
14 get invited to your home. You didn't ask about
15 your dad, so I'll sustain the objection.

16 BY MR. BERNSTEIN:

17 Q. Okay. Did you get invited to a Passover
18 dinner at my home that your father was attending?

19 A. I don't recall the circumstances of
20 what -- whatever it is you're referring to.

21 Q. Do you recall saying you wouldn't come to the
22 Passover dinner?

23 MR. ROSE: Objection. Relevance.

24 THE COURT: Sustained.

25

1 BY MR. BERNSTEIN:

2 Q. Do you recall writing me a email that stated
3 that your family was dead for all intensive [sic]
4 purposes?

5 MR. ROSE: Objection. Relevance.

6 THE COURT: What's the relevance to the
7 validity of these documents?

8 MR. BERNSTEIN: If Si was in the right state
9 of mind or if he was being, you know, forced at a
10 gun to make these changes by children who had --

11 THE COURT: Your question asked this witness
12 if he wrote you a letter that said his family was
13 dead for all intents and purposes. What's that got
14 to do with the validity of these documents?

15 MR. BERNSTEIN: Well, it establishes Simon's
16 state of mind.

17 THE COURT: Okay. I'll sustain the objection.

18 MR. BERNSTEIN: Okay. All right. Well, then,
19 I'm all done then.

20 THE COURT: All right.

21 Is there any cross?

22 MR. ROSE: I already crossed.

23 THE COURT: Oh, that's true. So you're all
24 set. You're done. Thank you.

25 Next witness, please.

1 MR. BERNSTEIN: Alan Rose.

2 MR. ROSE: I object. Improper.

3 THE COURT: You've got 11 minutes yet.

4 MR. BERNSTEIN: Well, he's a witness to the
5 chain of custody in these documents.

6 THE COURT: Well, you can call anybody you
7 want. I just wanted you to know how much time you
8 had left.

9 MR. BERNSTEIN: Oh, okay.

10 MR. ROSE: He wants to call me, and I object
11 to being called as a witness.

12 THE COURT: Okay.

13 MR. ROSE: I don't think that's proper.

14 THE COURT: I don't think that's proper to
15 call an attorney from the other side as your
16 witness. So I accept the objection. Anybody else?

17 MR. BERNSTEIN: Your Honor, I would agree with
18 that normally --

19 THE COURT: Well, thanks.

20 MR. BERNSTEIN: -- but there's a small
21 problem. The chain of custody we're trying to
22 follow in these documents for other reasons, other
23 criminal reasons, is Mr. Rose has pertinent
24 information to; meaning, he claims to have
25 discovered some of these documents and taken them

1 off the property.

2 THE COURT: I thought you said you wanted a
3 chain of custody?

4 MR. BERNSTEIN: Right. Meaning --

5 THE COURT: Well, the chain of custody to me
6 means the chain of custody after the time they were
7 executed.

8 MR. BERNSTEIN: Right.

9 THE COURT: All right. He wasn't around when
10 they were executed.

11 MR. BERNSTEIN: No, but he found documents
12 that are being inserted into this court case as
13 originals, second originals that he found
14 personally, and wrote a letter stating, I just
15 happened to find these documents in Simon's home --

16 THE COURT: Well, I'm going to sustain the
17 objection to you calling him as a surprise witness.
18 He's a representative of your own. Do you have any
19 other witnesses?

20 MR. BERNSTEIN: No. I'm good.

21 THE COURT: Okay. So you rest?

22 MR. BERNSTEIN: I rest.

23 THE COURT: Okay. Is there any rebuttal
24 evidence from the plaintiff's side?

25 MR. ROSE: No, sir.

1 THE COURT: Okay. So the evidence is closed.
2 We'll have time for brief closing arguments. And
3 I'll take those now. Let me hear first from the
4 plaintiff's side.

5 MR. ROSE: I'm sorry. Did you say it was time
6 for me to speak?

7 THE COURT: Yes. I'm taking closing arguments
8 now.

9 MR. ROSE: Okay. Thank you. May it please
10 the Court.

11 We're here on a very narrow issue. And
12 we -- you know, I apologize to the extent I put on
13 a little bit of background. We've had an extensive
14 litigation before Judge Colin. This is our first
15 time here. And if any of my background bored you,
16 I apologize.

17 There are five documents that are at issue,
18 which we talked about before we started; the 2008
19 will and trust of Shirley Bernstein, as well as the
20 amendment that she signed, and then the 2012 will
21 and trust of Simon Bernstein.

22 So the uncontroverted evidence that you've
23 heard was from Robert Spallina, who is an attesting
24 witness to the documents and he was a draftsman of
25 the documents.

1 I don't believe it's directly relevant to your
2 inquiry, but you certainly heard evidence that what
3 Simon Bernstein intended and what he communicated
4 were his wishes; the exercise of a power of
5 appointment through a will, the changing of the
6 beneficiaries of his trust document by way of an
7 amended and restated 2012 document, to give his
8 money -- leave his wealth to his ten grandchildren.
9 The final documents as drafted and signed are
10 consistent with what.

11 But what we're here to decide is, are these
12 documents valid and enforceable? And there are
13 self-proving affidavits attached to the documents.
14 And by themselves, if you find the self-proving
15 affidavits to be valid, then the wills themselves
16 are valid and enforceable.

17 Now, the only question that's been raised as
18 to the self-proving affidavit is an issue with
19 notarization. And we have two cases to cite to the
20 Court on the notarization issue. One is from the
21 Florida Supreme Court called The House of Lyons,
22 and one is from a sister court in the State of
23 North Carolina.

24 THE COURT: Just a second.

25 Sir, would you just have a seat. You're

1 making me nervous.

2 MR. BERNSTEIN: Sure.

3 THE COURT: Thanks.

4 MR. BERNSTEIN: Just aching.

5 THE COURT: Well, I understand. But just have
6 a seat. That'll be better. Thanks.

7 And I'm sorry for the interruption.

8 MR. ROSE: No, that's all right.

9 If I may I approach with the two cases we
10 would rely on.

11 THE COURT: All right.

12 MR. ROSE: The House of Lyons. The second is
13 a case from Georgia. The House of Lyons case is
14 from the Florida Supreme Court. It deals in a
15 slightly different context, but it deals with
16 notarization. And so what you have here is, we've
17 put on evidence. The documents that are in
18 evidence, that these documents were signed
19 properly. The witnesses were in the presence of
20 each other, and the testator and the notary
21 notarized them.

22 Shirley's documents from 2008, there's no
23 question that all the boxes were checked. There is
24 a question that's been raised with regard to
25 Simon's 2012 will and his 2012 trust; that the

1 notary -- rather than the law firm employee
2 notarizing them, these were notarized by Simon's --
3 the testimony is by an employee of Simon's company,
4 not a legal expert. And if on the face of the two
5 documents -- and for the record, these would be
6 Exhibits 4, which is Simon's will, and Exhibit 5,
7 which is Simon's trust.

8 On Exhibit 4, there's no box to check. The
9 whole information is written out. And I don't
10 believe there's any requirement that someone
11 circled the word -- if you just read it as an
12 English sentence, the notary confirmed that it was
13 sworn to and ascribed before me the witness is
14 Robert L. Spallina, who is personally known to me
15 or who has produced no identification.

16 So I think the natural inference from that
17 sentence is that person was known to him, Kimberly
18 Moran, who was personally known to me, and Simon
19 Bernstein, who was personally known to me. So on
20 its face, I think it -- the only inference you
21 could draw from this is that the person knew them.

22 Now, we've established from testimony that she
23 in fact knew the three of them, and we've
24 established by way of Exhibit 16, which was signed
25 on the same day and notarized by the same person.

1 And Exhibit 16, unlike Exhibit 4, which doesn't
2 have a little check mark, Exhibit 16 has a check
3 mark, and the notary properly checks personally
4 known to the people that she was notarizing.

5 So I believe -- and the In Re Lyon case stands
6 for substantial compliance with a notary is
7 sufficient. And the North Carolina case is
8 actually more directly on point. The Florida
9 Supreme Court case, Lyons -- and we've highlighted
10 it for the Court, but it says, clerical errors will
11 not be permitted to defeat acknowledges --
12 acknowledgments when they, considered either alone
13 or in connection with the instrument acknowledged
14 and viewed in light of the statute controlling
15 them, fairly show a substantial compliance with the
16 statute.

17 The North Carolina case is a will case, In Re
18 Will of Durham. And there it's exactly our case.
19 The notary affidavit was silent as to whether the
20 person was personally known or not. And the Court
21 held the caveat was self-proving. The fact that
22 the notary's affidavit is silent as to whether
23 decedent was personally known to the notary or
24 produced satisfactory evidence of his identity does
25 not show a lack of compliance with the notary

1 statute, given the issues of personal knowledge or
2 satisfactory evidence are simply not addressed in
3 that affidavit.

4 So we have a Florida case and we have the
5 North Carolina case, which I think is -- it's
6 obviously not binding, but it is sort of
7 persuasive. If they're self-proved, we would win
8 without any further inquiry. The reason we had a
9 trial and the reason we had to file a complaint was
10 everything in this case -- you've slogged through
11 the mud with us for a day, but we've been slogging
12 through the mud for -- basically, I got directly
13 involved in January of 2014, after the Tescher
14 Spallina firm -- after the issues with the firm
15 came to light. So we've been slogging through
16 this.

17 But we did file a complaint. We went the next
18 step. So the next step says to you, assume the
19 notaries are invalid, which they aren't invalid;
20 but if they were, all we need to establish these
21 documents is the testimony of any attesting
22 witness. So we put on the testimony of an
23 attesting witness, Mr. Spallina. He testified to
24 the preparation of the documents. And I do think
25 it's relevant and it will give the Court comfort in

1 making findings of fact that there was an extensive
2 set of meetings between Mr. Spallina and his
3 clients when they did the documents.

4 I mean, we documented for the first set of
5 documents, you know, four meetings, a letter with
6 some drafts, then a meeting to sign the documents,
7 some phone calls and some amending the documents.
8 And in 2012, we've documented at least one meeting
9 with notes involving Simon; telephone conferences
10 between Simon and his client; eventually, when a
11 decision was made, a conference call of all the
12 children; drafts of the documents sent; the
13 document being executed.

14 And so I think if you look at the evidence,
15 the totality of the evidence, there's nothing to
16 suggest that these five documents do not reflect
17 the true intent of Simon and Shirley Bernstein.
18 There's nothing to suggest that they weren't
19 prepared by the law firm; that they weren't signed
20 by the people that purport to sign them; that
21 undisputed testimony from an attesting witness was
22 that all three people were present, and it was
23 signed by the testator and the two witnesses in the
24 presence of each other.

25 So under either scenario, you get the document

1 admitted. In fact, the documents are in evidence.
2 They've been admitted to probate. But the
3 testimony under 732.502, 503, the testimony of the
4 drafting attorney, who attested -- who was an
5 attesting witness, is sufficient for these
6 documents.

7 There's absolutely no evidence put on the
8 Court that Simon Bernstein lacked mental capacity.
9 In fact, the evidence is directly to the contrary.
10 Every witness testified that he was mentally sharp;
11 making intelligent decisions; having a conference
12 call with his children to explain his wishes. And
13 there's simply no evidence in the record to
14 determine that he lacked testamentary capacity.

15 So if I have Mr. Bernstein, Simon Bernstein,
16 with testamentary capacity signing documents in the
17 presence of two subscribing witnesses, the 2012
18 documents should be upheld. I don't know if
19 there's a question at all even about Shirley
20 Bernstein's 2008 document, but the testimony is
21 undisputed that the documents were consistent with
22 her wishes. You saw a draft letter that explained
23 to her exactly what was happening. She signed the
24 documents. The self-proving affidavits for the
25 Shirley documents are all checked perfectly. And

1 even if they weren't, we have an attesting witness
2 here.

3 And, frankly, I think Eliot Bernstein likes
4 these documents. And all he wants to do is argue
5 what they mean and how much money you get from
6 them. And we didn't really need to spend a day
7 arguing this, but we have and we're here. And we
8 believe that the evidence conclusively demonstrates
9 that these documents are valid.

10 Now, you've heard some nonsense and some
11 shenanigans. There were a couple of problems in
12 the case; one with the notarization of documents.
13 And it's sort of a sad and tortured story, but
14 it's -- it was clearly wrong for someone to send
15 documents into Judge Colin's courtroom that had
16 been altered. The correct documents were submitted
17 and the estate should have been closed.

18 And when the documents were returned, someone
19 should have gone and filed a motion with Judge
20 Colin to accept the un-notarized documents, since
21 there was no dispute they were signed. And we
22 wouldn't be here. But for whatever reason, that
23 happened. And it's unfortunate that happened, but
24 there's no evidence that Ted Bernstein, either of
25 his sisters, or Eliot Bernstein, or any of the

1 grandchildren played any role in the fabrication of
2 that document -- the false notarization.

3 The fabricated amendment to Shirley's trust
4 document is a very disturbing fact, and we took
5 immediate action to correct it. No one's purported
6 to validate that document. We filed an action to
7 have the Court construe the documents, tell us
8 which are valid, tell us what they mean. And
9 that's where we should be focusing our time on.
10 And this is, in my view, step one toward that.

11 But if you look at the evidence we've
12 presented, if you -- I understand you've got to
13 deal with the witnesses that you're handed. And I
14 think Mr. Spallina's testimony, notwithstanding the
15 two issues that we addressed, was persuasive, it
16 was un rebutted.

17 And we would ask that you uphold the five
18 documents and determine, as we have pled, that the
19 five testamentary documents that are in evidence, I
20 believe, as 1, 2, 3, 4, and 5 be upheld and
21 determined to be the valid and final testamentary
22 documents of Simon and Shirley Bernstein. To the
23 extent there's any question the document that has
24 been admitted to be not genuine be determined to be
25 an inoperative and un genuine document, we would ask

1 that you enter judgment for us on Count II and
2 reserve jurisdiction to deal with the rest of the
3 issues as swiftly as we can.

4 THE COURT: All right. Thank you.

5 Any closing argument from the other side?

6 Okay.

7 I keep forgetting that you've got a right to
8 be heard, so please forgive me.

9 MR. MORRISSEY: Judge, if I may approach, I
10 have some case law and statutes that I may refer
11 to. And I'll try to be brief and not cumulative.

12 MR. BERNSTEIN: Could I get the other case law
13 that was submitted? Do you have a copy of that?

14 MR. ROSE: Sure.

15 MR. MORRISSEY: Judge, the relevant statute
16 with respect to the execution of wills is 732.502.
17 It says that every will must be in writing and
18 executed as follows. And I'll just recite from the
19 relevant parts, that is to say relevant with
20 respect to our case.

21 The testator must sign at the end of the will
22 and it must be in the presence of at least two
23 attesting witnesses. And if we drop down to
24 Subsection C, the attesting witnesses must sign the
25 will in the presence of the testator and in the

1 presence of each other.

2 Judge, that was established and uncontroverted
3 in connection with Mr. Spallina's testimony. So
4 732.502 was complied with.

5 Now, I think that we -- there was kind of a
6 distraction with respect to the self-proving
7 affidavits at the end. As Your Honor's aware, a
8 self-proving affidavit is of no consequence in
9 connection with the execution of a will. Execution
10 of a will as dealt with in 732.502 merely requires
11 execution at the end by the testator or the
12 testatrix, and then two witnesses who go ahead and
13 attest as to the testator's signature.

14 Now, the self-proving affidavit at the end is
15 in addition to. So the fact that there may or may
16 not have been a proper notarization is of no
17 consequence in connection with a determination of
18 the validity of any of these documents. So that's
19 number one.

20 Number two, I've also provided Your Honor with
21 another -- a statutory section, 733.107, and it's
22 titled "The Burden of Proof in Contest." And it
23 says there, in Subsection 1, "In all proceedings
24 contesting the validity of a will, the burden shall
25 be upon the proponent of the will to establish,

1 prima facie, its formal execution and attestation."

2 I would submit to the Court that that was done
3 today. We had Mr. Spallina's testimony, which was
4 uncontroverted, that indicated that 732.502 was
5 complied with. The statute goes on to state, "A
6 self-proving affidavit executed in accordance with
7 733.502 or an oath of an attesting witness executed
8 as required under the statutes is admissible and
9 establishes, prima facie, the formal execution and
10 attestation of the will."

11 So, once again, I would submit to the Court
12 that there were self-proving affidavits with
13 respect to all of these testamentary documents.
14 They were proper in form, and therefore comply or
15 comport with the second sentence of the statute.
16 But even if not, we had Mr. Spallina testify today
17 so as to comply with this second sentence of
18 Subsection 1.

19 So if we drop down to the third sentence of
20 this Subsection 1, it says that, "Thereafter, the
21 contestant shall have the burden of establishing
22 the grounds on which probate of the will is opposed
23 or revocation is sought."

24 That was not done today by Mr. Eliot
25 Bernstein. He did not present any evidence or meet

1 any burden to overturn these valid wills.

2 Judge, there is the competency argument. The
3 testamentary competency, I'm now going to quote
4 from In Re Wilmott's Estate, 66 So.2d 465. "A
5 testamentary competency means the ability to
6 understand generally the nature and extent of one's
7 property, the relationship of those who would be
8 the natural objects of the testator's bounty, and
9 the practical effect of the will."

10 The only testimony, I elicited that from
11 Mr. Spallina. His is the only testimony that we
12 have in this regard. And it's uncontroverted that
13 both of these decedents met those very specific
14 criteria which -- with respect to each and every
15 one of the five documents that are submitted for
16 your Court's validation today.

17 There's also case law, In Re Estate of Weihe,
18 W-E-I-H-E. That's 268 So.2d 446. That's a Fourth
19 DCA case that says, "Competency is generally
20 presumed and the burden of proving incompetency is
21 on the contestant." So even if we didn't have
22 Mr. Spallina's testimony today, which I elicited,
23 competency on the part of both Shirley and Si
24 Bernstein would be presumed. And it would be the
25 contestant, Mr. Eliot Bernstein, who would have to

1 come up with the -- or would have the burden of
2 showing that they were incompetent. He presented
3 no evidence today in that regard or in that
4 respect.

5 Lastly, there's the In Re Carnegie's estate,
6 153 Florida 7. It's a 1943 case. That says that
7 testamentary capacity refers to competency at the
8 time that the will was executed, so on that date.

9 The only testimony we have with respect to any
10 issues of competency on the date -- on the specific
11 dates that these testamentary documents were signed
12 was from Mr. Spallina. And on all such dates and
13 times, Mr. Spallina testified that these requisites
14 with respect to competency -- or testamentary
15 competency were met.

16 Finally, Judge, undue influence, that would be
17 a reason for invalidating a will. Mr. Bernstein,
18 once again, did not present any evidence to go
19 ahead and suggest that these wills or trusts
20 documents should be overturned on the grounds of
21 undue influence. And in that regard, I provided
22 Your Honor with the Estate of Carpenter, 253 So.2d
23 697. To prove undue influence, one must
24 demonstrate that a beneficiary had a confidential
25 relationship with the decedent and actively

1 procured the will or trust.

2 Mr. Eliot Bernstein did not even suggest today
3 that any of the beneficiaries actively procured the
4 document. Why? Beneficiaries are essentially --
5 are ultimately the ten grandchildren.

6 Mr. Bernstein, Eliot Bernstein, did not suggest
7 today that any one of the ten grandchildren, who
8 are ultimately beneficiaries, were active in
9 procuring any of the five documents, nor did
10 Mr. Bernstein submit to the Court any evidence of
11 confidential relationship by anyone in connection
12 with the various criteria to raise the presumption
13 of undue influence, nor did Eliot Bernstein raise
14 the presumption by satisfying any or enough of the
15 criteria under the Carpenter case to go ahead and
16 raise the presumption that anyone, any substantial
17 beneficiary, had committed undue influence with
18 respect to any of these documents.

19 For those various, multifarious reasons,
20 Judge, I would submit to the Court that these
21 documents are valid and should be held as such.

22 THE COURT: All right. Thank you.

23 Any closing from the defendant's side?

24 MR. BERNSTEIN: Oh, yeah.

25 THE COURT: You've got eight minutes

1 remaining.

2 MR. BERNSTEIN: Okay. Your Honor, we're
3 really here today because of a complex fraud on the
4 court and on beneficiaries like myself and my
5 children. The only witness they procured to
6 validate these documents has consented to the SEC
7 and felony charges recently with his partner for
8 insider trading. He came up on the stand and
9 admitted that he committed fraud, and that his law
10 firm forged documents and frauded documents, and
11 then submitted them not only to the court, but
12 beneficiaries' attorneys as part of a very complex
13 fraud to not only change beneficiaries, but to
14 seize dominion and control of the estates through
15 these very contestable documents.

16 They've been shown by the governor's office to
17 not be properly notarized. The two people who are
18 going -- well, one is --

19 MR. ROSE: I don't want to object to --

20 MR. BERNSTEIN: -- has no --

21 MR. ROSE: Can I object? He's so far talking
22 about things that aren't in evidence.

23 THE COURT: Sustained.

24 You can only argue those things that were
25 received in evidence.

1 MR. ROSE: And I realize Your Honor has a good
2 memory of the evidence --

3 MR. BERNSTEIN: I put in evidence that
4 Mr. Spallina was SEC --

5 THE COURT: No, I sustained objections to
6 those questions.

7 MR. BERNSTEIN: Oh, okay.

8 THE COURT: You can only argue those things
9 that came into evidence.

10 MR. BERNSTEIN: Okay. They didn't bring in
11 any of the necessary parties to validate these
12 documents, other than Mr. Spallina, who admitted to
13 the Court today that he fraudulently altered the
14 trust document. Can I now say that?

15 THE COURT: It's not good for you to ask me
16 questions. I've got to rule on objections, and I'm
17 trying to give you some guidance so that you don't
18 screw up. But I can't answer your legal questions.

19 MR. BERNSTEIN: Okay. So the only witness has
20 admitted in this very case that his law firm
21 submitted forged and fraudulent documents to the
22 Court already in this case; that he himself did
23 those frauds. And we're relying on his sole
24 testimony.

25 None of the other people who signed these

1 documents are here today to validate or even
2 confirm his statements. So it's a highly
3 uncredible [sic] witness to the documents,
4 especially when Mr. Spallina drafted, signed as a
5 witness, gained interest in the documents himself
6 personally as a trustee, and seems to clearly have
7 then taken it upon himself to mislead beneficiaries
8 as to the actual documents.

9 I have asked for production of these
10 documents. Today there were no originals produced
11 to this Court for you to examine.

12 And more importantly, there's a few last
13 things I wanted to state to the Court. My children
14 are not represented here today as beneficiaries.
15 They were supposed to be represented by a trustee
16 of a trust that does not exist in our possession.
17 So they were -- I was sued as a trustee of a trust
18 I've never been given to represent my children, who
19 are alleged beneficiaries by these guys. And the
20 estate's done nothing to provide counsel to three
21 minor children, and left them here today without
22 counsel, and me as a trustee of a trust that
23 doesn't exist, as far as we know. I've never
24 signed it. They haven't submitted it to the Court,
25 to anybody.

1 I want to bring up Rule 1.20, pretrial
2 procedure, case management conference process
3 provides, "The matter to be considered shall be
4 specified in the order of notice setting the
5 conference."

6 So I just want to say that we had a status
7 conference in Simon Bernstein's estate, and only
8 Simon Bernstein's estate, and that this trial was
9 scheduled in Simon's status conference, which
10 violates that very rule. So this trial, in my
11 view, was conducted improperly.

12 Like I said, if you look at the hearing
13 transcript of that day, you'll see that Mr. Rose
14 misleads the Court to think that all these cases
15 were noticed up that day. But Mr. O'Connell, the
16 PR, had only noticed it up for Simon's estate. So
17 what I'm doing here at a trial in Shirley's trust
18 violates Rule 1.20.

19 There are some other things that are violated
20 and not -- I believe we didn't get to discuss
21 the -- at the case management, the fact that, you
22 know -- and I did try to get this out -- that we
23 would need a lot more time for a competency
24 hearing, for a removal of Ted process, which should
25 have come first before doing this and letting them

1 argue, where it's been alleged that there's some
2 serious problems with Ted Bernstein's
3 representation, including the fact that the PR of
4 the estate of Simon has filed with this Court
5 notice that he's not a valid trustee.

6 MR. ROSE: Objection. Outside -- not in
7 evidence.

8 THE COURT: Okay. If you're not going to
9 argue the facts that are in evidence in this trial,
10 then I'm going to ask you to stop.

11 MR. BERNSTEIN: Okay. Well, I'll keep going
12 on my -- see, that's what's confusing. What trial?
13 We had a case management. I was prepared for a
14 Simon, where I have Simon trust construction, all
15 those things ready, and I didn't come with any
16 notes about Shirley. And I've tried to notice the
17 Court that under 1.200, this trial was scheduled
18 improperly in the estate of Simon, and should have
19 been reheard or rescheduled or something.

20 But that seems not to matter. It doesn't
21 matter that we follow the rules. I follow the
22 rules, but it seems that the other side doesn't
23 follow any of the rules; doesn't submit documents
24 properly to courts; commits frauds on courts; and
25 then wants you to believe the validity of these

1 documents based on a felony statement to the Court,
2 who's under a consent with the SEC.

3 THE COURT: You've got two minutes remaining.

4 MR. BERNSTEIN: There were outstanding
5 discovery requests. I was denied all these
6 documents. I was denied the trust that I'm sued
7 under representing my children. So I can't get any
8 of those documents. We would have brought all that
9 up at a real status conference had it been a real
10 status conference and not a corralling or, as you
11 called it, a wrangling of octopuses.

12 THE COURT: That's vivid imagery. Isn't it?
13 I pride myself on that one.

14 MR. BERNSTEIN: Oh, yeah. Well, I was
15 wrangled, technically, into the wrong case here
16 today, in a status conference that you should have
17 corrected upon learning about this. And Mr. Rose
18 has been aware of his mistake in misleading the
19 Court that all these cases were noticed up, when
20 they weren't. And he didn't come to the Court to
21 correct it. Kind of like they didn't come to the
22 Court to correct the validity of these documents
23 before acting under them, knowing they needed to be
24 not only challenged on validity, but on
25 construction of terms, which will come next, which

1 is going to just go right back into the same circle
2 of fraud.

3 So their star witness is a felon. Their star
4 witness has committed fraud upon this Court in this
5 case. That's who they're relying on, and hoping
6 you bank on his words to validate documents.

7 I, Your Honor, am asking that you don't
8 validate the documents; that we move forward to
9 have the documents properly forensically analyzed.
10 They were the subject of ongoing criminal
11 investigations, which are just getting kicked off.
12 In fact, I got 7200 documents from Mr. Spallina,
13 where almost, I think, 7200 are fraud.

14 THE COURT: Your time is more than elapsed. I
15 was letting you finish up as a courtesy, but you're
16 getting off into things that aren't in evidence --

17 MR. BERNSTEIN: Okay. Well, I don't think the
18 trial was conducted fairly. I think that my due
19 process rights have been denied under the law.

20 THE COURT: Your time is more than up. Thank
21 you.

22 MR. BERNSTEIN: Okay.

23 THE COURT: Is there any rebuttal?

24 MR. BERNSTEIN: And I still would like to move
25 for your disqualification, on the record.

1 THE COURT: On the record doesn't count.

2 You've got to put it in writing.

3 MR. BERNSTEIN: Are you sure? I thought I saw
4 in the rules --

5 THE COURT: I'll tell you what. You proceed
6 under your understanding of the law and the rules.
7 That's fine.

8 MR. BERNSTEIN: Okay.

9 THE COURT: Before I take this --

10 MR. BERNSTEIN: I rest.

11 THE COURT: -- before I take this rebuttal
12 argument, I'll let you put your request for recusal
13 in writing. We'll be out of session five minutes.

14 Is that something you want me to read?

15 MR. ROSE: I just want to make my final --

16 THE COURT: I just want to make sure that
17 there's been no possibility that this gentleman
18 won't have his moment to shine.

19 So go ahead and go put that in writing, sir.
20 Be back in five minutes.

21 (A break was taken.)

22 THE COURT: Did you get that written down?

23 MR. BERNSTEIN: Can I approach?

24 THE COURT: Sure. All approaches are okay.

25 MR. BERNSTEIN: Do you want to wait for

1 everybody?

2 THE COURT: Do you have something that you
3 wanted to file, a written motion to recuse?

4 MR. BERNSTEIN: Yeah. In freestyle.

5 THE COURT: All right. I'll take a look at
6 it. Thank you.

7 MR. BERNSTEIN: Can I ask a question?

8 THE COURT: I'll be in recess. I'll take a
9 look at this written motion. Thank you. It'll
10 take me just a minute. Don't anybody go away.

11 (A break was taken.)

12 THE COURT: The stack of documents handed up
13 to me by the defendant are duplicates of documents
14 that he filed, it looks like, twice with the clerk
15 on December 4th, and they've already been ruled
16 upon by me. But I am also ruling today by
17 handwritten order on the face of one of the
18 documents that the disqualification motion is
19 denied as legally insufficient; already ruled upon
20 in the order of 12/8/15, at Docket Entry No. 98;
21 identical to motions filed by defendant on
22 12/4/2015 at Docket Entries Nos. 94 and 98; done in
23 order of John Phillips, 12/15/15. And since I have
24 skills, I made copies of my handwritten order for
25 everybody.

1 Gary, if you could, just hand these out.

2 That'll take care of all that.

3 Now we can go back to talking about the case.

4 I was going to take the rebuttal argument from
5 Plaintiff's side. I'd take that now.

6 MR. ROSE: I have just the exhibits that we
7 put in evidence on the plaintiff's side, if that's
8 easier for the Court.

9 THE COURT: That would be much easier. Thank
10 you.

11 MR. ROSE: And I have a proposed final
12 judgment. And I wanted to talk about one paragraph
13 of the final judgment in particular.

14 MR. BERNSTEIN: I haven't had time to review
15 any final judgment or anything.

16 THE COURT: You're interrupting the argument.
17 Thank you.

18 MR. ROSE: So the complaint alleges -- and I
19 realize we didn't cover every issue in the entire
20 case, but we do it within the four corners of Count
21 II of the complaint. Count II of the complaint was
22 stated in paragraph 79 through 88 of the complaint.

23 And the answer that's filed in this case on
24 Count II at paragraph 80 alleges that there's been
25 a fraud on the court by Ted Bernstein, including,

1 but not limited to, proven forgery, fraudulent
2 notarizations, fraud on the court, altercation
3 [sic] of trust documents, et cetera, et cetera.

4 And in paragraph 82, the answer says that Ted
5 should be removed for his ongoing involvement in
6 fraud which is dealing with these documents.

7 Ted Bernstein is serving as a fiduciary.
8 You've heard -- that was the defense to this case.
9 That's stated in the complaint. You heard no
10 evidence that Ted Bernstein was involved in the
11 preparation or creation of any fraudulent
12 documents. In fact, the evidence from Mr. Spallina
13 was to the contrary.

14 So our final judgment in paragraph 5 asks the
15 Court to make a ruling on the issues that are pled
16 in the answer, specifically that there was no
17 evidence that Ted was involved and that the
18 evidence was to the contrary.

19 So we have no rebuttal. We believe we've
20 established our case, and we proposed a final
21 judgment for Your Honor's consideration that
22 discusses that this is an action to adjudicate five
23 documents to be the testamentary documents. Based
24 on the evidence presented, they're genuine,
25 authentic, valid and enforceable; has the requisite

1 findings. Paragraph 5, which I've explained, the
2 reason we believe it's appropriate in the final
3 judgment, given the pleadings that were made and
4 the lack of evidence on those pleadings. And we
5 didn't get into it today, but --

6 THE COURT: Well, if we didn't get into it
7 today, then it's not proper for argument.

8 MR. ROSE: Well, it's alleged in the complaint
9 and not proven, so I think it's appropriate to make
10 a finding on it. You didn't actually hear
11 testimony that was relevant to those issues about
12 Ted Bernstein. And I would ask you to consider
13 that 5 is supported by the evidence and the
14 pleadings.

15 And 6, we would like you to declare the
16 unauthorized one invalid, because it does change
17 potentially something, and we want to know what
18 we're doing going forward. And I don't think
19 anyone disputes that Exhibit 6 that's in evidence
20 was not valid. And then it just states this is
21 intended to be a final order under the rules of
22 probate code.

23 So that's our order. We would ask you to
24 enter our judgment or a judgment similar to it;
25 find in favor of the plaintiff; reserve

1 jurisdiction for numerous other matters that we
2 need to deal with as quickly as we can. But,
3 hopefully, with the guidance we get today, we'll be
4 able to do it more quickly and more efficiently.
5 So thank you.

6 THE COURT: All right. Thanks.

7 We'll be in recess. It was fun spending time
8 with you all.

9 Sir, do you have any proposed final judgment
10 you want me to consider? I've received one from
11 the plaintiff's side. Is there some from the
12 defendant's side?

13 MR. BERNSTEIN: No. I haven't received one
14 from them. And seeing theirs --

15 THE COURT: Okay. Thank you.

16 Then we'll be in recess. Thank you all very
17 much. I'll get this order out as quickly as I can.

18 (At 4:48 p.m. the trial was concluded.)
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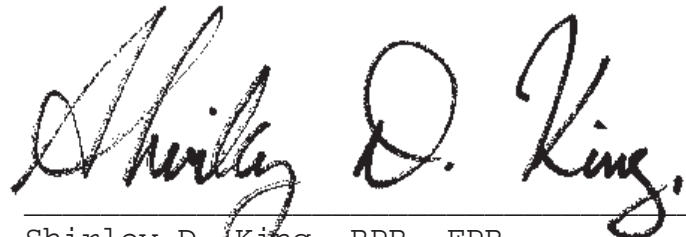
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C E R T I F I C A T E

STATE OF FLORIDA
COUNTY OF PALM BEACH

I, Shirley D. King, Registered Professional Reporter, State of Florida at large, certify that I was authorized to and did stenographically report the foregoing proceedings and that the transcript is a true and complete record of my stenographic notes.

Dated this 4th day of January, 2016.


Shirley D. King, RPR, FPR

Job #1358198-VOL 2

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FIRST AMENDMENT TO
SHIRLEY BERNSTEIN TRUST AGREEMENT

This First Amendment is dated this 18 day of Nov, 2008, and is between SHIRLEY BERNSTEIN of Palm Beach County, Florida referred to in the first person, as settlor, and SHIRLEY BERNSTEIN of Palm Beach County, Florida as trustee (referred to as the "Trustee," which term more particularly refers to all individuals and entities serving as trustee of a trust created hereunder during the time of such service, whether alone or as co-trustees, and whether originally serving or as a successor trustee).

WHEREAS, on May 20, 2008, I created and funded the SHIRLEY BERNSTEIN TRUST AGREEMENT (the "Trust Agreement," which reference includes any subsequent amendments of said trust agreement);

WHEREAS, Paragraph A. of Article I. of said Trust Agreement provides, inter alia, that during my lifetime I shall have the right at any time and from time to time by an instrument, in writing, delivered to the Trustee to amend or revoke the said Trust Agreement, in whole or in part.

NOW THEREFORE, by executing this instrument, I hereby amend the Trust Agreement as follows:

1. I hereby delete Paragraph B. of Article II. in its entirety.
2. I hereby amend the last sentence of Paragraph E. of Article III. to read as follows:

"Notwithstanding the foregoing, as my spouse and I have adequately provided for them during our lifetimes, for purposes of the dispositions made under this Trust, my children, TED S. BERNSTEIN ("**TED**") and PAMELA B. SIMON ("**PAM**"), shall be deemed to have predeceased the survivor of my spouse and me, provided, however, if my children, ELIOT BERNSTEIN, JILL IANTONI and LISA S. FRIEDSTEIN, and their respective lineal descendants all predecease the survivor of my spouse and me, then TED and PAM shall not be deemed to have predeceased the survivor of my spouse and me and shall become eligible beneficiaries for purposes of the dispositions made hereunder."

3. I hereby ratify and reaffirm the Trust Agreement as amended by this First Amendment.

[remainder of page intentionally left blank]

FIRST AMENDMENT TO
SHIRLEY BERNSTEIN TRUST AGREEMENT

TESCHER & SPALLINA, P.A.



Δ 12-15-15

TS001397

FIRST AMENDMENT TO
SHIRLEY BERNSTEIN TRUST AGREEMENT

This First Amendment is dated this _____ day of _____, 2008, and is between SHIRLEY BERNSTEIN of Palm Beach County, Florida referred to in the first person, as settlor, and SHIRLEY BERNSTEIN of Palm Beach County, Florida as trustee (referred to as the "Trustee," which term more particularly refers to all individuals and entities serving as trustee of a trust created hereunder during the time of such service, whether alone or as co-trustees, and whether originally serving or as a successor trustee).

WHEREAS, on May 20, 2008, I created and funded the SHIRLEY BERNSTEIN TRUST AGREEMENT (the "Trust Agreement," which reference includes any subsequent amendments of said trust agreement);

WHEREAS, Paragraph A. of Article I. of said Trust Agreement provides, inter alia, that during my lifetime I shall have the right at any time and from time to time by an instrument, in writing, delivered to the Trustee to amend or revoke the said Trust Agreement, in whole or in part.

NOW THEREFORE, by executing this instrument, I hereby amend the Trust Agreement as follows:

1. I hereby delete Paragraph B. of Article II, in its entirety.
3. I hereby ratify and reaffirm the Trust Agreement as amended by this First Amendment.

[remainder of page intentionally left blank]

FIRST AMENDMENT TO
SHIRLEY BERNSTEIN TRUST AGREEMENT

2014CP003698




(P3) 12-15-15

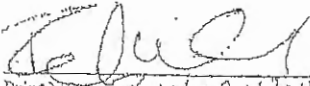
IN WITNESS WHEREOF, the parties hereto have executed this First Amendment on the date first above written.

SETTLOR and TRUSTEE:


SHIRLEY BERNSTEIN

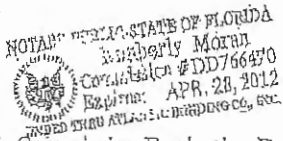
This instrument was signed by SHIRLEY BERNSTEIN in our presence, and at the request of and in the presence of SHIRLEY BERNSTEIN and each other, we subscribe our names as witnesses on this 18 day of Nov, 2008:


Print Name: ROBERT L. SPALLINA
Address: 7387 VICTORIA AVENUE
PARKLAND, FL 33076

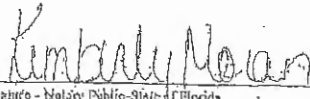

Print Name: Rachel Walker
Address: 100 Plaza Real South
Apt. 308
Boca Raton, FL 33432

STATE OF FLORIDA
SS.
COUNTY OF PALM BEACH

The foregoing instrument was acknowledged before me this 18 day of November, 2008, by SHIRLEY BERNSTEIN.



[Seal with Commission Expiration Date]


Signature - Notary Public - State of Florida

Print, type or stamp name of Notary Public

Personally Known or Produced Identification _____
Type of Identification Produced _____

NONPDAT\MrbBernstein, Shirley & Simon\2008 Estate Planning\First Amendment to Shirley Bernstein Trust Agreement vpd (11-09-26 14:08)

From the Desk of :

Joshua Ennio Zander Bernstein

2753 NW 34th Street, Boca Raton, FL 33434

July 11, 2017

ADR & MEDIATIONS SERVICES, LLC

Diana Lewis

2765 Tecumseh Drive

West Palm Beach, FL 33409

(561) 758-3017 Telephone

dzlewis@aol.com

(Fla. Bar No. 351350)

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

Attention Diana Lewis, Esq.,

My name is Joshua Ennio Zander Bernstein and it has come to my attention that you are an attorney and former Judge in Palm Beach County and Officer of the Court, allegedly acting as Guardian Ad Litem for me since since April 07, 2016 allegedly as a “minor child” of Eliot Ivan Bernstein and Candice Michelle Bernstein.

I make this voluntary request for you to now Cease and Desist all actions allegedly as my Guardian Ad Litem, turn over all records, discovery and information obtained in the course of your actions as my alleged Guardian and correct any and all frauds in all Courts or elsewhere impacted by this illegal Guardianship, including but not limited to, the Estate and Trust cases of my deceased grandparents, Simon and Shirley Bernstein.

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

As I show in this letter, at all times relevant in these Estate and Trust cases both Ted Bernstein who is my uncle, his lawyer Alan Rose, lawyer Steven Lessne and yourself have all had actual knowledge that I was over the age of 18 before this Guardianship via a Guardian Ad Litem for minors was ever established and thus was never a “Minor”. Therefore, since I was over the age of 18 years at the time of the “Guardianship” this could only occur after a “competency hearing” which of course has never occurred and you, Ted Bernstein, Alan Rose, Brian O’Connell and Steven Lessne have at all times had actual knowledge of these facts and the illegality of the Guardianship which appears to have been used as a predatory weapon against my family to interfere in proper rights of Inheritance and to cover up frauds in the cases.

Further, all of you actually know and have known that no “competency hearing” was ever held against me nor have I ever been provided ANY Due Process Notice or been served to appear in any proceeding or have an Opportunity to be heard at any of the relevant proceedings to date.

What is even more egregious about your conduct as a former Judge and done as an “Officer of the Court” is that you not only have continued in your actions as alleged Guardian in this illegal Guardianship despite being specifically advised that I was over the age of 18 years prior to the Guardianship itself, but have even gone as far as to give alleged “Consents” on my behalf to various actions by Ted Bernstein and Alan Rose and entered into “Settlements” on my behalf again giving “Consent” all without my knowledge, without my Consent and without any Notice of Opportunity to be heard provided to myself. In fact, as you actually know, you and I have never even spoken to one another.

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

I have come to learn that under Federal law under Title 18 USC Sec.242 it is a Federal Criminal Offense for Civil Rights Violations for 2 or more persons to conspire to Violate my US Constitutional rights, which have been violated by your actions in this case together in common with Attorney Alan Rose and Steven Lessne, Fiduciary Ted Bernstein and with the compliance and acquiescence of attorney Brian O'Connell as current Personal Representative of my grandfather Simon's Estate.

Please take notice that I have copied the US Dept of Justice Civil Rights Division head Tom Wheeler and offices of the FBI and US Attorney on this request.

I have further learned the following from the US Dept. of Justice Website:

“Section 242 of Title 18 makes it a crime for a person acting under color of any law to willfully deprive a person of a right or privilege protected by the Constitution or laws of the United States.

For the purpose of Section 242, acts under "color of law" **include acts not only done by federal, state, or local officials within the their lawful authority, but also acts done beyond the bounds of that official's lawful authority, if the acts are done while the official is purporting to or pretending to act in the performance of his/her official duties. Persons acting under color of law within the meaning of this statute include police officers, prisons guards and other law enforcement officials, as well as judges, care providers in public health facilities, and others who are acting as public officials. It is not necessary that the crime be motivated by animus toward the race, color, religion, sex, handicap, familial status or national origin of the victim.**

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

The offense is punishable by a range of imprisonment up to a life term, or the death penalty, depending upon the circumstances of the crime, and the resulting injury, if any.” See,

<https://www.justice.gov/crt/deprivation-rights-under-color-law>.

Ted Bernstein, who is my uncle by blood. and his attorney Alan Rose have at all times known my Birthdate particularly in relation to exorbitant and fraudulent legal Fees billed after the passing of my grandfather Simon Bernstein and the refusal to release my car Registration to me, which had been a birthday gift to me from my Grandfather only days before he passed that Ted and others tried to claim was an asset of the Estate of my grandfather. My birthdate was specifically raised in those proceedings and the Guardian Ad Litem proceedings and thus, these parties at all times knew that I was not a “minor” at the time the predatory Guardian Ad Litem was approved.

You apparently accepted Guardian Ad Litem over me as a “minor”, however, I have been Sui Juris since my 18th birthday on August 27, 2015 having been born on August 27, 1997.

Having been over the age of 18 years and thus not a “minor” under Florida law as of August 27, 2015, the Petitions filed on January 04, 2016 in the Shirley Trust case and January 07, 2017 in the Oppenheimer case and the Orders appointing you as Guardian Ad Litem on March 01, 2016 in the Shirley Trust case and March 03, 2016 in the Oppenheimer case, and your Acceptance of the appointments in both cases on April 07, 2016 all were done illegally and with knowledge that I was Sui Juris at the time and therefore every action taken on my behalf through the Guardian Ad Litem must now be corrected to reflect your lack of proper and legal jurisdiction over me.

I have never spoken with you, met with you, granted you any authority over me and in any way enabled or allowed your acting in any capacity and in any matter on my behalf as an adult. This is a Cease and Desist demand to stop all further illegal acts on my behalf.

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July 11, 2017



RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

On March 01, 2016, while I was Sui Juris, in CASE NO.: 502014CP003698XXXX (NB)

“Shirley Bernstein Trust” styled,

TED BERNSTEIN, as Trustee
of the Shirley Bernstein Trust Agreement
dated May 20, 2008, as amended,

Plaintiff,

v.

ALEXANDRA BERNSTEIN; ERIC
BERNSTEIN; MICHAEL BERNSTEIN;
MOLLY SIMON;
PAMELA B. SIMON, Individually and
as Trustee f/b/o Molly Simon under the
Simon L. Bernstein Trust Dtd 9/13/12;
ELIOT BERNSTEIN, individually, as
Trustee f/b/o D.B., Ja. B. and Jo. B. under
the Simon L. Bernstein Trust Dtd
9/13/12, **and on behalf of his minor
children D.B., Ja. B. and Jo. B. [emphasis added]**; JILL
IANTONI, Individually, as Trustee f/b/o
J.I. under the Simon L. Bernstein Trust
Dtd 9/13/12, and on behalf of her Minor
child J.I.; MAX FRIEDSTEIN; LISA
FRIEDSTEIN, Individually, as Trustee
f/b/o Max Friedstein and C.F., under the
Simon L. Bernstein Trust Dtd 9/13/12,
and on behalf of her minor child, C.F.,

Defendants.

in the Florida Probate Court an Order (SEE EXHIBIT 1 – GAL ORDER) was issued for
Guardian Ad Litem based on pleadings filed that represented that **the GAL was for minor
children** of Eliot and Candice. The Order states in part,

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

“2. Eliot Bernstein's three children are among the class of Trust beneficiaries. Eliot seeks to use his role as parent and natural guardian of three trust beneficiaries to give him standing to continue his involvement in this case. The primary issue now raised is whether Eliot Bernstein should be permitted to continuing representing the interests of his **minor children**, as their parent and natural guardian, in this Trust Proceeding.” **[emphasis added]**

“4. ...Eliot's individual interests are in conflict with the interests of his children. Under Florida law, a court should appoint a guardian ad litem when a parent's interest conflicts with the interest of her or her **minor child**. *Mistretta v. Mistretta*, 566 So. 2d 836, 83 7-38 (Fla. 1st DCA 1990) (best interests of a **minor** are not fully protected when adverse to the interests of the parent); *Florida Natl. Bank & Trust Co. at Miami v. Blake*, 155 So. 2d 798 (Fla. 3d DCA 1963) (court should have appointed a guardian ad litem for **minor child** when it was apparent that the interests of the **minor** conflicted with the interests of the mother and father); *Gilbertson v. Boggs*, 743 So. 2d 123 (Fla. 4th DCA 1999) (guardian ad litem should have been appointed when the parents' interests were adverse to the **minor child**s).” **[emphasis added]**

“5. ...Second, Fla. Stat. 731.303 (4) provides: "If the court determines that representation of the interest would otherwise be inadequate, the court may, at any time, appoint a guardian ad litem to represent the interests of ... **a minor** ... ” **[emphasis added]**

On April 04, 2016, while I was Sui Juris, you were appointed as the Guardian Ad Litem to represent the interests of Eliot Bernstein’s **MINOR** children. See (SEE EXHIBIT 2 –SHIRLEY TRUST GAL Order)

On March 03, 2016, while I was Sui Juris, in CASE NO.: 502014CP002815XXXXNB (IH) titled,

“OPPENHEIMER TRUST COMPANY OF DELAWARE,
in its capacity as Resigned Trustee of the Simon
Bernstein Irrevocable Trusts created for the benefit
of Joshua, Jake and Daniel Bernstein,

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

Petitioner,

vs.

ELIOT AND CANDICE BERNSTEIN,
in their capacity as parents and natural
guardians of JOSHUA, JAKE AND
DANIEL BERNSTEIN, minors, [emphasis added]

Respondents.

/'

in the Florida Probate Court an Order (SEE EXHIBIT 3 – GAL ORDER OPPENHEIMER) was issued. That Order states in part the following;

“...(the "Motion") filed by Petitioner, Oppenheimer Trust Company Of Delaware ("Oppenheimer"), in its capacity as the resigned trustee of three Irrevocable Trusts settled by Simon Bernstein on September 7, 2006 for the benefit of his grandchildren, **minors, Joshua, Jake and Daniel Bernstein** (the "Grandchildren Trusts").” [emphasis added]

“1. The sole beneficiaries of the Grandchildren Trusts, and the only real parties in interest in this litigation (other than Oppenheimer), **are Joshua, Jake and Daniel Bernstein (the "Minor Beneficiaries")**. Neither Eliot nor Candice Bernstein (the "Bernsteins") were sued in their individual capacities by Oppenheimer, nor have they moved for, or been granted, permission to intervene in their individual capacities. They have been afforded standing in these proceedings, to date, solely as the parents and natural guardians of the Minor Beneficiaries. [emphasis added]

“2. The Bernsteins have been shown to have multiple conflicts of interest with the **Minor Beneficiaries**...All of the above, and certainly in combination, render the Bernsteins inappropriate and inadequate representatives for the **Minor Beneficiaries** in this litigation. [emphasis added]

On April 07, 2016, while I was Sui Juris, you filed a “NOTICE OF ACCEPTANCE OF APPOINTMENT AS GUARDIAN AD LITEM FOR Jo.B., Ja.B. AND D.B.IN THE ABOVE STYLED CASE” in the Shirley Trust Construction case (Filing # 40000163 E-Filed 04/07/2016 04:06:21 PM) (SEE EXHIBIT 4 – NOTICE) which states in part;

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

“NOTICE OF ACCEPTANCE OF APPOINTMENT AS GUARDIAN AD LITEM FOR Jo.B., Ja.B. AND D.B. IN THE ABOVE STYLED CASE COMES NOW Diana Lewis and notifies the court of her **acceptance of appointment as Guardian ad litem for Eliot Bernstein's minor children, Jo.B., Ja.B. and D.B.** pursuant to this court's order dated April 4, 2016, and the terms and conditions set forth therein.” **[emphasis added]**

Similarly, on April 07, 2016, while I was Sui Juris, you filed a “NOTICE OF ACCEPTANCE OF APPOINTMENT AS GUARDIAN AD LITEM FOR JOSHUA, JAKE AND DANIEL BERNSTEIN IN THE ABOVE STYLED CASE” in the Oppenheimer Case (Filing # 39999717 E-Filed 04/07/2016 04:03:08 PM), which states in part;

COMES NOW Diana Lewis and notifies the court of her acceptance of appointment as Guardian ad litem for JOSHUA, JAKE and DANIEL BERNSTEIN **(the "Minor Beneficiaries")** pursuant to this court's order dated April 4, 2016. **[emphasis added]**

I am unaware of any Guardian Ad Litem Orders entered in the Simon and Shirley Probate Cases (Case # 502012CP004391XXXXSB – Simon Bernstein Estate and Case # 502011CP000653XXXXSB – Shirley Bernstein Estate) giving you any guardianship powers over me to make any representations or take any actions on my behalf in those cases.

The March 01, 2016 Oppenheimer Order states,

“4. For the above reasons, the guardian ad /item appointed in Case No.: 502014CP003698XXXXNB shall be deemed appointed simultaneously as the guardian ad /item for the Minor Beneficiaries in this case, **with sole and exclusive authority to represent the Minor Beneficiaries' interests in this case** .Jo.B., Ja.B. AND D.B. IN THE ABOVE STYLED CASE” **[emphasis added]**

The Shirley Bernstein Trust Order Appointing Guardianship is similarly limited to legal authority of the guardianship in that case only and only for MINOR CHILDREN.

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

As you can see from my birthday listed above I turned 18 on August 27, 2015 and AT NO TIME IN THESE PROCEEDINGS WAS I A MINOR AND I WAS SUI JURIS WHEN ORDERS WERE ISSUED AND PLEADINGS WERE MADE BY ATTORNEYS AT LAW ALAN B. ROSE and STEVEN LESSNE to gain a predatory guardianship on me while I was an Adult by falsely pleading to the Court that I was a Minor and I have been advised that this guardianship is in violation of Florida Criminal and Civil Statutes and perhaps Federal law.

The 2016 Florida Statutes - Title XLIII - DOMESTIC RELATIONS - Chapter 744 - GUARDIANSHIP

744.521 Termination of guardianship.—**When a ward becomes sui juris** or is restored to capacity, when the guardian has been unable to locate the ward through diligent search, or, for a guardian of the property, when the property subject to the guardianship has been exhausted, the guardian shall file a final report and receive his or her discharge. A guardian of the person is discharged without further proceeding upon filing a certified copy of the ward's death certificate. The court may require proof of the removal of incapacity.

History.—s. 1, ch. 74-106; ss. 21, 26, ch. 75-222; s. 4, ch. 86-120; s. 89, ch. 89-96; s. 63, ch. 90-271; s. 1110, ch. 97-102.

Note.—Created from former s. 746.12.

"Minor Ward Reaches 18 Unless the minor is incapacitated, at the age of 18 he or she is no longer a minor and is deemed to be legally old enough to manage his or her own finances or property. The guardianship is terminated and the assets are distributed to the minor."

https://umshare.miami.edu/web/wda/ethics/gurardianship_rev1-07.pdf

No adult Guardianship proceedings under The 2016 Florida Statutes - Title XLIII - DOMESTIC RELATIONS GUARDIANSHIP Chapter 744 took place for me as legally required as I was an adult at the time guardianship was sought for and gained over me and no capacity hearing was held at any time. As you can see from the Pleadings and Orders submitted in the case and outlined herein the Guardian Ad Litem was ILLEGALLY gained over me while an adult and I

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

was misrepresented to the Court as a minor by Officers of the Court, Alan B. Rose, Esq. and Steven Lessne, Esq. and Fiduciary of the Estates and Trusts of my grandparents Simon and Shirley Bernstein, my uncle Ted Bernstein. I have been made aware that my uncle Ted and all other parties knew at the time my legal age and that I was Sui Juris.

Therefore, due to your lack of legal authority over me despite any Court Orders gained through simulated legal process, immediately;

1. **CEASE AND DESIST** from any further representations of myself, Joshua Bernstein, in any proceedings, settlements or other matters involving me.
2. **NOTIFY** the Florida Court that **ALL OF YOUR PRIOR REPRESENTATIONS AND ACTS ON BEHALF OF JOSHUA BERNSTEIN** are and always have been improper and illegal and cease and desist this **KNOWINGLY, GROSS, WILLFUL, WANTON** and **RECKLESS** criminal violation of your fiduciary duties as a Guardian Ad Litem .
3. **WITHDRAW** any and all Consent you have given in any matters relating to Joshua Bernstein.
4. **FILE** immediately within or without the final report the fact that I, Joshua Bernstein, was placed as an adult illegally in a guardianship for minors and that no legal adult guardianship proceedings were held giving you legal authority from the onset of your legal representations on my behalf, receive discharge and turn over all records and properties regarding the guardianships as required.
5. **MAKE NO** further appearances in Court on my behalf or state in any pleadings consent on my behalf or take any any action whatsoever on my behalf claiming that you are a acting as Guardian Ad Litem for me.

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

6. **NOTIFY ALAN ROSE AND STEVEN LESSNE** to similarly take all actions to remove and strike all pleadings, orders, settlements, etc. in any court cases made on my behalf as a minor and cease and desist any further acts on my behalf.
7. **NOTIFY ALL COURTS** affected by your actions that you have never had proper guardianship for me as an adult.
8. **NOTIFY ALL COURTS** that you have made improper representations in pleadings and hearings in the Simon and Shirley Bernstein Estate cases and the Oppenheimer cases where you have never been granted a legal guardianship over me. The Courts to be notified and cases related to your actions that have been affected by the misconduct shall include but not be limited to,
 - a. The Florida Probate Court – HONORABLE Judge Rosemarie Scher, cases:
 - i. Case # 502012CP004391XXXXSB – Simon Bernstein Estate
 - ii. Case # 502015CP001162XXXXNB – Simon Bernstein Trust to Remove Ted Bernstein
 1. OLD CASE # Was Civil but Colin transferred to Probate ? 502014CA014637XXXXMB
 - iii. Case # 502011CP000653XXXXSB – Shirley Bernstein Estate
 - iv. Case # 502014CP003698XXXXNB – Shirley Trust Construction
 - v. Case # 502014CP002815XXXXSB – Oppenheimer v. Bernstein Minor Children
 - vi. Case # 502015CP002717XXXX Colin Closed and transferred to Coates Eliot Bernstein v. Simon Estate Case for Claims
 - vii. Case # 502014CA014637XXXXMB BERNSTEIN, ELIOT I VS BERNSTEIN, THEODORE S
 - viii. Case # 50-2010-CP-003128-XXXX-SB – Joshua Bernstein alleged 2010 Trust Case Colin
 - ix. Case # 50-2010-CP-003125-XXXX-SB - – Jacob Jake Bernstein alleged 2010 Trust Case Colin
 - x. Case # 50-2010-CP-003123-XXXX-SB– Daniel Danny Bernstein alleged 2010 Trust Case Colin

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

- b. The Florida 15th Judicial Civil Circuit Court and HONORABLE JUDGE
Cymonie Rowe, case:
- i. Case # 502012CA013933XXXXMB William E. Stansbury v. Ted S. Bernstein et al. -
- c. The Florida 4th District Court of Appeals – Note – Do not submit any information to Chief Judge Corey Ciklin who is conflicted in these matters already as being a former law partner of Personal Representative of the Estate of Simon Bernstein, Brian O’Connell’s law firm, Ciklin Lubitz Martens & O’Connell, where Judge Ciklin already has Sua Sponte removed himself from proceedings he was involved with in these matters and removed his name from several prior issued Orders at that court.
- i. Case 15-3849 ELIOT BERNSTEIN ESTATE OF SIMON BERNSTEIN
 - ii. Case 16-1449 ELIOT IVAN BERNSTEIN OPPENHEIMER TRUST CO. OF DELAWARE, ET AL.
 - iii. Case 16-1476 ELIOT IVAN BERNSTEIN OPPENHEIMER TRUST CO. OF DELAWARE, ET AL.
 - iv. Case 16-2249 ELIOT IVAN BERNSTEIN OPPENHEIMER TRUST CO. OF DELAWARE, ET AL.
 - v. Case 16-0222 ELIOT IVAN BERNSTEIN TED BERNSTEIN, AS TRUSTEE, ET AL.
 - vi. Case 16-1478 ELIOT IVAN BERNSTEIN TED BERNSTEIN, AS TRUSTEE, ETC., ET AL.
 - vii. Case 16-3314 ELIOT IVAN BERNSTEIN TED BERNSTEIN, AS TRUSTEE, ETC., ET AL.
 - viii. Case 16-0064 ELIOT IVAN BERNSTEIN TED BERNSTEIN, AS TRUSTEE. ET AL.
 - ix. Case 16-3162 ELIOT IVAN BERNSTEIN WILLIAM E. STANSBURY, et al.
 - x. Case 16-4120 ELIOT IVAN BERNSTEIN WILLIAM E. STANSBURY, et al.

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

- d. The Florida Supreme Court – Note – Do not submit any information to Chief Judge Jorge Labarga as he is conflicted with the Eliot Bernstein family in these matters.
 - i. SC16-29
 - e. The United States District Court Northern District of Illinois Case 1:13-cv-03643 Simon Bernstein Irrevocable Insurance Trust Dtd 6/21/95 v. Heritage Union Life Insurance Company – HONORABLE Judge John Robert Blakey and Chief Judge Ruben Castillo.
 - i. Case # 13-cv-03643 - Federal Lawsuit in the US District Court of Eastern Illinois
 - f. United States Court of Appeals for the Seventh Circuit - Chief Judge Diane P. Wood in relation to the Lower Court Case 1:13-cv-03643 Simon Bernstein Irrevocable Insurance Trust Dtd 6/21/95 v. Heritage Union Life Insurance Company.
 - i. Case No. 17-1461 APPEAL UNITED STATES COURT OF APPEALS FOR THE SEVENTH CIRCUIT
9. **TURN OVER** all of your professional and individual insurance policies and bonding information to me as claims against you individually and professionally and your firm, will be forthcoming for the massive damages caused to me from your breaches of fiduciary duties and other misconduct. Your firm appears as follows and I believe it was set up specifically for liability purposes for these matters with my family, immediately prior to your acceptance of Guardian Ad Litem for me:

ADR & MEDIATIONS SERVICES, LLC
Diana Lewis
2765 Tecumseh Drive

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11/11/2017



RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

West Palm Beach, FL 33409
(561) 758-3017 Telephone
Email: dzlewis@aol.com
By: /s/ Diana Lewis
(Fla. Bar No. 351350)

10. **TURN OVER** all records, documents, emails, faxes, information of any kind regarding me obtained by and during these illegal actions to my attention at my permanent address of, 2753 NW 34th Street, Boca Raton, FL 33434.

I have been notified that on repeated occasions over the past year my father and mother Eliot and Candice Bernstein have notified you directly of my Sui Juris status and you have refused to take any actions to end the improper Guardian Ad Litem and continue to make representations, agreements and settlements on my behalf and hopefully this notice will cause you to **IMMEDIATELY CEASE AND DESIST THIS ILLEGAL CONDUCT.**

I have also been made aware that my father and mother, Eliot and Candice Bernstein have notified state and federal authorities of your misconduct on my behalf, including but not limited to acts such as,

1. Dissolving various Trusts in my name set up by my grandparents,
2. Dissolving Bernstein Family Realty, LLC, set up by my grandparents of which I am a 33% owner,
3. Making appearances in various court and legal proceedings illegally on my behalf,
4. Consenting to various legal agreements illegally on my behalf,
5. Consenting to various settlements illegally on my behalf.

I have learned that in Case # 502014CP003698XXXXNB – Shirley Bernstein Trust in the Fifteenth Judicial Probate Court you are acting under an alleged Trust created in my name that I

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

was sued as a defendant under with my father, Eliot Bernstein, as Trustee and where I have never received formal notice of any such trust, nor do I believe my parents or any other party, including the courts have received, although I am a beneficiary allegedly under this trust. The Trust I am sued under is titled,

ELIOT BERNSTEIN, individually, as
Trustee f/b/o D.B., Ja. B. and Jo. B. under
the Simon L. Bernstein Trust Dtd
**9/13/12, and on behalf of his minor
children D.B., Ja. B. and Jo. B.**

Please provide a copy of the “**Simon L. Bernstein Trust Dtd 9/13/12**” and any subtrusts held thereunder in my name supposedly created on 9/13/12 the date of my grandfather’s death. If you are in possession of any such trust or subtrust OR ANY OTHER TRUST in my name, please instantly turn over all records regarding this legal entity I have been sued under and that you are acting illegally as a Guardian Ad Litem over my person in such legal action under such trust.

I have done preliminary research into your name online since learning of this predatory Guardian Ad Litem placed knowingly upon me as an adult and have learned that you are a FORMER Judge and no longer a Florida Registered Judge who has lost her judgeship to Jennifer Ticktin since on or about 2014^{1 2 3} where I learned from the attached articles, “But Ticktin, a 35-year-old

¹ “Ugly PBC judicial campaign pits Diana Lewis and Jessica Ticktin” By Jane Musgrave - Palm Beach Post Staff Writer

Updated: 12:41 p.m. Friday, August 08, 2014 | Posted: 7:00 a.m. Friday, August 08, 2014

<http://www.mypalmbeachpost.com/news/local-govt--politics/ugly-pbc-judicial-campaign-pits-diana-lewis-and-jessica-ticktin/NczV3oHgQuXksyXpl11Jdl>

² “Race for Palm Beach County Circuit Judge Group 14 seat is personal” July 19, 2014 | By Brittany Shammass, Sun Sentinel

http://articles.sun-sentinel.com/2014-07-19/news/fl-election-palm-circuit-judges-14-20140719_1_lewis-incumbent-judge-ticktin-law-group

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

partner at Ticktin Law Group and a Boca Raton resident, says she targeted Lewis for a reason. She cited a 2013 Palm Beach County Bar poll in which Lewis ranked last among 34 circuit court judges in categories including knowledge and application of the law, impartiality and judicial demeanor. "I think that right now we have an issue with the incumbent judge," Ticktin said. "Last time she was given a second chance, and I don't think that she did well with that second chance. I think it's time for change." In noting your bar association number above I believe that as both a former judge and current registered attorney at law I need not educate you on your obligations to notify all tribunals, criminal and civil and all parties with any liabilities resulting from your and others you worked in conspire with actions, as required by both State and Federal - Civil, Criminal and Ethical Rules and Statutes.

That these Knowingly, Gross, Willful, Wanton and Reckless Acts, which appear as Financial Exploitation of an Adult through an ILLEGAL GUARDIAN AD LITEM FOR A MINOR and further appear part of a larger conspiracy against the rights of my father, my mother and my brothers are simultaneously being forwarded to state and federal criminal authorities to investigate and prosecute any prior and future criminal acts, so please **govern yourself accordingly** in any future actions you may take in any matters relating to my family and myself.

³ "Palm Beach Judge Diana Lewis Loses Judicial Seat to Challenger Raising Issues with Demeanor" Florida You Judge Wednesday, August 27th, 2014 at 5:26 pm by admin by Haydee Oropesa <http://www.floridayoujudge.com/palm-beach-judge-diana-lewis-loses-judicial-seat-to-challenger-raising-issues-with-demeanor/>

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF


I authorize this Statement and Cease and Desist Request to be filed in any and all state and federal proceedings as relevant and necessary.

Sincerely,

X: 
Joshua Ennio Zander Bernstein
2753 NW 34th Street
Boca Raton, FL 33434

Dated: 7/11/17

Witness:

X: 
Name: Jacob Bernstein
2753 NW 34th Street
Boca Raton, FL 33434

Dated: 7/11/17

**RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD
LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF**

EXHIBIT 1

**IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA**

TED BERNSTEIN, as Trustee
of the Shirley Bernstein Trust Agreement
dated May 20, 2008, as amended,

Probate Division
Case No.: 502014CP003698XXXXNB

Plaintiff,

v.

ALEXANDRA BERNSTEIN; ERIC BERNSTEIN;
MICHAEL BERNSTEIN; MOLLY SIMON;
PAMELA B. SIMON, Individually and as Trustee
f/b/o Molly Simon under the Simon L. Bernstein
Trust Dtd 9/13/12; ELIOT BERNSTEIN,
individually, as Trustee f/b/o D.B., Ja. B. and Jo. B.
under the Simon L. Bernstein Trust Dtd 9/13/12, and
on behalf of his minor children D.B., Ja. B. and Jo.
B.; JILL IANTONI, Individually, as Trustee f/b/o J.I.
under the Simon L. Bernstein Trust Dtd 9/13/12, and
on behalf of her Minor child J.I.; MAX
FRIEDSTEIN; LISA FRIEDSTEIN, Individually, as
Trustee f/b/o Max Friedstein and C.F., under the
Simon L. Bernstein Trust Dtd 9/13/12, and on behalf
of her minor child, C.F.,

Defendants.

**ORDER ON SUCCESSOR TRUSTEE'S MOTION TO
APPOINT A GUARDIAN AD LITEM; FOR A GAG ORDER TO PROTECT THE
GUARDIAN AND OTHERS; AND TO STRIKE ELIOT BERNSTEIN'S FILINGS**

THIS CAUSE came before the Court for evidentiary hearing on February 25, 2016, on
Successor Trustee's Motion for Appointment of a Guardian Ad Litem to Represent the Interests of
Eliot Bernstein's Children etc. (the "Motion"). The Court, having considered the record, heard
argument of counsel and being otherwise fully advised in the premises, hereby

ORDERS AND ADJUDGES:

1. This Court determined after a trial held on December 15, 2015 that the beneficiaries of The Shirley Bernstein Trust Agreement dated 5/20/2008 (the "Trust") are Simon Bernstein's "then living grandchildren." Under that ruling, Simon's children – including Eliot Bernstein – are not beneficiaries of the Trust. This Court entered a written order dated February 1, 2016, determining Eliot Bernstein lacks standing to participate in this proceeding and striking his individual filings.

2. Eliot Bernstein's three children are among the class of Trust beneficiaries. Eliot seeks to use his role as parent and natural guardian of three trust beneficiaries to give him standing to continue his involvement in this case. The primary issue now raised is whether Eliot Bernstein should be permitted to continuing representing the interests of his minor children, as their parent and natural guardian, in this Trust Proceeding.

3. ~~Despite his status as natural guardian, Eliot will not be permitted to do so, and~~ ^{The} Court will appoint a Guardian ad Litem, because there is a conflict of interest between the parent and the children, and because Eliot Bernstein has proven to be an inadequate representative of the best interests of his children. JRP

4. First, as to the conflict, Eliot's position throughout the case and at trial was that he was a beneficiary of the Trust. He continued ^{to} advancing that position after trial by prosecuting an appeal of the December 16, 2015 Final Judgment. Eliot's individual interests are in conflict with the interests of his children. Under Florida law, a court should appoint a guardian ad litem when a parent's interest conflicts with the interest of her or her minor child. *Mistretta v. Mistretta*, 566 So. 2d 836, 837-38 (Fla. 1st DCA 1990)(best interests of a minor are *not* fully protected when adverse to the interests of the parent); *Florida Nat. Bank & Trust Co. at Miami v. Blake*, 155 So. 2d 798 (Fla. 3d DCA 1963) (court should have appointed a guardian ad litem for minor child when it was

apparent that the interests of the minor conflicted with the interests of the mother and father); *Gilbertson v. Boggs*, 743 So. 2d 123 (Fla. 4th DCA 1999) (guardian ad litem should have been appointed ^{when} ~~then~~ the parents' interests were adverse to the minor child).

5. Second, Fla. Stat. 731.303(4) provides: "If the court determines that representation of the interest would otherwise be inadequate, the court may, at any time, appoint a guardian ad litem to represent the interests of ... a minor ..." ¹ Based upon the evidence presented and the Court's observations at the trial in December 2015 and at the evidentiary hearing on February 25, 2016, and

based upon the Court's review of various motions filed by Eliot Bernstein since the trial, it is ^{in fact, his actions are adverse + destructive to the children's interest} apparent Eliot Bernstein is not an adequate representative of the best interests of his children. _^

6. Eliot Bernstein states that his agenda includes ridding the court system of corruption among judges, lawyers and fiduciaries, regardless of the cost ^{to} the beneficiaries. He appears to have no interest in the swift and efficient administration of the Shirley Bernstein Trust. He has taken actions to hinder and delay the administration of the Trust, and caused waste of Trust assets to respond to his assertions.

7. To the extent not already covered by this Court's Order dated February 1, 2016, Eliot Bernstein is barred from any further participation in this action, whether individually or as purported parent and natural guardian. Any and all pending motions, claims, or other filings by Eliot Bernstein,

¹ In addition, under section 744.3025, the court *may* appoint a guardian ad litem to represent a minor's interest before approving a settlement of the minor's portion of any cause of action in which the gross settlement of the claim exceeds \$15,000 if the court believes a guardian ad litem is necessary to protect the minor's interest, and "shall appoint a guardian ad litem to represent the minor's interest before approving a settlement of the minor's claim in a case in which the gross settlement involving a minor equals or exceeds \$50,000." Here, it is likely that there will be a settlement at some point in which each of minors receives a substantial distribution, and it is likely Eliot will oppose any such settlement.

on behalf of his children, ^{are} ~~is~~ hereby stricken from the record, without prejudice to the rights of the Guardian Ad Litem to take whatever actions are deemed appropriate.

8. The parties shall attempt to mutually agree on a guardian ad litem. The Court will appoint whomever the parties agree upon within the next three business days. Eliot Bernstein may

participate in such discussions. To the extent the parties, including Eliot Bernstein, are unable to agree on a guardian ad litem, ~~upon notice from the Trustee's counsel the Court shall randomly~~ ^{each of the parties shall submit a list of three names of potential Guardian Ad Litem's, each of whom has agreed to} appoint a guardian ad litem for Ja.B., Jo.B. and D.B. or schedule a further hearing to appoint a ~~suitable Guardian Ad Litem.~~ ^{accept the appointment if selected. These lists shall be filed with the Clerk with courtesy copy to the undersigned, no later than 10 days from this date.}

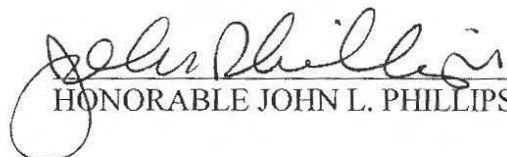
9. The Guardian Ad Litem will have full power and autonomy to represent the interests of the children of Eliot Bernstein, subject to the jurisdiction and review of this Court. The Guardian Ad Litem will be entitled to petition the Court for an award of attorneys' fees to be paid out of the gross proceeds of any recovery, distributions or inheritance to be received by Ja.B., Jo.B, and/or D.B.

10. To protect the integrity and independence of the guardian, Eliot Bernstein and all persons acting in concert with him: (a) shall ^{not} ~~make no effort to~~ contact, email or otherwise communicate with the Guardian Ad Litem except at the request of the Guardian Ad Litem; ~~(b) shall make no statement of any kind about the guardian, nor post information about the guardian on the internet in any fashion; and (c) shall not in any way threaten or harass the guardian.~~ This Court alone shall supervise the guardian, and ~~all information concerning this guardianship shall be treated as private and confidential.~~ Any violation of this order may subject the violator to severe sanctions for contempt of court. The Court will use the full measure of its coercive powers to ensure compliance with this Order.

^{proposed}
* Parties shall furnish a ^{proposed} order appointing GAT with the lists. The Court will act without further hearing on the appointment, if possible.

11. The Court reserves jurisdiction to enforce all terms of this Order, and to oversee the service of the guardian ad litem appointed.

DONE and ORDERED in Chambers, North County Courthouse on 3-1-16, 2016.


HONORABLE JOHN L. PHILLIPS

cc: Attached service list

SERVICE LIST Case No.: 502014CP003698XXXXNBIJ

Eliot Bernstein, individually
and Eliot and Candice Bernstein,
as Parents and Natural Guardians of
D.B., Ja. B. and Jo. B, Minors
2753 NW 34th Street
Boca Raton, FL 33434
(561) 245-8588 - Telephone
(561) 886-7628 - Cell
(561) 245-8644 - Facsimile
Email: Eliot I. Bernstein (iviewit@iviewit.tv)

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(561) 833-0867 - Facsimile
Email: John P. Morrissey
(john@jmorrisseylaw.com)
Counsel for Molly Simon, Alexandra Bernstein,
Eric Bernstein, Michael Bernstein

Lisa Friedstein, individually and as trustee for
her children, and as natural guardian for M.F.
and C.F., Minors; and Max Friedstein
lisa.friedstein@gmail.com

Jill Iantoni, individually and as trustee for her
children, and as natural guardian for J.I. a minor
jilliantoni@gmail.com

Alan Rose, Esq.
Mrachek Fitzgerald Rose
Konopka Thomas & Weiss, P.A.
505 S Flagler Drive, Suite 600
West Palm Beach, FL 33401
(561) 655-2250 - Telephone
(561) 655-5537 - Facsimile
Email: arose@mrachek-law.com

Pamela Beth Simon
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Email: psimon@stpcorp.com

Brian M. O'Connell, Esq.
Joielle A. Foglietta, Esq.
Ciklin Lubitz Martens & O'Connell
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561-833-4209 - Facsimile
Email: boconnell@ciklinlubitz.com;
jfoglietta@ciklinlubitz.com;
service@ciklinlubitz.com;
slobdell@ciklinlubitz.com

JOHN L. PHILLIPS
CIRCUIT JUDGE
NORTH COUNTY COURTHOUSE
3188 PGA BOULEVARD
PALM BEACH GARDENS, FL 33410

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Eliot Bernstein and Candice Bernstein
2753 NW 34th Street
Boca Raton, FL 33434



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**RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD
LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF**

**RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD
LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF**

EXHIBIT 2

IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA

TED BERNSTEIN, as Trustee
of the Shirley Bernstein Trust Agreement
dated May 20, 2008, as amended,

Probate Division
Case No.: 502014CP003698XXXXNBIH

Plaintiff,

v.

ALEXANDRA BERNSTEIN; ERIC BERNSTEIN;
MICHAEL BERNSTEIN; MOLLY SIMON;
PAMELA B. SIMON, Individually and as Trustee
f/b/o Molly Simon under the Simon L. Bernstein
Trust Dtd 9/13/12; ELIOT BERNSTEIN,
individually, as Trustee f/b/o D.B., Ja. B. and Jo. B.
under the Simon L. Bernstein Trust Dtd 9/13/12, and
on behalf of his minor children D.B., Ja. B. and Jo.
B.; JILL IANTONI, Individually, as Trustee f/b/o J.I.
under the Simon L. Bernstein Trust Dtd 9/13/12, and
on behalf of her Minor child J.I.; MAX
FRIEDSTEIN; LISA FRIEDSTEIN, Individually, as
Trustee f/b/o Max Friedstein and C.F., under the
Simon L. Bernstein Trust Dtd 9/13/12, and on behalf
of her minor child, C.F.,

Defendants.

ORDER APPOINTING DIANA LEWIS AS GUARDIAN AD LITEM FOR
ELIOT BERNSTEIN'S CHILDREN, J.O.B.; J.A. B.; and D.B.

THIS CAUSE came before the Court at an evidentiary hearing held on February 25, 2016,
on Successor Trustee's Motion for Appointment of a Guardian Ad Litem to Represent the Interests
of Eliot Bernstein's Children etc. (the "Motion"). Having considered the Motion and the arguments
of the parties, taken judicial notice of the matters requested in the Motion, and being otherwise duly
advised in the premises, the Court entered an Order in this matter, and a companion order in Case
No. 502014CP002815XXXXNB, granting motions to appoint a guardian ad litem for Eliot's

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children, Jo.B., Ja.B. and D.B., and setting forth a protocol for selecting a guardian ad litem. Having received the parties' notices contemplated under the companion order, the Court hereby appoints a guardian ad litem as follows:

1. Diana Lewis is hereby appointed as the guardian ad litem for Jo.B., Ja.B. and D.B. in this case, with sole and exclusive authority to represent their interests in this case. The guardian ad litem shall be entitled to petition the Court for reasonable compensation for his/her services, to be paid out of the gross proceeds of any recovery, distributions or inheritance to be received by the Jo.B., Ja.B. and D.B. from the Shirley Bernstein Trust u/a/d May 20, 2008, as amended, the Simon Bernstein Trust, and/or the Estates of Simon or Shirley Bernstein.

2. The guardian ad litem shall file an acceptance of appointment with this Court, with a copy to the parties listed at the end of this Order, within 5 business of the date of this Order; otherwise, the parties shall notify the Court by letter that the appointment has not been accepted, in which case the Court will either appoint an alternate guardian ad litem without further hearing or hold an additional hearing to select an alternate guardian ad litem.

3. The guardian ad litem shall have sufficient time after his/her acceptance of this appointment to within which to prepare necessary court filings and prepare for mediation as ordered by the Court at a hearing held on March 7, in the related case of Estate of Simon Bernstein.

4. Trustee and the guardian ad litem shall confer in good faith regarding a resolution of this matter and/or a time frame within which to try any unresolved issues.

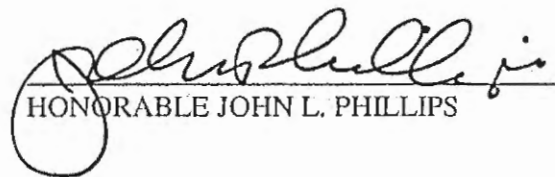
5. Pursuant to the Order dated March 1, 2016, the Guardian Ad Litem will have full power and autonomy to represent the interests of the children of Eliot Bernstein, subject to the jurisdiction and review of this Court. The Guardian Ad Litem will be entitled to petition the Court

for an award of attorneys' fees to be paid out of the gross proceeds of any recovery, distributions or inheritance to be received by Ja.B., Jo.B, and/or D.B.

6. To protect the integrity and independence of the guardian, Eliot Bernstein and all persons acting in concert with him: (a) shall not contact, email or otherwise communicate with the Guardian Ad Litem except at the request of the Guardian Ad Litem; and (b) shall not in any way threaten or harass the guardian. This Court alone shall supervise the guardian. Any violation of this order may subject the violator to severe sanctions for contempt of court. The Court will use the full measure of its coercive powers to ensure compliance with this Order.

7. The guardian ad litem shall notify this Court and Trustee of any actions taken by Eliot and/or Candice Bernstein which interfere with the guardian ad litem's duties hereunder.

DONE and ORDERED in Chambers, North County Courthouse on 11-4, 2016.


HONORABLE JOHN L. PHILLIPS

cc: Attached service list

SERVICE LIST Case No.: 502014CP003698XXXXNBIH

Eliot Bernstein and Candice Bernstein,
as Parents of
D.B., Ja. B. and Jo. B, Minors
2753 NW 34th Street
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(561) 833-0867 - Facsimile
Email: John P. Morrissey
(john@jmorrisseylaw.com)
Counsel for Molly Simon, Alexandra Bernstein,
Eric Bernstein, Michael Bernstein.

Lisa Friedstein, individually and as trustee for
her children, and as natural guardian for M.F.
and C.F., Minors; and Max Friedstein
lisa.friedstein@gmail.com

Jill Iantoni, individually and as trustee for her
children, and as natural guardian for J.I. a minor
jilliantoni@gmail.com

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Mrachek Fitzgerald Rose
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Ciklin Lubitz Martens & O'Connell
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561-832-5900 - Telephone
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service@ciklinlubitz.com;
slobdell@ciklinlubitz.com



STATE OF FLORIDA • PALM BEACH COUNTY

I hereby certify that the
foregoing is a true copy
of the record in my office.

THIS 18 DAY OF May 2016

SHARON R. BOCK
CLERK & COMPTROLLER

By *Victoria Kanger*
DEPUTY CLERK

**RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD
LITEM OF JOSHUA BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF**

EXHIBIT 3

IN THE CIRCUIT COURT OF THE
FIFTEENTH JUDICIAL CIRCUIT, IN AND
FOR PALM BEACH COUNTY, FLORIDA

PROBATE DIVISION

CASE NO.: 502014CP002815XXXXNB (IH)

OPPENHEIMER TRUST COMPANY
OF DELAWARE, in its capacity as
Resigned Trustee of the Simon Bernstein
Irrevocable Trusts created for the benefit
of Joshua, Jake and Daniel Bernstein,

Petitioner,

vs.

ELIOT AND CANDICE BERNSTEIN,
in their capacity as parents and natural
guardians of JOSHUA, JAKE AND
DANIEL BERNSTEIN, minors,

Respondents.

**ORDER APPOINTING GUARDIAN AD LITEM FOR MINORS,
JOSHUA, JAKE AND DANIEL BERNSTEIN**

THIS CAUSE came before the Court at an evidentiary hearing held on February 25, 2016 upon the *Omnibus Motion (I) To Appoint A Guardian Ad Litem For The Minor Beneficiaries Of The "Grandchildren Trusts;" (II) To Hold Eliot And Candice Bernstein In Contempt Of Court For Their Continued Violation Of A Court Order And Repeated Statements Assaulting The Dignity Of The Court; And (III) To Establish A Schedule And Protocol For Accounting And Turnover Proceedings* (the "Motion") filed by Petitioner, Oppenheimer Trust Company Of Delaware ("Oppenheimer"), in its capacity as the resigned trustee of three Irrevocable Trusts settled by Simon Bernstein on September 7, 2006 for the benefit of his grandchildren, minors, Joshua, Jake and Daniel Bernstein (the "Grandchildren Trusts"). Having considered the Motion

and the arguments of the parties, taken judicial notice of the matters requested in the Motion, and being otherwise duly advised in the premises, the Court rules as follows:

1. The sole beneficiaries of the Grandchildren Trusts, and the only real parties in interest in this litigation (other than Oppenheimer), are Joshua, Jake and Daniel Bernstein (the “Minor Beneficiaries”). Neither Eliot nor Candice Bernstein (the “Bernsteins”) were sued in their individual capacities by Oppenheimer, nor have they moved for, or been granted, permission to intervene in their individual capacities. They have been afforded standing in these proceedings, to date, solely as the parents and natural guardians of the Minor Beneficiaries.

2. The Bernsteins have been shown to have multiple conflicts of interest with the Minor Beneficiaries. For example, in their pleadings, they repeatedly allege that the trusts created for the Minor Beneficiaries’ benefit are fraudulent and that they, and not their children, are the true beneficiaries. *Counter-Complaint*, ¶¶ 44-50, 52-60, 65, 109-110, 186 and 253; *Objection to Oppenheimer Accountings*, pp. 1 and 20. In addition, the Bernsteins insist that their overarching goal in this litigation “is to bring about a change in the legal system in efforts to root out systemic corruption at the highest levels by a rogue group of criminals disguised as attorneys at law, judges, politicians and more.” *Counter-Complaint*, ¶ 212. No reasonable inference can be drawn that the Minor Beneficiaries have a similar interest or agenda, or that pursuing such an agenda at the risk of dissipating their own inheritance is in their best interest.

3. Eliot Bernstein also has a history of vexatious litigation and public disrespect for and disobedience to the judicial system and its officers, as detailed in Oppenheimer’s Motion. Eliot Bernstein was adjudicated a vexatious litigant by the United States District Court for the Southn Distreict of New York and enjoined from filing further specified claims in any court without its prior permission. Yet, Eliot Bernstein asserted those enjoined claims in his Counter-

Complaint in apparent violation of the injunction. The Bernsteins are in continued violation of a May 4, 2015 Order entered by Judge Martin Colin, which required compliance over nine months ago, and in recent filings with Florida appellate courts, the Bernsteins insist that all orders entered in this case “are void as a matter of law, and are of no legal force and effect.” *Petition for All Writs (dated January 29, 2016)*, ¶ 101. Further, the Bernsteins have repeatedly alleged that multiple judges have committed fraud in their official capacities in these proceedings and that all Florida judges have conflicts of interest which prohibit them from presiding over these proceedings. *Id.*, ¶ 106-107. All of the above, and certainly in combination, render the Bernsteins inappropriate and inadequate representatives for the Minor Beneficiaries in this litigation.

4. For the above reasons, the guardian *ad litem* appointed in Case No.: 502014CP003698XXXXNB shall be deemed appointed simultaneously as the guardian *ad litem* for the Minor Beneficiaries in this case, with sole and exclusive authority to represent the Minor Beneficiaries’ interests in this case. The guardian *ad litem* shall be entitled to petition for reasonable compensation for his/her services, to be paid out of the gross proceeds of any recovery, distributions or inheritance to be received by the Minor Beneficiaries from the Shirley Bernstein Trust u/a/d May 20, 2008, as amended, the Simon Bernstein Trust, and/or the Estates of Simon or Shirley Bernstein.

5. The Answer and Counter-Complaint filed by Eliot and Candice Bernstein (which they purport to file (i) “Individually, PRO SE;” (ii) “as the Natural Guardians of [the Minor Beneficiaries];” (iii) “as Guardians of the members of Bernstein Family Realty, LLC;” and (iii) “as beneficiaries of [sixteen (16) Trusts, two (2) Estates, and multiple] Corporate Entities set up by Simon and Shirley Bernstein”), and the “Objection to Final Accounting; Petition for Formal, Detailed Audited and Forensic Accounting and Document Production” (the “Objection”) filed by

Eliot and Candice Bernstein, "individually and on behalf of [their] minor children, who are alleged qualified beneficiaries of Settlor's Estate and Trusts," are hereby stricken.

6. The guardian *ad litem* shall have 45 days from his/her appointment within which to file a response to Oppenheimer's Petition and objections, if any, to Oppenheimer's accountings.

7. Oppenheimer and the guardian *ad litem* shall confer in good faith regarding a resolution of this matter and/or a timeframe within which to try any unresolved issues.

8. Neither Eliot nor Candice Bernstein shall take any action which interferes with the guardian *ad litem*'s duties.

9. ^{The pending Motion for Contempt as to} Eliot and Candice Bernstein ~~are also held to be in contempt of court~~ for their willful violation of Judge Martin Colin's May 4, 2015 Order, ^{in MOST.} ~~The Court withholds coercive sanctions based upon the appointment of a guardian *ad litem* and striking of the Bernsteins' pleadings, which renders the Bernsteins' compliance moot.~~

DONE AND ORDERED in Chambers, Palm Beach County, Florida on 3-1-, 2016.

Hon. John L. Phillips, Circuit Judge

Copies furnished to:

Steven A. Lessne, Esq.
Gunster, Yoakley & Stewart, P.A.
4855 Technology Way, Suite 630
Boca Raton, FL 33431

Eliot and Candice Bernstein
2753 N.W. 34th Street
Boca Raton, FL 33434

EXHIBIT 4

**IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA**

TED BERNSTEIN, as Trustee
of the Shirley Bernstein Trust Agreement
dated May 20, 2008, as amended,

Probate Division
Case No.: 502014CP003698XXXXNBIH

Plaintiff,

v.

ALEXANDRA BERNSTEIN; ERIC BERNSTEIN;
MICHAEL BERNSTEIN; MOLLY SIMON;
PAMELA B. SIMON, Individually and as Trustee
f/b/o Molly Simon under the Simon L. Bernstein Trust
Dtd 9/13/12; ELIOT BERNSTEIN, individually, as
Trustee f/b/o D.B., Ja. B. and Jo. B. under the Simon
L. Bernstein Trust Dtd 9/13/12, and on behalf of his
minor children D.B., Ja. B. and Jo. B.; JILL
IANTONI, Individually, as Trustee f/b/o J.I. under the
Simon L. Bernstein Trust Dtd 9/13/12, and on behalf
of her Minor child J.I.; MAX FRIEDSTEIN; LISA
FRIEDSTEIN, Individually, as Trustee f/b/o Max
Friedstein and C.F., under the Simon L. Bernstein
Trust Dtd 9/13/12, and on behalf of her minor child,
C.F.,

Defendants.

NOTICE OF FILING AND OF SERVING NOTICE OF ACCEPTANCE

Plaintiff, Ted S. Bernstein (the "Trustee"), as Successor Trustee of the Shirley Bernstein Trust Agreement dated May 20, 2008, as amended, hereby gives notice of filing the attached, *Notice of Acceptance of Appointment as Guardian Ad Litem for Jo.B., Ja.B., and D.B.* as requested by appointed Guardian Ad Litem, Diana Lewis.

CERTIFICATE OF SERVICE

I CERTIFY that a copy of the foregoing has been furnished to parties listed on attached Service List by: Facsimile and U.S. Mail; U.S. Mail; Email Electronic Transmission; FedEx; Hand Delivery this 7th day of April, 2016.

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Attorneys for Ted S. Bernstein

By: /s/ Alan B. Rose
Alan B. Rose (Fla. Bar No. 961825)

SERVICE LIST Case No.: 502014CP003698XXXXNBIH

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Counsel for Molly Simon, Alexandra Bernstein,
Eric Bernstein, Michael Bernstein

Lisa Friedstein, individually and as trustee for her
children, and as natural guardian for M.F. and
C.F., Minors; and Max Friedstein
lisa.friedstein@gmail.com

Jill Iantoni, individually and as trustee for her
children, and as natural guardian for J.I. a minor
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Joielle A. Foglietta, Esq.
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service@ciklinlubitz.com;
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**IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT IN AND FOR
PALM BEACH COUNTY, FLORIDA**

TED BERNSTEIN, as Trustee
Of the Shirley Bernstein Trust Agreement
Dated May 20, 2008, as amended.

Plaintiff,

v.

Probate Division
Case No.:2014CP003698 (IH)

ALEXANDRA BERNSTEIN; ERIC BERNSTEIN;
MICHAEL BERNSTEIN; MOLLY SIMO;
PAMELA B. SIMON, Individually and as
Trustee f/b/o Molly Simon under the
Simon L. Bernstein Trust Dtd. 9/13/12;
ELIOT BERNSTEIN, individually as Trustee
f/b/o D.B., Ja. B and Jo. B. under the
Simon L. Bernstein Trust Dtd. 9/13/12
and on behalf of his minor children
D.B., Ja.B. and Jo.B.; JILL IANTONI,
individually, as Trustee f/b/o of J.I.
under the Simon L. Bernstein Trust Dtd.
9/13/12, and on behalf of her Minor child
J.I.; MAX FRIEDSTEIN; LISA FRIEDSTEIN,
individually, as Trustee f/b/o Max
Friedman and C.F., under the Simon L.
Bernstein Trust Dtd 9/13/12, and on
bealf of her minor child, C.F.,

Defendants.

**NOTICE OF ACCEPTANCE OF APPOINTMENT AS GUARDIAN AD LITEM FOR
Jo.B., Ja.B. AND D.B. IN THE ABOVE STYLED CASE**

COMES NOW Diana Lewis and notifies the court of her
acceptance of appointment as Guardian *ad litem* for Eliot
Bernstein's minor children, Jo.B., Ja.B. and D.B. pursuant to
this court's order dated April 4, 2016, and the terms and
conditions set forth therein.

Page Two

Case no.: 2014CP003698 (IH)

CERTIFICATE OF SERVICE

I CERTIFY that a true and correct copy of the foregoing has been furnished to the parties by E-mail Electronic Transmission on the attached Service List for Case No.: 2014CP003698 (IH) this 7th day of April, 2016.

ADR & MEDIATIONS SERVICES, LLC
Diana Lewis
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West Palm Beach, FL 33409
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Email: dzlewis@aol.com

By: /s/ Diana Lewis
Diana Lewis (Fla. Bar No. 351350)
(Mediator No.:32461 R)

Page Three
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SERVICE LIST Case No.: 502014CP003698XXXXNBIH

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Counsel for Molly Simon, Alexandra Bernstein,
Eric Bernstein, Michael Bernstein

Lisa Friedstein, individually and as trustee for
her children, and as natural guardian for M.F.
and C.F., Minors; and Max Friedstein
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Jill Iantoni, individually and as trustee for her
children, and as natural guardian for J.I. a minor
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slobdell@ciklinlubitz.com

**IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA**

OPPENHEIMER TRUST COMPANY OF
DELAWARE, in its Capacity As Resigned
Trustee of the Simon Bernstein Irrevocable Trusts
Created for the Benefit of of Jo. B., Ja. B., and D.B.,
Minors

Probate Division
Case No.: 502014CP002815XXXXSB(IY)

Petitioner,

v.

ELIOT AND CANDICE BERNSTEIN, in their
Capacity as Parents and Natural Guardians of Jo. B.,
Ja. B., and D.B., Minors
Respondents.

_____ /

NOTICE OF FILING AND OF SERVING NOTICE OF ACCEPTANCE

Ted S. Bernstein (the "Trustee"), as Successor Trustee of the Shirley Bernstein Trust Agreement dated May 20, 2008, as amended, hereby gives notice of filing the attached, *Notice of Acceptance of Appointment as Guardian Ad Litem for Jo.B., Ja.B., and D.B.* as requested by appointed Guardian Ad Litem, Diana Lewis.

CERTIFICATE OF SERVICE

I CERTIFY that a copy of the foregoing has been furnished to parties listed on attached Service List by: Facsimile and U.S. Mail; U.S. Mail; Email Electronic Transmission; FedEx; Hand Delivery this 7th day of April, 2016.

MRACHEK, FITZGERALD, ROSE, KONOPKA,
THOMAS & WEISS, P.A.
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By: /s/ Alan B. Rose
Alan B. Rose (Fla. Bar No. 961825)

SERVICE LIST

Eliot Bernstein
Candice Bernstein,
as Parents and Natural Guardians of
D.B., Ja. B. and Jo. B, Minors
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Counsel for Petitioner

**IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT IN AND FOR
PALM BEACH COUNTY, FLORIDA**

OPPENHEIMER TRUST COMPANY OF DELAWARE,
in its capacity as Resigned Trustee of
the Simon Bernstein Irrevocable Trusts
created for the benefit of Joshua, Jake
and Daniel Bernstein,

Petitioner,

vs.

Probate Division
Case No.:2014CP002815 (IH)

ELIOT AND CANDICE BERNSTEIN,
in their capacity as parents and
natural guardians of JOSHUA, JAKE
AND DANIEL BERNSTEIN, minors,

Respondents.

**NOTICE OF ACCEPTANCE OF APPOINTMENT AS GUARDIAN AD LITEM FOR
JOSHUA, JAKE AND DANIEL BERNSTEIN IN THE ABOVE STYLED CASE**

COMES NOW Diana Lewis and notifies the court of her **acceptance** of appointment as Guardian *ad litem* for JOSHUA, JAKE and DANIEL BERNSTEIN (the "Minor Beneficiaries") pursuant to this court's order dated April 4, 2016.

CERTIFICATE OF SERVICE

I CERTIFY that a true and correct copy of the foregoing has been furnished to the parties by E-mail Electronic Transmission on the attached Service List for Case No.: 2014CP002815 (IH) this 7th day of April, 2016.

ADR & MEDIATIONS SERVICES, LLC
Diana Lewis
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By: /s/ Diana Lewis
(Fla. Bar No. 351350)

Page Two

SERVICE LIST Case No.: 2014CP002815

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Eliot and Candice Bernstein
2753 N.W. 34th Street
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From the Desk of :

Jacob Bernstein

2753 NW 34th Street, Boca Raton, FL 33434

July 11, 2017

ADR & MEDIATIONS SERVICES, LLC

Diana Lewis

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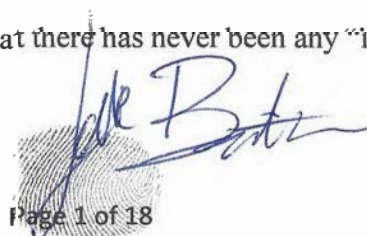
(Fla. Bar No. 351350)

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

Attention Diana Lewis, Esq.,

My name is Jacob Noah Archie Bernstein and it has come to my attention that you are an attorney and former Judge in Palm Beach County and Officer of the Court, allegedly continuing to act as Guardian Ad Litem for me since April 07, 2016 allegedly as a “minor child” of Eliot Ivan Bernstein and Candice Michelle Bernstein.

While I understand that there is likely major legal problems with the proceedings leading up to your Appointment and Acceptance as Guardian ad Litem on my behalf, I turned 18 on January 01, 2017 and have not been a “Minor” for over 6 months and yet you have failed to Discharge the Guardianship and knowingly continue to purport to act on my behalf as a minor and make Court appearances for me and tender “Consents” on my behalf which were never provided to you and you have done this at all times knowing that there has never been any “incapacity” or



Page 1 of 18

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

“competency” Hearing since I turned 18 and thus no basis in law or fact to continue to act on my behalf after my 18th Birthday.

I now make this voluntary request for you to Cease and Desist all actions allegedly as my Guardian Ad Litem, turn over all records, discovery and information obtained in the course of your actions as my alleged Guardian and correct any and all frauds in all Courts or elsewhere impacted by this illegal Guardianship, including but not limited to, the Estate and Trust cases of my deceased grandparents, Simon and Shirley Bernstein.

Since I have been over the age of 18 years since January 01, 2017, you, Ted Bernstein, Alan Rose, Brian O’Connell and Steven Lessne have at all times had actual knowledge of these facts and the requirement to Discharge the Guardianship or conduct a proper Hearing with Due Process Notice and thus have continued to illegally use this Guardianship as a predatory weapon against myself and my family to interfere in proper rights of Inheritance and to cover up frauds in these cases.

Further, all of you actually know and have known that no “competency hearing” was ever held against me in over 6 months since turning the age of majority of 18, nor have I ever been provided ANY Due Process Notice or been served to appear in any proceeding or have an Opportunity to be heard at any of the relevant proceedings to date.

What is even more egregious about your conduct as a former Judge and done as an “Officer of the Court” is that you not only have continued in your actions as alleged Guardian in this illegal Guardianship despite being specifically advised that I was now over the age of 18 years but have also even gone as far as to give alleged “Consents” on my behalf to various actions by Ted

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

Bernstein and Alan Rose and entered into "Settlements" on my behalf again giving "Consent" all without my knowledge, without my Consent and without any Notice of Opportunity to be heard provided to myself. In fact, as you actually know, you and I have never even spoken to one another.

Like my older brother Joshua who was 18 even before the Guardian Ad Litem was created and accepted by you, I have come to learn that under Federal law under Title 18 USC Sec.242 it is a Federal Criminal Offense for Civil Rights Violations for 2 or more persons to conspire to Violate my US Constitutional rights, which have been violated by your actions in this case together in common with Attorney Alan Rose, Fiduciary Ted Bernstein and with the compliance and acquiescence of attorney Brian O'Connell as current Personal Representative of my grandfather Simon's Estate.

Please take notice that I have copied the US Dept of Justice Civil Rights Division head Tom Wheeler and offices of the FBI and US Attorney on this request.

I have further learned the following from the US Dept. of Justice Website:

"Section 242 of Title 18 makes it a crime for a person acting under color of any law to willfully deprive a person of a right or privilege protected by the Constitution or laws of the United States.

For the purpose of Section 242, acts under "color of law" **include acts not only done by federal, state, or local officials within the their lawful authority, but also acts done beyond the bounds of that official's lawful authority, if the acts are done while the official is purporting to or pretending to act in the performance of his/her official duties. Persons acting under color of law within the meaning of this statute include police officers, prisons guards and**

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

other law enforcement officials, as well as judges, care providers in public health facilities, and others who are acting as public officials. It is not necessary that the crime be motivated by animus toward the race, color, religion, sex, handicap, familial status or national origin of the victim.

The offense is punishable by a range of imprisonment up to a life term, or the death penalty, depending upon the circumstances of the crime, and the resulting injury, if any.” See, <https://www.justice.gov/crt/deprivation-rights-under-color-law>.

I have never spoken with you, met with you, granted you any authority over me and in any way enabled or allowed your acting in any capacity and in any matter on my behalf as an adult. This is a Cease and Desist demand to stop all further illegal acts on my behalf.

I am unaware of any Guardian Ad Litem Orders entered in the Simon and Shirley Probate Cases (Case # 502012CP004391XXXXSB – Simon Bernstein Estate and Case # 502011CP000653XXXXSB – Shirley Bernstein Estate) giving you any guardianship powers over me to make any representations or take any actions on my behalf in those cases.

Ja.B. AND D.B. IN THE ABOVE STYLED CASE” **[emphasis added]**

The Shirley Bernstein Trust Order Appointing Guardianship is similarly limited to legal authority of the guardianship in that case only and only for MINOR CHILDREN.

The 2016 Florida Statutes - Title XLIII - DOMESTIC RELATIONS - Chapter 744 - GUARDIANSHIP
744.521 Termination of guardianship.—**When a ward becomes sui juris** or is restored to capacity, when the guardian has been unable to locate the ward through diligent search, or, for a guardian

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

of the property, when the property subject to the guardianship has been exhausted, the guardian shall file a final report and receive his or her discharge. A guardian of the person is discharged without further proceeding upon filing a certified copy of the ward's death certificate. The court may require proof of the removal of incapacity.

History.—s. 1, ch. 74-106; ss. 21, 26, ch. 75-222; s. 4, ch. 86-120; s. 89, ch. 89-96; s. 63, ch. 90-271; s. 1110, ch. 97-102.

Note.—Created from former s. 746.12.

"Minor Ward Reaches 18 Unless the minor is incapacitated, at the age of 18 he or she is no longer a minor and is deemed to be legally old enough to manage his or her own finances or property. The guardianship is terminated and the assets are distributed to the minor."

https://umshare.miami.edu/web/wda/ethics/gurardianship_rev1-07.pdf

Therefore, due to your lack of legal authority over me despite any Court Orders gained through simulated legal process, I immediately request that you;

1. **CEASE AND DESIST** from any further representations of myself, Jacob Noah Archie Bernstein, in any proceedings, settlements or other matters involving me.
2. **NOTIFY** the Florida Courts and Correct all actions taken on my behalf since turning the age of majority on January 01, 2017, have been improper and illegal and cease and desist this **KNOWINGLY, GROSS, WILLFUL, WANTON** and **RECKLESS** criminal violation of your fiduciary duties as a Guardian Ad Litem .
3. **WITHDRAW** any and all Consent you have given in any matters relating to Jacob Bernstein.
4. **FILE** immediately within or without the final report the fact that I, Jacob Bernstein, turned the age of majority on Jan. 01, 2017 and that no legal adult guardianship proceedings were held giving you legal authority from such date to the present,

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

discharge the Guardianship and turn over all records and properties regarding the guardianships as required.

5. **MAKE NO** further appearances in Court on my behalf or state in any pleadings consent on my behalf or take any any action whatsoever on my behalf claiming that you are a acting as Guardian Ad Litem for me.
6. **NOTIFY ALAN ROSE AND STEVEN LESSNE** to similarly take all actions to remove and strike all pleadings, orders, settlements, etc. in any court cases made on my behalf as a minor and correct any and all Court Orders and actions impacted by these defects and cease and desist any further acts on my behalf.
7. **NOTIFY ALL COURTS** affected by your actions since I turned the age of majority of 18 on Jan. 01, 2017.
8. **NOTIFY ALL COURTS** that you have made improper representations in pleadings and hearings in the Simon and Shirley Bernstein Estate and Trust cases and the Oppenheimer cases where you have never been granted a legal guardianship over me. The Courts to be notified and cases related to your actions that have been affected by the misconduct shall include but not be limited to,
 - a. The Florida Probate Court – HONORABLE Judge Rosemarie Scher, cases:
 - i. Case # 502012CP004391XXXXSB – Simon Bernstein Estate
 - ii. Case # 502015CP001162XXXXNB – Simon Bernstein Trust to Remove Ted Bernstein
 1. OLD CASE # Was Civil but Colin transferred to Probate ?
502014CA014637XXXXMB
 - iii. Case # 502011CP000653XXXXSB – Shirley Bernstein Estate
 - iv. Case # 502014CP003698XXXXNB – Shirley Trust Construction
 - v. Case # 502014CP002815XXXXSB – Oppenheimer v. Bernstein Minor Children

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

- vi. Case # 502015CP002717XXXX Colin Closed and transferred to Coates Eliot Bernstein v. Simon Estate Case for Claims
- vii. Case # 502014CA014637XXXXMB BERNSTEIN, ELIOT I VS BERNSTEIN, THEODORE S
- viii. Case # 50-2010-CP-003128-XXXX-SB – Joshua Bernstein alleged 2010 Trust Case Colin
- ix. Case # 50-2010-CP-003125-XXXX-SB -- Jacob Jake Bernstein alleged 2010 Trust Case Colin
- x. Case # 50-2010-CP-003123-XXXX-SB– Daniel Danny Bernstein alleged 2010 Trust Case Colin

b. The Florida 15th Judicial Civil Circuit Court and HONORABLE JUDGE

Cymonie Rowe, case:

- i. Case # 502012CA013933XXXXMB William E. Stansbury v. Ted S. Bernstein et al. -

c. The Florida 4th District Court of Appeals – Note – Do not submit any information to Chief Judge Corey Ciklin who is conflicted in these matters already as being a former law partner of Personal Representative of the Estate of Simon Bernstein, Brian O’Connell’s law firm, Ciklin Lubitz Martens & O’Connell, where Judge Ciklin already has Sua Sponte removed himself from proceedings he was involved with in these matters and removed his name from several prior issued Orders at that court.

- i. Case 15-3849 ELIOT BERNSTEIN ESTATE OF SIMON BERNSTEIN
- ii. Case 16-1449 ELIOT IVAN BERNSTEIN OPPENHEIMER TRUST CO. OF DELAWARE, ET AL.
- iii. Case 16-1476 ELIOT IVAN BERNSTEIN OPPENHEIMER TRUST CO. OF DELAWARE, ET AL.
- iv. Case 16-2249 ELIOT IVAN BERNSTEIN OPPENHEIMER TRUST CO. OF DELAWARE, ET AL.
- v. Case 16-0222 ELIOT IVAN BERNSTEIN TED BERNSTEIN, AS TRUSTEE, ET AL.
- vi. Case 16-1478 ELIOT IVAN BERNSTEIN TED BERNSTEIN, AS TRUSTEE, ETC., ET AL.

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

- vii. Case 16-3314 ELIOT IVAN BERNSTEIN TED BERNSTEIN, AS TRUSTEE, ETC., ET AL.
- viii. Case 16-0064 ELIOT IVAN BERNSTEIN TED BERNSTEIN, AS TRUSTEE. ET AL.
- ix. Case 16-3162 ELIOT IVAN BERNSTEIN WILLIAM E. STANSBURY, et al.
- x. Case 16-4120 ELIOT IVAN BERNSTEIN WILLIAM E. STANSBURY, et al.

d. The Florida Supreme Court – Note – Do not submit any information to Chief Judge Jorge Labarga as he is conflicted with the Eliot Bernstein family in these matters.

i. SC16-29

e. The United States District Court Northern District of Illinois Case 1:13-cv-03643 Simon Bernstein Irrevocable Insurance Trust Dtd 6/21/95 v. Heritage Union Life Insurance Company – HONORABLE Judge John Robert Blakey and Chief Judge Ruben Castillo.

i. Case # 13-cv-03643 - Federal Lawsuit in the US District Court of Eastern Illinois

f. United States Court of Appeals for the Seventh Circuit - Chief Judge Diane P. Wood in relation to the Lower Court Case 1:13-cv-03643 Simon Bernstein Irrevocable Insurance Trust Dtd 6/21/95 v. Heritage Union Life Insurance Company.

i. Case No. 17-1461 APPEAL UNITED STATES COURT OF APPEALS FOR THE SEVENTH CIRCUIT

9. **TURN OVER** all of your professional and individual insurance policies and bonding information to me as claims against you individually and professionally and your firm,



Page 8 of 18

July 11, 2017

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

will be forthcoming for the massive damages caused to me from your breaches of fiduciary duties and other misconduct. Your firm appears as follows and I believe it was set up specifically for liability purposes for these matters with my family, immediately prior to your acceptance of Guardian Ad Litem for me:

ADR & MEDIATIONS SERVICES, LLC
Diana Lewis
2765 Tecumseh Drive
West Palm Beach, FL 33409
(561) 758-3017 Telephone
Email: dzlewis@aol.com
By: /s/ Diana Lewis
(Fla. Bar No. 351350)

10. **TURN OVER** all records, documents, emails, faxes, information of any kind regarding me obtained by and during these illegal actions to my attention at my permanent address of, 2753 NW 34th Street, Boca Raton, FL 33434.

I have been notified that on repeated occasions over the past year my father and mother Eliot and Candice Bernstein have notified you directly of my Sui Juris status and you have refused to take any actions to end the improper Guardian Ad Litem and continue to make representations, agreements and settlements on my behalf and hopefully this notice will cause you to **IMMEDIATELY CEASE AND DESIST THIS ILLEGAL CONDUCT.**

I have also been made aware that my father and mother, Eliot and Candice Bernstein have notified state and federal authorities of your misconduct on my behalf, including but not limited to acts such as,

1. Dissolving various Trusts in my name set up by my grandparents,

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

2. Dissolving Bernstein Family Realty, LLC, set up by my grandparents of which I am a 33% owner,
3. Making appearances in various court and legal proceedings illegally on my behalf,
4. Consenting to various legal agreements illegally on my behalf,
5. Consenting to various settlements illegally on my behalf.

I have learned that in Case # 502014CP003698XXXXNB – Shirley Bernstein Trust in the Fifteenth Judicial Probate Court you are acting under an alleged Trust created in my name that I was sued as a defendant under with my father, Eliot Bernstein, as Trustee and where I have never received formal notice of any such trust, nor do I believe my parents or any other party, including the courts have received, although I am a beneficiary allegedly under this trust. The Trust I am sued under is titled,

ELIOT BERNSTEIN, individually, as
Trustee f/b/o D.B., Ja. B. and Jo. B. under
the **Simon L. Bernstein Trust Dtd**
9/13/12, and on behalf of his minor
children D.B., Ja. B. and Jo. B.
[Emphasis added]

Please provide a copy of the “**Simon L. Bernstein Trust Dtd 9/13/12**” and any subtrusts held thereunder in my name supposedly created on 9/13/12 the date of my grandfather’s death. If you are in possession of any such trust or subtrust OR ANY OTHER TRUST in my name, please instantly turn over all records regarding this legal entity I have been sued under and that you are acting illegally as a Guardian Ad Litem over my person in such legal action under such trust.

I have done preliminary research into your name online since learning of this predatory Guardian Ad Litem placed knowingly upon me as an adult and have learned that you are a FORMER

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

Judge and no longer a Florida Registered Judge who has lost her judgeship to Jennifer Ticktin since on or about 2014^{1 2 3} where I learned from the attached articles, "But Ticktin, a 35-year-old partner at Ticktin Law Group and a Boca Raton resident, says she targeted Lewis for a reason. She cited a 2013 Palm Beach County Bar poll in which Lewis ranked last among 34 circuit court judges in categories including knowledge and application of the law, impartiality and judicial demeanor. "I think that right now we have an issue with the incumbent judge," Ticktin said. "Last time she was given a second chance, and I don't think that she did well with that second chance. I think it's time for change." In noting your bar association number above I believe that as both a former judge and current registered attorney at law I need not educate you on your obligations to notify all tribunals, criminal and civil and all parties with any liabilities resulting from your and others you worked in conspire with actions, as required by both State and Federal - Civil, Criminal and Ethical Rules and Statutes.

That these Knowingly, Gross, Willful, Wanton and Reckless Acts, which appear as Financial Exploitation of an Adult through an ILLEGAL GUARDIAN AD LITEM FOR A MINOR and further appear part of a larger conspiracy against the rights of my father, my mother and my brothers are simultaneously being forwarded to state and federal criminal authorities to

¹ "Ugly PBC judicial campaign pits Diana Lewis and Jessica Ticktin" By Jane Musgrave - Palm Beach Post Staff Writer

Updated: 12:41 p.m. Friday, August 08, 2014 | Posted: 7:00 a.m. Friday, August 08, 2014

<http://www.mypalmbeachpost.com/news/local-govt-politics/ugly-pbc-judicial-campaign-pits-diana-lewis-and-jessica-ticktin/NczV3oHgQuXksyXpl11Jdl>

² "Race for Palm Beach County Circuit Judge Group 14 seat is personal" July 19, 2014 | By Brittany Shammass, Sun Sentinel

http://articles.sun-sentinel.com/2014-07-19/news/fl-election-palm-circuit-judges-14-20140719_1_lewis-incumbent-judge-ticktin-law-group

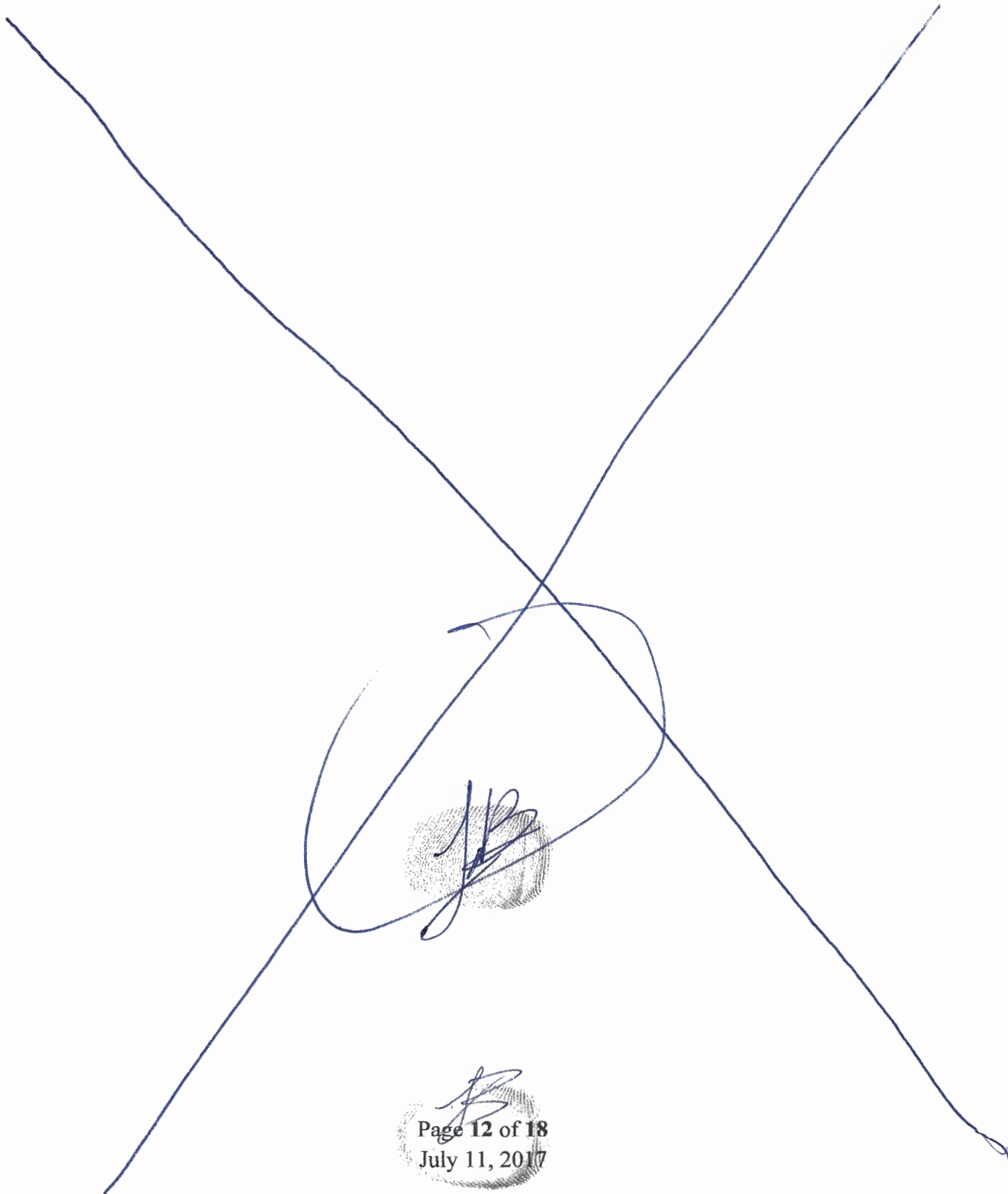
³ "Palm Beach Judge Diana Lewis Loses Judicial Seat to Challenger Raising Issues with Demeanor" Florida You Judge Wednesday, August 27th, 2014 at 5:26 pm by admin by Haydee Oropesa

<http://www.floridayoujudge.com/palm-beach-judge-diana-lewis-loses-judicial-seat-to-challenger-raising-issues-with-demeanor/>

RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF

investigate and prosecute any prior and future criminal acts, so please **govern yourself accordingly** in any future actions you may take in any matters relating to my family and myself.


~~_____~~



RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF


I authorize this Statement and Cease and Desist Request to be filed in any and all state and federal proceedings as relevant and necessary.

Sincerely,

X: 
Jacob Noah Archie Bernstein
2753 NW 34th Street
Boca Raton, FL 33434

Dated: 7-11-17

Witness:

X: 
Name: Joshua Bernstein
2753 NW 34th Street
Boca Raton, FL 33434

Dated: 7/11/17

**RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD
LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF**

EXHIBIT 1

**IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA**

TED BERNSTEIN, as Trustee
of the Shirley Bernstein Trust Agreement
dated May 20, 2008, as amended,

Probate Division
Case No.: 502014CP003698XXXXNB

Plaintiff,

v.

ALEXANDRA BERNSTEIN; ERIC BERNSTEIN;
MICHAEL BERNSTEIN; MOLLY SIMON;
PAMELA B. SIMON, Individually and as Trustee
f/b/o Molly Simon under the Simon L. Bernstein
Trust Dtd 9/13/12; ELIOT BERNSTEIN,
individually, as Trustee f/b/o D.B., Ja. B. and Jo. B.
under the Simon L. Bernstein Trust Dtd 9/13/12, and
on behalf of his minor children D.B., Ja. B. and Jo.
B.; JILL IANTONI, Individually, as Trustee f/b/o J.I.
under the Simon L. Bernstein Trust Dtd 9/13/12, and
on behalf of her Minor child J.I.; MAX
FRIEDSTEIN; LISA FRIEDSTEIN, Individually, as
Trustee f/b/o Max Friedstein and C.F., under the
Simon L. Bernstein Trust Dtd 9/13/12, and on behalf
of her minor child, C.F.,

Defendants.

**ORDER ON SUCCESSOR TRUSTEE'S MOTION TO
APPOINT A GUARDIAN AD LITEM; FOR A GAG ORDER TO PROTECT THE
GUARDIAN AND OTHERS; AND TO STRIKE ELIOT BERNSTEIN'S FILINGS**

THIS CAUSE came before the Court for evidentiary hearing on February 25, 2016, on
Successor Trustee's Motion for Appointment of a Guardian Ad Litem to Represent the Interests of
Eliot Bernstein's Children etc. (the "Motion"). The Court, having considered the record, heard
argument of counsel and being otherwise fully advised in the premises, hereby

ORDERS AND ADJUDGES:

1. This Court determined after a trial held on December 15, 2015 that the beneficiaries of The Shirley Bernstein Trust Agreement dated 5/20/2008 (the "Trust") are Simon Bernstein's "then living grandchildren." Under that ruling, Simon's children – including Eliot Bernstein – are not beneficiaries of the Trust. This Court entered a written order dated February 1, 2016, determining Eliot Bernstein lacks standing to participate in this proceeding and striking his individual filings.

2. Eliot Bernstein's three children are among the class of Trust beneficiaries. Eliot seeks to use his role as parent and natural guardian of three trust beneficiaries to give him standing to continue his involvement in this case. The primary issue now raised is whether Eliot Bernstein should be permitted to continuing representing the interests of his minor children, as their parent and natural guardian, in this Trust Proceeding.

3. ~~Despite his status as natural guardian, Eliot will not be permitted to do so, and~~ ^{The} Court will appoint a Guardian ad Litem, because there is a conflict of interest between the parent and the children, and because Eliot Bernstein has proven to be an inadequate representative of the best interests of his children. JRP

4. First, as to the conflict, Eliot's position throughout the case and at trial was that he was a beneficiary of the Trust. He continued ^{to} advancing that position after trial by prosecuting an appeal of the December 16, 2015 Final Judgment. Eliot's individual interests are in conflict with the interests of his children. Under Florida law, a court should appoint a guardian ad litem when a parent's interest conflicts with the interest of her or her minor child. *Mistretta v. Mistretta*, 566 So. 2d 836, 837-38 (Fla. 1st DCA 1990)(best interests of a minor are *not* fully protected when adverse to the interests of the parent); *Florida Nat. Bank & Trust Co. at Miami v. Blake*, 155 So. 2d 798 (Fla. 3d DCA 1963) (court should have appointed a guardian ad litem for minor child when it was

apparent that the interests of the minor conflicted with the interests of the mother and father); *Gilbertson v. Boggs*, 743 So. 2d 123 (Fla. 4th DCA 1999) (guardian ad litem should have been appointed ^{when} ~~then~~ the parents' interests were adverse to the minor child).

5. Second, Fla. Stat. 731.303(4) provides: "If the court determines that representation of the interest would otherwise be inadequate, the court may, at any time, appoint a guardian ad litem to represent the interests of ... a minor ..." ¹ Based upon the evidence presented and the Court's observations at the trial in December 2015 and at the evidentiary hearing on February 25, 2016, and

based upon the Court's review of various motions filed by Eliot Bernstein since the trial, it is ^{in fact, his actions are adverse + destructive to the children's interest} apparent Eliot Bernstein is not an adequate representative of the best interests of his children. ^{JB} _^

6. Eliot Bernstein states that his agenda includes ridding the court system of corruption among judges, lawyers and fiduciaries, regardless of the cost ^{to} the beneficiaries. He appears to have no interest in the swift and efficient administration of the Shirley Bernstein Trust. He has taken actions to hinder and delay the administration of the Trust, and caused waste of Trust assets to respond to his assertions.

7. To the extent not already covered by this Court's Order dated February 1, 2016, Eliot Bernstein is barred from any further participation in this action, whether individually or as purported parent and natural guardian. Any and all pending motions, claims, or other filings by Eliot Bernstein,

¹ In addition, under section 744.3025, the court *may* appoint a guardian ad litem to represent a minor's interest before approving a settlement of the minor's portion of any cause of action in which the gross settlement of the claim exceeds \$15,000 if the court believes a guardian ad litem is necessary to protect the minor's interest, and "shall appoint a guardian ad litem to represent the minor's interest before approving a settlement of the minor's claim in a case in which the gross settlement involving a minor equals or exceeds \$50,000." Here, it is likely that there will be a settlement at some point in which each of minors receives a substantial distribution, and it is likely Eliot will oppose any such settlement.

on behalf of his children, ^{are} ~~is~~ hereby stricken from the record, without prejudice to the rights of the Guardian Ad Litem to take whatever actions are deemed appropriate.

8. The parties shall attempt to mutually agree on a guardian ad litem. The Court will appoint whomever the parties agree upon within the next three business days. Eliot Bernstein may

participate in such discussions. To the extent the parties, including Eliot Bernstein, are unable to agree on a guardian ad litem, ^{each of the parties shall submit a list of three names of potential Guardian Ad Litem's, each of whom has agreed to} upon notice from the Trustee's counsel the Court shall randomly appoint a guardian ad litem for Ja.B., Jo.B. and D.B. or schedule a further hearing to appoint a

~~accept the appointment if selected. These lists shall be filed suitable Guardian Ad Litem. with the Clerk with courtesy copy to the undersigned, no later than 10 days from this date.~~

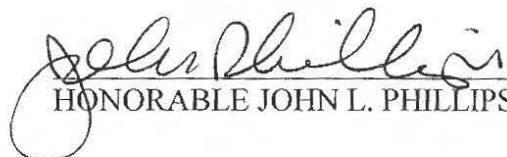
9. The Guardian Ad Litem will have full power and autonomy to represent the interests of the children of Eliot Bernstein, subject to the jurisdiction and review of this Court. The Guardian Ad Litem will be entitled to petition the Court for an award of attorneys' fees to be paid out of the gross proceeds of any recovery, distributions or inheritance to be received by Ja.B., Jo.B, and/or D.B.

10. To protect the integrity and independence of the guardian, Eliot Bernstein and all persons acting in concert with him: (a) shall ^{not} ~~make no effort to~~ contact, email or otherwise communicate with the Guardian Ad Litem except at the request of the Guardian Ad Litem; (b) shall ~~make no statement of any kind about the guardian, nor post information about the guardian on the internet in any fashion; and (c) shall not in any way threaten or harass the guardian.~~ This Court alone shall supervise the guardian, and ~~all information concerning this guardianship shall be treated as private and confidential.~~ Any violation of this order may subject the violator to severe sanctions for contempt of court. The Court will use the full measure of its coercive powers to ensure compliance with this Order.

^{proposed}
* Parties shall furnish a ^{proposed} order appointing GATL with the lists. The Court will act without further hearing on the appointment, if possible.

11. The Court reserves jurisdiction to enforce all terms of this Order, and to oversee the service of the guardian ad litem appointed.

DONE and ORDERED in Chambers, North County Courthouse on 3-1-16, 2016.


HONORABLE JOHN L. PHILLIPS

cc: Attached service list

SERVICE LIST Case No.: 502014CP003698XXXXNBIJ

Eliot Bernstein, individually
and Eliot and Candice Bernstein,
as Parents and Natural Guardians of
D.B., Ja. B. and Jo. B, Minors
2753 NW 34th Street
Boca Raton, FL 33434
(561) 245-8588 - Telephone
(561) 886-7628 - Cell
(561) 245-8644 - Facsimile
Email: Eliot I. Bernstein (iviewit@iviewit.tv)

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West Palm Beach, FL 33401
(561) 833-0866 - Telephone
(561) 833-0867 - Facsimile
Email: John P. Morrissey
(john@jmorrisseylaw.com)
Counsel for Molly Simon, Alexandra Bernstein,
Eric Bernstein, Michael Bernstein

Lisa Friedstein, individually and as trustee for
her children, and as natural guardian for M.F.
and C.F., Minors; and Max Friedstein
lisa.friedstein@gmail.com

Jill Iantoni, individually and as trustee for her
children, and as natural guardian for J.I. a minor
jilliantoni@gmail.com

Alan Rose, Esq.
Mrachek Fitzgerald Rose
Konopka Thomas & Weiss, P.A.
505 S Flagler Drive, Suite 600
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(561) 655-2250 - Telephone
(561) 655-5537 - Facsimile
Email: arose@mrachek-law.com

Pamela Beth Simon
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Email: psimon@stpcorp.com

Brian M. O'Connell, Esq.
Joielle A. Foglietta, Esq.
Ciklin Lubitz Martens & O'Connell
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West Palm Beach, FL 33401
561-832-5900 - Telephone
561-833-4209 - Facsimile
Email: boconnell@ciklinlubitz.com;
jfoglietta@ciklinlubitz.com;
service@ciklinlubitz.com;
slobdell@ciklinlubitz.com

JOHN L. PHILLIPS
CIRCUIT JUDGE
NORTH COUNTY COURTHOUSE
3188 PGA BOULEVARD
PALM BEACH GARDENS, FL 33410

Case: 1:13-cv-03643 Document #: 297-28 Filed: 11/09/17 Page 21 of 44 PageID #:15791



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Eliot Bernstein and Candice Bernstein
2753 NW 34th Street
Boca Raton, FL 33434



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**RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD
LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF**

**RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD
LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF**

EXHIBIT 2

IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA

TED BERNSTEIN, as Trustee
of the Shirley Bernstein Trust Agreement
dated May 20, 2008, as amended,

Probate Division
Case No.: 502014CP003698XXXXNBIH

Plaintiff,

v.

ALEXANDRA BERNSTEIN; ERIC BERNSTEIN;
MICHAEL BERNSTEIN; MOLLY SIMON;
PAMELA B. SIMON, Individually and as Trustee
f/b/o Molly Simon under the Simon L. Bernstein
Trust Dtd 9/13/12; ELIOT BERNSTEIN,
individually, as Trustee f/b/o D.B., Ja. B. and Jo. B.
under the Simon L. Bernstein Trust Dtd 9/13/12, and
on behalf of his minor children D.B., Ja. B. and Jo.
B.; JILL IANTONI, Individually, as Trustee f/b/o J.I.
under the Simon L. Bernstein Trust Dtd 9/13/12, and
on behalf of her Minor child J.I.; MAX
FRIEDSTEIN; LISA FRIEDSTEIN, Individually, as
Trustee f/b/o Max Friedstein and C.F., under the
Simon L. Bernstein Trust Dtd 9/13/12, and on behalf
of her minor child, C.F.,

Defendants.

ORDER APPOINTING DIANA LEWIS AS GUARDIAN AD LITEM FOR
ELIOT BERNSTEIN'S CHILDREN, J.O.B.; J.A. B.; and D.B.

THIS CAUSE came before the Court at an evidentiary hearing held on February 25, 2016,
on Successor Trustee's Motion for Appointment of a Guardian Ad Litem to Represent the Interests
of Eliot Bernstein's Children etc. (the "Motion"). Having considered the Motion and the arguments
of the parties, taken judicial notice of the matters requested in the Motion, and being otherwise duly
advised in the premises, the Court entered an Order in this matter, and a companion order in Case
No. 502014CP002815XXXXNB, granting motions to appoint a guardian ad litem for Eliot's

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children, Jo.B., Ja.B. and D.B., and setting forth a protocol for selecting a guardian ad litem. Having received the parties' notices contemplated under the companion order, the Court hereby appoints a guardian ad litem as follows:

1. Diana Lewis is hereby appointed as the guardian ad litem for Jo.B., Ja.B. and D.B. in this case, with sole and exclusive authority to represent their interests in this case. The guardian ad litem shall be entitled to petition the Court for reasonable compensation for his/her services, to be paid out of the gross proceeds of any recovery, distributions or inheritance to be received by the Jo.B., Ja.B. and D.B. from the Shirley Bernstein Trust u/a/d May 20, 2008, as amended, the Simon Bernstein Trust, and/or the Estates of Simon or Shirley Bernstein.

2. The guardian ad litem shall file an acceptance of appointment with this Court, with a copy to the parties listed at the end of this Order, within 5 business of the date of this Order; otherwise, the parties shall notify the Court by letter that the appointment has not been accepted, in which case the Court will either appoint an alternate guardian ad litem without further hearing or hold an additional hearing to select an alternate guardian ad litem.

3. The guardian ad litem shall have sufficient time after his/her acceptance of this appointment to within which to prepare necessary court filings and prepare for mediation as ordered by the Court at a hearing held on March 7, in the related case of Estate of Simon Bernstein.

4. Trustee and the guardian ad litem shall confer in good faith regarding a resolution of this matter and/or a time frame within which to try any unresolved issues.

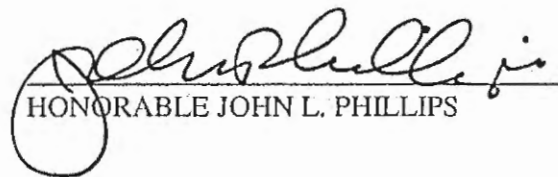
5. Pursuant to the Order dated March 1, 2016, the Guardian Ad Litem will have full power and autonomy to represent the interests of the children of Eliot Bernstein, subject to the jurisdiction and review of this Court. The Guardian Ad Litem will be entitled to petition the Court

for an award of attorneys' fees to be paid out of the gross proceeds of any recovery, distributions or inheritance to be received by Ja.B., Jo.B, and/or D.B.

6. To protect the integrity and independence of the guardian, Eliot Bernstein and all persons acting in concert with him: (a) shall not contact, email or otherwise communicate with the Guardian Ad Litem except at the request of the Guardian Ad Litem; and (b) shall not in any way threaten or harass the guardian. This Court alone shall supervise the guardian. Any violation of this order may subject the violator to severe sanctions for contempt of court. The Court will use the full measure of its coercive powers to ensure compliance with this Order.

7. The guardian ad litem shall notify this Court and Trustee of any actions taken by Eliot and/or Candice Bernstein which interfere with the guardian ad litem's duties hereunder.

DONE and ORDERED in Chambers, North County Courthouse on 11-4, 2016.


HONORABLE JOHN L. PHILLIPS

cc: Attached service list

SERVICE LIST Case No.: 502014CP003698XXXXNBIH

Eliot Bernstein and Candice Bernstein,
as Parents of
D.B., Ja. B. and Jo. B, Minors
2753 NW 34th Street
Boca Raton, FL 33434
(561) 245-8588 - Telephone
(561) 886-7628 - Cell
(561) 245-8644 - Facsimile
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(561) 833-0867 - Facsimile
Email: John P. Morrissey
(john@jmorrisseylaw.com)
Counsel for Molly Simon, Alexandra Bernstein,
Eric Bernstein, Michael Bernstein.

Lisa Friedstein, individually and as trustee for
her children, and as natural guardian for M.F.
and C.F., Minors; and Max Friedstein
lisa.friedstein@gmail.com

Jill Iantoni, individually and as trustee for her
children, and as natural guardian for J.I. a minor
jilliantoni@gmail.com

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Mrachek Fitzgerald Rose
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jfoglietta@ciklinlubitz.com;
service@ciklinlubitz.com;
slobdell@ciklinlubitz.com



STATE OF FLORIDA • PALM BEACH COUNTY

I hereby certify that the
foregoing is a true copy
of the record in my office.

THIS 18 DAY OF May 2016

SHARON R. BOCK
CLERK & COMPTROLLER

By *Victoria Ranger*
DEPUTY CLERK

**RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD
LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF**

EXHIBIT 3

IN THE CIRCUIT COURT OF THE
FIFTEENTH JUDICIAL CIRCUIT, IN AND
FOR PALM BEACH COUNTY, FLORIDA

PROBATE DIVISION

CASE NO.: 502014CP002815XXXXNB (IH)

OPPENHEIMER TRUST COMPANY
OF DELAWARE, in its capacity as
Resigned Trustee of the Simon Bernstein
Irrevocable Trusts created for the benefit
of Joshua, Jake and Daniel Bernstein,

Petitioner,

vs.

ELIOT AND CANDICE BERNSTEIN,
in their capacity as parents and natural
guardians of JOSHUA, JAKE AND
DANIEL BERNSTEIN, minors,

Respondents.

**ORDER APPOINTING GUARDIAN AD LITEM FOR MINORS,
JOSHUA, JAKE AND DANIEL BERNSTEIN**

THIS CAUSE came before the Court at an evidentiary hearing held on February 25, 2016 upon the *Omnibus Motion (I) To Appoint A Guardian Ad Litem For The Minor Beneficiaries Of The "Grandchildren Trusts;" (II) To Hold Eliot And Candice Bernstein In Contempt Of Court For Their Continued Violation Of A Court Order And Repeated Statements Assaulting The Dignity Of The Court; And (III) To Establish A Schedule And Protocol For Accounting And Turnover Proceedings* (the "Motion") filed by Petitioner, Oppenheimer Trust Company Of Delaware ("Oppenheimer"), in its capacity as the resigned trustee of three Irrevocable Trusts settled by Simon Bernstein on September 7, 2006 for the benefit of his grandchildren, minors, Joshua, Jake and Daniel Bernstein (the "Grandchildren Trusts"). Having considered the Motion

and the arguments of the parties, taken judicial notice of the matters requested in the Motion, and being otherwise duly advised in the premises, the Court rules as follows:

1. The sole beneficiaries of the Grandchildren Trusts, and the only real parties in interest in this litigation (other than Oppenheimer), are Joshua, Jake and Daniel Bernstein (the “Minor Beneficiaries”). Neither Eliot nor Candice Bernstein (the “Bernsteins”) were sued in their individual capacities by Oppenheimer, nor have they moved for, or been granted, permission to intervene in their individual capacities. They have been afforded standing in these proceedings, to date, solely as the parents and natural guardians of the Minor Beneficiaries.

2. The Bernsteins have been shown to have multiple conflicts of interest with the Minor Beneficiaries. For example, in their pleadings, they repeatedly allege that the trusts created for the Minor Beneficiaries’ benefit are fraudulent and that they, and not their children, are the true beneficiaries. *Counter-Complaint*, ¶¶ 44-50, 52-60, 65, 109-110, 186 and 253; *Objection to Oppenheimer Accountings*, pp. 1 and 20. In addition, the Bernsteins insist that their overarching goal in this litigation “is to bring about a change in the legal system in efforts to root out systemic corruption at the highest levels by a rogue group of criminals disguised as attorneys at law, judges, politicians and more.” *Counter-Complaint*, ¶ 212. No reasonable inference can be drawn that the Minor Beneficiaries have a similar interest or agenda, or that pursuing such an agenda at the risk of dissipating their own inheritance is in their best interest.

3. Eliot Bernstein also has a history of vexatious litigation and public disrespect for and disobedience to the judicial system and its officers, as detailed in Oppenheimer’s Motion. Eliot Bernstein was adjudicated a vexatious litigant by the United States District Court for the Southn Distreict of New York and enjoined from filing further specified claims in any court without its prior permission. Yet, Eliot Bernstein asserted those enjoined claims in his Counter-

Complaint in apparent violation of the injunction. The Bernsteins are in continued violation of a May 4, 2015 Order entered by Judge Martin Colin, which required compliance over nine months ago, and in recent filings with Florida appellate courts, the Bernsteins insist that all orders entered in this case “are void as a matter of law, and are of no legal force and effect.” *Petition for All Writs (dated January 29, 2016)*, ¶ 101. Further, the Bernsteins have repeatedly alleged that multiple judges have committed fraud in their official capacities in these proceedings and that all Florida judges have conflicts of interest which prohibit them from presiding over these proceedings. *Id.*, ¶ 106-107. All of the above, and certainly in combination, render the Bernsteins inappropriate and inadequate representatives for the Minor Beneficiaries in this litigation.

4. For the above reasons, the guardian *ad litem* appointed in Case No.: 502014CP003698XXXXNB shall be deemed appointed simultaneously as the guardian *ad litem* for the Minor Beneficiaries in this case, with sole and exclusive authority to represent the Minor Beneficiaries’ interests in this case. The guardian *ad litem* shall be entitled to petition for reasonable compensation for his/her services, to be paid out of the gross proceeds of any recovery, distributions or inheritance to be received by the Minor Beneficiaries from the Shirley Bernstein Trust u/a/d May 20, 2008, as amended, the Simon Bernstein Trust, and/or the Estates of Simon or Shirley Bernstein.

5. The Answer and Counter-Complaint filed by Eliot and Candice Bernstein (which they purport to file (i) “Individually, PRO SE;” (ii) “as the Natural Guardians of [the Minor Beneficiaries];” (iii) “as Guardians of the members of Bernstein Family Realty, LLC;” and (iii) “as beneficiaries of [sixteen (16) Trusts, two (2) Estates, and multiple] Corporate Entities set up by Simon and Shirley Bernstein”), and the “Objection to Final Accounting; Petition for Formal, Detailed Audited and Forensic Accounting and Document Production” (the “Objection”) filed by

Eliot and Candice Bernstein, "individually and on behalf of [their] minor children, who are alleged qualified beneficiaries of Settlor's Estate and Trusts," are hereby stricken.

6. The guardian *ad litem* shall have 45 days from his/her appointment within which to file a response to Oppenheimer's Petition and objections, if any, to Oppenheimer's accountings.

7. Oppenheimer and the guardian *ad litem* shall confer in good faith regarding a resolution of this matter and/or a timeframe within which to try any unresolved issues.

8. Neither Eliot nor Candice Bernstein shall take any action which interferes with the guardian *ad litem*'s duties.

9. ^{The pending Motion for Contempt as to} Eliot and Candice Bernstein ~~are also held to be in contempt of court~~ for their willful violation of Judge Martin Colin's May 4, 2015 Order. ^{in MOST.} ~~The Court withholds coercive sanctions based upon the appointment of a guardian *ad litem* and striking of the Bernsteins' pleadings, which renders the Bernsteins' compliance moot.~~

DONE AND ORDERED in Chambers, Palm Beach County, Florida on 3-1-, 2016.

Hon. John L. Phillips, Circuit Judge

Copies furnished to:

Steven A. Lessne, Esq.
Gunster, Yoakley & Stewart, P.A.
4855 Technology Way, Suite 630
Boca Raton, FL 33431

Eliot and Candice Bernstein
2753 N.W. 34th Street
Boca Raton, FL 33434

**RE: DIANA LEWIS DEMAND TO CEASE AND DESIST ILLEGAL GUARDIAN AD
LITEM OF JACOB BERNSTEIN, CORRECT ALL FRAUD, OTHER RELIEF**

EXHIBIT 4

**IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA**

TED BERNSTEIN, as Trustee
of the Shirley Bernstein Trust Agreement
dated May 20, 2008, as amended,

Probate Division
Case No.: 502014CP003698XXXXNBIH

Plaintiff,

v.

ALEXANDRA BERNSTEIN; ERIC BERNSTEIN;
MICHAEL BERNSTEIN; MOLLY SIMON;
PAMELA B. SIMON, Individually and as Trustee
f/b/o Molly Simon under the Simon L. Bernstein Trust
Dtd 9/13/12; ELIOT BERNSTEIN, individually, as
Trustee f/b/o D.B., Ja. B. and Jo. B. under the Simon
L. Bernstein Trust Dtd 9/13/12, and on behalf of his
minor children D.B., Ja. B. and Jo. B.; JILL
IANTONI, Individually, as Trustee f/b/o J.I. under the
Simon L. Bernstein Trust Dtd 9/13/12, and on behalf
of her Minor child J.I.; MAX FRIEDSTEIN; LISA
FRIEDSTEIN, Individually, as Trustee f/b/o Max
Friedstein and C.F., under the Simon L. Bernstein
Trust Dtd 9/13/12, and on behalf of her minor child,
C.F.,

Defendants.

NOTICE OF FILING AND OF SERVING NOTICE OF ACCEPTANCE

Plaintiff, Ted S. Bernstein (the "Trustee"), as Successor Trustee of the Shirley Bernstein Trust Agreement dated May 20, 2008, as amended, hereby gives notice of filing the attached, *Notice of Acceptance of Appointment as Guardian Ad Litem for Jo.B., Ja.B., and D.B.* as requested by appointed Guardian Ad Litem, Diana Lewis.

CERTIFICATE OF SERVICE

I CERTIFY that a copy of the foregoing has been furnished to parties listed on attached Service List by: Facsimile and U.S. Mail; U.S. Mail; Email Electronic Transmission; FedEx; Hand Delivery this 7th day of April, 2016.

MRACHEK, FITZGERALD, ROSE, KONOPKA,
THOMAS & WEISS, P.A.
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(561) 655-2250 Telephone / (561) 655-5537 Facsimile
Email: arose@mrachek-law.com
Secondary: mchandler@mrachek-law.com
Attorneys for Ted S. Bernstein

By: /s/ Alan B. Rose
Alan B. Rose (Fla. Bar No. 961825)

SERVICE LIST Case No.: 502014CP003698XXXXNBIH

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as Parents of D.B., Ja. B. and Jo. B, Minors
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Counsel for Molly Simon, Alexandra Bernstein,
Eric Bernstein, Michael Bernstein

Lisa Friedstein, individually and as trustee for her
children, and as natural guardian for M.F. and
C.F., Minors; and Max Friedstein
lisa.friedstein@gmail.com

Jill Iantoni, individually and as trustee for her
children, and as natural guardian for J.I. a minor
jilliantoni@gmail.com

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Joielle A. Foglietta, Esq.
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jfoglietta@ciklinlubitz.com;
service@ciklinlubitz.com;
slobdell@ciklinlubitz.com

**IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT IN AND FOR
PALM BEACH COUNTY, FLORIDA**

TED BERNSTEIN, as Trustee
Of the Shirley Bernstein Trust Agreement
Dated May 20, 2008, as amended.

Plaintiff,

v.

Probate Division
Case No.:2014CP003698 (IH)

ALEXANDRA BERNSTEIN; ERIC BERNSTEIN;
MICHAEL BERNSTEIN; MOLLY SIMO;
PAMELA B. SIMON, Individually and as
Trustee f/b/o Molly Simon under the
Simon L. Bernstein Trust Dtd. 9/13/12;
ELIOT BERNSTEIN, individually as Trustee
f/b/o D.B., Ja. B and Jo. B. under the
Simon L. Bernstein Trust Dtd. 9/13/12
and on behalf of his minor children
D.B., Ja.B. and Jo.B.; JILL IANTONI,
individually, as Trustee f/b/o of J.I.
under the Simon L. Bernstein Trust Dtd.
9/13/12, and on behalf of her Minor child
J.I.; MAX FRIEDSTEIN; LISA FRIEDSTEIN,
individually, as Trustee f/b/o Max
Friedman and C.F., under the Simon L.
Bernstein Trust Dtd 9/13/12, and on
bealf of her minor child, C.F.,

Defendants.

**NOTICE OF ACCEPTANCE OF APPOINTMENT AS GUARDIAN AD LITEM FOR
Jo.B., Ja.B. AND D.B. IN THE ABOVE STYLED CASE**

COMES NOW Diana Lewis and notifies the court of her
acceptance of appointment as Guardian *ad litem* for Eliot
Bernstein's minor children, Jo.B., Ja.B. and D.B. pursuant to
this court's order dated April 4, 2016, and the terms and
conditions set forth therein.

Page Two

Case no.: 2014CP003698 (IH)

CERTIFICATE OF SERVICE

I CERTIFY that a true and correct copy of the foregoing has been furnished to the parties by E-mail Electronic Transmission on the attached Service List for Case No.: 2014CP003698 (IH) this 7th day of April, 2016.

ADR & MEDIATIONS SERVICES, LLC
Diana Lewis
2765 Tecumseh Drive
West Palm Beach, FL 33409
(561) 758-3017 Telephone
Email: dzlewis@aol.com

By: /s/ Diana Lewis
Diana Lewis (Fla. Bar No. 351350)
(Mediator No.:32461 R)

Page Three
2014CP003698

SERVICE LIST Case No.: 502014CP003698XXXXNBIH

Eliot Bernstein and Candice Bernstein,
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D.B., Ja. B. and Jo. B, Minors
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(john@jmorrisseylaw.com)
Counsel for Molly Simon, Alexandra Bernstein,
Eric Bernstein, Michael Bernstein

Lisa Friedstein, individually and as trustee for
her children, and as natural guardian for M.F.
and C.F., Minors; and Max Friedstein
lisa.friedstein@gmail.com

Jill Iantoni, individually and as trustee for her
children, and as natural guardian for J.I. a minor
jilliantoni@gmail.com

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Joielle A. Foglietta, Esq.
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service@ciklinlubitz.com;
slobdell@ciklinlubitz.com

**IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA**

OPPENHEIMER TRUST COMPANY OF
DELAWARE, in its Capacity As Resigned
Trustee of the Simon Bernstein Irrevocable Trusts
Created for the Benefit of of Jo. B., Ja. B., and D.B.,
Minors

Probate Division
Case No.: 502014CP002815XXXXSB(IY)

Petitioner,

v.

ELIOT AND CANDICE BERNSTEIN, in their
Capacity as Parents and Natural Guardians of Jo. B.,
Ja. B., and D.B., Minors
Respondents.

_____ /

NOTICE OF FILING AND OF SERVING NOTICE OF ACCEPTANCE

Ted S. Bernstein (the "Trustee"), as Successor Trustee of the Shirley Bernstein Trust Agreement dated May 20, 2008, as amended, hereby gives notice of filing the attached, *Notice of Acceptance of Appointment as Guardian Ad Litem for Jo.B., Ja.B., and D.B.* as requested by appointed Guardian Ad Litem, Diana Lewis.

CERTIFICATE OF SERVICE

I CERTIFY that a copy of the foregoing has been furnished to parties listed on attached Service List by: Facsimile and U.S. Mail; U.S. Mail; Email Electronic Transmission; FedEx; Hand Delivery this 7th day of April, 2016.

MRACHEK, FITZGERALD, ROSE, KONOPKA,
THOMAS & WEISS, P.A.
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West Palm Beach, FL 33401
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Email: arose@mrachek-law.com
Secondary: mchandler@mrachek-law.com

By: /s/ Alan B. Rose
Alan B. Rose (Fla. Bar No. 961825)

SERVICE LIST

Eliot Bernstein
Candice Bernstein,
as Parents and Natural Guardians of
D.B., Ja. B. and Jo. B, Minors
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Boca Raton, FL 33434
(561) 245-8588 - Telephone
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(561) 245-8644 - Facsimile
Email: Eliot I. Bernstein (iviewit@iviewit.tv)

Alan Rose, Esq.
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Counsel for Petitioner

**IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT IN AND FOR
PALM BEACH COUNTY, FLORIDA**

OPPENHEIMER TRUST COMPANY OF DELAWARE,
in its capacity as Resigned Trustee of
the Simon Bernstein Irrevocable Trusts
created for the benefit of Joshua, Jake
and Daniel Bernstein,

Petitioner,

vs.

Probate Division
Case No.:2014CP002815 (IH)

ELIOT AND CANDICE BERNSTEIN,
in their capacity as parents and
natural guardians of JOSHUA, JAKE
AND DANIEL BERNSTEIN, minors,

Respondents.

**NOTICE OF ACCEPTANCE OF APPOINTMENT AS GUARDIAN AD LITEM FOR
JOSHUA, JAKE AND DANIEL BERNSTEIN IN THE ABOVE STYLED CASE**

COMES NOW Diana Lewis and notifies the court of her **acceptance** of appointment as Guardian *ad litem* for JOSHUA, JAKE and DANIEL BERNSTEIN (the "Minor Beneficiaries") pursuant to this court's order dated April 4, 2016.

CERTIFICATE OF SERVICE

I CERTIFY that a true and correct copy of the foregoing has been furnished to the parties by E-mail Electronic Transmission on the attached Service List for Case No.: 2014CP002815 (IH) this 7th day of April, 2016.

ADR & MEDIATIONS SERVICES, LLC
Diana Lewis
2765 Tecumseh Drive
West Palm Beach, FL 33409
(561) 758-3017 Telephone
Email: dzlewis@aol.com
By: /s/ Diana Lewis
(Fla. Bar No. 351350)

Page Two

SERVICE LIST Case No.: 2014CP002815

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Eliot and Candice Bernstein
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Boca Raton, FL 33434

**IN THE DISTRICT COURT OF APPEAL OF THE STATE OF FLORIDA
FOURTH DISTRICT, 1525 PALM BEACH LAKES BLVD., WEST PALM BEACH, FL 33401**

August 23, 2017

CASE NO.: 4D17-1932

L.T. No.: 502014CP003698XXXXNB

ELIOT IVAN BERNSTEIN

v. TED BERNSTEIN, AS TRUSTEE, ET AL.

Appellant / Petitioner(s)

Appellee / Respondent(s)

BY ORDER OF THE COURT:

ORDERED that on July 19, 2017, this court ordered appellant to show cause why sanctions should not be imposed. Having considered appellant's August 8 and August 18, 2017 partial responses and motions for extension of time to respond, we deny the request for extension of time in the August 18, 2017 motion (we granted a short extension requested in the August 8, 2017 motion) and determine that sanctions are appropriate. For the reasons set forth in the July 19, 2017 order to show cause, we now impose sanctions pursuant to *Johnson v. Bank of New York Mellon Trust Co.*, 136 So. 3d 507, 508 (Fla. 2014); *Lomax v. Taylor*, 149 So. 3d 1135, 1137 (Fla. 2014); *Riethmiller v. Riethmiller*, 133 So. 3d 926 (Fla. 2013). The Clerk of this Court is directed to no longer accept any paper filed by Eliot Ivan Bernstein unless the document has been reviewed and signed by a member in good standing of the Florida Bar who certifies that a good faith basis exists for each claim presented.

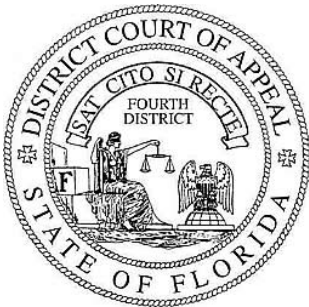
Served:

cc: Lorin Louis Mrachek	Brian M. O'Connell	Mark R. Manceri
Gary R. Shendell	Steven A. Lessne	Charles D. Rubin
John P. Morrissey	Kenneth S. Pollock	John Pankauski
Alan Benjamin Rose	Peter Marshall Feaman	Donald R. Tescher
Joielle A. Foglietta	Dennis McNamara	Kimberly Moran
Ralph S. Janvey	Joseph M. Leccese	Hunt Worth
Albert Gortz	Byrd "biff" F. Marshall, Jr.	Robert Spallina
Eliot Ivan Bernstein	Lisa Friedstein	Jill Iantoni
Theodore Stuart Bernstein	Pamela Beth Simon	Dennis G. Bedley
James Dimon	William McCabe	Gerald Lewin
Neil Wolfson	Stp Enterprises, Inc.	Lindsay Baxley
Cbiz Mhm, Llc	Heritage Union Life Ins.	David Lanciotti
Brian Moynihan	Life Insurance Concepts	T&s Registered Agents, Llc
Clerk Palm Beach		

ka

Lonny Weissblum

LONN WEISSBLUM, Clerk
Fourth District Court of Appeal



**IN THE DISTRICT COURT OF APPEAL OF THE STATE OF FLORIDA
FOURTH DISTRICT, 1525 PALM BEACH LAKES BLVD., WEST PALM BEACH, FL 33401**

November 01, 2017

CASE NO.: 4D17-1608

L.T. No.: 2012CP004391

ELIOT IVAN BERNSTEIN

v. ESTATE OF SIMON L. BERNSTEIN

Appellant / Petitioner(s)

Appellee / Respondent(s)

BY ORDER OF THE COURT:

ORDERED that appellee's October 9, 2017 motion to dismiss is granted, and the above-styled case is dismissed for lack of prosecution.

GERBER, C.J., LEVINE and FORST, JJ., concur.

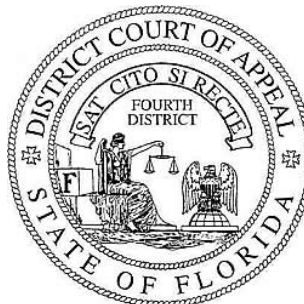
Served:

cc: Lorin Louis Mrachek	Brian M. O'Connell	Mark R. Manceri
Gary R. Shendell	Steven A. Lessne	John P. Morrissey
Kenneth S. Pollock	John Pankauski	Alan Benjamin Rose
Peter Marshall Feaman	Donald R. Tescher	Joielle A. Foglietta
Kimberly Moran	Albert Gortz	Robert Louis Spallina
Steven A. Lessne	Charles D. Rubin	Eliot Ivan Bernstein
Lisa Friedstein	Jill Iantoni	Theodore Stuart Bernstein
Theodore Stuart Bernstein	Pamela Beth Simon	Dennis McNamara
Dennis G. Bedley	James Dimon	William McCabe
Kimberly Moran	Gerald Lewin	Neil Wolfson
STP Enterprises, Inc.	Ralph S. Janvey	Lindsay Baxley
Cbiz Mhm, LLC	T&S Registered Agents	Joseph M. Leccese
Heritage Union Life Ins.	David Lanciotti	Brian Moynihan
Hunt Worth	Byrd "biff" F. Marshall, Jr.	J. I. , A Minor
C. F. , A Minor	M. F. , A Minor	Tescher & Spallina, P. A.
Pankauski Law Firm PLLC	Adr & Mediations Services	Clerk Palm Beach

kh



LONN WEISSBLUM, Clerk
Fourth District Court of Appeal



IN THE FIFTEENTH JUDICIAL CIRCUIT COURT
IN AND FOR PALM BEACH COUNTY, FLORIDA
CASE NO. 50 2012-CP-4391 XXXXNB

IN RE: THE ESTATE OF:
SIMON BERNSTEIN,

Deceased.

_____ /

- - -
MOTION FOR APPROVAL OF SETTLEMENT AGREEMENT
HAD BEFORE THE HONORABLE ROSEMARIE SCHER
- - -

DATE: OCTOBER 19, 2017

TIME: 1:59 - 3:04 P.M.

APPEARING ON BEHALF OF CLAIMANT WILLIAM STANSBURY:

Peter Feaman, Esq.
PETER M. FEAMAN, P.A.
3695 Boynton Beach Boulevard, Suite 9
Boynton Beach, Florida, 33436

APPEARING ON BEHALF OF TRUSTEE TED BERNSTEIN:

Alan B. Rose, Esq.
PAGE, MRACHEK, FITZGERALD & ROSE, P.A.
505 South Flagler Drive, Suite 600
West Palm Beach, Florida 33401

APPEARING ON BEHALF OF PERSONAL REPRESENTATIVE OF
THE ESTATE:

Brian M. O'Connell, Esq.
Ashley Crispin Ackal, Esq.
CIKLIN, LUBITZ & O'CONNELL
515 North Flagler Drive, 20th Floor
West Palm Beach, Florida 33401

ELLIOT BERNSTEIN, Pro Se

- - -

BE IT REMEMBERED, that the following testimony
and proceedings were had in the above-entitled cause
before the Honorable Rosemarie Scher, in Room 4, in
the Palm Beach County Courthouse, City of Palm Beach
Gardens, State of Florida, on Thursday, the 19th day
of October, 2017, to wit:

- - -

I N D E X

WITNESSES:

BRIAN O'CONNELL DIRECT CROSS

By Ms. Crispin	9	
By Mr. Feaman		18
By Mr. Bernstein		24
By Mr. Rose		35

BRIAN O'CONNELL

By Mr. Bernstein	41	
------------------	----	--

JAMES STAMOS

By Ms. Crispin	52	
By Mr. Feaman		55
By Mr. Bernstein		59
By Mr. Rose		62

THE COURT: We have a court call appearance. Let's see. We have Mr. Stamos on court call but we'll call him when we're ready for him to testify.

Appearances for the record, please.

MS. CRISPIN: Your Honor, Ashley Crispin on behalf of Brian O'Connell, the Personal Representative of the Estate of Simon Bernstein.

THE COURT: Thank you.

MR. ROSE: Alan Rose, Your Honor, on behalf of Ted Bernstein as Trustee. The only thing I would -- there might have been another beneficiary that was going to be participating in court call. I'm not sure. They called this morning to see if they could. It was too late so they were checking with court call.

THE COURT: I didn't get a notification but I can call. We'll have to disconnect if it's -- well, generally speaking, we don't have the witnesses listed until we receive a court call but we can call and see if the beneficiary is there. I didn't get a notification though. we have someone else appearing. I'm not sure who that is.

MR. FEAMAN: Peter Feaman on behalf of William Stansbury, Claimant.

THE COURT: Thank you very much.

Mr. Elliot?

MR. BERNSTEIN: Elliot Bernstein, pro se. Your Honor, can I have my wife sit next to me? I have cough syncope and I faint and fall. She's been next to me 24 hours a day for three months. It's a medical condition that I've got.

THE COURT: Yes. That's fine.

MR. BERNSTEIN: It isn't fine.

THE COURT: No. I didn't mean to insinuate your condition was fine at all.

All right. Are we ready to proceed? This is Mr. O'Connell's motion.

MS. CRISPIN: Yes, Your Honor, we're ready to proceed.

MR. BERNSTEIN: Could I ask about your jurisdiction to hear this prior to the hearing or during the hearing?

THE COURT: No. I have jurisdiction. I will announce I have jurisdiction to hear this. So we'll continue. Thank you.

MS. CRISPIN: Your Honor, I'll call Mr.

O'Connell to the stand.

MR. FEAMAN: If it please the Court, I'd just like to put a statement on the record if I could before we actually begin the testimony.

THE COURT: Yes. Mr. O'Connell -- do you mind if he sits there?

MR. FEAMAN: No, not at all.

On behalf of Mr. Stansbury, Your Honor, we just -- even though you have already denied our motion, our amended motion to specially sequence this hearing behind another one, we just want to reiterate our position that this hearing should not go forward at this time until the propriety of Mr. Ted Bernstein's position as successor trustee be determined by the Court one way or the other. I'm mindful that Your Honor has already denied that request but I wanted to put it on the record so there wouldn't be any construction of waiver or anything like that.

THE COURT: Fair enough.

MR. BERNSTEIN: Your Honor, could I put something on the record? We were told that my two adult children were going to be notified of this hearing as necessary parties by Mr. Rose.

They haven't even been notified they're beneficiaries ever, but in court he said he was going to notify them and have them here and they're not here and they're necessary parties to a settlement that's happening that they don't even know about. They haven't been involved, haven't been summoned, nothing served.

THE COURT: If they're adult children, you can't represent them.

MR. BERNSTEIN: I'm not representing them.

THE COURT: No, but you are --

MR. BERNSTEIN: I'm saying they're necessary parties on the hearing.

THE COURT: Mr. Elliot, if you want to say that, that's fine, but you cannot speak on their behalf if they are an adult.

MR. BERNSTEIN: I'm not going to. I'm going to speak about them in the hearing, I think, but they're not here. And, by the way, there's one more point. There's one more point. They have counsel and they've been trying to enter this case now almost for over a year or so, but Mr. Rose is refusing their counsel to give them any of the dispositive

documents or trusts regarding that.

THE COURT: All right. That is so noted. Obviously it's a public court file. They can get the -- I don't have a notice of appearance but --

MR. BERNSTEIN: But she's asking for the full records.

THE COURT: That would be a different hearing. Okay. Are we ready to proceed?

MR. ROSE: Just for the record, I dispute what he just said. The only thing I would just say, just so you know where we stand, my client's position is he's in favor of the settlement. I think Mr. Feaman --

THE COURT: Thank you. I mean thank you for your position.

MR. ROSE: Mr. Feaman, I think his client advised us both on several occasions is taking no position with regard to settlement. The only person objecting is Elliot Bernstein.

THE COURT: Okay. Thank you.

All right. You may proceed.

THEREUPON,

BRIAN M. O'CONNELL, ESQ.,

called as a witness in his behalf, having been first

duly sworn by the Court, in answer to questions propounded, was examined and testified as follows:

MS. CRISPIN: Your Honor, we're here, just so the court reporter has it, we're here on Mr. O'Connell's verified motion for approval of settlement agreement entered in the Illinois federal action. I have another copy for Mr. Bernstein if you need it.

Do you need it?

MR. BERNSTEIN: What is it?

MS. CRISPIN: Another copy of the motion set for today.

Your Honor, I'd also like to approach the witness. I've marked it as Exhibit 1 although it's already in the court file.

THE COURT: Sure. And I have a copy. Thank you.

DIRECT EXAMINATION

BY MS. CRISPIN:

Q Mr. O'Connell, please state your name and your position in this matter.

A Brian O'Connell, and I'm the personal representative of the Estate of Simon Bernstein.

Q And for how long have you been serving?

A At this point since 2014, June of 2014, so

a little over three years, almost three and a half years.

Q And you're currently aware of a pending litigation entitled Simon Bernstein Irrevocable Insurance Trust, et al, vs. Heritage Union Life Insurance Company, correct?

A I'm familiar with that litigation, yes.

Q Okay. For how long have you been familiar with the litigation?

A Pretty much since my appointment.

Q So since June or so of 2014?

A Yes.

Q And has the estate entered an appearance in that litigation?

A It has.

Q And you have counsel in your role as personal representative?

A I do.

Q And who is that?

A James Stamos.

Q And has that always been the counsel that's represented the estate and thus you?

A To my knowledge, yes.

Q And can you just give me generally what the nature of that litigation is?

A That was a dispute over who was the beneficiary of an insurance policy, whether it would be a trust, a free-standing trust that was alleged to be the beneficiary by some of the Bernstein family members, or the default being the estate, probate estate being the beneficiary.

Q Okay. And in the litigation, if you can explain, really there was competing positions by the insurance trust and by the estate?

A Oh, absolutely.

Q And tell me what the position of the insurance trust is to the best of your knowledge as a litigant.

A Well, the trust through the trustee was claiming a hundred percent of the policy proceeds. The estate through myself was claiming we were entitled, the estate was entitled to a hundred percent of the policy proceeds.

Q And to the best of your knowledge, who is the trustee of the irrevocable insurance trust as part of that litigation?

A Ted Bernstein.

Q And other than you, has there ever been a prior fiduciary that appeared in that proceeding on behalf of the estate?

A Ben Brown who was a curator was allowed to intervene in that litigation for some period of time. I don't think it was very long.

Q Now, did there come a time when you had made the decision to explore settlement in the case?

A Correct.

Q And when was that?

A It actually started probably six, eight months ago, the beginnings of discussions, to see if some resolutions could be made. Prior to that, there might have been some isolated talk but nothing real concrete.

Q And can you take a look at what I've marked as Exhibit 1?

A Yes.

Q And is this your motion for approval of the settlement agreement?

A It is.

Q And have you signed it and read the facts that are alleged in the motion?

A I have.

Q And do you believe that they're true to the best of your knowledge?

A I do.

Q Okay. One of the attachments to the

motion is the actual proposed settlement agreement?

A Correct.

Q And you signed that agreement, correct?

A I did.

Q And is it contingent on this Court's approval?

A It is.

Q And as part of your motion, have you asked the Court to go ahead and approve you entering into the settlement agreement?

A I am seeking the Court's approval, yes.

Q Why?

A That's a contingency under the agreement.

Q And why do you believe that the settlement agreement should be approved by this Court?

A Because it's in the best interest of the estate given the nature, extent of the litigation, the cost of litigation, the uncertainties of litigation, that the matter be settled on this basis.

Q Okay. I'm asking you not to draw on attorney-client privilege or work product here because the agreement has not yet been approved, but can you explain at least for the Court monetarily, if you are were looking at this agreement, how it

works out in part an analysis about why this settlement agreement is in the best interest of the estate and its beneficiaries?

A Sure. The way the litigation is posited right now, it's an all-or-nothing situation, as in either the estate gets all of the policy proceeds, about a million, seven hundred thousand dollars, or none of the proceeds. There's no middle ground. There's no way you approach 50 percent or something of that nature.

So when you consider that scenario and you also have to look at the fact that there's cost of litigation, meaning out-of-pocket costs, attorney's fees that would have to be expended, and based on more recent rulings, the fact that Mr. Stansbury no longer has to fund the litigation, that combination of factors along with a summary judgment having been denied, we moved for summary judgment in our favor and that was denied, put the matter into the trial mode, it would have been frankly tried the end of this summer.

So that put it to me in a settlement posture, see what the best that could be done in the way of a settlement, especially considering the fact that we might have had to switch this to a

contingency fee situation which would have, if we were victorious, eaten into the proceeds; of course, if we were successful, we would have had a benefit of not expending any further fees. But it's sort of drawing on that combination of factors. And not that it's an exact midpoint. The settlement was about \$700,000, is the dollar amount, but when you look at it from that standpoint with an all-or-nothing scenario, that was sort of the driver in my thinking at least as to why the settlement was appropriate at this particular time.

Q Okay. Let's talk particularly about if we were operating under an hourly fee arrangement just so we can talk monetarily about how the settlement really works monetarily. So if we were using an hourly fee situation, have you done the, at least rough math to try to determine sort of what this settlement really is worth to the estate?

A Roughly.

Q Okay. And can you share that with the Court?

A Well, you have right now a \$708,000 recovery, in the way of a settlement.

Q Okay. And have you computed sort of what that mathematically is?

A I think it's about 40 percent of the, I think, top value of the claim. If we recovered every dollar, that would represent a 40 percent portion of a hundred percent victory.

Q And other than the \$708,000 that will actually be garnered by the estate, are there any other monetary benefits by virtue of the settlement?

A Payment of some fees.

Q Savings of fees or...?

A Payment of fees being, I guess, eliminated.

Q Okay.

A Which could have been about \$75,000. My counsel had estimated that would be the cost from say the spring going forward through trial.

Q And then you also talked about a contingency situation. Have you evaluated it, had you changed the nature of the representation to a contingency fee agreement, what was the fee that would have been assessed by Mr. Stamos if you went to trial?

A For going to trial, we would have charged 40 percent of what was recovered. So it would bring you down to a net, again, if you won a hundred percent, about a million, one hundred thousand with

the balance going to him towards fees.

Q And that would be a best-day scenario?

A Best day.

Q Now, in an hourly situation, if you didn't settle the case and in fact the estate lost, have you looked at what the ramifications to the estate would be monetarily?

A Yes. There would be two things. You'd be out of pocket, again let's use Mr. Stamos' estimate that there is \$75,000 that would be required by him. Then I would have some fees and costs. Obviously I have to attend the trial. Things of that nature to be involved would have been an extra expense on top of that, could have easily been ten, twelve thousand dollars there.

Q And with respect to your fees, that would have been incurred by the estate whether you won or lost under an hourly or contingency fee arrangement, correct?

A Correct.

MS. CRISPIN: Your Honor, I ask that we be able to admit into evidence the verified motion for approval of settlement agreement as Exhibit 1.

THE COURT: Thank you. So admitted. You

may proceed.

MR. FEAMAN: By the way, Your Honor, by not objecting to the admission, I just want to make it clear to the Court that agreement contemplates a payment to my client, Mr. Stansbury, of a certain amount of money. Mr. Stansbury does not agree that that amount of money is all he would be entitled to.

MR. BERNSTEIN: And I object to the settlement being entered because the parties that are named in there aren't all here.

THE COURT: So noted. So admitted.

MS. CRISPIN: I have nothing further for Mr. O'Connell on direct.

THE COURT: Mr. Rose?

MR. ROSE: No questions.

THE COURT: Mr. Feaman?

MR. FEAMAN: Just a few, Your Honor.

MR. ROSE: Can I reserve, Your Honor?

THE COURT: You may.

CROSS EXAMINATION

BY MR. FEAMAN:

Q Mr. O'Connell, you stated that settlement discussions started about six to eight months ago, is that correct?

A In earnest. Again, prior to that, there had been some general, call them discussions, but things got more serious let's say.

Q Six or eight months ago from today or from when the settlement agreement was signed?

A Probably from when the settlement agreement was entered into.

Q All right. And, in fact, there was a formal mediation by telephone in May of 2017, this year, correct?

A Correct. That was sort of the drive to get it across the finish line.

Q But it didn't settle at the mediation, correct?

A No.

Q But at that point, things began to really ramp up in terms of serious settlement discussions, is that correct?

A That's true.

Q So that in June of 2017, then is it fair to say that you were very close to settling; in fact, since you signed this on July 5th, you probably had an agreement prepared in June for circulation, I would imagine, is that correct?

MR. ROSE: Objection, relevance.

MS. CRISPIN: Objection, relevance.

THE COURT: Sustained.

MR. FEAMAN: The relevance is I'm laying a predicate for when we come back for fees, Your Honor.

THE COURT: It's not relevant for today though.

BY MR. FEAMAN:

Q With regard to those settlement negotiations, Mr. Stansbury in the May, June time frame, he was not involved in the negotiations, correct?

A Not to my knowledge.

Q And, in fact, to your knowledge, I was not involved, correct?

A I don't believe you were, sir.

Q And to your knowledge, nobody from my office was involved, correct?

A I don't recall anyone from your office being involved.

Q Okay. And you mentioned Ben Brown was the first one that intervened, he was allowed by the Court. Do you recall that that was actually at the behest of Mr. Stansbury's motion, is that correct?

MR. ROSE: Objection, relevance to the

issues today.

THE COURT: Sustained. We're just approving the settlement.

THE WITNESS: Mr. Feaman, I just want -- with regard to some of the questions about your firm's involvement, you and I had discussions as the case was evolving about there might be a settlement and some generalities like that. So I wanted to give a hundred percent. To distinguish, you weren't physically say on the phone or attending an in-person mediation but I know you were --

BY MR. FEAMAN:

Q But we were never involved in discussing numbers, were we?

A Not specific numbers, I don't recall that. Just more we were trying to settle it, here's what was transpiring with the case, and I know Mr. Stansbury had some conversation with Mr. Stamos.

Q Okay. Now, the settlement negotiations, when they were in earnest in May and June, was Mr. Rose involved in those?

A I think he was to some extent and I have to answer it that way because the telephone mediation was a mediation literally where the

mediator would call one side and then call the other side. It wasn't -- just to sketch it for the Court, it wasn't like an en masse mediation with everyone present at the same time. So I have to be a little cautious as to exactly who was involved in that.

Q That's fine. And who was Mr. Rose representing?

A I'm not sure.

MR. ROSE: Objection as to relevance.

THE COURT: Mr. Feaman, do you not want me to approve? Because I thought you weren't taking a position. I'm losing why we're talking about this now.

MR. FEAMAN: Well, we previously raised the issue of conflict, Your Honor.

THE COURT: Yes, and I denied the order and we're here today and you said you're not taking a position on approval of the settlement.

MR. FEAMAN: Not on the merits of the --

THE COURT: Yes, so that will discontinue the questions.

MR. FEAMAN: I don't think we're in a position to comment on the merits one way or the other not having been involved in the

litigation directly other than causing it to happen.

THE COURT: Exactly. So for purposes of today, I ask that you stay on point.

MR. FEAMAN: Okay. Thank you.

BY MR. FEAMAN:

Q Do you have an opinion as to the probability of success by the estate if the case were to go to trial?

MS. CRISPIN: To the extent it calls for attorney-client privilege or work product, I'd object and instruct you not to answer.

THE WITNESS: I would have to draw on some privileged information, Your Honor, from counsel here.

MS. CRISPIN: He asked for analysis.

THE WITNESS: I can try to answer it on my own.

MS. CRISPIN: I wouldn't have a problem with that.

THE COURT: Answer what you can without drawing on any privilege.

THE WITNESS: Sure.

A I think it was a good case as in the probabilities were more in favor of the estate, but

nothing being a hundred percent in light, again, of what I mentioned before. Of course, when we had summary judgment denied, obviously that makes it more of a horse race than it would be if summary judgment were granted, case over. But just to kind of sketch that out for you, it was certainly a meritorious case that was worth pursuing, ergo I did.

MR. FEAMAN: Thank you.

THE COURT: Mr. Elliot?

MR. BERNSTEIN: Your Honor, can I stay here? Just so I don't fall up there.

THE COURT: Absolutely.

MR. BERNSTEIN: Thank you.

CROSS EXAMINATION

BY MR. BERNSTEIN:

Q Mr. O'Connell, your pleading today states that you entered the settlement with Ted Bernstein as trustee of a 1995 trust. Are you in possession of that trust?

MR. ROSE: Objection, relevance.

THE COURT: Overruled. Go ahead.

A Not an original, to be specific.

BY MR. BERNSTEIN:

Q Excuse me?

A I don't have an original of that trust.

Q Do you have an executed copy?

A I don't.

Q So you've never seen the trust. How do you know Ted Bernstein is the trustee of that trust then?

A Because that was the claim that they were making.

Q Okay. And are you aware that Judge Blakey in the Illinois case which is hearing this matter properly in the Federal Court has determined that that trust hasn't been proven and it's one of the reasons summary judgment was denied?

A I don't have the summary judgment in front of me. When you're saying proven, I'm a little uncertain about --

MR. BERNSTEIN: I'd like to enter that summary judgment as evidence, please.

MS. CRISPIN: I haven't seen it.

MR. BERNSTEIN: Anybody else need it?

There is two of them. Can somebody give Brian the copy I gave, maybe his attorney for Brian as a witness?

THE COURT: No. His attorney right now is reviewing it. Do you have an extra copy for

Mr. O'Connell?

MR. BERNSTEIN: If I don't give one to the judge.

THE COURT: You're supposed to bring one for everybody.

MR. BERNSTEIN: I didn't know how many people were here.

THE BAILIFF: These are the extra copies.

MR. BERNSTEIN: So here's one for the judge and I need one.

THE COURT: Mr. Elliot, be mindful of your time. I'm keeping track of how long everybody has spoken. So you have about four more minutes.

MR. BERNSTEIN: What?

THE COURT: Yes, you have about four more minutes with this witness. Go ahead, ask your question.

MR. BERNSTEIN: Okay. He needs one of these too. That's the second summary judgment. Do you need it?

THE COURT: I don't know what it is.

MR. BERNSTEIN: It's a summary judgment in the Illinois court.

THE COURT: Thank you.

BY MR. BERNSTEIN:

Q Have you seen this document?

A In the past, yes.

Q And are you aware that in the second summary judgment -- in the first summary judgment, I'm a party to the action and in the second one, I'm dismissed from the complaint based on the fact that I'm not a beneficiary with standing in my father's estate?

MR. ROSE: Objection, relevance to today.

MR. BERNSTEIN: It's all going to be relevant to today's settlement.

BY MR. BERNSTEIN:

Q Judge Blakey in this, if you go to the first order --

THE COURT: He's disputing the settlement so he gets to talk about --

BY MR. BERNSTEIN:

Q The date is on the top, 3-15-16.

A I see it, yes.

Q Do you see on Page 4, the last two paragraphs, can you read that?

A Does that start, while the above sources?

Q Right.

A While the above sources do provide some

evidence that the trust was created --

Q Which trust, the 1995 trust?

A The '95 trust.

Q Okay. Just to be clear.

A That evidence is far from dispositive of the issue. In fact, the intervenor has presented argument and evidence casting material doubt on whether, one, the trust was actually created and, two, the terms of the trust are as explained by the plaintiffs.

Want me to keep going?

Q Well, let me ask you a real quick question. Are you the intervenor?

A No.

Q You're not?

A The estate is, not me.

Q So you're representing the estate?

A Yes, me as personal representative, not me individually. That's what I thought you were asking.

Q So, in fact, the estate has made the argument that this trust does not exist?

A Correct.

Q And there are no terms that are applicable, so how can you be saying that you know

that Ted is the trustee?

A I'm saying Ted claims to be the trustee.

Q No. In your pleading, you said you entered into the settlement with Ted Bernstein as trustee, a factual assertion, that he was trustee of a trust, but yet now you're stating there there is no trust and you're not sure of the terms and one of those terms would be Ted Bernstein, is that correct?

MR. ROSE: Objection --

THE COURT: Hold on. You know the rules if I hear an objection. Mr. Rose?

MR. ROSE: Objection, argumentative.

MS. CRISPIN: Join.

THE COURT: Sustained.

BY MR. BERNSTEIN:

Q Okay. Did you argue that the trust was actually created?

A Did the estate argue that it was created?

Q Yes.

A In the summary judgment or in the case?

Q These are -- this is from the intervenor stating that the trust wasn't actually created.

A That was the legal position we took, ergo there was a dispute.

Q And you took the assertion that the terms

of the trust are just as what was explained by the plaintiffs, not the trust because you don't know the terms because we don't have a valid copy, correct?

A The position that the estate took is what's set forth in Judge Blakey's order, correct.

Q Okay. And then read Judge Blakey's next statement.

THE COURT: I'm just reminding you that you have about three more minutes.

MR. BERNSTEIN: Well, I need some more time, Your Honor. This is going to take a long time.

THE COURT: Well, it's going to take till 2:30 as this was set for an hour and giving equal time. So you can keep on moving and ask a question.

MR. BERNSTEIN: Where does it say it was set for an hour? I thought it was until five.

THE COURT: I believe I was asked by Mr. Rose on the phone the other day and I said you have an hour reserved.

MR. BERNSTEIN: You never told us that.

THE COURT: Well, I'm telling you now.

MR. BERNSTEIN: This is going to take me hours.

THE COURT: Well, sorry about that. Ask the next question.

MR. BERNSTEIN: This is a serious settlement.

THE COURT: Would you rather take the time arguing with the Court or --

MR. BERNSTEIN: Well, can we get it extended?

THE COURT: No. Ask your next question.

MR. BERNSTEIN: Okay. I'll ask my next question.

BY MR. BERNSTEIN:

Q Can you read the next sentence?

A However -- there?

Q No. The results and timing of the plaintiff's search for the trust.

A The results and timing of the plaintiff's search for the trust raises doubts about their version of events. The plaintiffs claim that David Simon found a hard copy and electronic version of the trust in his office. David Simon has offered testimony here that he aided Simon Bernstein in creating the trust and that he kept both versions of the unexecuted trust.

Keep going?

Q No, that's good. And the missing trust was one of Judge Blakey's reasons for denying summary judgment, those are still issues of fact, if there is a trust, if Ted's the trustee, correct?

A The order speaks for itself.

Q Correct. So it's not been determined Ted Bernstein is a trustee of any trust because nobody has a copy, correct?

A In connection with this proceeding, the summary judgment?

Q In connection with this proceeding. Ted Bernstein hasn't been determined to be the trustee of the '95 trust that you are entering into settlement with because nobody has the trust, correct?

A Well, Ted Bernstein claims to be the trustee of the 1995 trust --

Q Before you entered into settlement --

THE COURT: Let him finish.

A -- and this settlement resolves the litigation over -- the entire litigation, who gets the proceeds, how much of the proceeds, how they're split between the defendant and the plaintiff.

Q So you haven't verified that Ted Bernstein is the trustee that you're entering into the

settlement?

A There's no way to verify whether Ted Bernstein is the trustee of the trust. We reached a settlement because of the doubt as to whether the trust existed or not, who was the trustee, so that journey is over. That's why you settle cases.

Q I'm sorry, you entered in this pleading that you settled with Ted Bernstein who is trustee, a factual assertion, of a 1995 trust. Are you stating that again today here?

A It's not my factual assertion. I think that's the problem we're having, Mr. Elliot.

Q Well, the heading in your pleading, you start out with, This settlement was entered into between Brian O'Connell, PR of the estate, and Ted Bernstein, trustee of a 1995 trust.

A That's true, because that's the capacity that he was seeking relief from the District Court under.

Q Okay. And I've got some other questions real quick. Am I beneficiary of my father's estate with standing?

MR. ROSE: Objection, calls for a legal conclusion.

MR. BERNSTEIN: He's the PR of the estate.

MR. ROSE: It's already been --

THE COURT: Overruled. You can answer the question.

A Are you a beneficiary of the tangible personal property of the estate? Yes.

BY MR. BERNSTEIN:

Q Okay. So I'm a beneficiary of the estate with standing?

THE COURT: Of tangible personal property.

BY MR. BERNSTEIN:

Q Whatever property, I'm a beneficiary, correct?

A You're a beneficiary of the tangible personal property.

THE COURT: Last question.

MR. BERNSTEIN: I need to finish --

THE COURT: No. Last question,

Mr. Elliot.

MR. BERNSTEIN: This is just --

THE COURT: I'm sorry. What was that?

MR. BERNSTEIN: I'm rushing through.

THE COURT: Okay. Last question.

BY MR. BERNSTEIN:

Q Mr. O'Connell, are you aware that Judge Blakey dismissed me on summary judgment claiming

that I was not a beneficiary of my father's estate with standing?

A I recall your being dismissed but I'd have to review the --

Q Go ahead. It's right there.

MR. BERNSTEIN: It's the bigger thicker judgment, Your Honor, for your edification.

MR. ROSE: I object to relevance.

THE COURT: Sustained. Okay. Redirect?

MR. BERNSTEIN: Your Honor, what just happened? I'm a little slow.

THE COURT: I sustained the objection. Okay. Mr. Rose?

CROSS EXAMINATION

BY MR. ROSE:

Q Mr. O'Connell, is it fair to say that Judge Blakey also denied the estate's motion for summary judgment?

A He did.

Q The first motion for summary judgment was filed by the Illinois plaintiff, this insurance trust, correct?

A Correct.

Q And that was denied?

A Correct.

Q And on the strength of that, the estate moved for summary judgment, correct?

A And that was denied.

Q And part of the evidence that was submitted contrary to your claim was an affidavit of Mr. Spallina?

A Correct.

Q And it's Mr. Spallina's testimony, if it was believed, that Simon Bernstein discussed the terms of the 1995 insurance trust and Simon Bernstein intended that trust to give all the money, correct?

A That was his testimony per his affidavit.

Q And if you take the litigation all the way to the end, there's a chance that you would lose and end up with nothing?

A There's always that chance; hence we settled.

Q If Mr. Spallina's affidavit is believed by the judge, that would be strong evidence against your position?

A It would be and that would be one of the key points, is that believable or not.

Q And if you hire Mr. Stamos at a 40 percent contingency, my math on a million seven says that

the fee is going to be about \$680,000?

A Correct.

Q A million dollars minus 680, \$700,000 fee and some costs, I assume, your best case is a million?

A Under a contingency arrangement, that's the math I did too.

Q Because someone has to pay for you, Mr. O'Connell's time to fly to Chicago, sit through a trial, however long it takes, to interact with Mr. Stamos?

A Correct.

Q And you still have to pay back Mr. Stansbury for whatever he's incurred?

A Yes.

Q And in your view, the settlement is in the best interest taking everything into account including all the questions you were asked by all the parties?

A Yes.

MR. ROSE: Nothing further.

MR. BERNSTEIN: Can I ask more after that?

THE COURT: No. It goes back to Ms.

Crispin.

MR. BERNSTEIN: Do I get another shot at

that?

THE COURT: No.

MS. CRISPIN: I have nothing further for this witness.

THE COURT: Okay. You may step down. Everybody has a copy of the proposed settlement, correct, the motion?

Mr. Elliot, did you want these two orders in evidence? You didn't actually --

MR. BERNSTEIN: I do.

THE COURT: I will mark them as a composite exhibit for you.

MR. BERNSTEIN: Thank you. So that would be 1?

THE COURT: Elliot's Composite Exhibit 1.

MR. BERNSTEIN: Okay. Thank you.

THE COURT: You're welcome.

All right. Next witness?

MS. CRISPIN: Mr. Stamos, please.

THE COURT: All right. Let me call.

Mr. Stamos? Hello?

MR. SIMON: This is Adam Simon.

THE COURT: All right.

MR. ROSE: I believe he's one of the counsel in --

THE COURT: I don't know.

MS. CRISPIN: That's not Mr. Stamos.

THE COURT: I know. Is Mr. Stamos available? He's not on court call. Is anyone calling Mr. Simon?

MR. SIMON: Mr. Simon is on the phone.

THE COURT: I know. I'm not sure why.

MR. ROSE: I think he's counsel of record in the Illinois case for the trust.

MR. SIMON: I'm just listening.

MR. BERNSTEIN: And I might want to ask him questions since he's there.

MS. CRISPIN: Judge, can I use my phone to call?

THE COURT: Yes.

Go ahead. Ask some questions, Mr. Bernstein.

Do you have a notary public there? Did you arrange to have a notary public for him if you wish to call him as a witness?

MR. BERNSTEIN: I'm not his lawyer.

THE COURT: I know, but if you wish to call a witness by telephone, you need to arrange that they have a notary public so they can be sworn in.

MR. BERNSTEIN: He's the counsel.

THE COURT: I know, but he still needs a notary public because he's not in front of me to swear him in.

MR. BERNSTEIN: So, no. I didn't know that he was going to be here.

THE COURT: All right. Next witness, Ms. Crispin? Oh, you're on the phone. Sorry.

MS. CRISPIN: Your Honor, I don't have anyone after Mr. Stamos.

THE COURT: Any witnesses, Mr. Rose?

MR. ROSE: No.

THE COURT: Any witnesses, Mr. Feaman?

MR. FEAMAN: No, Your Honor.

THE COURT: Call your first witness, Mr. Elliot.

MR. BERNSTEIN: I'm waiting for Mr. Stamos.

THE COURT: No. We're waiting and for court efficiency, call your first witness.

MR. BERNSTEIN: Brian O'Connell.

THE COURT: You can call him for about eight minutes.

MR. O'CONNELL: He's calling in now, Your Honor.

THE COURT: All right. He'll call in to court call. In the meantime, go ahead and get back on the stand. I told him he has about eight minutes and we'll have Mr. Stamos -- if you're on the phone with Mr. Stamos, you can tell him to be ready by ten to three.

MS. CRISPIN: Okay.

(Mr. O'Connell resumed the stand.)

THE COURT: You're still under oath. Go ahead. It's all you.

DIRECT EXAMINATION

BY MR. BERNSTEIN:

Q Are you aware of a 2000 insurance trust that was executed that the policy in question has been assigned to in the year 2000?

MS. CRISPIN: Asked and answered.

THE COURT: Sustained. You already asked him that.

MR. BERNSTEIN: No, a 2000 insurance policy.

THE COURT: Oh, overruled. Thank you.

BY MR. BERNSTEIN:

Q That supersedes a 1995 trust?

A You'd have to show me a document.

Q Okay. Here.

MR. STAMOS: Hello?

THE COURT: Mr. Stamos?

MR. STAMOS: Yes, ma'am.

THE COURT: Okay. This is the judge. I'm going to ask you to just hang on while we complete the testimony of another witness.

MR. STAMOS: Okay. How long will that be, how long do you think?

THE COURT: About eight minutes.

MR. STAMOS: All right. I will step away from my desk for five minutes and I'll pick up then, okay?

THE COURT: Sounds good.

MR. STAMOS: Thank you.

BY MR. BERNSTEIN:

Q Mr. O'Connell, have you seen that trust before?

A Sitting here today, I don't recall it but it's possible in the volume of documents in this case that I could have, but I couldn't tell you definitively.

Q Do you notice that it's Bates stamped by Tescher & Spallina, the former attorneys who committed forgery and fraud in this matter that you replaced and those documents were transferred to you

by Ben Brown and you actually argued -- can you answer that question?

A I see Bates stamps at the bottom.

Q So these would be part of your record, correct?

A I'm not sure. I'd have to look on my record to be sure.

Q And you're aware that the state has argued in Illinois Federal Court that this 2000 trust supercedes the '95 trust, thereby rendering it moot, the '95 trust you're entering into settlement with, is that correct?

A I'd have to see some more documents. If you're talking about -- has there been something in writing submitted taking that position?

Q Yeah. Your summary judgment arguments rely on this 2000 trust superseding -- in that 2000 trust, can you read from Page 1, the trust, the first paragraph and the Number 1?

MR. ROSE: Objection. The document is not in evidence, hearsay.

THE COURT: Sustained.

MR. BERNSTEIN: Can I submit it as evidence?

THE COURT: Objections?

MR. ROSE: Authenticity.

THE COURT: Sustained.

MR. BERNSTEIN: It's Bates stamped.

THE COURT: It doesn't matter. Sustained.

MR. BERNSTEIN: It's been submitted into
the record.

THE COURT: Sustained.

MR. BERNSTEIN: We can't enter this?

THE COURT: No. I sustained the
objection. It's an evidentiary objection.

MR. BERNSTEIN: Okay. Am I allowed to ask
him questions about this document?

THE COURT: If you ask a question and
there's an objection, I'll entertain it. I
can't tell you how to proceed.

MR. BERNSTEIN: Okay.

BY MR. BERNSTEIN:

Q Can you read the first paragraph and
Number 1 of that document?

MR. ROSE: Objection, hearsay. The
document is not in evidence.

THE COURT: Sustained.

MR. BERNSTEIN: Okay.

BY MR. BERNSTEIN:

Q You argued in Illinois in the federal

action on behalf of the estate that this 2000 document superseded the 1995 trust?

MS. CRISPIN: Asked and answered. He said he needed further documentation to see it in writing.

THE COURT: Sustained.

BY MR. BERNSTEIN:

Q In a recent similar case to this with allegations of fraud in the Bivens case, are you aware of the Oliver Bivens case?

MR. ROSE: Objection, relevance, materiality.

THE COURT: Sustained.

BY MR. BERNSTEIN:

Q Have you been charged with breach of fiduciary duties and negligence recently and found guilty by a jury of your peers in a federal court?

MR. ROSE: Objection, relevance.

MS. CRISPIN: Argumentative.

THE COURT: I have to overrule those objections because it would go to bias.

MS. CRISPIN: Your Honor, he used the word charged. That was my problem for the argumentative.

THE COURT: Okay. With regard to the word

charged, sustained.

BY MR. BERNSTEIN:

Q Is there a verdict that claims you breached fiduciary duties and negligence in the handling of an estate?

A There was a verdict but the matter has been settled and the case has been dismissed with prejudice pursuant to a confidential settlement.

Q Who was your attorney in that settlement?

A Wicker, Smith.

Q Was it Alan Rose?

A Alan Rose came in after the verdict to represent the law firm while Ms. Crispin and I were represented by the Wicker, Smith firm as we had been from the inception of the case.

Q So the verdict stood?

A No.

MR. STAMOS: Hello ?

THE COURT: Hang out for me, Mr. Stamos.

BY MR. BERNSTEIN:

Q So there was a jury verdict that you had breached and committed negligence with Ashley Crispin, correct?

MR. ROSE: Objection, relevance and repetitive.

THE COURT: Sustained.

MR. BERNSTEIN: By the way, Your Honor, something strange here has occurred. Mr. Rose is O'Connell's counsel.

THE COURT: Excuse me. Do you have a question for this witness? You have one question left.

BY MR. BERNSTEIN:

Q If there is a 2000 trust, would it not be a necessary party to any settlement if it deals with the same insurance policy?

A I'm not aware that that trust exists, the 2000 trust exists.

Q If it exists? Since I can't enter it into evidence.

A I'd have to review the documents to make sure.

Q But after you reviewed them, if you found that it existed, would it be a necessary part to any settlement?

MR. ROSE: Objection, calls for a legal conclusion and the facts are that trust and no trustee has intervened or sought to do anything in the Illinois case so it's an irrelevant question.

MR. BERNSTEIN: Your Honor, that's really relevant because the reason this trust is suppressed is because my sister, Pam Scott -- I'd like to enter another piece of evidence where they discussed suppressing this and hiding it from the court.

THE COURT: Sustained. Last question.

BY MR. BERNSTEIN:

Q When you found out that I was a beneficiary of my father's estate and Judge Blakey removed me on summary judgment claiming that I was not a beneficiary based on res judicata from this court, when you found out again and admitted in court at the first hearing that I attended with Judge Scher here in the courtroom that I was a beneficiary, did you notify the federal court that I was a beneficiary with standing in my dad's estate?

MR. ROSE: Objection, relevance, argumentative, and I think these issues are the ones that were decided by the federal judge in Illinois.

MS. CRISPIN: Objection, compound.

THE COURT: I'll let him answer the question. He either did or he didn't.

A I guess to answer your question, I'd have

to go back and review your intervention and review the order and --

BY MR. BERNSTEIN:

Q The order is there.

A It would take some time to do it to say whether that would be --

Q Well, let me ask you a question.

THE COURT: No, that was it.

MR. BERNSTEIN: It's the same question.

THE COURT: Then it's been asked and answered.

MR. BERNSTEIN: Well, let me help him answer what he said, Your Honor. Would that be okay?

THE COURT: That would be okay.

BY MR. BERNSTEIN:

Q The question is, after a review, if you found that I was a beneficiary with standing in the estate and the Illinois court was under the impression that I was not and had dismissed me, would I need to be reinstated as a party in that action who would be a party to this settlement?

A That would be between you and the Illinois federal court using that hypothetical.

THE COURT: Okay. That about does it for

that. Follow up, Ms. Crispin?

MS. CRISPIN: None.

THE COURT: You may step down,
Mr. O'Connell.

We're ready to proceed. Do you have a
notary public there with you, Mr. Stamos?

MR. STAMOS: Yes. It will just take one
second, Your Honor.

THE COURT: Thank you.

MR. STAMOS: She's present. Okay. Shall
we begin?

THE COURT: May I speak with the notary,
please?

MR. STAMOS: Yes.

MS. VASQUEZ: I'm here.

THE COURT: Hello. This is Judge
Rosemarie Scher. What is your name, ma'am?

MS. VASQUEZ: My name Denise Vasquez.

THE COURT: Are you a notary public in the
State of Illinois?

MS. VASQUEZ: Yes, I am.

THE COURT: When does your commission
expire?

MS. VASQUEZ: October 31st, 2021.

THE COURT: In Illinois, do you have a

number? Do you have a commission number?

MS. VASQUEZ: No.

THE COURT: In Florida we do. That's the only reason I'm asking.

All right. Do you know the gentleman in front of you?

MS. VASQUEZ: Yes, I do.

THE COURT: Do you know him personally or has he produced identification?

MS. VASQUEZ: Personally.

THE COURT: All right. Who is the gentleman in front of you?

MS. VASQUEZ: James Stamos.

THE COURT: All right. Would you please ask him to raise his right hand?

MS. VASQUEZ: Raise your right hand.

THE COURT: And swear or affirm to tell the truth?

MS. VASQUEZ: Do you swear or affirm to tell the truth?

MR. STAMOS: Yes, I do.

THE COURT: Excellent. Ms. Vasquez, thank you so much for serving the Court.

Mr. Stamos, you are on. Ms. Crispin will begin her questioning.

MR. STAMOS: Thank you.

DIRECT EXAMINATION

BY MS. CRISPIN:

Q Mr. Stamos, can you hear me?

A I can.

Q This is Ashley Crispin. We've met before.
I represent Brian O'Connell. We share a client.

A Yes.

Q And I'm going to be asking you some
questions. Your full name, please?

A James J. Stamos. Middle name is John.

Q And you currently represent who in the
pending litigation Simon Bernstein Irrevocable
Insurance Trust, et al, vs. Heritage Union Life
Insurance Company, et al?

A I represent the estate.

Q And currently the fiduciary position is
held by Mr. O'Connell as personal representative,
correct?

A That's my understanding.

Q And how long have you been representing
the estate in this litigation?

A Since 2015, if I'm correct. I think it
was the summer of 2015.

Q And your primary area of practice?

A I'm a litigator. I do principally professional liability defense as well as commercial litigation.

Q And you're aware of the settlement agreement that was reached between the parties in this matter, correct?

A Yes, I am.

Q And you reviewed the settlement agreement before it was executed by Mr. O'Connell, correct?

A Yes. I think I might have suggested some changes.

Q But you reviewed the final version before Mr. O'Connell executed it, correct?

A Yes, I did.

Q And it's contingent on this Court, meaning the Probate Court in Palm Beach County's approval, correct?

A That's my understanding.

Q Now, without drawing on your attorney-client communications with Mr. O'Connell, are you able to give the Court an analysis of the settlement?

A I think I can without breaching confidentiality.

Q Okay. Can you do that, please?

A Let me ask you something. Tell me exactly what you'd like me to talk about. I'm not sure whether you want me to talk about whether it's reasonable or its terms.

Q Exactly, if it's reasonable. The Court has the terms in front of it so now we're just talking about whether or not it was a reasonable settlement.

A Yes. I think it is reasonable. I base that on, and I don't think this is an attorney-client or work product assessment, I base it on a number of factors. The first being that I believe that it's a case that we would be able to win, that we should be able to win, but I thought that there were a number of issues that could make that challenging. One was that the Court had not granted summary judgment for us when I thought the Court should have which made me think that perhaps his view of the facts would be slightly different than our view of the facts.

I also thought that our winning the case was really going to come down to a credibility question and while I thought we had a much better credibility argument, nonetheless the judge was going to have to look at the witnesses and make

decisions about whether he was going to believe the witnesses for the plaintiff in terms of why they thought the trust was -- frankly why they thought the trust existed and was entitled to money. And I thought the fact that there were basically the same people on both sides, I mean I realize they're different, they're the parents and they're the kids, might make it less certain that the judge would be as precise as he might otherwise be in deciding exactly who should win.

I thought that in light of the fact that if we lost, the estate would have no money from the trust and I thought the estate probably would want to have some money, that a compromise of this nature was reasonable.

MS. CRISPIN: Nothing further.

THE COURT: Questions?

MR. ROSE: I'll reserve. For now I don't have any questions.

THE COURT: Mr. Feaman?

CROSS EXAMINATION

BY MR. FEAMAN:

Q Mr. Stamos, this is Peter Feaman. Do you recall that I represent Bill Stansbury?

A I do. I recall that well.

Q Do you recall that it was our office that first brought you into the case?

MR. ROSE: Objection, relevance.

THE COURT: Sustained.

BY MR. FEAMAN:

Q Mr. Stamos, you determined early on in your representation of the estate that the estate had a very meritorious claim, didn't you?

A Yes, I did.

Q And there was a telephonic mediation in May. Did you attend?

A I did.

Q And who attended at that mediation?

MR. ROSE: Objection for the same reasons. You limited his questioning since he has no position.

THE COURT: Sustained.

BY MR. FEAMAN:

Q And did that get the ball rolling in earnest towards settlement?

MR. ROSE: Same objection.

MS. CRISPIN: And to the extent it calls for confidential mediation.

THE COURT: Sustained.

BY MR. FEAMAN:

Q Did the most serious settlement discussions take place in June of this year?

MR. ROSE: Same objection.

THE COURT: Sustained. I don't see the relevance to this hearing.

BY MR. FEAMAN:

Q Do you recall whether I was involved at all in those settlement discussions?

MR. ROSE: Same objection.

THE COURT: What is the relevance for this hearing, Mr. Feaman?

MR. FEAMAN: For this hearing?

THE COURT: For this hearing.

MR. FEAMAN: As to whether -- while we're taking no position, I want to set the record that we were not involved.

THE COURT: Okay. You've already done that. Thank you. Any other questions?

BY MR. FEAMAN:

Q Was Ted Bernstein involved in the settlement discussions as the plaintiff in the Chicago litigation or as the trustee for the trust as the only monetary beneficiary of this estate?

MR. ROSE: Same objection. It sounds like

it's a question leading toward a position.

THE COURT: Could you ask the question again, Mr. Feaman?

BY MR. FEAMAN:

Q Was Ted Bernstein involved in settlement negotiations as a plaintiff in the Chicago litigation that you're counsel involved in or as trustee for the trust that's the only monetary beneficiary of this estate?

THE COURT: I am sustaining the objection because, again, you've taken no position in approving the settlement and I know this goes to another issue you have that's not in front of the Court today.

MR. BERNSTEIN: Can I ask that same question?

THE COURT: No, you can't. It's not in front of the Court today.

BY MR. FEAMAN:

Q My last question, Mr. Stamos, is do you have an opinion as to what the probability of success by the estate would have been if you had gone to trial?

A Well, my judgment was that we were likely to win the case. I felt that we were likely to win

the case with the caveat that I described earlier.

MR. FEAMAN: Thank you. No further questions.

THE COURT: Mr. Elliot?

CROSS EXAMINATION

BY MR. BERNSTEIN:

Q Hi, Mr. Stamos. Has Judge Blakey adjudicated this settlement yet?

A Not -- candidly, I don't recall the exact procedural posture at this moment. I know it's been brought before him, I know he's aware that this hearing has to take place. As to what he has ruled on it, I don't recall where it stands with him.

Q Okay. Was I, Elliot Bernstein, at any settlement negotiations you're aware of?

A I don't know the answer to that.

Q Okay. Is it claimed that I'm a beneficiary of the insurance policy?

A I'm sorry, state that again. I couldn't hear you.

Q Is it claimed by the plaintiffs that I'm a beneficiary of the insurance policy?

A That wasn't how I understood the claim. I understood that they were attempting to prove that a particular trust was the beneficiary of the

insurance policy.

Q Okay. Have you ever seen that particular trust, an executed copy of the 1995 trust that's at the heart of this?

A No.

Q Okay. So then would you be able to determine in this settlement that Ted Bernstein is the trustee of the '95 trust?

A I don't know the answer to that question.

Q Did you depose Ted Bernstein on these very questions in the Illinois litigation?

A Yeah. The position, as I understand it, was that the trust -- there was no evidence that the trust was ever executed and there was no clarity because there were a couple of drafts that were being presented as being exemplars of what the trust was supposed to accomplish. But my recollection is there's an inconsistency as to who the trustee would be. I never saw any document that assigned anyone as the trustee because I never saw an executed document.

Q So then it couldn't be certain that Ted Bernstein is the trustee of the trust that nobody knows exists?

MR. ROSE: Objection, relevancy, not

before the Court today.

A Our position was that there was no trust.

BY MR. BERNSTEIN:

Q Okay. And you understand that this settlement is being entered into between the estate and Ted Bernstein as trustee in fact of the 1995 trust?

A My understanding is that is a function of the fact that we are compromising and one of the compromises is to make that recognition, so it's a compromise of a factual issue.

THE COURT: All right. We need to wrap this up. One last question.

BY MR. BERNSTEIN:

Q Mr. Stamos, are you aware of the 2000 insurance trust that this policy was assigned to?

A I recall there being a trust that was entitled a 2000 trust. I have to tell you I'm a little hazy as I'm sitting here as to what exactly the function it had in the case. I know that it was never promoted by anyone as a trust that was entitled to the funds from the policy.

THE COURT: Last question. That was it.

MR. ROSE: May I have my one question?

THE COURT: Yes.

CROSS EXAMINATION

BY MR. ROSE:

Q Mr. Stamos, are you aware that the documents that existed in the office of the insurance company that issued this policy continuously reflected the sole contingent beneficiary being this 1995 life insurance trust?

A I'm sorry, who's asking the question just so I know?

Q Alan Rose.

A Mr. Rose, if you're asking what was in the records of the issuing company, candidly I don't recall. I remember there was some changes, a beneficiary change form as to who it was ultimately. I just don't remember. I'm just blanking as to what actually was contained in the file.

MR. ROSE: Nothing further, Your Honor.

THE COURT: All right. Did you all give me the original -- I don't think so -- of the verified motion for approval of settlement? I'm just making sure I don't have an original here. It's double sided pages so I don't think so.

MS. CRISPIN: I don't believe so, Your Honor.

THE COURT: I don't believe so either.
I'm just making sure. All right. Any other
witnesses, Ms. Crispin?

MR. STAMOS: Am I excused, Your Honor?

THE COURT: Yes, you are excused. Thank
you very much, Mr. Stamos. I'm disconnecting
you.

MR. BERNSTEIN: Can I call him as a
witness?

THE COURT: No. The hearing is ending.

MR. BERNSTEIN: I didn't get a chance --
it's ending now?

THE COURT: It is.

MR. BERNSTEIN: Okey dokey.

THE COURT: Do you have a proposed order?

MS. CRISPIN: Your Honor, I have a blank
order here. I can fill it out here or I can
hand Your Honor the blank one.

THE COURT: Okay. Thank you. I'll take
the blank one. Thank you very much.

MS. CRISPIN: Your Honor, I'm just going
to hand one copy because I know Your Honor will
furnish it via email.

THE COURT: Absolutely. All right,
everyone. I have as our next hearing

November 15th. I'm just saying just for the record.

MR. FEAMAN: My office gave me an order setting a hearing for November 9th at 1:30.

THE COURT: Which hearing is that? Isn't that the hearing I denied already?

MR. FEAMAN: No. It's on Mr. Stansbury's request for court intervention under Florida Statute 736.0706 filed back on February 15th of 2017, and in communications of my paralegal with your assistant, apparently it gave rise to her preparing an order setting that hearing for November 9th. She created it and gave it to me to confirm that there's a hearing on that date.

THE COURT: No, and you know what?

MR. FEAMAN: I didn't have any conversation with your office.

THE COURT: I understand that and actually it's not a complete shock to me. That's why I asked that. I need to look at that. My assistant is out for six weeks. So if you will hand me that, I need to look at that because in my world, I didn't think that was an issue.

MR. ROSE: Just for the record, Your Honor, this is the motion where he's asking

you --

THE COURT: I thought I denied it. I thought I entered an order denying it.

MR. ROSE: If you haven't, we ask you to.

THE COURT: Let me look at it and, Mr. Feaman, I'm sure at some point my assistant did a request for this, but like I said, she just had surgery. So let me take this, let me take the other blank order. I have a phone conference. Thank you very much.

MR. BERNSTEIN: Your Honor, I just want the record to reflect that I wasn't given a fair opportunity to be heard. I made no opening statement, was not allowed to call witnesses and there were no pretrial hearing procedures ordered by the Court or even followed by the Court.

THE COURT: So noted. Thank you so much. Feel better.

MR. ROSE: Thank you, Your Honor.

(The hearing was concluded.)

STATE OF FLORIDA

COUNTY OF PALM BEACH

I, DEBORAH MEEK, Registered Professional Reporter, Florida Registered Reporter, certify that I was authorized to and did stenographically report the foregoing proceedings and that such transcription, Pages 1 through 65, is a true and accurate record of my stenographic notes.

I further certify that I am not a relative, employee, attorney, or counsel of any of the parties, nor am I a relative or employee of such attorney or counsel, nor am I financially interested, directly or indirectly, in the action.

This certification does not apply to any reproduction of the same by any means unless under the direct control and/or direction of the reporter.

Dated this 27th day of October, 2017.



DEBORAH MEEK, RPR, CRR, FPR

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IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT,
IN AND FOR PALM BEACH COUNTY, FLORIDA

CASE NO.: 50-2014-CP-003698-XXXX-NB
PROBATE DIVISION: IH

TED BERNSTEIN, as Trustee
of the Shirley Bernstein Trust Agreement
dated May 20, 2008, as amended,

Plaintiff / Petitioner,

and

ALEXANDRA BERNSTEIN; et. al,
Defendants / Respondent.

_____/

**ORDER TO SHOW CAUSE WHY ELIOT BERNSTEIN SHOULD NOT BE HELD IN
CONTEMPT OF COURT AND ORDER SETTING HEARING**

THIS CAUSE came before the Court on Ted S. Bernstein, as Successor Trustee of the Shirley Bernstein Trust Motion to Hold Eliot Bernstein in Contempt of Court or Issue Order to Show Cause Against Eliot Bernstein, and for Sanctions (“Motion”) for Eliot’s Bernstein’s violation of Order on Successor Trustee’s Motion to Appoint a Guardian ad Litem; for a Gag Order to Protect the Guardian and Others; and to Strike Eliot Bernstein’s Filings dated March 1, 2016 (the “Order” D.E. 161).

The Court, having reviewed the Motion, the court file, and having been otherwise fully advised in the premises, finds as follows:

1. On March 1, 2017, this Court entered an Order on Successor Trustee’s Motion to Appoint a Guardian ad Litem; for a Gag Order to Protect the Guardian and Others; and to Strike Eliot Bernstein’s Filings dated March 1, 2016 (the “Order” D.E. 161).

2. The Court's Order stated as follows:

6. Eliot Bernstein states that his agenda includes ridding the court system of corruption among judges, lawyers and fiduciaries, regardless of the cost ^{to} the beneficiaries. He appears to have no interest in the swift and efficient administration of the Shirley Bernstein Trust. He has taken actions to hinder and delay the administration of the Trust, and caused waste of Trust assets to respond to his assertions.

7. To the extent not already covered by this Court's Order dated February 1, 2016, Eliot Bernstein is barred from any further participation in this action, whether individually or as purported parent and natural guardian. Any and all pending motions, claims, or other filings by Eliot Bernstein, on behalf of his children, ^{are} ~~is~~ hereby stricken from the record, without prejudice to the rights of the Guardian Ad Litem to take whatever actions are deemed appropriate.

3. Plaintiff / Petitioner's Motion proffers emails sent directly to Diana Lewis, the guardian ad litem, in direct violation of the Order. The Court concludes the Petitioner's / Plaintiff's Motion for Contempt for refusal to obey this Court's Order is well taken. It is therefore

ORDERED AND ADJUDGED, as follows:

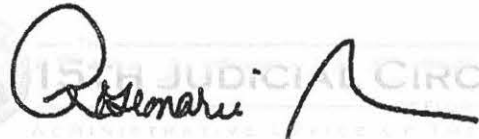
1. ELIOT BERNSTEIN is ordered to personally appear before this Court on **Thursday, March 22, 2018 at 1:30 p.m.** in Courtroom 4 of the North County Courthouse, 3188 PGA Blvd., Palm Beach Gardens, Florida 33410, and show cause why she should not be held in contempt of this Court for willful refusal to obey its Order, attached hereto. One hour shall be reserved.

2. FAILURE OF ELIOT BERNSTEIN TO PERSONALLY APPEAR MAY RESULT IN A FINDING OF CONTEMPT OF COURT, AN AWARD OF ATTORNEY'S FEES AND COSTS AGAINST ELIOT BERNSTEIN, THE ISSUANCE OF AN ORDER STRIKING ANY AND ALL FUTURE PARTICIPATION IN THIS CASE AND/OR ANY OTHER SANCTIONS THE COURT DEEMS FIT.

3. Petitioner/Plaintiff's counsel, at his own cost, shall cause a copy of this Order to Show Cause and Order Setting Hearing to be personally served VIA SHERIFF OR PRIVATE PROCESS SERVER upon ELIOT BERNSTEIN and file proof of personal service upon receipt. The Court will reserve to award these fees against ELIOT BERNSTEIN.

4. This Court reserves jurisdiction to award such fees and costs as may be proper to Petitioner/Plaintiff.

DONE AND ORDERED in Chambers at Palm Beach Gardens, Palm Beach County, Florida, this 15th day of September, 2017.


ROSEMARIE SCHER
Circuit Judge

Copies furnished to:

SEE ATTACHED SERVICE LIST

This notice is provided pursuant to Administrative Order No. 2.207-1/15

“If you are a person with a disability who needs any accommodation in order to participate in this proceeding, you are entitled, at no cost to you, to the provision of certain assistance. Please contact Tammy Anton, Americans with Disabilities Act Coordinator, Palm Beach County Courthouse, 205 North Dixie Highway West Palm Beach, Florida 33401; telephone number (561) 355-4380 at least 7 days before your scheduled court appearance, or immediately upon receiving this notification if the time before the scheduled appearance is less than 7 days; if you are hearing or voice impaired, call 711.”

“Si usted es una persona minusválida que necesita algún acomodamiento para poder participar en este procedimiento, usted tiene derecho, sin tener gastos propios, a que se le provea cierta ayuda. Tenga la amabilidad de ponerse en contacto con Tammy Anton, 205 N. Dixie Highway, West Palm Beach, Florida 33401; teléfono número (561) 355-4380, por lo menos 7 días antes de la cita fijada para su comparecencia en los tribunales, o inmediatamente después de recibir esta notificación si el tiempo antes de la comparecencia que se ha programado es menos de 7 días; si usted tiene discapacitación del oído o de la voz, llame al 711.”

“Si ou se yon moun ki enfim ki bezwen akomodasyon pou w ka patisipe nan pwosedi sa, ou kalifye san ou pa gen okenn lajan pou w peye, gen pwovizyon pou jwen kèk èd. Tanpri kontakte Tammy Anton, kòdonatè pwogram Lwa pou ameriken ki Enfim yo nan Tribinal Konte Palm Beach la ki nan 205 North Dixie Highway, West Palm Beach, Florida 33401; telefòn li se (561) 355-4380 nan 7 jou anvan dat ou gen randevou pou parèt nan tribinal la, oubyen imedyatman apre ou fin resevwa konvokasyon an si lè ou gen pou w parèt nan tribinal la mwens ke 7 jou; si ou gen pwoblèm pou w tande oubyen pale, rele 711.”

SERVICE LIST Case No.: 502014CP003698XXXXNBIH

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Guardian *Ad Litem* for
Eliot Bernstein's minor children,
Jo.B., Ja.B., and D.B.

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(561) 833-0867 - Facsimile
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(john@jmorrisseylaw.com)
Counsel for Molly Simon, Alexandra Bernstein,
Eric Bernstein, Michael Bernstein

Lisa Friedstein, individually and as trustee for her
children, and as natural guardian for M.F. and
C.F., Minors; and Max Friedstein
lisa.friedstein@gmail.com

Jill Iantoni, individually and as trustee for her
children, and as natural guardian for J.I. a minor
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Konopka Thomas & Weiss, P.A.
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service@ciklinlubitz.com;
slobdell@ciklinlubitz.com

Eliot Bernstein
2753 NW 34th Street
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(561) 245-8644 - Facsimile
Email: Eliot I. Bernstein (iviewit@iviewit.tv)

**IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA**

TED BERNSTEIN, as Trustee
of the Shirley Bernstein Trust Agreement
dated May 20, 2008, as amended,

Probate Division
Case No.: 502014CP003698XXXXNB

Plaintiff,

v.

ALEXANDRA BERNSTEIN; ERIC BERNSTEIN;
MICHAEL BERNSTEIN; MOLLY SIMON;
PAMELA B. SIMON, Individually and as Trustee
f/b/o Molly Simon under the Simon L. Bernstein
Trust Dtd 9/13/12; ELIOT BERNSTEIN,
individually, as Trustee f/b/o D.B., Ja. B. and Jo. B.
under the Simon L. Bernstein Trust Dtd 9/13/12, and
on behalf of his minor children D.B., Ja. B. and Jo.
B.; JILL IANTONI, Individually, as Trustee f/b/o J.I.
under the Simon L. Bernstein Trust Dtd 9/13/12, and
on behalf of her Minor child J.I.; MAX
FRIEDSTEIN; LISA FRIEDSTEIN, Individually, as
Trustee f/b/o Max Friedstein and C.F., under the
Simon L. Bernstein Trust Dtd 9/13/12, and on behalf
of her minor child, C.F.,

Defendants.

**ORDER ON SUCCESSOR TRUSTEE'S MOTION TO
APPOINT A GUARDIAN AD LITEM; FOR A GAG ORDER TO PROTECT THE
GUARDIAN AND OTHERS; AND TO STRIKE ELIOT BERNSTEIN'S FILINGS**

THIS CAUSE came before the Court for evidentiary hearing on February 25, 2016, on
Successor Trustee's Motion for Appointment of a Guardian Ad Litem to Represent the Interests of
Eliot Bernstein's Children etc. (the "Motion"). The Court, having considered the record, heard
argument of counsel and being otherwise fully advised in the premises, hereby

ORDERS AND ADJUDGES:

SHARON R. BOCK, CLERK
PALM BEACH COUNTY, FL
2016 MAR -2 PM 1:08

FILED

SHARON R. BOCK, CLERK
PALM BEACH COUNTY, FL
NORTH COUNTY BRANCH

MAR 2 PM 1:09

FILED

1. This Court determined after a trial held on December 15, 2015 that the beneficiaries of The Shirley Bernstein Trust Agreement dated 5/20/2008 (the "Trust") are Simon Bernstein's "then living grandchildren." Under that ruling, Simon's children – including Eliot Bernstein – are not beneficiaries of the Trust. This Court entered a written order dated February 1, 2016, determining Eliot Bernstein lacks standing to participate in this proceeding and striking his individual filings.

2. Eliot Bernstein's three children are among the class of Trust beneficiaries. Eliot seeks to use his role as parent and natural guardian of three trust beneficiaries to give him standing to continue his involvement in this case. The primary issue now raised is whether Eliot Bernstein should be permitted to continuing representing the interests of his minor children, as their parent and natural guardian, in this Trust Proceeding.

3. ~~Despite his status as natural guardian, Eliot will not be permitted to do so, and~~ ^{The} Court will appoint a Guardian ad Litem, because there is a conflict of interest between the parent and the children, and because Eliot Bernstein has proven to be an inadequate representative of the best interests of his children.

4. First, as to the conflict, Eliot's position throughout the case and at trial was that he was a beneficiary of the Trust. He continued^d advancing that position after trial by prosecuting an appeal of the December 16, 2015 Final Judgment. Eliot's individual interests are in conflict with the interests of his children. Under Florida law, a court should appoint a guardian ad litem when a parent's interest conflicts with the interest of her or her minor child. *Mistretta v. Mistretta*, 566 So. 2d 836, 837-38 (Fla. 1st DCA 1990)(best interests of a minor are *not* fully protected when adverse to the interests of the parent); *Florida Nat. Bank & Trust Co. at Miami v. Blake*, 155 So. 2d 798 (Fla. 3d DCA 1963) (court should have appointed a guardian ad litem for minor child when it was

apparent that the interests of the minor conflicted with the interests of the mother and father); *Gilbertson v. Boggs*, 743 So. 2d 123 (Fla. 4th DCA 1999) (guardian ad litem should have been appointed ^{when} ~~then~~ the parents' interests were adverse to the minor child).

5. Second, Fla. Stat. 731.303(4) provides: "If the court determines that representation of the interest would otherwise be inadequate, the court may, at any time, appoint a guardian ad litem to represent the interests of ... a minor ..." ¹ Based upon the evidence presented and the Court's observations at the trial in December 2015 and at the evidentiary hearing on February 25, 2016, and based upon the Court's review of various motions filed by Eliot Bernstein since the trial, it is ^{in fact, his actions are adverse + destructive to the children's interest.} apparent Eliot Bernstein is not an adequate representative of the best interests of his children. ^{JB}

6. Eliot Bernstein states that his agenda includes ridding the court system of corruption among judges, lawyers and fiduciaries, regardless of the cost ^{to} the beneficiaries. He appears to have no interest in the swift and efficient administration of the Shirley Bernstein Trust. He has taken actions to hinder and delay the administration of the Trust, and caused waste of Trust assets to respond to his assertions.

7. To the extent not already covered by this Court's Order dated February 1, 2016, Eliot Bernstein is barred from any further participation in this action, whether individually or as purported parent and natural guardian. Any and all pending motions, claims, or other filings by Eliot Bernstein,

¹ In addition, under section 744.3025, the court *may* appoint a guardian ad litem to represent a minor's interest before approving a settlement of the minor's portion of any cause of action in which the gross settlement of the claim exceeds \$15,000 if the court believes a guardian ad litem is necessary to protect the minor's interest, and "shall appoint a guardian ad litem to represent the minor's interest before approving a settlement of the minor's claim in a case in which the gross settlement involving a minor equals or exceeds \$50,000." Here, it is likely that there will be a settlement at some point in which each of minors receives a substantial distribution, and it is likely Eliot will oppose any such settlement.

on behalf of his children, ^{are} ~~is~~ hereby stricken from the record, without prejudice to the rights of the Guardian Ad Litem to take whatever actions are deemed appropriate.

8. The parties shall attempt to mutually agree on a guardian ad litem. The Court will appoint whomever the parties agree upon within the next three business days. Eliot Bernstein may

participate in such discussions. To the extent the parties, including Eliot Bernstein, are unable to agree on a guardian ad litem, upon notice from the Trustee's counsel the Court shall randomly

*each of the parties shall submit a list of three names of potential Guardian Ad Litem's, each of whom has agreed to accept the appointment if selected. These lists shall be filed with the Clerk with courtesy copy to the undersigned, no later than 10 days from this date.**

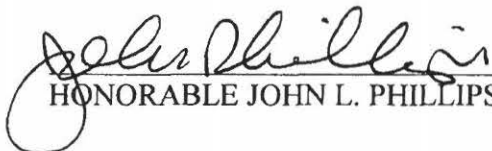
9. The Guardian Ad Litem will have full power and autonomy to represent the interests of the children of Eliot Bernstein, subject to the jurisdiction and review of this Court. The Guardian Ad Litem will be entitled to petition the Court for an award of attorneys' fees to be paid out of the gross proceeds of any recovery, distributions or inheritance to be received by Ja.B., Jo.B, and/or D.B.

10. To protect the integrity and independence of the guardian, Eliot Bernstein and all persons acting in concert with him: (a) shall ^{not} ~~make no effort to~~ contact, email or otherwise communicate with the Guardian Ad Litem except at the request of the Guardian Ad Litem; (b) shall ~~make no statement of any kind about the guardian, nor post information about the guardian on the internet in any fashion; and (c) shall not in any way threaten or harass the guardian. This Court alone shall supervise the guardian, and all information concerning this guardianship shall be treated as private and confidential.~~ Any violation of this order may subject the violator to severe sanctions for contempt of court. The Court will use the full measure of its coercive powers to ensure compliance with this Order.

** Parties shall furnish ^{proposed} all lists appointing GAT with the lists. The Court will act without further hearing on the appointment, if possible.*

11. The Court reserves jurisdiction to enforce all terms of this Order, and to oversee the service of the guardian ad litem appointed.

DONE and ORDERED in Chambers, North County Courthouse on 3-1-16, 2016.


HONORABLE JOHN L. PHILLIPS

cc: Attached service list

SERVICE LIST Case No.: 502014CP003698XXXXNBIJ

Eliot Bernstein, individually
and Eliot and Candice Bernstein,
as Parents and Natural Guardians of
D.B., Ja. B. and Jo. B, Minors
2753 NW 34th Street
Boca Raton, FL 33434
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(john@jmorrisseylaw.com)
Counsel for Molly Simon, Alexandra Bernstein,
Eric Bernstein, Michael Bernstein

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and C.F., Minors; and Max Friedstein
lisa.friedstein@gmail.com

Jill Iantoni, individually and as trustee for her
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Email: boconnell@ciklinlubitz.com;
jfoglietta@ciklinlubitz.com;
service@ciklinlubitz.com;
slobdell@ciklinlubitz.com

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEW JERSEY

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

ROBERT L. SPALLINA, et al.,

Defendants.

CONSENT OF DEFENDANT ROBERT L. SPALLINA

1. Defendant Robert L. Spallina ("Defendant") waives service of a summons and the complaint in this action, enters a general appearance, and admits the Court's jurisdiction over Defendant and over the subject matter of this action.
2. Defendant has agreed to plead guilty to criminal conduct relating to certain matters alleged in the complaint in this action and acknowledges that his conduct violated the federal securities laws. Specifically, Defendant has agreed to plead guilty to a one count information which charges him with committing securities fraud involving insider trading in the securities of Pharmasset, Inc. in a matter to be filed in the United States District Court for the District of New Jersey (the "Criminal Action").
3. Defendant hereby consents to the entry of the Final Judgment in the form attached hereto (the "Final Judgment") and incorporated by reference herein, which, among other things:
 - (a) permanently restrains and enjoins Defendant from violation of Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 ("Exchange Act")

[15 U.S.C. §§ 78j(b) and 78n(e)] and Rules 10b-5 and 14e-3 thereunder
[17 C.F.R. §§ 240.10b-5 and 240.14e-3];

- (b) orders Defendant to pay disgorgement in the amount of \$39,156, plus pre-judgment interest thereon in the amount of \$1,794; provided, however, that \$39,156 shall be deemed satisfied in light of Defendant's consent to the entry of a forfeiture money judgment in the amount of \$39,156 in connection with the Criminal Action; and
- (c) orders Defendant to pay a civil penalty in the amount of \$39,156 under Section 21A of the Exchange Act [15 U.S.C. § 78u-1].

4. Defendant agrees that he shall not seek or accept, directly or indirectly, reimbursement or indemnification from any source, including but not limited to payment made pursuant to any insurance policy, with regard to any civil penalty amounts that Defendant pays pursuant to the Final Judgment, regardless of whether such penalty amounts or any part thereof are added to a distribution fund or otherwise used for the benefit of investors. Defendant further agrees that he shall not claim, assert, or apply for a tax deduction or tax credit with regard to any federal, state, or local tax for any penalty amounts that Defendant pays pursuant to the Final Judgment, regardless of whether such penalty amounts or any part thereof are added to a distribution fund or otherwise used for the benefit of investors.

5. Defendant waives the entry of findings of fact and conclusions of law pursuant to Rule 52 of the Federal Rules of Civil Procedure.

6. Defendant waives the right, if any, to a jury trial and to appeal from the entry of the Final Judgment.

7. Defendant enters into this Consent voluntarily and represents that no threats, offers, promises, or inducements of any kind have been made by the Commission or any member, officer, employee, agent, or representative of the Commission to induce Defendant to enter into this Consent.

8. Defendant agrees that this Consent shall be incorporated into the Final Judgment with the same force and effect as if fully set forth therein.

9. Defendant will not oppose the enforcement of the Final Judgment on the ground, if any exists, that it fails to comply with Rule 65(d) of the Federal Rules of Civil Procedure, and hereby waives any objection based thereon.

10. Defendant waives service of the Final Judgment and agrees that entry of the Final Judgment by the Court and filing with the Clerk of the Court will constitute notice to Defendant of its terms and conditions. Defendant further agrees to provide counsel for the Commission, within thirty days after the Final Judgment is filed with the Clerk of the Court, with an affidavit or declaration stating that Defendant has received and read a copy of the Final Judgment.

11. Consistent with 17 C.F.R. § 202.5(f), this Consent resolves only the claims asserted against Defendant in this civil proceeding. Defendant acknowledges that no promise or representation has been made by the Commission or any member, officer, employee, agent, or representative of the Commission with regard to any criminal liability that may have arisen or may arise from the facts underlying this action or immunity from any such criminal liability. Defendant waives any claim of Double Jeopardy based upon the settlement of this proceeding, including the imposition of any remedy or civil penalty herein. Defendant further acknowledges that the Court's entry of a permanent injunction may have collateral consequences under federal or state law and the rules and regulations of self-regulatory organizations, licensing boards, and

other regulatory organizations. Such collateral consequences include, but are not limited to, a statutory disqualification with respect to membership or participation in, or association with a member of, a self-regulatory organization. This statutory disqualification has consequences that are separate from any sanction imposed in an administrative proceeding. In addition, in any disciplinary proceeding before the Commission based on the entry of the injunction in this action, Defendant understands that he shall not be permitted to contest the factual allegations of the complaint in this action.

12. Defendant understands and agrees to comply with the terms of 17 C.F.R. § 202.5(e), which provides in part that it is the Commission's policy "not to permit a defendant or respondent to consent to a judgment or order that imposes a sanction while denying the allegations in the complaint or order for proceedings." As part of Defendant's agreement to comply with the terms of Section 202.5(e), Defendant acknowledges that he has agreed to plead guilty for related conduct as described in paragraph 2 above, and: (i) will not take any action or make or permit to be made any public statement denying, directly or indirectly, any allegation in the complaint or creating the impression that the complaint is without factual basis; (ii) will not make or permit to be made any public statement to the effect that Defendant does not admit the allegations of the complaint, or that this Consent contains no admission of the allegations; (iii) upon the filing of this Consent, Defendant hereby withdraws any papers filed in this action to the extent that they deny any allegation in the complaint; and (iv) stipulates for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. §523, that the allegations in the complaint are true, and further, that any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under the Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this

proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. §523(a)(19). If Defendant breaches this agreement, the Commission may petition the Court to vacate the Final Judgment and restore this action to its active docket. Nothing in this paragraph affects Defendant's: (i) testimonial obligations; or (ii) right to take legal or factual positions in litigation or other legal proceedings in which the Commission is not a party.

13. Defendant hereby waives any rights under the Equal Access to Justice Act, the Small Business Regulatory Enforcement Fairness Act of 1996, or any other provision of law to seek from the United States, or any agency, or any official of the United States acting in his or her official capacity, directly or indirectly, reimbursement of attorney's fees or other fees, expenses, or costs expended by Defendant to defend against this action. For these purposes, Defendant agrees that Defendant is not the prevailing party in this action since the parties have reached a good faith settlement.

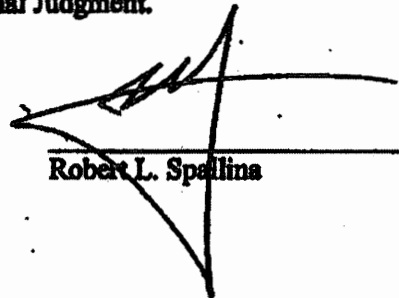
14. In connection with this action and any related judicial or administrative proceeding or investigation commenced by the Commission or to which the Commission is a party, Defendant (i) agrees to appear and be interviewed by Commission staff at such times and places as the staff requests upon reasonable notice; (ii) will accept service by mail or facsimile transmission of notices or subpoenas issued by the Commission for documents or testimony at depositions, hearings, or trials, or in connection with any related investigation by Commission staff; (iii) appoints Defendant's undersigned attorney as agent to receive service of such notices and subpoenas; (iv) with respect to such notices and subpoenas, waives the territorial limits on service contained in Rule 45 of the Federal Rules of Civil Procedure and any applicable local

rules, provided that the party requesting the testimony reimburses Defendant's travel, lodging, and subsistence expenses at the then-prevailing U.S. Government per diem rates; and (v) consents to personal jurisdiction over Defendant in any United States District Court for purposes of enforcing any such subpoena.

15. Defendant agrees that the Commission may present the Final Judgment to the Court for signature and entry without further notice.


16. Defendant agrees that this Court shall retain jurisdiction over this matter for the purpose of enforcing the terms of the Final Judgment.

Dated: 9/16/15



Robert L. Spallina

On Sept 16, 2015, Robert Spallina, a person known to me, personally appeared before me and acknowledged executing the foregoing Consent.



Notary Public
Commission expires:

Approved as to form:



Lawrence S. Lustberg, Esquire
Gibbons P.C.
One Gateway Center
Newark, NJ 07102-5310
Counsel for Robert L. Spallina



Alexa Colivechio
COMMISSION # FP188468
EXPIRES: December 28, 2018
WWW.AARONNOTARY.COM

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEW JERSEY

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

ROBERT L. SPALLINA, et al.,

Defendants.

FINAL JUDGMENT AS TO DEFENDANT ROBERT L. SPALLINA

The Securities and Exchange Commission having filed a Complaint and Defendant Robert L. Spallina having entered a general appearance; consented to the Court's jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment; waived findings of fact and conclusions of law; waived any right to appeal from this Final Judgment; and Defendant having admitted the facts set forth in the Consent of Robert L. Spallina and acknowledged that his conduct violated the federal securities laws:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or

instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating Section 14(e) of the Exchange Act [15 U.S.C. § 78n(e)] and Rule 14e-3 [17 C.F.R. § 240.14e-3] promulgated thereunder, in connection with any tender offer or request or invitation for tenders, from engaging in any fraudulent, deceptive, or manipulative act or practice, by:

- (a) purchasing or selling or causing to be purchased or sold the securities sought or to be sought in such tender offer, securities convertible into or exchangeable for any such securities or any option or right to obtain or dispose of any of the foregoing securities while in possession of material information relating to such tender offer that Defendant knows or has reason to know is nonpublic and knows or has reason to know has been

acquired directly or indirectly from the offering person; the issuer of the securities sought or to be sought by such tender offer; or any officer, director, partner, employee or other person acting on behalf of the offering person or such issuer, unless within a reasonable time prior to any such purchase or sale such information and its source are publicly disclosed by press release or otherwise; or

(b) communicating material, nonpublic information relating to a tender offer, which Defendant knows or has reason to know is nonpublic and knows or has reason to know has been acquired directly or indirectly from the offering person; the issuer of the securities sought or to be sought by such tender offer; or any officer, director, partner, employee, advisor, or other person acting on behalf of the offering person or such issuer, to any person under circumstances in which it is reasonably foreseeable that such communication is likely to result in the purchase or sale of securities in the manner described in subparagraph (a) above, except that this paragraph shall not apply to a communication made in good faith

(i) to the officers, directors, partners or employees of the offering person, to its advisors or to other persons, involved in the planning, financing, preparation or execution of such tender offer;

(ii) to the issuer whose securities are sought or to be sought by such tender offer, to its officers, directors, partners, employees or advisors or to other persons involved in the

- planning, financing, preparation or execution of the activities of the issuer with respect to such tender offer; or
- (iii) to any person pursuant to a requirement of any statute or rule or regulation promulgated thereunder.

III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$39,156, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$1,794; provided, however, that \$39,156 shall be deemed satisfied in light of Defendant's consent to the entry of a forfeiture money judgment in the amount of \$39,156 in connection with the resolution of a parallel criminal action instituted in this Court; and a civil penalty in the amount of \$39,156 pursuant to Section 21A of the Exchange Act [15 U.S.C. § 78u-1]. Defendant shall satisfy this obligation by paying \$40,950 to the Securities and Exchange Commission within 14 days after entry of this Final Judgment.

Defendant may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

Enterprise Services Center
Accounts Receivable Branch
6500 South MacArthur Boulevard
Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Robert L. Spallina as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

Defendant shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action. By making this payment, Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury.

The Commission may enforce the Court's judgment for disgorgement and prejudgment interest by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 14 days following entry of this Final Judgment. Defendant shall pay post judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all of the undertakings and agreements set forth therein.

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, the allegations in the Complaint are true and admitted by Defendant, and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this

Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. § 523(a)(19).

VI.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

VII.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated:

Sept 29, 2015

Anne E. Thompson
UNITED STATES DISTRICT JUDGE

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEW JERSEY

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

ROBERT L. SPALLINA, et al.,

Defendants.

FINAL JUDGMENT AS TO DEFENDANT ROBERT L. SPALLINA

The Securities and Exchange Commission having filed a Complaint and Defendant Robert L. Spallina having entered a general appearance; consented to the Court's jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment; waived findings of fact and conclusions of law; waived any right to appeal from this Final Judgment; and Defendant having admitted the facts set forth in the Consent of Robert L. Spallina and acknowledged that his conduct violated the federal securities laws:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or

instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating Section 14(e) of the Exchange Act [15 U.S.C. § 78n(e)] and Rule 14e-3 [17 C.F.R. § 240.14e-3] promulgated thereunder, in connection with any tender offer or request or invitation for tenders, from engaging in any fraudulent, deceptive, or manipulative act or practice, by:

- (a) purchasing or selling or causing to be purchased or sold the securities sought or to be sought in such tender offer, securities convertible into or exchangeable for any such securities or any option or right to obtain or dispose of any of the foregoing securities while in possession of material information relating to such tender offer that Defendant knows or has reason to know is nonpublic and knows or has reason to know has been

acquired directly or indirectly from the offering person; the issuer of the securities sought or to be sought by such tender offer; or any officer, director, partner, employee or other person acting on behalf of the offering person or such issuer, unless within a reasonable time prior to any such purchase or sale such information and its source are publicly disclosed by press release or otherwise; or

(b) communicating material, nonpublic information relating to a tender offer, which Defendant knows or has reason to know is nonpublic and knows or has reason to know has been acquired directly or indirectly from the offering person; the issuer of the securities sought or to be sought by such tender offer; or any officer, director, partner, employee, advisor, or other person acting on behalf of the offering person of such issuer, to any person under circumstances in which it is reasonably foreseeable that such communication is likely to result in the purchase or sale of securities in the manner described in subparagraph (a) above, except that this paragraph shall not apply to a communication made in good faith

(i) to the officers, directors, partners or employees of the offering person, to its advisors or to other persons, involved in the planning, financing, preparation or execution of such tender offer;

(ii) to the issuer whose securities are sought or to be sought by such tender offer, to its officers, directors, partners, employees or advisors or to other persons involved in the

- planning, financing, preparation or execution of the activities of the issuer with respect to such tender offer; or
- (iii) to any person pursuant to a requirement of any statute or rule or regulation promulgated thereunder.

III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$39,156, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$1,794; provided, however, that \$39,156 shall be deemed satisfied in light of Defendant's consent to the entry of a forfeiture money judgment in the amount of \$39,156 in connection with the resolution of a parallel criminal action instituted in this Court; and a civil penalty in the amount of \$39,156 pursuant to Section 21A of the Exchange Act [15 U.S.C. § 78u-1]. Defendant shall satisfy this obligation by paying \$40,950 to the Securities and Exchange Commission within 14 days after entry of this Final Judgment.

Defendant may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

Enterprise Services Center
Accounts Receivable Branch
6500 South MacArthur Boulevard
Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Robert L. Spallina as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

Defendant shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action. By making this payment, Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury.

The Commission may enforce the Court's judgment for disgorgement and prejudgment interest by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 14 days following entry of this Final Judgment. Defendant shall pay post judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all of the undertakings and agreements set forth therein.

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, the allegations in the Complaint are true and admitted by Defendant, and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this

Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. § 523(a)(19).

VI.

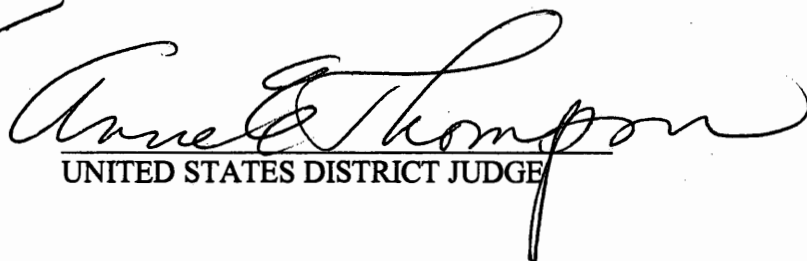
IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

VII.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated:

Sept 29 2015


UNITED STATES DISTRICT JUDGE

UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

DONALD R. TESCHER et al.,

Defendants.

C.A. No. ____-

CONSENT OF DEFENDANT DONALD R. TESCHER

1. Defendant Donald R. Tescher ("Defendant") waives service of a summons and the complaint in this action, enters a general appearance, and admits the Court's jurisdiction over Defendant and over the subject matter of this action.

2. Without admitting or denying the allegations of the complaint (except as provided herein in paragraph 12 and except as to personal and subject matter jurisdiction, which Defendant admits), Defendant hereby consents to the entry of the final Judgment in the form attached hereto (the "Final Judgment") and incorporated by reference herein, which, among other things:

- (a) permanently restrains and enjoins Defendant from violation of Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 ("Exchange Act") [15 U.S.C. §§ 78j(b) and 78n(e)] and Rules 10b-5 and 14e-3 thereunder [17 C.F.R. §§ 240.10b-5 and 240.14e-3];
- (b) orders Defendant to pay disgorgement in the amount of \$9,937, plus prejudgment interest thereon in the amount of \$690; and

(c) orders Defendant to pay a civil penalty in the amount of \$9,937 under Section 21A of the Exchange Act [15 U.S.C. § 78u-1].

3. Defendant agrees that he shall not seek or accept, directly or indirectly, reimbursement or indemnification from any source, including but not limited to payment made pursuant to any insurance policy, with regard to any civil penalty amounts that Defendant pays pursuant to the Final Judgment, regardless of whether such penalty amounts or any part thereof are added to a distribution fund or otherwise used for the benefit of investors. Defendant further agrees that he shall not claim, assert, or apply for a tax deduction or tax credit with regard to any federal, state, or local tax for any penalty amounts that Defendant pays pursuant to the Final Judgment, regardless of whether such penalty amounts or any part thereof are added to a distribution fund or otherwise used for the benefit of investors.

4. Defendant acknowledges that the Court is not imposing a civil penalty in excess of \$9,937 based on Defendant's cooperation in a Commission investigation and/or related enforcement action. Defendant consents that if at any time following the entry of the Final Judgment the Commission obtains information indicating that Defendant knowingly provided materially false or misleading information or materials to the Commission or in a related proceeding, the Commission may, at its sole discretion and without prior notice to the Defendant, petition the Court for an order requiring Defendant to pay an additional civil penalty. In connection with the Commission's motion for civil penalties, and at any hearing held on such a motion: (a) Defendant will be precluded from arguing that he did not violate the federal securities laws as alleged in the Complaint; (b) Defendant may not challenge the validity of the Judgment, this Consent, or any related Undertakings; (c) the allegations of the Complaint, solely for the purposes of such motion, shall be accepted as and deemed true by the Court; and (d) the

Court may determine the issues raised in the motion on the basis of affidavits, declarations, excerpts of sworn deposition or investigative testimony, and documentary evidence without regard to the standards for summary judgment contained in Rule 56(c) of the Federal Rules of Civil Procedure. Under these circumstances, the parties may take discovery, including discovery from appropriate non-parties.

5. Defendant waives the entry of findings of fact and conclusions of law pursuant to Rule 52 of the Federal Rules of Civil Procedure.

6. Defendant waives the right, if any, to a jury trial and to appeal from the entry of the Final Judgment.

7. Defendant enters into this Consent voluntarily and represents that no threats, offers, promises, or inducements of any kind have been made by the Commission or any member, officer, employee, agent, or representative of the Commission to induce Defendant to enter into this Consent.

8. Defendant agrees that this Consent shall be incorporated into the Final Judgment with the same force and effect as if fully set forth therein.

9. Defendant will not oppose the enforcement of the Final Judgment on the ground, if any exists, that it fails to comply with Rule 65(d) of the Federal Rules of Civil Procedure, and hereby waives any objection based thereon.

10. Defendant waives service of the Final Judgment and agrees that entry of the Final Judgment by the Court and filing with the Clerk of the Court will constitute notice to Defendant of its terms and conditions. Defendant further agrees to provide counsel for the Commission, within thirty days after the Final Judgment is filed with the Clerk of the Court, with an affidavit or declaration stating that Defendant has received and read a copy of the Final Judgment.

11. Consistent with 17 C.F.R. § 202.5(f), this Consent resolves only the claims asserted against Defendant in this civil proceeding. Defendant acknowledges that no promise or representation has been made by the Commission or any member, officer, employee, agent, or representative of the Commission with regard to any criminal liability that may have arisen or may arise from the facts underlying this action or immunity from any such criminal liability. Defendant waives any claim of Double Jeopardy based upon the settlement of this proceeding, including the imposition of any remedy or civil penalty herein. Defendant further acknowledges that the Court's entry of a permanent injunction may have collateral consequences under federal or state law and the rules and regulations of self-regulatory organizations, licensing boards, and other regulatory organizations. Such collateral consequences include, but are not limited to, a statutory disqualification with respect to membership or participation in, or association with a member of, a self-regulatory organization. This statutory disqualification has consequences that are separate from any sanction imposed in an administrative proceeding. In addition, in any disciplinary proceeding before the Commission based on the entry of the injunction in this action, Defendant understands that he shall not be permitted to contest the factual allegations of the complaint in this action.

12. Defendant understands and agrees to comply with the terms of 17 C.F.R. § 202.5(e), which provides in part that it is the Commission's policy "not to permit a defendant or respondent to consent to a judgment or order that imposes a sanction while denying the allegations in the complaint or order for proceedings," and "a refusal to admit the allegations is equivalent to a denial, unless the defendant or respondent states that he neither admits nor denies the allegations." As part of Defendant's agreement to comply with the terms of Section 202.5(e), Defendant: (i) will not take any action or make or permit to be made any public statement

denying, directly or indirectly, any allegation in the complaint or creating the impression that the complaint is without factual basis; (ii) will not make or permit to be made any public statement to the effect that Defendant does not admit the allegations of the complaint, or that this Consent contains no admission of the allegations, without also stating that Defendant does not deny the allegations; (iii) upon the filing of this Consent, Defendant hereby withdraws any papers filed in this action to the extent that they deny any allegation in the complaint; and (iv) stipulates solely for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. §523, that the allegations in the complaint are true, and further, that any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under the Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. §523(a)(19). If Defendant breaches this agreement, the Commission may petition the Court to vacate the Final Judgment and restore this action to its active docket. Nothing in this paragraph affects Defendant's: (i) testimonial obligations; or (ii) right to take legal or factual positions in litigation or other legal proceedings in which the Commission is not a party.

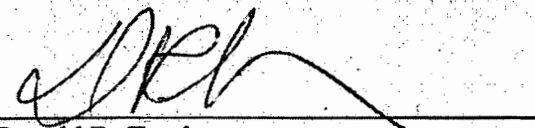
13. Defendant hereby waives any rights under the Equal Access to Justice Act, the Small Business Regulatory Enforcement Fairness Act of 1996, or any other provision of law to seek from the United States, or any agency, or any official of the United States acting in his or her official capacity, directly or indirectly, reimbursement of attorney's fees or other fees, expenses, or costs expended by Defendant to defend against this action. For these purposes,

Defendant agrees that Defendant is not the prevailing party in this action since the parties have reached a good faith settlement.

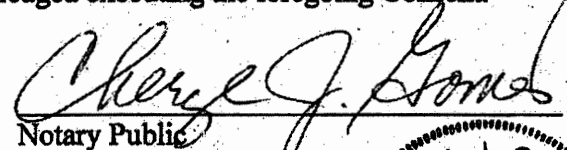
14. In connection with this action and any related judicial or administrative proceeding or investigation commenced by the Commission or to which the Commission is a party, Defendant (i) agrees to appear and be interviewed by Commission staff at such times and places as the staff requests upon reasonable notice; (ii) will accept service by mail or facsimile transmission of notices or subpoenas issued by the Commission for documents or testimony at depositions, hearings, or trials, or in connection with any related investigation by Commission staff; (iii) appoints Defendant's undersigned attorney as agent to receive service of such notices and subpoenas; (iv) with respect to such notices and subpoenas, waives the territorial limits on service contained in Rule 45 of the Federal Rules of Civil Procedure and any applicable local rules, provided that the party requesting the testimony reimburses Defendant's travel, lodging, and subsistence expenses at the then-prevailing U.S. Government per diem rates; and (v) consents to personal jurisdiction over Defendant in any United States District Court for purposes of enforcing any such subpoena.

15. Defendant agrees that the Commission may present the Final Judgment to the Court for signature and entry without further notice.

16. Defendant agrees that this Court shall retain jurisdiction over this matter for the purpose of enforcing the terms of the Final Judgment.


Dated: 6/5/14 
Donald R. Tescher

On June 5, 2014, Donald R. Tescher a person known to me, personally appeared before me and acknowledged executing the foregoing Consent.


Notary Public
Commission expires:



Approved as to form:


Norman A. Moscovitz, Esq.
Moscovitz & Moscovitz, P.A.
Sabadell Financial Center
1111 Brickell Ave., Suite 2050
Miami, FL 33131

UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

DONALD R. TESCHER et al.,

Defendants.

C.A. No. ___-___

FINAL JUDGMENT AS TO DEFENDANT DONALD R. TESCHER

The Securities and Exchange Commission having filed a Complaint and Defendant Donald R. Tescher ("Defendant") having entered a general appearance; consented to the Court's jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Complaint (except as to jurisdiction and except as otherwise provided herein in paragraph VI); waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or

instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating Section 14(e) of the Exchange Act [15 U.S.C. § 78n(e)] and Rule 14e-3 [17 C.F.R. § 240.14e-3] promulgated thereunder, in connection with any tender offer or request or invitation for tenders, from engaging in any fraudulent, deceptive, or manipulative act or practice, by:

- (a) purchasing or selling or causing to be purchased or sold the securities sought or to be sought in such tender offer, securities convertible into or exchangeable for any such securities or any option or right to obtain or dispose of any of the foregoing securities while in possession of material information relating to such tender offer that Defendant knows or has reason to know is nonpublic and knows or has reason to know has been

acquired directly or indirectly from the offering person; the issuer of the securities sought or to be sought by such tender offer; or any officer, director, partner, employee or other person acting on behalf of the offering person or such issuer, unless within a reasonable time prior to any such purchase or sale such information and its source are publicly disclosed by press release or otherwise; or

(b) communicating material, nonpublic information relating to a tender offer, which Defendant knows or has reason to know is nonpublic and knows or has reason to know has been acquired directly or indirectly from the offering person; the issuer of the securities sought or to be sought by such tender offer; or any officer, director, partner, employee, advisor, or other person acting on behalf of the offering person or such issuer, to any person under circumstances in which it is reasonably foreseeable that such communication is likely to result in the purchase or sale of securities in the manner described in subparagraph (a) above, except that this paragraph shall not apply to a communication made in good faith

(i) to the officers, directors, partners or employees of the offering person, to its advisors or to other persons, involved in the planning, financing, preparation or execution of such tender offer;

(ii) to the issuer whose securities are sought or to be sought by such tender offer, to its officers, directors, partners, employees or advisors or to other persons involved in the

- planning, financing, preparation or execution of the activities of the issuer with respect to such tender offer; or
- (iii) to any person pursuant to a requirement of any statute or rule or regulation promulgated thereunder.

III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$9,937, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$690, and a civil penalty in the amount of \$9,937 pursuant to Section 21A of the Exchange Act [15 U.S.C. § 78u-1]. Defendant shall satisfy this obligation by paying \$20,564 to the Securities and Exchange Commission within 14 days after entry of this Final Judgment.

Defendant may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

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Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Donald R. Tescher as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

Defendant shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action. By making this payment, Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury.

The Commission may enforce the Court's judgment for disgorgement and prejudgment interest by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 14 days following entry of this Final Judgment. Defendant shall pay post judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

IV.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that based on Defendant's cooperation in a Commission investigation and/or related enforcement action, the Court is not ordering Defendant to pay a civil penalty in excess of \$9,937. If at any time following the entry of the Final Judgment the Commission obtains information indicating that Defendant knowingly provided materially false or misleading information or materials to the Commission or in a related proceeding, the Commission may, at its sole discretion and without prior notice to the Defendant, petition the Court for an order requiring Defendant to pay an additional civil penalty. In connection with any such petition and at any hearing held on such a motion: (a) Defendant will be precluded from arguing that he did not violate the federal securities laws as alleged in the Complaint; (b) Defendant may not challenge the validity of the Judgment, this Consent, or any related Undertakings; (c) the allegations of the Complaint, solely for the purposes of such motion, shall be accepted as and deemed true by the Court; and (d) the

Court may determine the issues raised in the motion on the basis of affidavits, declarations, excerpts of sworn deposition or investigative testimony, and documentary evidence without regard to the standards for summary judgment contained in Rule 56(c) of the Federal Rules of Civil Procedure. Under these circumstances, the parties may take discovery, including discovery from appropriate non-parties.

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all of the undertakings and agreements set forth therein.

VI.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, the allegations in the Complaint are true and admitted by Defendant, and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. § 523(a)(19).

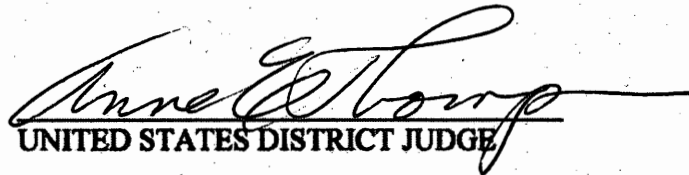
VII.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

VIII.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated: Oct 1, 2015


UNITED STATES DISTRICT JUDGE

UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

DONALD R. TESCHER et al.,

Defendants.

C.A. No. ____-____

FINAL JUDGMENT AS TO DEFENDANT DONALD R. TESCHER

The Securities and Exchange Commission having filed a Complaint and Defendant Donald R. Tescher ("Defendant") having entered a general appearance; consented to the Court's jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Complaint (except as to jurisdiction and except as otherwise provided herein in paragraph VI); waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or

instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating Section 14(e) of the Exchange Act [15 U.S.C. § 78n(e)] and Rule 14e-3 [17 C.F.R. § 240.14e-3] promulgated thereunder, in connection with any tender offer or request or invitation for tenders, from engaging in any fraudulent, deceptive, or manipulative act or practice, by:

- (a) purchasing or selling or causing to be purchased or sold the securities sought or to be sought in such tender offer, securities convertible into or exchangeable for any such securities or any option or right to obtain or dispose of any of the foregoing securities while in possession of material information relating to such tender offer that Defendant knows or has reason to know is nonpublic and knows or has reason to know has been

acquired directly or indirectly from the offering person; the issuer of the securities sought or to be sought by such tender offer; or any officer, director, partner, employee or other person acting on behalf of the offering person or such issuer, unless within a reasonable time prior to any such purchase or sale such information and its source are publicly disclosed by press release or otherwise; or

(b) communicating material, nonpublic information relating to a tender offer, which Defendant knows or has reason to know is nonpublic and knows or has reason to know has been acquired directly or indirectly from the offering person; the issuer of the securities sought or to be sought by such tender offer; or any officer, director, partner, employee, advisor, or other person acting on behalf of the offering person or such issuer, to any person under circumstances in which it is reasonably foreseeable that such communication is likely to result in the purchase or sale of securities in the manner described in subparagraph (a) above, except that this paragraph shall not apply to a communication made in good faith

- (i) to the officers, directors, partners or employees of the offering person, to its advisors or to other persons, involved in the planning, financing, preparation or execution of such tender offer;
- (ii) to the issuer whose securities are sought or to be sought by such tender offer, to its officers, directors, partners, employees or advisors or to other persons involved in the

- planning, financing, preparation or execution of the activities of the issuer with respect to such tender offer; or
- (iii) to any person pursuant to a requirement of any statute or rule or regulation promulgated thereunder.

III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$9,937, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$690, and a civil penalty in the amount of \$9,937 pursuant to Section 21A of the Exchange Act [15 U.S.C. § 78u-1]. Defendant shall satisfy this obligation by paying \$20,564 to the Securities and Exchange Commission within 14 days after entry of this Final Judgment.

Defendant may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

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Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Donald R. Tescher as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

Defendant shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action. By making this payment, Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury.

The Commission may enforce the Court's judgment for disgorgement and prejudgment interest by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 14 days following entry of this Final Judgment. Defendant shall pay post judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

IV.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that based on Defendant's cooperation in a Commission investigation and/or related enforcement action, the Court is not ordering Defendant to pay a civil penalty in excess of \$9,937. If at any time following the entry of the Final Judgment the Commission obtains information indicating that Defendant knowingly provided materially false or misleading information or materials to the Commission or in a related proceeding, the Commission may, at its sole discretion and without prior notice to the Defendant, petition the Court for an order requiring Defendant to pay an additional civil penalty. In connection with any such petition and at any hearing held on such a motion: (a) Defendant will be precluded from arguing that he did not violate the federal securities laws as alleged in the Complaint; (b) Defendant may not challenge the validity of the Judgment, this Consent, or any related Undertakings; (c) the allegations of the Complaint, solely for the purposes of such motion, shall be accepted as and deemed true by the Court; and (d) the

Court may determine the issues raised in the motion on the basis of affidavits, declarations, excerpts of sworn deposition or investigative testimony, and documentary evidence without regard to the standards for summary judgment contained in Rule 56(c) of the Federal Rules of Civil Procedure. Under these circumstances, the parties may take discovery, including discovery from appropriate non-parties.

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all of the undertakings and agreements set forth therein.

VI.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, the allegations in the Complaint are true and admitted by Defendant, and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. § 523(a)(19).

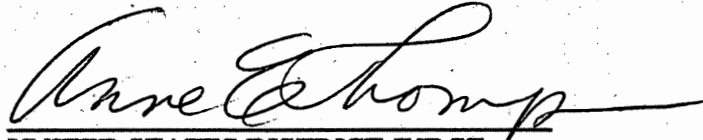
VII.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

VIII.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated: Oct 1 2015


UNITED STATES DISTRICT JUDGE

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA
WEST PALM BEACH DIVISION

Case No.: _____
District Judge: _____
Magistrate Judge: _____

JULIAN BIVINS, as Personal Representative
of the ancillary Estate of Oliver Wilson Bivins,

Plaintiff,

vs.

CURTIS CAHALLONER ROGERS, JR.,
as former guardian, STEPHEN M. KELLY,
as successor guardian, BRIAN M. O'CONNELL,
ASHLEY N. CRISPIN, CIKLIN LUBITZ &
O'CONNELL, KEITH B. STEIN,
BEYS LISTON MOBARGHA & BERLAND, LLP
f/k/a BEYS STEIN MOBARGHA & BERLAND, LLP,
and LAW OFFICES OF KEITH B. STEIN, PLLC,
n/k/a STEIN LAW, PLLC,
Defendants.

_____ /

COMPLAINT

COMES NOW the Plaintiff, JULIAN BIVINS, as Personal Representative of the ancillary Estate of Oliver Wilson Bivins, by and through his undersigned counsel, and sues CURTIS CAHALLONER ROGERS, JR., the former guardian of Oliver Bivins (the "Ward"), STEPHEN M. KELLY, as successor guardian of the Ward, BRIAN M. O'CONNELL, ASHLEY N. CRISPIN, CIKLIN LUBITZ & O'CONNELL, KEITH B. STEIN, BEYS LISTON MOBARGHA & BERLAND, LLP f/k/a BEYS STEIN MOBARGHA & BERLAND, LLP, and LAW OFFICES OF KEITH B. STEIN, PLLC, n/k/a STEIN LAW, PLLC, and says:

JURISDICTION AND VENUE

1. The Ward, Oliver Wilson Bivins, died on March 2, 2015. The Ward was a citizen of, and domiciled in, Amarillo, Potter County, Texas on the date of his death.

2. Julian Bivins (hereinafter, "Julian") is the Personal Representative of the ancillary Estate of the deceased Ward in Palm Beach County, Florida (the "Deceased Ward").

3. Curtis Rogers (hereinafter, "Rogers") is the former guardian of the Deceased Ward. Rogers resides in Palm Beach County, Florida.

4. Stephen M. Kelly (hereinafter, "Kelly") is the successor guardian of the Deceased Ward. Kelly resides in Boynton Beach, Palm Beach County, Florida.

5. Brian M. O'Connell (hereinafter, "O'Connell") resides and does business in Palm Beach County, Florida.

6. Ashley N. Crispin (hereinafter, "Crispin") resides and does business in Palm Beach County, Florida.

7. Ciklin Lubitz & O'Connell (hereinafter, "Ciklin") is a law firm with its principal place of business in Palm Beach County, Florida.

8. Keith B. Stein (hereinafter, "Stein") resides in New York, but does business in Palm Beach County, Florida.

9. Beys Liston Mobargha & Berland, LLP f/k/a Beys Stein Mobargha & Berland, LLP (hereinafter, "Beys") is a limited liability partnership doing business in Palm Beach County, Florida with its principal place of business in New York.

10. The Law Offices of Keith B. Stein, PLLC n/k/a Stein Law, PLLC (hereinafter, "Stein Law Firm") is a limited liability partnership doing business in Palm Beach County, Florida with its principal place of business in New York.

11. Stein, Beys, and Stein Law Firm committed tortious acts in Palm Beach County, Florida which resulted in the causes of actions under this complaint causing injury to the Estate of the Deceased Ward in Palm Beach County, Florida. Stein, Beys, and the Stein Law Firm expected or should reasonably have expected to have consequences in Palm Beach County, Florida because they each derived substantial revenue from the legal services they provided Rogers and Kelly from New York to Florida.

12. Plaintiff is a deemed a citizen of the State of Texas, the same state as the decedent under 28 U.S.C. § 1332 (c)(2).

13. Defendants are all citizens of states other than Texas for purposes of 28 U.S.C. §1332.

14. This is an action for money damages that exceed \$75,000.00, exclusive of interest, attorney's fees and costs.

15. Accordingly, this is a civil action which falls within the Court's original jurisdiction under 28 U.S.C. § 1332 (diversity of citizenship).

GENERAL ALLEGATIONS

16. Oliver Bivins' (hereinafter, "Oliver Sr.") first marriage was to Dorothy Bivins and they had a child, Julian Bivins.

17. In 1961, Oliver Sr. married Lorna Bivins (hereinafter, "Lorna"), a woman 25 years younger from New York.

18. In approximately 1990, when Oliver Sr. was approximately 70 years old, he and Lorna adopted a child together, Oliver Bivins, Jr. (hereinafter "Oliver Jr.").

19. At all material times during the marriage, Oliver Sr. lived in Amarillo, Texas and Lorna and Oliver Jr. lived in New York, New York at 67th Street, although for intermittent periods

of time, Lorna and Oliver Jr. resided in Palm Beach, Florida at Lorna and Oliver Sr.'s condominium.

20. On March 5, 1992, Oliver Sr. created a joint trust with Lorna to which he transferred family owned oil and mineral rights in Amarillo, Texas (hereinafter the "Joint Trust").

21. In addition to the oil and mineral rights in Amarillo, Texas, the couple owned the following four properties as follows. Lorna owned a property at 82 Portland Place in London, England (hereinafter "London Property") and a property at 67th Street in New York, New York (hereinafter "67th Street") and Lorna and Oliver Sr. owned together, as tenants by the entirety, properties at 808 Lexington Avenue, New York, New York (hereinafter "808 Lexington") and 330 South Ocean Blvd., Palm Beach, Florida (hereinafter "Ocean Blvd"). (The properties identified in this paragraph will be collectively referred to herein as "The Properties".)

22. On April 12, 2010, Oliver Sr. filed for divorce from Lorna in Amarillo, Texas seeking to dissolve the marriage and terminate the Joint Trust.

23. On July 28, 2010, the Court entered a Final Decree of Divorce and an Order Terminating the Joint Trust.

24. In the divorce, Oliver Sr. received everything, including the oil and mineral rights in Amarillo, Texas.

25. The Texas Court made no provision in its order, however, with respect to The Properties and no Guardian or other Defendant made any effort to re-open the Texas divorce proceeding to address the property rights of the parties pertaining to the Properties.

26. Lorna continued to hold the London and 67th Street properties in her name alone, although Oliver Sr. funded these properties to the extent not covered by tenants renting the properties, and the properties at 808 Lexington Avenue and 330 Ocean Boulevard, which were

held as tenants by the entirety prior to the divorce, became held by Lorna and Oliver Sr. as tenants in common.

27. Following the divorce, Oliver Sr. transferred to Julian interests owned by Oliver Sr. in several parcels of real property, including the oil and mineral rights in Amarillo, Texas and a condominium in Amarillo, Texas.

28. On or about January 5, 2011, petitions to determine incapacity for both Oliver Sr. and Lorna were filed and an emergency temporary guardian, Stephen Kelly, was appointed over their person and property.

29. Lorna passed away in February 2011, shortly after the temporary guardianship was established.

30. Oliver Jr. was appointed the personal representative of the estate of Lorna Bivins.

31. On or about May 10, 2011, the Court appointed Rogers as the limited guardian of the person and property of Oliver Sr.

Texas Settlement

32. Rogers investigated the transfers of real property from Oliver Sr. to Julian and sought approval from the Florida guardianship court to bring an action against Julian and Julian simultaneously filed an action in Texas to validate the transfers.

33. The Florida guardianship court entered an order permitting Rogers to retain counsel on a contingency basis to prosecute and defend the actions involving the transfers.

34. Rogers, with a Texas supervising guardian, was appointed in Texas as guardian of Oliver Sr.'s property in Texas.

35. On or about February 27, 2013, Julian and Rogers entered into a settlement agreement as to the Texas proceedings (hereinafter "Texas Settlement").

36. The Properties were not the subject of the Texas lawsuit and the Texas Settlement made no provision for them.

37. As part of the Texas Settlement, Julian was required to transfer back to Oliver Sr. all of the Texas real property previously transferred to Julian, except that Julian was permitted to keep the Ranch and all interim distributions and other proceeds Julian had already received from the real property.

38. The Texas properties were transferred to a trust for the benefit of Julian and Oliver Sr. (hereinafter the "Texas Trust") with Julian having a 37% interest in the Texas Trust and Oliver Sr. having a 63% interest in the Texas Trust.

39. As a major consideration for Julian entering into the Texas Settlement, Rogers was to resign as guardian of Oliver Sr. in Texas and Florida within thirty (30) days of court approval of the Texas settlement, and Steve Kelly was to serve as successor guardian.

40. Rogers was required to submit a final accounting and documents necessary to obtain an order of discharge from the Texas and Florida guardianships within 30 days of the approval of the Texas settlement by the Texas and Florida guardianship courts.

41. As part of the Texas Settlement, Rogers was released from liabilities for his errors and omissions and other breaches of his fiduciary obligation, only through the date of the Texas Settlement.

42. The Florida guardianship court approved the settlement on April 1, 2013.

New York Settlement

43. In November 2012, Rogers entered into a contingency fee/hybrid agreement with Ciklin to initiate an action in Florida requesting that the Court presiding over the Lorna estate (the "Lorna Court") give no full faith and credit to the Texas Divorce Decree, so that the Lorna Court

would deem the Properties to pass to Oliver Sr. as though he were still married to Lorna at the time of her death. (“Florida Beneficiary Petition”).

44. In or about October 2012, Rogers also engaged Keith Stein of Beys to partition the 808 Lexington property (“New York litigation”).

45. Prior to initiating the partition action of 808 Lexington, Stein had only prepared, at best, one prior partition action in the course of his more than two decades of practice.

46. At the time of the partition action, and for several years prior, 808 Lexington was encumbered by a mortgage in the original principal sum of \$850,000.00 (“808 Mortgage”).

47. By the time of the partition action, the balance of the mortgage was approximately \$387,000.00.

48. Prior to, and following the date of the Texas Settlement, Rogers failed to take any action to pay, monitor, negotiate, or prevent default, acceleration, or negative consequences to the Ward in connection with the 808 Mortgage.

49. On or about October 5, 2012, unbeknownst to Julian, and presumably because Rogers had not taken any action to manage the 808 Lexington asset or liabilities and the 808 Mortgage was in default, the son of the paralegal of Oliver Jr.’s attorney (who was also a close friend of Oliver Jr.) surreptitiously formed a corporation known as Beachton Tuxedo, LLC (“Beachton”) and acquired the 808 Mortgage via an Assignment of Mortgage (“Assignment”) for the outstanding balance owed on the mortgage.

50. As of the date of the Assignment, the notes secured by the Mortgage were in default, had been accelerated by Beachton and gave Beachton the right to foreclose on 808 Lexington. The default interest rate on the Beachton mortgage was 17%.

51. As further consideration for Beachton to acquire the 808 Mortgage and not foreclose on it, Oliver Jr., individually, and as personal representative of the Estate of Lorna, assigned to Beachton, 40% of the equity interest in 808 Lexington, which, at a bare minimum, provided Beachton with an interest of far more than a million dollars, (on a \$387,000 mortgage) yet Beachton continued to charge interest at the maximum rate allowable under the 808 Mortgage.

52. Accordingly, the assignment by Oliver Jr. resulted in a potentially usurious interest being charged by Beachton on the 808 Mortgage, or alternatively, a satisfaction of the 808 Mortgage.

53. In July 2013, Roger, as guardian for Oliver Sr., Oliver Jr., individually and as personal representative of the Estate of Lorna, and Beachton entered into a settlement agreement to settle the Florida Beneficiary Petition and the New York Litigation (hereinafter referred to as the “New York Settlement.” A true and correct copy of the New York Settlement Agreement is attached hereto as Exhibit “A” and incorporated herein by reference.

54. Pursuant to the New York Settlement, Oliver Jr. agreed to transfer to Oliver Sr. the 50% interest of the Estate of Lorna in 808 Lexington and Ocean Boulevard, such that as a result of such transfers, Oliver Sr. would own 100% fee simple interest in 808 Lexington and Ocean Boulevard.

55. The Estate of Lorna was required to satisfy all real estate taxes and related charges through May 8, 2013, and one-half of the real estate taxes and related charges from May 9, 2013, through the date immediately prior to the closing date.

56. Additionally, in connection with the New York Settlement, Oliver Jr. and Beachton agreed that the 40% interest in the 808 Lexington that Oliver Jr. had assigned to Beachton when it took over the 808 Mortgage, would be transferred to a 20% interest in the 67th Street property,

which amounted to an interest by Beachton of well over a million dollars. (The percentage change in the transfer was due to the fact that the value of the 67th Street property was significantly higher than the value of 808 Lexington.

57. Notwithstanding Beachton's acceptance of the 20% interest in 67th Street, Beachton continued to charge the maximum interest rate allowable under the 808 Mortgage, plus late fees, which combined with the 20% interest in 67th Street, constituted a usurious rate of interest, or alternatively, a satisfaction of the 808 Mortgage.

58. The closing date under the New York Settlement was to occur within ten (10) business days of the date upon which all approvals have been received from the Florida court, and each such other court. No other such court approval was required to approve the New York Settlement besides the Florida Court, which did so on September 17, 2013. Accordingly, the closing date was October 1, 2013 ("Closing Date").

59. Under the terms of the New York Settlement, Rogers, acting as guardian for Oliver Sr., agreed to waive and/or relinquish in favor of the Estate of Lorna any and all right, title, and interest in and to 67th Street and the London Property.

60. The New York Settlement required Rogers, as guardian of Oliver Sr., to pay the Beachton mortgage debt in full on or before August 31, 2013, and in exchange, Beachton agreed to continue to forebear from taking action based on the purported failure to make payments under the 808 Mortgage that Beachton purchased, including foreclosure.

61. On or about November 2014, 67th Street sold for \$22.5 million. Accordingly, Beachton's 20% interest in the 67th Street property was worth \$4.5 million.

62. Any claim by Beachton that an outstanding balance was due on the Beachton mortgage was usurious as Beachton became entitled to receive, via its 20% equity interest in 67th Street, more than five (5) times the outstanding balance owed on the 808 Mortgage.

63. Neither Rogers nor his counsel took any action to have a Court declare the 808 Mortgage acquired by Beachton as having been satisfied or otherwise usurious.

64. Moreover, despite representations to the Florida guardianship Court that they would do so, Rogers neither made any genuine efforts to procure substitute financing for the Beachton mortgage at a lower interest rate than the default rate Beachton mortgage was charging, nor undertook any action to remove the Beachton lien from the 808 property due to it being usurious or satisfied.

65. The terms of the New York Settlement, to which Julian persistently objected, provided that all interest on the mortgage debt accruing after June 30, 2013, but on or before the date the Beachton mortgage debt is paid in full, was to be payable 50% by the Estate of Lorna and 50% by Rogers, as guardian of Oliver Sr.

66. Moreover, the New York Settlement agreement provides that if “any party fails to comply with any of the party’s obligations set forth in Section 2 or 3 of this Agreement, the party to whom the obligation is owed shall have the right to enforce the terms set forth therein and the legal fees and costs incurred by the aggrieved party in enforcing such terms shall be paid by the Party found to be in breach of such terms.”

808 Lexington Management

67. Rogers remained in office as guardian for Oliver Sr. until April 23, 2014, when Kelly was appointed by the Court as successor guardian of Oliver Sr.

68. From April 1, 2013 (the date of the Florida Court's approval of the Texas Settlement) until Rogers was discharged by the Court in April 2014, as Florida guardian for Oliver Sr. (the "Interim Guardianship"), Rogers had a duty to manage 808 Lexington as a rental property.

69. From April 23, 2014 (the date Kelly was appointed by the Court as successor guardian of Oliver Sr.) until the closing of the sale of 808 Lexington by Kelly, as guardian of Oliver Sr., Kelly had a duty to manage 808 Lexington as a rental property.

70. The 808 Lexington Property consisted of four floors. The first floor was rented out by a restaurant, Fig and Olive, which generated approximately \$23,500 per month in rent. The lease for Fig and Olive was set to expire in November 2014.

71. The second floor of 808 Lexington was leased out to Pinafore Nursery and generated approximately \$3,500 per month in rent. The lease for Pinafore Nursery expired on December 31, 2010, and there was no new written lease entered into by Pinafore Nursery. Following the expiration of the lease with Pinafore Nursery, it continued to pay a monthly rent of \$3,500, notwithstanding that it was a holdover tenant without a lease.

72. The fourth floor apartment had been rented out to Kimberly Beamis for \$2,300 per month, but she vacated the premises prior to January 1, 2013 due to the failure of Rogers to maintain the unit. Thereafter, fourth floor apartment became occupied by a person related to one of the owners of Beachton for \$1,500 per month, which amount was paid to Oliver Jr. and nothing to the Rogers or Kelly on behalf of the Ward. The \$1500, to the extent it was paid, was well below market value, no lease was in place, and Rogers or Kelly failed to investigate, participate, or take any action for the benefit of the Ward pertaining to this unit.

73. The third floor tenant was evicted in either 2012 or 2013. Neither Rogers nor Kelly undertook any efforts to re-rent this unit, which had a monthly rental value of several thousand dollars.

74. Prior to the New York Settlement, Rogers should have been collecting 50% of the rental income from 808 Lexington, and should have made efforts to obtain full market rent on the second, third, and fourth floor units.

75. Following the Court's approval of the New York Settlement, Rogers should have been collecting all of the rental income from 808 Lexington. Yet, during the period of Interim Guardianship, Rogers only passively collected 50% of the rental income from Fig and Olive. Rogers and Kelly ignored the remaining rent that Oliver Jr. was collecting on the other 50% of the rental income from Fig and Olive and ignored any effort to obtain any rental income from the other units or tenants.

76. Following his appointment as successor guardian, Kelly should have been collecting all of the rental income from 808 Lexington. Yet, until the sale of 808 Lexington, he only passively collected 50% of the rental income from Fig and Olive. Kelly ignored the remaining rent that Oliver Jr. was collecting on the other 50% of the rental income from Fig and Olive and ignored any effort to obtain any rental income from the other units or tenants.

77. Oliver Jr. has also not paid any money to the State of New York or to Rogers or Kelly for any past due property taxes pursuant to the New York Settlement, or for the amount of property taxes on 808 Lexington from May 9, 2013, to the date immediately prior to the Closing Date.

78. Oliver Jr. has not paid any of the interest that accrued on the 808 Mortgage from June 30, 2013, until it was paid in full.

79. During the period of Interim Guardianship, Rogers also failed to take actions for the benefit of the Ward, including, but not limited to, the following acts with respect to 808 Lexington:

- a. Enter into discussions with Fig and Olive regarding renewing its lease or increasing the monthly rental payments;
- b. Enter into discussions with Pinafore Nursery to sign a new lease and increase its rent from the monthly rent it was paying for the previous four years;
- c. Take any action to market the third or fourth floor apartments;
- d. Take any action with respect to repairing, renovating, or maintaining 808 Lexington, including, but not limited to, its common areas, to obtain the highest and best rental values for the property;
- e. Collect the appropriate rental income due Oliver Sr. from the lease of 808 Lexington;
- f. Bring an action against Oliver Jr. to force Oliver Jr. to use the rental income from 808 Lexington to pay down the Beachton mortgage and to enforce the New York Settlement;
- g. Bring an action against Beachton for usury or satisfaction based upon the interest it received in 808 Lexington and thereafter 67th Street; and
- h. Obtain commercial financing to pay off the 808 Mortgage assigned to Beachton to avoid the default interest rate it was accruing against 808 Lexington.

80. After his appointment as successor guardian of Oliver Sr. on April 23, 2014, Kelly also failed to take actions for the benefit of the Ward, including, but not limited to, the following acts with respect to 808 Lexington:

- a. Enter into discussions with Fig and Olive regarding renewing its lease or increasing the monthly rental payments;
- b. Enter into discussions with Pinafore Nursery to sign a new lease and increase its rent from the monthly rent it was paying for the previous four years;
- c. Take any action to market the third or fourth floor apartments;
- d. Take any action with respect to repairing, renovating, or maintaining 808 Lexington, including, but not limited to, its common areas, to obtain the highest and best rental values for the property;
- e. Collect the appropriate rental income due Oliver Sr. from the lease of 808 Lexington;
- f. Bring an action against Oliver Jr. to force Oliver Jr. to use the rental income from 808 Lexington to pay down the Beachton mortgage and to enforce the New York Settlement;
- g. Bring an action against Beachton for usury or satisfaction based upon the interest it received in 808 Lexington and thereafter 67th Street; and
- h. Obtain commercial financing to pay off the 808 Mortgage assigned to Beachton to avoid the default interest rate it was accruing against 808 Lexington.

Due Diligence as to New York Settlement

81. Prior to entering into the New York Settlement, Rogers failed to do any type of due diligence as to the true fair market value of 808 Lexington and 67th Street, including, but not limited to, obtaining appraisals of the properties. Yet, Rogers and his counsel represented to the Florida Court that the New York Settlement was in the best interests of Oliver Sr. and that the properties were approximately equal in value.

82. On or about the Closing Date, the fair market value of 808 Lexington was approximately \$5 million and the true fair market value of 67th Street was more than \$22.5 million.

83. The fair market value of the London property has never been addressed other than in a cursory fashion by Rogers or the attorneys he hired to protect the Ward's interest, despite the property being located in the most exclusive and high priced rental district in London.

84. As a result, the estate of Oliver Sr. received assets from the New York Settlement with a value substantially less than those received by the Estate of Lorna.

COUNT I
Breach of Fiduciary Duty Against Defendants Rogers,
O'Connell, Crispin, Ciklin, Stein, Beys, and Stein Law Firm)

85. Plaintiff, JULIAN BIVINS, as Personal Representative of the ancillary Estate of Oliver Wilson Bivins, deceased, hereby re-alleges and adopts by reference all allegations contained in paragraphs 1 through 84, *supra*, as if fully set forth herein.

86. During the period of the Interim Guardianship, Rogers had a fiduciary duty to Oliver Sr. to act in his best interest until Rogers was discharged as guardian, including, among other things, a duty of loyalty.

87. O'Connell, Crispin, Ciklin, Stein, Beys, and the Stein Law Firm ("Counsel for Rogers") represented Rogers, in his capacity as guardian for Oliver Sr., in connection with the New York Settlement and thereafter.

88. Counsel for Rogers, while he was acting as guardian for Oliver Sr., owed similar duties to Oliver Sr. and were fully aware that the work they were doing for Rogers, as guardian of Oliver Sr., was for the benefit of Oliver Sr.

89. Rogers, as guardian of Oliver Sr., and Counsel for Rogers were negligent and reckless in the exercise of their fiduciary duties to Oliver Sr., resulting in damages to him.

90. By failing to take actions for the benefit of the Ward, including, but not limited to, failing to, (a) properly manage 808 Lexington, (b) perform proper due diligence of the value of 808 Lexington and 67th Street to properly evaluate the fairness of the New York Settlement, (c) take action against Oliver Jr. to collect rents and taxes owed by the Estate of Lorna or Oliver Jr., (d) failing to ensure that rental income from 808 Lexington was used to pay down the Beachton mortgage, (e) seek substitute financing for the Beachton mortgage, and (f) failing to pursue action against Beachton to have its mortgage deemed satisfied or released, Rogers damaged the Estate of Oliver Sr. in contravention of Defendants' fiduciary duties.

91. At all material times, Counsel for Rogers, as guardian of Oliver Sr., owed duties to Oliver Sr. and were involved and participated in Rogers' actions or inactions, resulting in the above described damage.

92. Plaintiff was required to retain the Bleakley Bovol Law Firm to mitigate the damages to the Estate of Oliver Sr. and is required to pay it a reasonable fee for its services.

WHEREFORE, the Plaintiff, JULIAN BIVINS, as Personal Representative of the ancillary Estate of Oliver Wilson Bivins, deceased, requests the Court award damages against Defendants Rogers, O'Connell, Crispin, Ciklin, Stein, Beys, and the Stein Law Firm and such other relief as the Court deems just and proper, including an award of attorneys' fees and costs against Defendants.

COUNT II

Breach of Fiduciary Duty Against Defendants Kelly, O'Connell, Crispin, Ciklin, Stein, Beys, and Stein Law Firm

93. Plaintiff, JULIAN BIVINS, as Personal Representative of the ancillary Estate of Oliver Wilson Bivins, deceased, hereby re-alleges and adopts by reference all allegations contained in paragraphs 1 through 84, supra, as if fully set forth herein.

94. Following his appointment as successor guardian of Oliver Sr. on April 23, 2014, Kelly had a fiduciary duty to Oliver Sr. to act in his best interest until Kelly was discharged as guardian, including, among other things, a duty of loyalty.

95. O'Connell, Crispin, Ciklin, Stein, Beys, and the Stein Law Firm represented Kelly ("Counsel for Kelly"), in his capacity as successor guardian for Oliver Sr.

96. Counsel for Kelly, while he was acting as guardian for Oliver Sr., owed similar duties to Oliver Sr. and were fully aware that the work they were doing for Kelly, as successor guardian of Oliver Sr., was for the benefit of Oliver Sr.

97. Kelly, as guardian of Oliver Sr., and Counsel for Kelly were negligent and reckless in the exercise of their fiduciary duties to Oliver Sr., resulting in damages to him.

98. By failing to take actions for the benefit of the Ward, including, but not limited to, failing to (a) properly manage 808 Lexington, (b) take action against Oliver Jr. to collect rents and taxes owed by the Estate of Lorna or Oliver Jr., (c) failing to ensure that rental income from 808 Lexington was used to pay down the Beachton mortgage, (d) seek substitute financing for the Beachton mortgage, and (e) failing to pursue action against Beachton to have its mortgage deemed satisfied or released, Kelly damaged the Estate of Oliver Sr. in contravention of Defendants' fiduciary duties.

99. At all material times, Counsel for Kelly, as successor guardian of Oliver Sr., owed duties to Oliver Sr. and were involved and participated in Kelly's actions or inactions, resulting in the above described damage.

100. Plaintiff was required to retain the Bleakley Bovol Law Firm to mitigate the damages to the Estate of Oliver Sr. and is required to pay it a reasonable fee for its services.

WHEREFORE, the Plaintiff, JULIAN BIVINS, as Personal Representative of the ancillary Estate of Oliver Wilson Bivins, deceased, requests the Court award damages against Defendants Kelly, O'Connell, Crispin, Ciklin, Stein, Beys, and the Stein Law Firm and such other relief as the Court deems just and proper, including an award of attorneys' fees and costs against Defendants.

Jury Demand

Plaintiff demands a trial by jury on all issues so triable.

Dated: September 17, 2015.

Respectfully Submitted,

THE BLEAKLEY BAVOL LAW FIRM

/s/ J. Ronald Denman

J. Ronald Denman

Florida Bar Number 0863475

15170 North Florida Avenue

Tampa, FL 33613

(813) 221-3759 [Telephone]

(813) 221-3198 [Facsimile]

rdenman@bleakleybavol.com

Attorneys for JULIAN BIVINS

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

CASE NO. 15-81298-CIV-MARRA/MATTHEWMAN

JULIAN BIVINS, as personal representative
of the ancillary estate of Oliver Wilson Bivins,

Plaintiff,

vs.

BRIAN M. O'CONNELL, ASHLEY N. CRISPIN,
CIKLIN LUBITZ & O'CONNELL, KEITH
B. STEIN, BEYS LISTON MOBARGHA &
BERLAND, LLP and LAW OFFICES OF
KEITH B. STEIN, PLLC, n/k/a STEIN LAW PLLC,

Defendants.

_____ /

VERDICT

WE THE JURY RETURN THE FOLLOWING VERDICT:

1. Did any of the following Defendants breach a fiduciary duty owed to JULIAN BIVINS, as Personal Representative of the ancillary Estate of Oliver Wilson Bivins, Sr. which was a legal cause of loss or damage to him?

- a. BRIAN O'CONNELL Yes No
- b. ASHLEY N. CRISPIN Yes No
- c. KEITH B. STEIN Yes No

2. Was there professional negligence on the part of any of the following Defendants which was a legal cause of loss or damage to JULIAN BIVINS, as Personal Representative of the ancillary Estate of Oliver Wilson Bivins, Sr.?

- a. BRIAN O'CONNELL Yes No
- b. ASHLEY N. CRISPIN Yes No
- c. KEITH B. STEIN Yes No

If you answered "No" to all parts of Questions 1 and 2, your verdict is for the Defendants, and you need not proceed further, other than to sign the verdict form and return it to the court. If you answered "Yes" to any parts of Questions 1 or 2, please continue:

3. What is the amount of damages sustained by Plaintiff, JULIAN BIVINS, as Personal Representative of the ancillary Estate of Oliver Wilson Bivins, Sr.?

\$16,400,000.00

4. If you awarded Plaintiff damages, did Defendants prove that they are entitled to a set-off against the amount of damages you awarded Plaintiff?

Yes No

If your answer to Question 4 is "Yes", what is the amount of the set-off?

\$ _____

If you determined an amount of a set-off, do not reduce the amount of damages you awarded in Question 3 by the amount of the set-off. The Court will make that adjustment when entering judgment in this case.

SO SAY WE ALL.

Signed and dated at the United States Courthouse, West Palm Beach, Florida, this _____ day of 28 July, 2017.

[Signature]
Foreperson's Signature

—

Foreperson's Printed Name —

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

Case No. 9:15-cv-81298-KAM/Matthewman

JULIAN BIVINS, as Personal
Representative of the ancillary Estate
of Oliver Wilson Bivins,

Plaintiff,

v.

BRIAN M. O'CONNELL, ASHLEY
N. CRISPIN, CIKLIN LUBITZ &
O'CONNELL, KEITH B. STEIN,
BEYS LISTON MOBARGHA &
BERLAND, LLP and LAW
OFFICES OF KEITH B. STEIN,
PLLC, n/k/a STEIN LAW, PLLC,

Defendants.

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NOTICE OF APPEARANCE

PLEASE TAKE NOTICE that L. Louis Mrachek, Esquire and Alan B. Rose, Esquire of the firm Mrachek, Fitzgerald, Rose, Konopka, Thomas & Weiss, P.A., enter their appearance as counsel of record for Defendant, Ciklin Lubitz & O'Connell, in the above-styled cause and request that all notices, pleadings and other papers filed in this matter be served on the undersigned counsel at the address below.

Additionally, pursuant to Florida Rule of Judicial Administration 2.516, the undersigned designates the following email addresses for the purpose of receiving pleadings, orders, and other papers filed or served in this matter:

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Dated: August 24, 2017

Respectfully submitted,

s/ Alan B. Rose
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CERTIFICATE OF SERVICE

I hereby certify that on August 24, 2017, I electronically filed the foregoing document with the Clerk of the Court using CM/ECF. I also certify that the foregoing document is being served this day on all counsel of record or pro se parties identified on the attached Service List via transmission of Notices of Electronic Filing generated by CM/ECF.

s/ Alan B. Rose
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SERVICE LIST

**Case No. 9:15-cv-81298-KAM/Matthewman
United States District Court, Southern District of Florida**

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